Y 1.1/7:110-129/V.2
Financial Disclosure Reports of

FINANCIAL DISCLOSURE REPORTS

OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2007 and December 31, 2007

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 2 of 4)

SUPERINTENDENT OF DOCUMENTS
DEPOSITORY
SEP 25 2008
BOSTON PUBLIC LIBRARY
GOVERNMENT DOCUMENTS DEPT.

JULY 8, 2008.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

110th Congress, 2d Session - - - - - - - - - - - - House Document 110–129

FINANCIAL DISCLOSURE
REPORTS
OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2007 and December 31, 2007

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 2 of 4)

JULY 8, 2008.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

U.S. GOVERNMENT PRINTING OFFICE

43–318 WASHINGTON : 2008

LETTER OF SUBMITTAL

OFFICE OF THE CLERK,
HOUSE OF REPRESENTATIVES,
Washington, DC, June 30, 2008.

Hon. NANCY PELOSI,
The Speaker, The Capitol,
House of Representatives, Washington, DC.

DEAR MADAM SPEAKER: I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended, 5 U.S.C. app. § 101–111 (1994), and the House Rule XXVI.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XXVI by May 15, 2008. The compilation includes reported financial transactions which occurred between January 1, 2007 and December 31, 2007.

House Rule XXVI provides that the annual compilation of reports filed with the Clerk be printed as a House document by August 1 and contain all such reports filed by Members within the period beginning January 1 and ending June 15 of each year. This compilation is submitted accordingly.

With best wishes, I am
Sincerely,

LORRAINE C. MILLER,
Clerk, House of Representatives.

Enclosures.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 16 PM 4:56
HAND DELIVERED
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

ELTON GALLEGLY
(Full Name)

202-225-5811
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 24	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	DYNAMIC REALTY	SPOUSE SALARY	N/A
	GALLEGLY FOR CONGRESS	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are *not self-directed, name the institution holding the* account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do *not* allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P
S
E

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction
SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC, *Examples:*	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X										X									X						
JT	1791 BERNGER RD. SIMI VALLEY CA 93065								X							X											X					
JT	1481 DULATE CIRCLE SIMI VALLEY CA 93065							X						X							X											P
JT	1351 CHERRY SIMI VALLEY CA 93065							X						X							X											P
SP	DYNAMIC REALTY			X																	N/A											
JT	UNION BANK				X												X						X									
JT	WASHINGTON MUTUAL						X										X									X						

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ELTON GALLEGLY

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
JT	WACHOVIA	A X; INTEREST	VI X		
SP	IRA-PERSHINE LLC-AMGEN	E X	NONE X	I X	
SP	IRA-PERSHINE LLC-COCA COLA	C X	DIVIDENDS X	II X	
SP	IRA-PERSHINE LLC-MONEY MARKET	C X	INTEREST X	II X	
	KEOUGH WELLS FARGO	C X	INTEREST X	III X	
	IRA-MERRILL LYNCH				
	ALLIANCE BERNSTEIN GROWTH	D X	DIVIDENDS X; CAPITAL GAINS X	V X	
	CALAMIOS GROWTH FUND	D X	CAPITAL GAINS X	V X	
	JOHN HANCOCK US GLOBAL	D X	CAPITAL GAINS X	IV X	
	BLACKROCK VALUE	D X	DIVIDENDS X; CAPITAL GAINS X	V X	
	BLACKROCK FUNDAMENTAL	D X	NONE X	I X	
	COCA COLA	C X	DIVIDENDS X	II X	
	ML MONEY MARKET	C X	INTEREST X	II X	
JT	MIDLAND PROPERTY LTD	D X	NONE X	I X	
	PTR XXIII WHO OWNS AFFORDABLE HOUSING AT CENTRAL AVE & CENTURY COURT IN CENTRAL COLORADO AND WHO OWNS SENIOR HOUSING AT 1615 "D" STREET, SACRAMENTO, CA 95814				

This page may be copied if more space is required.

Name ELTON GALLEGLY | Page 4 of 6

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10–12–07		X								
JT	1491 DUARTE CIRCLE SIMI VALLEY CA 93065	X			8-1-07					X					
JT	1351 CHERRY STREET SIMI VALLEY CA 93065	X			10-1-07					X					

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	CARLANA FAMILY TRUST 3405 HAZELNUT COURT SIMI VALLEY CA	MORTGAGE 1791 ERRINGER SIMI VALLEY				X						
JT	CHASE PO BOX 78035, PHOENIX, AZ 85062	HELOC FOR PURCHASE OF 1351 CHERRY ST. SIMI VALLEY					X					
JT	WASHINGTON MUTUAL P.O BOX 78065, PHOENIX, AZ 85062	HELOC FOR PURCHASE OF 1491 DUARTE CIRCLE, SIMI VALLEY					X					

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	CYPRUS FEDERATION of AMERICA	APRIL 7-16	LOS ANGELES - ATHENS, GREECE - CYPRUS - ZURICH, SWITZERLAND - D.C.	Y	Y	Y	1 DAY

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

MAY 09 2008
...RESOURCE CENTER
2008 MAY 19 PM 2:51
(Office Use Only)

ELTON GALLEGLY
(Full Name)

202-225-5811
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: CA District: 24	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

1436

Name ELTON GALLEGLY | Page 1 of 6

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	DYNAMIC REALTY	SPOUSE SALARY	N/A
	GALLEGLY FOR CONGRESS	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	SP Mega Corp. Stock					X									X			X						X								S (partial)
DC Examples:	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X									X							
JT	1791 BERWINGER Rd. SIMI VALLEY CA 93065								X								X										X					
JT	1481 DUARTE CIRCLE SIMI VALLEY CA 93065							X						X							X											P
JT	1351 CHERRY SIMI VALLEY CA 93065							X						X							X											P
SP	DYNAMIC REALTY			X																	N/A											
JT	UNION BANK				X												X						X									
JT	WASHINGTON MUTUAL						X										X								X							

For additional assets and unearned income, use next page.

1438

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ELTON GALLEGLY

Page 3 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	WACHOVIA	X															X								X							
SP	IRA-PERSHING LLC-AMGEN					X								X							X											
SP	IRA-PERSHING LLC-COCA COLA			X											X							X										
SP	IRA-PERSHING LLC-MONEY MARKET			X													X					X										
	KEOUGH WELLS FARGO			X													X						X									
	IRA-MERRILL LYNCH																															
	ALLIANCE BERNSTEIN GROWTH				X										X			X							X							
	CALAMIOS GROWTH FUND				X													X							X							
	JOHN HANCOCK US GLOBAL				X													X						X								
	BLACKROCK VALUE				X										X			X							X							
	BLACKROCK FUNDAMENTAL				X									X							X											
	COCA COLA			X											X							X										
	ML MONEY MARKET			X													X					X										
JT	MIDLAND PROPERTY LTD				X									X							X											
	PTR XXIII WHO OWNS AFFORDABLE HOUSING AT CENTRAL AVE & CENTURY COURT IN CENTRAL COLORADO AND WHO OWNS SENIOR HOUSING AT 1615 "O" STREET, SACRAMENTO, CA 95814																															

This page may be copied if more space is required.

Name ELTON GALLEGLY Page 4 of 6

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10–12–07		X								
JT	1491 DUARTE CIRCLE SIMI VALLEY CA 93065	X			8-1-07					X					
JT	1351 CHERRY STREET SIMI VALLEY CA 93065	X			10-1-07					X					

This page may be copied if more space is required.

Name ELTON GALLEGLY Page 1 of 12

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example. First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	CARLANA FAMILY TRUST 3405 HAZELNUT COURT SIMI VALLEY CA	MORTGAGE 1781 ERRINGER SIMI VALLEY				X						
JT	CHASE PO BOX 78035 PHOENIX, AZ 85062	HELOC FOR PURCHASE OF 1351 CHERRY ST. SIMI VALLEY					X					
JT	WASHINGTON MUTUAL P.O BOX 78065, PHOENIX AZ 85062	HELOC FOR PURCHASE OF 1481 DEARTE CIRCLE, SIMI VALLEY					X					

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

SCHEDULE VII— TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	CYPRUS FEDERATION of AMERICA	APRL 7-16	LOS ANGELES - ATHENS, GREECE - CYPRESS - ZURICH, SWITZERLAND - D.C.	Y	Y	Y	1 DAY

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:05
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
(Office Use Only) MC

E. SCOTT GARRETT
(Full Name)

202-225-4465
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: NJ District: 05	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [✓]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [✓]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [✓]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [✓]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [✓]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [✓]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [✓]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [✓]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [✓]

Name E Scott Garrett	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

Name	E. Scott Garrett	Page 1 of 1

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. *A green envelope for transmitting the list is included in each Member's filing package.*

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	None			

This page may be copied if more space is required.

Name E. Scott Garrett

Page 1 of 1

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do *not allow you to choose specific investments*, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. ***Dividends and interest, even if reinvested, should be listed as income.*** Check "None" if no income was received

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows (S) (partial) See below for example.

				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC	Examples:		Simon & Schuster			Indefinite																Royalties								X				
JT			1st Bank of Paducah, KY Accounts						X									X									X							
			Dreyfus Appreciation Fund				X										X			X				X											P
			Dreyfus Basic S&P Fund				X										X			X				X											P
			Dreyfus Discipline Stock Fund				X										X			X				X											P
			Lake Land Bank (Checking Acc't)			X													X					X											
			Lake Land Bank (Stock)			X											X							X											

For additional assets and unearned income, use next page.

1446

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carney

Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Transamerica - Annuity				X										X			X				X										
	(Now Met - Life)																															
	Principal Mid-Cap Growth				X													X				X										

This page may be copied if more space is required.

Name: E. Scott Garrett

Page ___ of ___

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Coporation Common Stock (partial sale)		X		1[illegible]-12-07		X								
	~~Lancaster Bank~~		~~X~~			~~1-3-07~~	~~X~~									
	NONE															

This page may be copied if more space is required.

Name E. Scott Garrett | Page 1 of 1

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Nobel Bank. Spotta, New Jersey	Mortgage Home Equity Loan - 100 Pond Schedro		X								

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

Name E. Scott Garrett | Page 1 of 1

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Emmanuel Foundation	Jan 26-27	DC - NYC - NJ	Y	Y	yes	None
	Heritage Foundation	Jan 1-3	DC - Baltimore	Y	Y	yes	None

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

GPO: 2008 41-219 (mac)

HAND DELIVERED

RESOURCE CENTER

2009 JUN 10 AM 9:12

REPRESENTATIVES

(Office Use Only)

MC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

Jim Gerlach (Full Name)

610-594-1415 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: PA, District: 06	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1452

SCHEDULE I - EARNED INCOME

Name Jim Gerlach

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Pennsylvania State Employees Retirement System	Legislative Pension	$15,250
Pennsylvania Leadership Charter School, West Chester, PA	Spouse Salary	N/A
U.S. Advanced Medical Research, Exton, PA	Spouse Salary	N/A

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Jim Gerlach

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Diageo	Appearance	March 13, 2007	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Gerlach

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Congressional Federal Credit Union Account	$15,001 - $50,000	INTEREST	$1 - $200	
State Deferred Compensation Program- State Index Fund, Extended Market Fund, Enhanced Tactical Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
Vanguard Group-Balanced Index Fund, Life Strategy Moderate Grouth, 500 Index Fund	$50,001 - $100,000	Dividends	$2,501 - $5,000	

SCHEDULE VIII - POSITIONS

Name Jim Gerlach

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Brandywine Health Foundation
Director	Brandywine Transitional Corporation
Director	Gerlach Corporation

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER
2008 MAY -7 PM 1:22
U.S. HOUSE OF REPRESENTATIVES

Wayne Thomas Gilchrest
(Full Name)

202 225-5311
(Daytime Telephone)

(Office Use Only)

MC

Filer Status	[X] Member of the U.S. House of Representatives	State: Md District: 1st	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

Name Wayne Thomas Gilchrist

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, *state the name of the business, the nature* of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not *allow you to choose specific* investments, you may write "NA." For all other assets ***including all IRAs,*** indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, *you may write "NA" for income.* For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC, *Examples:*	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X									X							
JT	70 shs Citigroup Inc			X																												
JT	84 shs Dominion Res Inc			X																												
JT	18 shs Ideare Inc		X																													
JT	400 shs Intel Corp			X																												
JT	55 shs Johnson + Johnson			X																												
JT	233 shs Nuveen Municipal			X																												

For additional assets and unearned income, use next page.

1458

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Wayne Thomas Gilchrest Page 3 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	125 Pepco Hldgs INC			X																												
JT	50 shs Valero Energy			X																												
JT	360 shs Verizon Com				X																											
JT	100 shs WGL H Ldgs INC			X																												
JT	1133 shs US Gov't Money Mkt			X																												
JT	529 shs Alliancebr INTL			X																												
JT	5384 shs Franklin Income			X																												
JT	251 shs American Growth Fd			X																												
JT	434 shs American INC Fd			X																												
JT	219 shs American WA Mutual			X																												
JT	Time share, Dewey Beach DE				X																											
JT	certificate of deposit, Peoples Bank, Chestertown Md			X																												

All securities are held in the street name of Morgan Stanley
All dividends and interest are paid directly to Morgan Stanley
who in turn files an annual federal 1099 information
return for the Gilchrests in the $2501 - $5000 range.

This page may be copied if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Peace Research Institute Of Oslo, Norway	Dec. 6-9	DC - Lisbon, Portugal - DC	Y	Y	N	NONE
	Interdisciplinary Program of Columbus School of Law Catholic University						
	Iranian Institute of Philosophy						

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

DOCUMENT CENTER
2008 MAY 14 PM 12:40
CLERK, U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Kirsten Elizabeth Gillibrand
(Full Name)

202 225 5614
(Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives — State: NY District: 20	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

Name Kirsten Gillibrand	Page 1 of 14

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Redbrick Partners Washington DC	Spouse Consulting Fee	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	—			

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kirsten Gillibrand

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
SP	WindCrest Stock	D $15,001 – $50,000	INTEREST	II $1 – $200	P
	(Medical Devices Potomac, MD)				
SP	Street Tracks Gold ETF	C $1,001 – $15,000	NONE	I None	–
	Fidelity Retirement Money MKT	F $100,001 – $250,000	INTEREST	VI $5,001 – $15,000	P, S
	Morgan Stanley CP FX Income	C $1,001 – $15,000	DIVIDENDS, CAPITAL GAINS	III $201 – $1,000	–
	Fidelity Capital & Income	C $1,001 – $15,000	DIVIDENDS, CAPITAL GAINS	IV $1,001 – $2,500	–
	Fidelity US Bond Index	C $1,001 – $15,000	DIVIDENDS	III $201 – $1,000	P, S
	Fidelity Pacific Basin	A None	DIVIDENDS, CAPITAL GAINS	VI $5,001 – $15,000	P, S
SP	Citibank SEP Money Market	C $1,001 – $15,000	INTEREST	II $1 – $200	–
	Trust Co Stock	A None	DIVIDENDS	III $201 – $1,000	S

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kirsten Gillibrand

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Abercrombie Fitch Jan 08 Puts	C	NONE	I	P
SP	Accredited Home Lenders Sep07 Puts	A	CAPITAL GAINS	IV	P,S
SP	Autozone Jan 08 Puts	C	NONE	I	P
SP	Bank United Sep 07 Puts	A	CAPITAL GAINS	VI	P,S
SP	Best Buy Jan 08 Puts	C	NONE	I	P
SP	Countrywide Financial Jan 08 Puts	A	CAPITAL GAINS	VII	P,S
SP	Crox Jan 08 & Mar 08 Puts	C	CAPITAL GAINS	VI	P,S
SP	Dell Jan 08 Puts	C	NONE	I	P
SP	Downey Financial Aug 07 & Feb 08 Puts	A	CAPITAL GAINS	VI	P,S
SP	Home Depot Jan 08 Puts	D	NONE	I	P
SP	Indymac Bancorp Oct 07 Puts	A	CAPITAL GAINS	IV	P,S
SP	Lowes Jan 08 Puts	C	NONE	I	P
SP	Pulte Homes Jan 08 Puts	C	CAPITAL GAINS	VII	P,S
SP	Ryland Homes Jan 08 Puts	C	CAPITAL GAINS	VI	P,S
SP	KB Home Jan 08 Puts	D	NONE	I	P

This page may be copied if more space is required.

Name Kirsten Gillibrand

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
SP	Windcrest Stock	X			12-1-07	X									
	Trust Co Stock		X		9-25-07	X									
SP	Ambient Corp		X		2/22, 2/26 and 2/27 2007	X									
SP	Market Vectors ETF Gold Miners		X		2-27-07	X									
	Fidelity US Bond Index		X		2-16-07		X								
		X			3-12-07	X									
	Fidelity Pacific Basin	X			2-12-07		X								
			X		11-13-07		X								
	Fidelity Retirement Money Market		X		2-12-07		X								
		X			2-16-07		X								
		X			11-13-07		X								

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
SP	Abercrombie & Fitch Jan 08 $50 Puts	X			2-21, 2-28, 3-1, 4-16	X									
					7-20, 9-26, 11-9, 11-12		X	Total > $15,000							
					11-15, 11-27, 11-29										
SP	Abercrombie & Fitch Jan 08 $60 Puts	X			5-10, 10-12, 11-12, 12-3	X									
					12-7, 12-11, 12/18										
SP	Autozone Jan 08 $95 Puts	X			10-16, 10-18, 10-24, 11-1	X									
					12-7, 12-11										
SP	Bank United Sep 07 $22.50 Puts	X			2-26, 3-2, 3-15	X									
SP	Best Buy Jan 08 $40 Puts	X			2-20, 11-1, 11-9, 11-12	X									
					11-16, 11-30, 12-7										
SP	Chipotle Mexican Grill Mar 08 $70 Puts	X			11-15, 11-16, 11-19, 11-20		X								
					11-26, 11-29, 11-30, 12-11										
SP	Countrywide Financial Corp Jan 08 $32.50 Puts	X			2-20, 3-7, 7-24, 7-26	X									

This page may be copied if more space is required.

Name Kirsten Gillibrand

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
SP	Crox Jan 08 $32.50 Puts	X			7-24, 9-11 10-23	X									
SP	Crox Mar 08 $35 Puts	X			9-24, 9-26 10-12, 10-15	X									
SP	Deckers Outdoor Dec 07 $115 Puts	X			11-27-07	X									
SP	Dell Jan 08 $20 Puts	X			2-27, 2-28 3-15, 4-13	X									
					7-24, 8-1 8-2, 9-7										
					9-24, 10-24 12-7										
SP	Downey Financial Aug 07 ~~$55~~ $45 Puts	X			3-30, 4-16 4-19	X									
SP	Home Depot Jan 08 $30 Puts	X			2-27, 2-28 7-20	X									
SP	IndyMac Bancorp Oct 07 $22.50 Puts	X			3-30, 6-22	X									
SP	IndyMac Bancorp Jul 07 $20 Puts	X			3-21, 3-22 3-26, 3-27	X									
					3-28, 3-29 3-30, 4-16										
SP	Intel Corp Jan 08 $15 Puts	X			1-18, 3-15 4-13	X									
SP	KB Home Jan 08 $25 Puts	X			2-16, 2-28 3-7, 3-12	X									
					3-15, 3-23 3-26										

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
SP	Lowes Jan 08 $25 Puts	X			7-26, 2-22, 2-23, 2-26	X									
SP	National City Jul 07 $32.50 Puts	X			3-28, 3-29	X									
SP	Netflix Jan 08 $20 Puts	X			10-23, 11-9, 12-3	X									
SP	Pulte Homes Jan 08 $22.50 Puts	X			1-17, 1-31, 3-15, 3-26, 4-13	X									
SP	Research in Motion Jan 08 $40 Puts	X			1-17, 3-28	X									
	Jan 08 $75 Puts	X			2-21, 2-28	X									
	Jan 08 $100 Puts	X			3-5, 4-13	X									
	Jan 08 $140 Puts	X			7-23, 7-26	X									
	Jan 08 $85 Puts	X			10-15, 10-23, 11-29	X									
SP	Ryland Homes Jan 08 $30 Puts	X			8-1, 2-21, 3-27, 3-28, 4-16	X									

This page may be copied if more space is required.

Name Kirsten Gillibrand

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
SP	Accredited Home Lenders Sep 07 $12.50 Puts		X		7-30-07	X									
SP	Bank United Financial Sep 07 $22.50 Puts		X		9-10-07	X									
			X		9-11-07	X									
SP	Countrywide Financial Corp Jan 08 $32.50 Puts		X		9-11-07	X									
			X		11-5-07	X	X	Total > $15,000							
			X		11-16-07	X									
SP	Crox Jan 08 $32.50 Puts		X		11-2-07	X									
			X		11-9-07	X									
SP	Crox Mar 08 $35 Puts		X		11-2-07	X	X	Total > $15,000							
			X		11-5-07	X									
			X		11-6-07	X									
SP	Downey Financial Aug 07 $45 Puts		X		8-13-07	X									
			X		8-14-07	X									
			X		8-15-07	X									
SP	Downey Financial Feb 08 $55 Puts		X		11-12-07	X									
			X		11-19-07	X									

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10–12–07		X								
SP	IndyMac Bancorp Oct 07 $22.50 Puts		X		10-10-07	X									
SP	Pulte Homes Jan 08 $22.50 Puts		X		11-16-07	X	X	Total > $15,000							
			X		11-26-07	X									
SP	Ryland Group Jan 08 $30 Puts		X		9-11-07	X									

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	—											

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
—		

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
—	

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
—		

Use additional sheets if more space is required.

GPO: 2006 41-219 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:06
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC

Louie Gohmert
(Full Name)

202/225-3035
(Daytime Telephone)

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representatives — State: TX District: 1 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☐ No ☑
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1475

SCHEDULE I - EARNED INCOME

Name Louie Gohmert

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Gohmert for Congress	Final Spouse Salary	N/A
Today Foundation	New job salary	N/A

SCHEDULE V - LIABILITIES

Name Louie Gohmert

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Citizens 1st Bank	Personal Loan	$100,001 - $250,000
JT	ACS	Consolidated College Student Loans for 3 children	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Louie Gohmert

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Education Foundation Congressional Delegation Trip	Aug 5-12	DC-Tel Aviv-Tyler	Y	Y	Y	None
Heritage Foundation	Feb 1-3	DC-Baltimore-DC	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
2008 APR 30 AM 10: 28
HC

CHARLES A. GONZALEZ
(Full Name)

202.225.3236
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee	Employing Office: ____
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date: ____

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SP: PHYLLIS BROWNING CO.	COMMISSION	

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name CHARLES A. GONZALEZ

Page 2 of 3

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	TX. COUNTY AND DISTRICT RETIREMT. SYSTEM				X																											
	EMPLOYEES RETIREMENT SYSTEM OF TX					X																										

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	BANK OF AMERICA	REVOLVING CHARGE		X								
	BANK OF AMERICA	REVOLVING CHARGE	X									
	CONGRESSIONAL FED. CREDIT U.	LOAN	X									
	HINZON-HAZELWOOD COLLEGE LOAN	CO-SIGNER	X									
	NELNET EDUCATION PLANNING	CO-SIGNER	X									
	NATIONAL COLLEGIATE FINAN.	CO-SIGNER				X						

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED ✓

RESOURCE CENTER
2008 MAY 19 PM 2:38 H

(Office Use Only)

John Michael Gonzalez	202-225-3711	
(Full Name)	(Daytime Telephone)	

Filer Status	☐ Member of the U.S. House of Representatives — State: ____ District: ____	☑ Officer Or Employee — Employing Office: Rep. Melissa L. Bean	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☐ Annual (May 15) ☑ Amendment ☐ Termination	Termination Date:	

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Michael Gonzalez

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Bank of America	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Michael Gonzalez

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
US-Asia Foundation	4/2/07 - 4/11/07	DC-Bejing-Chengdu-Xiamen-DC	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

RECEIVED
2008 MAY 15 PM 4:22
(Office Use Only)
HC

Virgil H. Goode, JR. (Full Name)

202-225-4711 (Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State Virginia District Fifth	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name Virgil H. Goode, Jr. Page 1 of 4

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an *active business* that is not publicly traded, state the name of the business, the nature of its *activities*, and its *geographic location* in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs,*** *indicate the type of income by* checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P
S
E

SP DC JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction
SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC Examples	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X																
	2 Acres with office Hwy 220 Rocky Mount, VA					X								X							X											
	lot and office, 235 S. main St, Rocky Mount, VA					X								X							X											
	1/2 interest - 3 lots Koehler Rt 1 Fieldale, VA 24089			X										X							X											
	1/2 interest with sister in house & 200 Acres Rt 2 Rocky Mt VA							X						X							X											
	1/2 interest with sister in 2 Acres in Henry, VA			X												X							X									
	combined checkin, savings SunTrust BK, Rocky Mt, VA				X												X						X									

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Virgil H. Goode JR Page 2 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
SP	Altria com. stock	D $15,001 – $50,000	DIVIDENDS	II $1 – $200	
SP	Kraft com. stock	C $1,001 – $15,000	DIVIDENDS	I None	Split from Altria
	SunTrust com. stock	H $500,001 – $1,000,000	DIVIDENDS	VII $15,001 – $50,000	
	Cardinal com. stock	D $15,001 – $50,000	DIVIDENDS	IV $1,001 – $2,500	
	Vanguard Mun. Bd. Fund	A None ← value at closure	INTEREST	II $1 – $200	account closed
SP	combined checking, savings SunTrust, Rocky Mt VA	D $15,001 – $50,000	INTEREST	III $201 – $1,000	
	Wachovia com Stock	F $100,001 – $250,000	DIVIDENDS	VI $5,001 – $15,000	
JT	Wachovia com stock	C $1,001 – $15,000	DIVIDENDS	II $1 – $200	purchased
SP	Vanguard Mun. Bd. Fd.	A None ← value at closure	INTEREST	I None	Account closed
	J.P. Morgan Chase Com. St.	E $50,001 – $100,000	DIVIDENDS	IV $1,001 – $2,500	
	AT T common stock	E $50,001 – $100,000	DIVIDENDS	V $2,501 – $5,000	
	Alcate-Lucent com St.	C $1,001 – $15,000	DIVIDENDS	II $1 – $200	
	BBT common stock	E $50,001 – $100,000	DIVIDENDS	IV $1,001 – $2,500	Part purchased
	Scott & Stringfellow IRA (cash)	B $1 – $1,000	INTEREST	II $1 – $200	
SP	Sun Trust IRA (cash)	C $1,001 – $15,000	INTEREST	II $1 – $200	
	Congressional Fed Cr. Un.	D $15,001 – $50,000	INTEREST	II $1 – $200	
	Federal Thrift Sav. Plan	F $100,001 – $250,000	DIVIDENDS	VI $5,001 – $15,000	
	American Elect. com. st.	F $100,001 – $250,000	DIVIDENDS	V $2,501 – $5,000	
	Occidental Com. St.	F $100,001 – $250,000	DIVIDENDS	IV $1,001 – $2,500	
	DCH-Medra Com St	B $1 – $1,000	NONE	I None	
	Exxon Com. St.	E $50,001 – $100,000	DIVIDENDS	IV $1,001 – $2,500	

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND UNEARNED INCOME

Continuation Sheet (if needed)

Name Virgil H. Goode Jr Page 3 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
	Coca Cola com. stock	F	DIVIDENDS	V	
JT	Main St. BK. com stock	C	NONE	I	
	Allegheny Ener. com.st.	F	DIVIDENDS	III	
	Agere System Inc C.S.	C	NONE	I	
	Charter Com. com st	D	NONE	I	
	Reynolds Amer. com.st.	F	DIVIDENDS	VI	
SP	Reynolds Amer com st	C	DIVIDENDS	II	purchased
	VA. Public School Auth Bd	C	INTEREST	III	
	Comcast com. stock	C	NONE	I	
	Powhatan County Bond	D	INTEREST	IV	
	FORD Motor Com. St	A, C ← value at sale	CAPITAL GAINS	III	all sold
	Franklin Com. BK. C.D.	D	INTEREST	III	
	El Paso preferred stock	D	DIVIDENDS	V	
	Altria common stock	E	DIVIDENDS	V	
	Kraft common stock	D	DIVIDENDS	III	split from Altria
	Main St. Bank com stock	D	DIVIDENDS	II	
JT	ATT common Stock	D	DIVIDENDS	IV	
JT	ABB Ltd. com. stock	A, C ← value at sale	CAPITAL GAINS	VI	sold all
JT	First Nat Pa. com. stock	B	NONE	I	
JT	Hometown Bk com. stock	C	NONE	I	
	NFS Classic Prime	E	DIVIDENDS	V	

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Virgil H. Goode JR

Page 4 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	FORD Motor Preferred st	D	DIVIDENDS	V	
	B+G. Foods	A ← Value at sale	DIVIDENDS; CAPITAL GAINS	IV	SOLD All
SP	SunTrust Cert. of Dep	C	INTEREST	III	
	Carter Bank com. st.	F	DIVIDENDS	VI	
	Carter Bank came out of merger of Peoples BK, Central Nat. BK, Shenandoah BK, Patriot BK, Patrick Henry BK, Mountain Nat. BK, First Nat. BK				
SP	Carter Bank com. stock	F	DIVIDENDS	V	
	Carter Bank came out of merger of Peoples BK, First Nat BK, Mountain Nat. BK, Patrick Henry BK				
SP	BBT common stock	C	DIVIDENDS	II	Purchase All
	Parker Dr. com stock	C	~~DIVIDENDS~~	I	Purchase All
	Wachovia pfed stock	D	DIVIDENDS	I	Purchase All

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Name Virgil H. Goode Jr. Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
[redacted]	FORD MOTOR Common Stock		X		2-26-07	X									
JT	ABB Ltd Common Stock		X		10-19-07	X									
	B+G Foods Stock		X		2-28-07		X								
JT	Wachovia Common Stock	X			10-29-07	X									
	Parker Drilling Common Stock	X			12-12-07	X									
SP	Kraft Foods Common Stock	split from Altria			4-1-07	X		Altria was already owned							
	Kraft Foods Common Stock	split from Altria			4-1-07		X	Altria was already owned							
	BBT Common Stock	X			7-30-07 11-8-07		X								
SP	BBT Common Stock	X			7-10-07	X									
SP	Reynolds American Common St.	X			8-16-07	X									
	Vanguard M.B.F. money market		X		5-29-07	X		Closed account							
SP	Vanguard M.B.F. money market		X		June 07	X		Closed account							
SP	Patrick Henry BK common stock	merged			Jan. 07	Became Carter Bank									
SP	Peoples Bank common stock	merged			Jan 07	Became Carter Bank									
SP	First National BK common stock	merged			Jan 07	Became Carter Bank									
SP	Mountain Nat. BK common stock	merged			Jan 07	Became Carter Bank									

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Name Virgil H. Goode Jr. Page 2 of 2

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10–12–07		X								
	Peoples Bank Common stock	merged			Jan 07	Became	Carter Bank								
	Central Nat. BK Common Stock	merged			Jan 07	Became	Carter Bank								
	Shenandoah Nat BK Common Stock	merged			JAN 07	Became	Carter Bank								
	Patriot Bank Stock common	merged			JAN 07	Became	Carter Bank								
	Patrick Henry BK common stock	merged			JAN 07	Became	Carter Bank								
	Mountain Nat BK common stock	merged			JAN 07	Became	Carter Bank								
	First Nat BK common stock	merged			JAN 07	Became	Carter Bank								
	Wachovia Preferred Stock	X			12-20-07		X								

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:59
MC

Robert William Goodlatte
(Full Name)

202-225-5431
(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative — State: VA District: 06	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Robert William Goodlatte

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Glenn, Feldmann, Darby and Goodlatte, P.C. Roanoke, VA	Spouse Salary	N/A
RGC Resources, Inc	Spouse Directors Comp	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert William Goodlatte

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	American Cap Inc BLDR IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	American Fund Tax Exempt Bonds	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	Bank of America, Roanoke, VA - Savings	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Bankers Trust Market Lnk 401k	$15,001 - $50,000	None	NONE	
JT	Black Rock Equity TR	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Blackrock Global IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert William Goodlatte

SP	Bond Fund of America 401k	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
JT	Centnl MM TR AG Edwards	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Centnl MM TR AG Edwards IRA	$1,001 - $15,000	INTEREST	$1 - $200	
SP	DNP Select Income	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
SP	Eaton Vance Ltd Duration 401k	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	First Citizens Bank, Roanoke, VA - Savings/Checking	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
SP	First Eagle Global Fund 401k	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	Franklin Invs Secs TR 401K	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
SP	Glenn Feldman Darby and Goodlatte	$15,001 - $50,000	None	NONE	
SP	GNMA Government Security IRA	$1 - $1,000	DIVIDENDS	$1 - $200	
JT	Greenwood Trust Co. DE	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Hartford Cap Apprec. Fd 401K	$50,001 - $100,000	None	NONE	P
JT	Independent Natl Mortgage Corp CT	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Investment Co Of America IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert William Goodlatte

	Janus Fund IRA	$50,001 - $100,000	None	NONE	
SP	Loomis Sayles Strategic Income Fd 401K	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
	Mass Mutual Life - Life Insurance	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Merrill Lynch Retr Res IRA	$1 - $1,000	INTEREST	$1 - $200	
JT	MFS Virginia Muni Bond Fund	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
SP	New Persp Fund 401K	$50,001 - $100,000	DIVIDENDS	$1 - $200	
SP	New York Venture Fund 401K	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
SP	Nicholas Fund IRA	$1,001 - $15,000	None	NONE	
SP	Pioneer Global High Yld 401K	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SP	RGC Resources	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	
SP	Seligman Communications 401K	$50,001 - $100,000	None	NONE	
DC	Sun Trust, Roanoke, VA Savings	$1,001 - $15,000	INTEREST	$1 - $200	
JT	SunTrust Bank Savings	$1,001 - $15,000	INTEREST	$1 - $200	
SP	SunTrust Bank, IRA, Roanoke, VA	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert William Goodlatte

	Suntrust Bank, Roanoke, VA IRA	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	T Rowe Price Int Stock Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	T Rowe Price S&P Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Templeton Foreign Fund IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	UTS Government Secs Inc IRA	$15,001 - $50,000	INTEREST	$1 - $200	
	Valley Bank Roanoke CD	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
JT	Valley Bank Roanoke, VA - Checking	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Valley Bank, Roanoke CD	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Robert William Goodlatte

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Hartford Cap Apprec. Fd 401 K	P	02-22-07	$15,001 - $50,000
SP	New York Venture Fund 401K	P	04-30-07	$1,001 - $15,000
SP	Hartford Cap Apprec. Fd 401 K	P	04-30-07	$1,001 - $15,000
SP	Franklin Invs Secs TR 401 K	P	Quarterly	$1,001 - $15,000
SP	Loomis Sayles Strategic Income Fd 401 K	P	Monthly	$1,001 - $15,000
SP	Pioneer Global High Yld 401 K	P	Monthly	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Robert William Goodlatte

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American-Israel Education Foundation	Aug. 5-12	DC-Tel Aviv, Israel- DC	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2009 MAY 13 AM 11:19

(Office Use Only)

Samuel B. "Sam" Graves, Jr.
(Full Name)

(202) 225-7041
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representative — State: MO District: 6th ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1501

SCHEDULE I - EARNED INCOME

Name Samuel B. "Sam" Graves, Jr.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; *list only the source for other spouse earned income exceeding $1,000.*

Source	Type	Amount
Tarkio, Missouri R-1 School District	Teachers Salary of spouse, Lesley, J. Graves	Not Applicable

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Samuel B. "Sam" Graves, Jr.

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Sam Graves Farms: Clark Township & Tarkio Township, Atchison County, MO	$500,001 - $1,000,000	Farm Income	$15,001 - $50,000	
JT	Rydex Mutual Funds/OTC Index Fund	$1,001 - $15,000	None	NONE	
JT	Janus Research Fund	$1,001 - $15,000	None	NONE	
JT	Oracle Corporation	$1 - $1,000	None	NONE	
JT	Cisco Corporation	$1 - $1,000	DIVIDENDS	$1 - $200	
JT	E-Trade Checking Account	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Samuel B. "Sam" Graves, Jr.

SP	Golden Triangle Energy Cooperative (Craig, MO)	$1,001 - $15,000	DIVIDENDS	$5,001 - $15,000	
JT	Farm Equipment & Machinery	$15,001 - $50,000	None	NONE	
JT	US Bank (2 Checking Accounts)	$15,001 - $50,000	INTEREST	$1 - $200	
JT	State of Missouri Retirement System	None	None	NONE	
JT	Home Depot	None	DIVIDENDS	$1 - $200	S
SP	Show Me Ethanol LLC (Ethanol Plant in Carroll County, Missouri)	$1,001 - $15,000	None	NONE	
JT	Nokia Corporation	None	DIVIDENDS	$1 - $200	S
JT	Time Warner, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Janus Contrarian Fund	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	
JT	Janus Midcap Value Fund	$1,001 - $15,000	DIVIDENDS/ CAPITAL GAINS	$201 - $1,000	
SP	Biofuels LLC (Biodiesel Plant in Mexico, MO)	$15,001 - $50,000	None	$1,001 - $2,500	
JT	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Qualcomm	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Samuel B. "Sam" Graves, Jr.

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Home Depot	S	11-9-07	$1,001 - $15,000
JT	Nokia Corporation	S	11-9-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Samuel B. "Sam" Graves, Jr.

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Farmers State Bank, Tarkio, MO	Mortgage on Farm 1 (115 acres)	$15,001 - $50,000
JT	Farmers State Bank, Tarkio, MO	Mortgage on Farm 2 (240 acres)	$50,001 - $100,000
JT	Farmers State Bank, Tarkio, MO	Mortgage on Farm	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Samuel B. "Sam" Graves, Jr.

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Owner	Sam Graves Farms

SCHEDULE IX - AGREEMENTS

Name Samuel B. "Sam" Graves, Jr.

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2000	State of Missouri Employment Retirement System	State of Missouri Employee Retirement Program as a member of the Missouri General Assembly

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 14 PM 3:44

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Alexander Green
(Full Name)

(202) 225-7508
(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: TX District: 9th	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

SCHEDULE I - EARNED INCOME

Name Alexander Green

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Law Office of Benjamin L. Hall, III, LL.P	Deferred Compensation	$25,328
State of Texas Texas County and Retirement System	Pension	$96,948

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Alexander Green

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
350 G Street, SW, #N208 Washington, DC	$500,001 - $1,000,000	DC Residence	NONE	
7447 Cambridge, #105 Houston, TX	$100,001 - $250,000	RENT	NONE	
7447 Cambridge, #107 Houston, TX	$100,001 - $250,000	RENT	$5,001 - $15,000	
7447 Cambridge, #124 Houston, TX	$100,001 - $250,000	RENT	$5,001 - $15,000	
Capital One Bank Houston, TX	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
Congressional Federal Credit Union Wasington, DC	$100,001 - $250,000	INTEREST	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Alexander Green

Harris County, Texas Deferred Compensation Program	$100,001 - $250,000	Self Directed Pension Program: NW Lgcap GR-SEI Inst MGD S&P 500 Index	NA
Morgan Stanley MM Account Houston, TX	$1 - $1,000	Interest Income	$1 - $200
Morgan Stanley SEP IRA Houston, TX	$15,001 - $50,000	Morgan Stanley Proprietary Fund/Mutual Fund-MS Focus Growth FDA RETIREMENT	NA
Texas County & District Retirement System	$1,000,001 - $5,000,000	PENSION	NA/$96,948 (Schedule I)
Unity National Bank Houston, TX	$1,001 - $15,000	INTEREST	$1 - $200

SCHEDULE V - LIABILITIES

Name Alexander Green

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank of America Jacksonville, Florida	Mortgage on Washington, DC Residence (350 G Street, SW, #N208-Washington, DC)	$250,001 - $500,000

SCHEDULE IX - AGREEMENTS

Name Alexander Green

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
4/14/2003	Law Offices of Benjamin L. Hall, III, LLP Houston, TX	Deferred Compensation Earned for Legal Services Rendered Prior to Entering Congress
1/31/2004	Texas County and District Retirement System	Defined Benefit Plan

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 10: 19
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Raymond Eugene 'Gene' Green (Full Name)

281-999-5879 (Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representative — State: TX District: 29 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1515

SCHEDULE I - EARNED INCOME

Name Raymond Eugene 'Gene' Green

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Employees Retirement System of Texas	Legislative Pension	$51,575
Teachers Retirement System of Texas	Spouse Pension	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Raymond Eugene 'Gene' Green

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	20.049 Acres Rural Land, Montgomery County, TX	None	CAPITAL GAINS	$15,001 - $50,000	S
JT	Bank of America	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
SP	Chase Bank (IRA) - Allstate Preferred Preference Annuities	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
	Chase Bank (IRA) - Allstate Preferred Preference Annuities	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	Common Stock, Prudential Financial	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Crosby State Bank	$100,001 - $250,000	INTEREST	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Raymond Eugene 'Gene' Green

	Crosby State Bank (IRA) *(Formerly at Regions)*	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
SP	Crosby State Bank (IRA) (Formerly at Regions)	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
SP	Prudential Financial	$100,001 - $250,000	INTEREST & DEFERRED COMP.	$5,001 - $15,000	

SCHEDULE IV - TRANSACTIONS

Name Raymond Eugene 'Gene' Green

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	20.049 Acres Rural Land, Montgomery County, TX	S	05-14-07	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Raymond Eugene 'Gene' Green

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute	Feb 20-25	Houston-San Juan, PR-Houston	Y	Y	Y	None
American Israel Education Foundation	Aug 11-19	Houston-Tel Aviv-Houston	Y	Y	Y	None
Aspen Institute	Nov 26-Dec 2	Houston, Liberia, Costa Rica-Houston	Y	Y	Y	1 Day

SCHEDULE IX - AGREEMENTS

Name Raymond Eugene 'Gene' Green

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
05/90	International Typographical Union	Pension Upon Retirement

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:07
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Luis V. Gutierrez
(Full Name)

202-225-8203
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: IL District: 4 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1522

SCHEDULE I - EARNED INCOME

Name Luis V. Gutierrez

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Popular Securities	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Luis V. Gutierrez

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	535 Marina Street, Rio Grande, Puerto Rico	$250,001 - $500,000	None	NONE	
JT	615 Vistamar Street, Rio Grande, Puerto Rico	$100,001 - $250,000	Rent	$5,001 - $15,000	
JT	First Eagle Global Fund, Chicago, Illionis	$50,001 - $100,000	CAPITAL GAINS	$2,501 - $5,000	
JT	Mid-America Bank Account, Chicago, Il	$250,001 - $500,000	INTEREST	$5,001 - $15,000	
JT	Mid-America Bank Account, Chicago, Il	$15,001 - $50,000	INTEREST	$1 - $200	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Luis V. Gutierrez

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Association of Former Members of Congress	May 25-June 1	Chicago-Ankara-Istabul-Chicago	Y	Y	Y	None
Community Clinic Association of Los Angeles	July 20-22	Chicago-San Diego- Chicago	Y	Y	Y	None
Univision Network	Sept. 8-9	Chicago-Miami-Chicago	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER
2008 APR 29 PM 4:29
(Office Use Only)
CLERK
HOUSE OF REPRESENTATIVES

Ralph M. Hall
(Full Name)

202-225-6673
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: TX, District: 4th	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Ralph M. Hall

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Texas	Legislative Pension	$65,748

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph M. Hall

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Crowley Holding Company Crowley, Texas stock Banking	None	DIVIDENDS / CAPITAL GAINS	$100,001 - $1,000,000	S
Lakeside Bancshares, Inc. Rockwall, Texas stock Banking	$1,000,001 - $5,000,000	/DIVIDENDS	$50,001 - $100,000	
Lakeside National Bank Rockwall, Texas stock Banking	$50,001 - $100,000	/DIVIDENDS	$2,501 - $5,000	
North & East Trading Company stock Rockwall, Texas Real Estate	$500,001 - $1,000,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph M. Hall

	152 Acres Hunt County, Texas Farm	$100,001 - $250,000	None	NONE	
SP	22 Acres Kaufman & Henderson County, Texas Rural Land	$50,001 - $100,000	None	NONE	
	Oil Interest Caddo Parrish, La	$1 - $1,000	None	NONE	
	Lakeside National Bank Rockwall, Texas CD and Accounts	$500,001 - $1,000,000	INTEREST	$15,001 - $50,000	
	4 Acres, 1500 Sunset Hill Rockwall, Texas (adjacent to residence)	$100,001 - $250,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Ralph M. Hall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Crowley Holding Company Crowley, Texas stock Banking	S	08-15-07	$500,001 - $1,000,000

SCHEDULE V - LIABILITIES

Name Ralph M. Hall

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	North & East Trading Company Rockwall, Texas	Business Borrowings	$100,001 - $250,000

SCHEDULE VIII - POSITIONS

Name Ralph M. Hall

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Chairman & Director (unpaid)	Crowley Holding Company Crowley, Texas
Chairman & Director (unpaid)	Bank of Crowley Crowley, Texas
President & Director (unpaid)	Lakeside Bancshares, Inc. Rockwall, Texas
Chairman & Director (unpaid)	North & East Trading Company Rockwall, Texas
Chairman & Director (unpaid)	Linrock, Inc. Rockwall, Texas

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:09
U.S. HOUSE OF REPRESENTATIVES

MC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Philip G. Hare
(Full Name)

202-225-5905
(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: IL District: 17	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☐ No ☑	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet *all three tests for exemption*?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Philip G. Hare

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
U.S House of Representatives	Salary	$147,605.82
Spouse/Rock Island County, Illinois	Salary	N/A

SCHEDULE V - LIABILITIES

Name Philip G. Hare

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
DC	U.S. Department of Education	Student Loans	$15,001 - $50,000
	Thrift Savings Plan	Loan to Self from Retirement Savings Plan	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Philip G. Hare

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Education Foundation	Aug 11 - 19	Moline, IL - Tel Aviv - Moline, IL	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED

MAIL PROCESSING CENTER

2008 MAY 14 AM 9:40

(Office Use Only)

MC

Jane Harman
(Full Name)

310-643-3636
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: CA District: 36	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1537

SCHEDULE I - EARNED INCOME

Name Jane Harman

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Harman International Industries Inc	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jane Harman

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	BANK ACCOUNTS:				
JT	City National Bank, Beverly Hills CA	$250,001 - $500,000	INTEREST/DIVIDENDS	$5,001 - $15,000	
JT	Citibank, New York NY	$15,001 - $50,000	INTEREST	$1 - $200	
	EQUITIES:				
SP	Harman International Industries-Common	Over $50,000,000	DIVIDENDS	$50,001 - $100,000	
JT	Harman International Industries-Common	Over $50,000,000	DIVIDENDS	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jane Harman

JT	Harman International Industries-Options	$25,000,001 - $50,000,000	None	NONE	
	Putnam Intl Growth Cl A Fund	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	
	Fidelity CA Municipal MMF	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Sidney Harman 1987 Revocable Trust Managed Accounts - See Schedule A Attached and Note 2				P,S,Trsfr
JT	Sidney Harman 1987 Revocable Trust Manged Accounts - See Schedule B Attached and Note 2				P,S,Trsfr
SP	Sidney Harman Charitable Remainder Trust - See Schedule C Attached and Note 2				P,S,Trsfr
JT	Jane Harman 25 Year Grantor Income Trust - See Schedule D Attached and Note 2				P,S,Trsfr
	The Lakes Descendants Trust - See Attachment 10	$1,000,001 - $5,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$50,001 - $100,000	
	REAL ESTATE LIMITED PARTNERSHIPS:				
SP	Archon Core Plus RE Fund 2005 LP - See Attachment 1	$1,000,001 - $5,000,000	Partnership	NONE	P
SP	JBG Investment Fund I LP - See Attachment 7	None	Partnership	$100,001 - $1,000,000	S(part)
SP	JBG Investment Fund II LP - See Attachment 8	None	Partnership	$100,001 - $1,000,000	S(part)

JT	JBG Investment Fund V LLC - See Attachment 9	$250,001 - $500,000	Partnership	NONE	PS(part)
SP	Peabody Intl RE Partners LLC - See Attachment 13	$15,001 - $50,000	Partnership	NONE	
	INVESTMENT LIMITED PARTNERSHIPS:				
SP	Arsenal Capital Partners Qualified Purchaser Fund LP - See Attachment 2	$500,001 - $1,000,000	Partnership	$100,001 - $1,000,000	
JT	GS Direct Strategies Fund 2006 LLC - See Attachment 5	None	Partnership	NONE	S
JT	GS GMS Alpha + Advisers I (Horizon Global Value)	None	Partnership	$50,001 - $100,000	S
JT	GS GMS Alpha + III Global Equity Portfolio (Capital Preservation)	None	Partnership	$100,001 - $1,000,000	S
JT	GS GMS Alpha + IV Portfolio (All Cap,Sectors & Industry)	None	Partnership	$100,001 - $1,000,000	S
JT	GS GMS Intl Equity Advisers III (Wm Blair) LLC Foreign Growth	None	Partnership	$1,000,001 - $5,000,000	S
JT	GS Mezzanine Partners 2006 LP - See Attachment 6	$500,001 - $1,000,000	Partnership	$15,001 - $50,000	P
JT	GS TACS-Advantaged Global Alpha Fund LLC (Lg Cap Index)	None	Partnership	NONE	S
SP	Greenbriar Equity Funds LP - See Attachment 3	$250,001 - $500,000	Partnership	$100,001 - $1,000,000	P
SP	Greycroft Partners LP - See Attachment 4	$250,001 - $500,000	Partnership	$15,001 - $50,000	P
SP	Patricof Private Investment Club LP - See Attachment 11	$1,001 - $15,000	Partnership	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jane Harman

SP	Patricof Private Investment Club II LP - See Attachment 12	$50,001 - $100,000	Partnership	$15,001 - $50,000	
SP	Pilgrim America High Income Investment - See Attachment 14	$100,001 - $250,000		NONE	
SP	Premier Pacific Vineyards LP (Vineyards & Wine Making)	$250,001 - $500,000	Partnership	NONE	
SP	Rhone Partners LP (Underlying Investment sold 2007)	$15,001 - $50,000	Partnership	$5,001 - $15,000	
SP	Rhone Partners II LP - See Attachment 15	$500,001 - $1,000,000	Partnership	$100,001 - $1,000,000	P
SP	Rhone Partners III LP - See Attachment 16	$500,001 - $1,000,000	Partnership	NONE	P
SP	Selectinvest LP - See Attachment 17	$5,000,001 - $25,000,000	Partnership	$100,001 - $1,000,000	
SP	Selectinvest Plus LP - See Attachment 17	$1,000,001 - $5,000,000	Partnership	$100,001 - $1,000,000	
SP	Taconic Capital Partners LP (Various Hedge Fund Investments)	$1,000,001 - $5,000,000	Partnership	$100,001 - $1,000,000	
SP	TWP CEO Founders' Circle (QP) LP (Various Growth Companies)	$250,001 - $500,000	Partnership	$15,001 - $50,000	
JT	*O'Connor Global Multi Strategy* Alpha LP (Hedge Fund Investments)	$1,000,001 - $5,000,000	Partnership	NONE	P
SP	HJ Books LLC (Book Development)	$50,001 - $100,000	Partnership	NONE	
SP	Harman International Industries Inc Retirement Plan (Not Self Directed) Held at Putnam Investments	$250,001 - $500,000	None	NONE	

THIS PAGE INTENTIONALLY LEFT BLANK

1543

SCHEDULE IV - TRANSACTIONS

Name Jane Harman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Sidney Harman 1987 Revocable Trust - SEE SCHEDULE E ATTACHED	P & S		
JT	Sidney Harman 1987 Revocable Trust - SEE SCHEDULE F ATTACHED	P & S		
SP	Sidney Harman Charitable Remainder Trust - SEE SCHEDULE G ATTACHED	P & S		
JT	Jane Harman 25 Year Grantor Income Trust - SEE SCHEDULE H ATTACHED	P & S		
SP	Archon Core Plus RE Fund 2005 LP	P	3 Transactions	$100,001 - $250,000
SP	JBG Investment Fund I LP	S(part)	2 Transactons	$500,001 - $1,000,000
SP	JBG Investment Fund II LP	S(part)	2 Transactons	$100,001 - $250,000
JT	GS Direct Strategies Fund 2006 LP	S	2 Transactons	$1,000,001 - $5,000,000
JT	GS GMS Alpha + Advisers I (Horizon Global Value)	S	4 Transactions	$5,000,001 - $25,000,000
JT	GS GMS Alpha + III Global Equity Portfolio (Capital Preservation)	S	3 Transactions	$1,000,001 - $5,000,000
JT	GS GMS Alpha + IV Portfolio (All Cap, Sectors & Industry)	S	2 Transactions	$1,000,001 - $5,000,000
JT	GS GMS Intl Equity Advisers III (Wm Blair) LLC Foreign Growth	S	2 Transactions	$5,000,001 - $25,000,000

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	GS Mezzanine Partners	P	7/20/07	$250,001 - $500,000
JT	GS TACS-Advantaged Global Appha Fund LLC (Lg Cap Index)	S	2 Transactions	$5,000,001 - $25,000,000
SP	Greenbriar Equity Funds LP	P	8 Transactions	$100,001 - $250,000
SP	Greycroft Partners LP	P	8/3/07	$250,001 - $500,000
JT	JBG Fund V LLC	P	4/13/07	$100,001 - $250,000
JT	JBG Fund V LLC	S(part)	2 Transactions	$500,001 - $1,000,000
SP	Rhone Partners II LP	P	3 Transactions	$50,001 - $100,000
SP	Rhone Partners III LP	P	3 Transactions	$100,001 - $250,000
JT	O'Connor Global Multi Strategy Alpha LP	P	12/21/07	$1,000,001 - $5,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Jane Harman

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: *Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under* the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Saban Center for Middle East Policy at the Brookings Institution	Nov 2-6	DC-Jerusalem-Amman-Jerusalem-DC	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Jane Harman

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Jane Harman 25 Year Grantor Income Trust
Trustee	The Lakes Descendants Trusts
Trustee	The Lakes Descendants Non-Exempt Trusts
Trustee	Advisory Board UCLA School of Public Policy and Social Research
Member	Advisory Committee to Dean of Harvard Law School
Vice-President	The Harman Family Foundation
Trustee	Smith College (On Leave)

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
December 31, 2007

Note 1 - Disclosure Regarding Limited Partnership Interests and Use of Alternative Valuation Methods:

Two basic methods have been used to determine the value of each partnership interest. These methods differ as a result of the information available at the date of filing.

Method 1
Where market value statements were available, the value was determined by applying the ownership percentage of the reporting individual to the total value of the capital account reserved for limited partners. Method 1 was implemented with regard to Archon Core Plus Real Estate Fund 2005 LP; Arsenal Capital Partners Qualified Purchaser Fund LP; GS Mezzanine Partners 2006 LP; Greenbriar Equity Funds LP; Greycroft Partners LP; Lakes Investment LLC; Patricof Private Investment Club LP; Patricof Private Investment Club II LP; Peabody International Real Estate Partners LLC; Pilgrim America High Income; Rhone Partners LP; Rhone Partners II and Rhone Partners III LP.

Method 2
In some cases market value financial statements were not available. Accordingly, the ending capital balance for the reporting individual was used to value the partnership interest. This method was applied with regard to Goldman Sachs Direct Strategies Fund 2006 LLC; Goldman Sachs GMS Alpha + Advisers I; Goldman Sachs GMS Alpha + III Global Equity Portfolio; Goldman Sachs GMS Alpha + IV Portfolio; Goldman Sachs GMS International Equity Advisers III (William Blair) LLC; Goldman Sachs TACS-Advantaged Global Alpha Fund LLC; HJ Books LLC; JBG Investment Fund I LP; JBG Investment Fund II LP; JBG Investment Fund V LLC; O'Connor Global Multi Strategy Alpha LP; Premier Pacific Vineyards LP; Selectinvest LP; Selectinvest Plus LP; Taconic Capital Partners LP and TWP CEO Founders Circle Fund.

Note 2 - Transfers of Securities to Managed Portfolios

During the year, securities were transferred from Goldman Sachs accounts to UBS Financial Securities accounts. The positions held on the Schedule III forms have been disclosed in accordance with the requirements for reporting. It should be noted that where a position earned reportable income in one account, but was transferred to another account during the year, the income has been disclosed in the account where earned, no value is indicated at year end and the transaction reference is Transfer (Trsfr). The value is reported in the account where held at the end of the year. The corresponding account also indicates Trsfr as the transaction reference.

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
December 31, 2007

Note 2 – Transferred of Securities to Managed Portfolios (continued)

The following is a schedule of the corresponding accounts:

Transferred from	*Transferred to*
Goldman Sachs	UBS Financial Services
Brokerage	Brokerage
Restricted	Restricted
Municipal	Municipal
PCM Core	PCM Core
CP Aspen Loan	CP Aspen Loan
Sound Shore Lg Cap	Eaton Vance
Boston Co	Parametric International
Delaware Large Cap	Westfield

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
December 31, 2007

Attachment 1 – Archon Core Plus Real Estate Fund 2005 LP Detail of Holdings

Various interests in real estate properties held are as follows:

Multifamily:
Savannah Apartments
Missions at Rancho Del Oro
Aventine at Courthouse Square
Broadstone at Strawberry Creek
Bala Sands
La Mirage Apartments
Dobson Towne Centre
Prestige Portfolio
Pine Lakes Apartment
Avenue at Town Center
The Palladium
Monarch at Shadowridge
Bala Desertscape
Garrison Square

Office:
226 Causeway
Radice Corporate Center

Attachment 2 – Arsenal Capital Partners Qualified Purchaser Fund LP Detail
Of Investment Positions

Description	Category of Market Value
Interdynamics Holdings Inc	$100,001 - $250,000
iMDs Holdings Corp	$100,001 - $250,000
Renaissance Mark Holdings Corp	$15,001 - $50,000
TallyGenicom Holdings LLC	$15,001 - $50,000
Priority Solutions Inc	$15,001 - $50,000
Sermatech Intl Holdings Corp	$250,001 - $500,000
Velsicol Holdings Corp	$50,001 - $100,000
Vertellus Specialties Inc	$15,001 - $50,000
Cash and Other	$50,001 - $100,000

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
December 31, 2007

Attachment 3 – Greenbriar Equity Fund LP Detail of Investment Holdings

The partnership invests in private transportation companies and related industries. The partnership held investments as follows:

Description	Category of Market Value
Active Aero Group	$1,001 - $15,000
Tinnerman Palnut	$1,001 - $15,000
American Tire Distributors Inc	$50,001 - $100,000
Electro-Motive Diesel Inc	$100,001 - $250,000
VGAT Investors LLC	$15,001 - $50,000
GB Seventeenth Street Realty Holdings Corp	$15,001 - $50,000
Western Peterbilt Inc	$100,001 - $250,000
Stag-Parkway Inc	$15,001 - $50,000

Attachment 4 – Greycroft Partners LP Detail of Investment Holdings

The partnership is engaged in venture capital investing in early stage revenue producing companies with emphasis on applications of digital media in wireless and internet arena. The partnership held investments as follows:

Description	Category of Market Value
Collective Media Inc	$1,001 - $15,000
Content Next Media Inc	$1,001 - $15,000
DigiSynd Inc	$1,001 - $15,000
Doppelganger Inc	$1,001 - $15,000
ImageSpan Inc	$1,001 - $15,000
Intent Media Works Inc	$1,001 - $15,000
K2 Network Inc	$15,001 - $50,000
Ladies Who Launch Inc	$1,001 - $15,000
M5 Networks Inc	$15,001 - $50,000
OnePIN Inc	$15,001 - $50,000
Sportgenic Inc (fka Active Athlete Media)	$1,001 - $15,000
Takkle Inc	$15,001 - $50,000
TheHuffingtonPost.com Inc	$1,001 - $15,000
Vuze Inc (fka Azureus)	$1,001 - $15,000
WideOrbit Inc	$15,001 - $50,000
WorldWide Biggies Inc	$1,001 - $15,000

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
December 31, 2007

Attachment 5 – GS Direct Strategies 2006 Fund LLC Detail of Hedge Fund Positions

Description	Category of Market Value
Goldman Sachs Global Alpha Fund	$250,001 - $500,000
Goldman Sachs Total GTAA Strategy Fund	$50,001 - $100,000
Goldman Sachs US Equity Opportunities Fund	$500,001 - $1,000,000
Goldman Sachs Liquid Trading Opportunities Fund	$250,001 - $500,000
Goldman Sachs Global Opportunities Fund	$100,001 - $250,000
Goldman Sachs Emerging Markets Opportunities Fund	$250,001 - $500,000

Attachment 6 – GS Mezzanine Partners 2006 LP Detail of Investment Positions

Description	Category of Market Value
Americas	
Acosta Inc	$15,001 - $50,000
Berry Plastics Corporation	$15,001 - $50,000
ERICO International Corporation	$15,001 - $50,000
First Data Corporation	$1,001 - $15,000
Kranson Industries Inc	$1,001 - $15,000
Leslie's Poolmart Inc	$15,001 - $50,000
Marsico Capital Management LLC	$15,001 - $50,000
PETCO Animal Supplies Inc	$15,001 - $50,000
RGIS Inventory Specialists	$15,001 - $50,000
Sagittarius Brands Inc	$15,001 - $50,000
Unifrax Corporation	$1,001 - $15,000
VWR International	$15,001 - $50,000
Yankees Entertainment & Sports Network LLC	$15,001 - $50,000
Europe/Australia	
Bawag PSK	$15,001 - $50,000
Brenntag Beteiligungs GmbH	$15,001 - $50,000
Casema BV –Multikabel BV-Kabelcom BV	$15,001 - $50,000
FL Selenia SpA	$1,001 - $15,000
Gambro Holding AB	$15,001 - $50,000
ISS A/S	$1,001 - $15,000
Materis SAS	$1,001 - $15,000
Molinlycke Health Care AB	$1,001 - $15,000
PagesJaunes Groupe SA	$15,001 - $50,000
PBL Media Group Limited	$15,001 - $50,000
Thule AB	$15,001 - $50,000
United Biscuits plc	$15,001 - $50,000

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
December 31, 2007

Attachment 7– JBG Investment Fund I LP Detail of Holdings

Various interests in real estate properties held are as follows:

Maryland
Silver Spring Square-Residential
Market Square-Land
Market Square-Office
Silver Spring Gateway-Residential
Blue Capital JV-Office

Virginia
The Mercer at Reston Square-Residential
Reston Office
The Westin Reston Heights-Hotel
Waterview-Hotel
Waterview-Residential

Washington DC
1210 Massachusetts Avenue-Residential
555 Massachusetts Avenue-Residential
915 E Street-Residential
DOT Headquarters-Office
Hampton Inn Washington-Hotel

Attachment 8 – JBG Investment Fund II LP Detail of Holdings

Various interests in real estate properties held are as follows:

Office Properties-
Blue Capital JV, Montgomery Co, MD

Residential Properties-
Mallard Courts, Alexandria VA

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
December 31, 2007

Attachment 9 – JBG Investment Fund V LLC Detail of Holdings

Various interests in real estate properties held are as follows:

Maryland
Pike Center Shopping Center-Retail
5630 Fishers Lane-Office
11503 Rockville Pike-Retail
Rockville Hilton-Hotel

Virginia
Town Square @ Millbrook-Residential
Reston Square Office
The Westin Reston Heights-Hotel
Hyatt Fair Lakes-Hotel

Attachment 10 – Lakes Descendants Trusts Detail of Investment Positions

Investment positions held at the end of the year in the Lakes Descendants Trusts are as follows:

Lakes Investment LLC

Description	Category of Market Value
Bear Stearns Private Client – MMF	$100,001 - $250,000
Equities:	
Berkshire Hathaway Inc	$100,001 - $250,000
DTS Inc	$1,001 - $15,000
Harman International Ind	$250,001 - $500,000
Penn Virginia Corp	$15,001 - $50,000
Fidelity Investment Trust	$250,001 - $500,000

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
December 31, 2007

Attachment 10 – Lakes Descendants Trusts Detail of Investment Positions
(Continued)

Description	Category of Market Value
Partnerships:	
Castle Advisors Hedge Fund	$1,000,001 - $5,000,000
Capital Q Restaurants LLC	$50,001 - $100,000
California Tax Exempt Municipal Bonds:	
CA State G/O 10/1/92	$1,001 - $15,000
CA State Var Purp TCRS	$1,001 - $15,000
CA State Pub Wks Brd Lse 4/1/96	$1,001 - $15,000
CA State Pub Wks Brd Lse 8/1/93	$1,001 - $15,000
Lemoore CA Uni High Sch Dist RFDG	$1,001 - $15,000
Loomis CA Un Sch Dist G/O	$15,001 - $50,000
LA CO CA Met Transn Sls Tx	$1,001 - $15,000
Metropolitan WTR Dist So CA Wtrwks	$1,001 - $15,000
Montebello CA Cmnty Redev Agy 6/1/97	$15,001 - $50,000
New Haven CA USD	$100,001 - $250,000
Pleasanton CA USD Ser K	$1,001 - $15,000
Porterville CA CRFS Partn Swr Sys	$1,001 - $15,000
Sacramento CA MUD Elec Rev	$1,001 - $15,000
Saddleback Vy USD	$1,001 - $15,000
San Rafael CA Redev Agy Tax	$50,001 - $100,000
Santa Clara Vy CA Wtr Dist	$15,001 - $50,000
Santa Rosa CA Hosp Rev	$1,001 - $15,000
Turlock CA Irr Dist Rev	$1,001 - $15,000

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
December 31, 2007

Attachment 11 - Patricof Private Investment Club, LP Detail of Investment Positions

Description	Category of Market Value
Marketable Securities:	
Savaria Corporation	$1 - $1,000
Non-Marketable Securities:	
Peregrine Semiconductor Corporation	$1,001 - $15,000

Attachment 12 - Patricof Private Investment Club II, LP Detail of Investment Positions

Description	Category of Market Value
Marketable Securities:	
AngioDynanmics (fka RITA Medical Systems)	$1,001 - $15,000
Non-Marketable Securities:	
IntraLinks Inc	$1 - $1,000
MeshNetworks Inc	$1 - $1,000
MILCOM Technologies LLC	$1 - $1,000
Performance Inc	$1 - $1,000
Prometheus	$1,001 - $15,000

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
December 31, 2007

ttachment 13 – Peabody International Real Estate Private Partners LLC Detail of Holdings

Various investments in commercial real estate properties and companies located utside the United States as follows:

Investment	Type	Location
IPE Property	Commercial/Residential	Germany
A IRSA	Operator/Developer	Argentina
alian Retail Malls	Retail	Italy
nd Shops	Properties	
alian Residential		Italy
litalia Headquarters		Rome, Italy

ttachment 14 – Pilgrim America High Income Detail of Investment Position

escription	Category of Market Value
apital Note due 2009	$100,001 - $250,000

ttachment 15 – Rhone Partners II, LP Detail of Investment Positions

escription	Category of Market Value
othercare plc (UK)	$50,001 - $100,000
arco Polo, Italy	$100,001 - $250,000
exair LLC (USA)	$100,001 - $250,000
tl Sailing Boats Srl (Italy)	$100,001 - $250,000

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
December 31, 2007

Attachment 16 – Rhone Partners III, LP Detail of Investment Positions

Description	Category of Market Value
LWB Refractories (Germany)	$15,001 - $50,000
Arizona Chemical (USA)	$100,001 - $250,000
Infote (Greece)	$100,001 - $250,000

Attachment 17 – Selectinvest LP & Selectinvest Plus LP Detail of Investment Positions

Description	Category of Market Value
Multi-Strategy	
Millenium USA	$250,001 - $500,000
DE Shaw Comp	$250,001 - $500,000
HBK	$250,001 - $500,000
Magnetar Cap	$250,001 - $500,000
Polygon Global Opp	$100,001 - $250,000
Stark Investments	$100,001 - $250,000
Event Driven Multi Strategy	
OZ Europe	$250,001 - $500,000
Farallon Capital Partners	$250,001 - $500,000
Brencourt MS	$100,001 - $250,000
OZ Domestic	$100,001 - $250,000
Davidson Kempner	$250,001 - $500,000
Castlerigg Partners	$250,001 - $500,000
Paulson Advantage	$250,001 - $500,000
OZ Asia	$100,001 - $250,000
Satellite	$100,001 - $250,000
Perry	$250,001 - $500,000
Event Driven Equity	
Atticus European	$100,001 - $250,000
Third Point	$100,001 - $250,000
Canyon Balance Equity	$100,001 - $250,000
Icahn	$100,001 - $250,000

JANE HARMAN
Financial Disclosure Statement
Notes and Attachments
December 31, 2007

Attachment 17 – Selectinvest LP & Selectinvest Plus LP Detail of Investment Positions (Continued)

Description	Category of Market Value
Event Driven Credit	
Cerberus Partners	$250,001 - $500,000
Silver Point	$100,001 - $250,000
Lancelot Investors	$100,001 - $250,000
Styx Partners	$100,001 - $250,000
GSO Origination	$100,001 - $250,000
King Street Capital	$100,001 - $250,000
Golden Tree Master	$100,001 - $250,000
Columbus Hill	$100,001 - $250,000
Fixed Income Trading, Arbitrage and Relative Value	
Platinum Grove Contingent Capital	$100,001 - $250,000
Endeavour Fund	$100,001 - $250,000
Fixed Income Macro Driven	
Blue Crest Capital	$250,001 - $500,000
Convertible & Volatility Arbitrage	
Vicis Capital	$250,001 - $500,000
Ionic Capital	$250,001 - $500,000
Linden Investors	$100,001 - $250,000
Opportunistic	
Ascot Partners	$250,001 - $500,000
Marathon Real Estate	$100,001 - $250,000
Drawbridge Global	$100,001 - $250,000

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											Transctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	***Goldman Sachs- Brokerage Account***																														
SP	GS Financial Square TE MMF	X												X											X						
SP	GS Core Fixed Income Mutual Fund	X												X											X						Trsfr
SP	GS Government Income Mutual Fund	X												X														X			Trsfr
SP	Mcgraw Hill Companies Inc	X												X								X									Trsfr
SP	Plantronics Inc New	X												X								X									Trsfr
SP	Stanley Works	X												X								X									Trsfr
SP	Eksportfinans A S A 0.000% Due 07/18/07	X												X			X											X			S
SP	***Goldman Sachs - Boston Company Account***																														
SP	GS Financial Square TE MMF	X												X											X						
SP	ABN Amro Hldg NV ADR	X												X			X										X				S
SP	Adidas Ag Spon Adr	X												X								X									P, Trsfr
SP	Aegon NV Amer Reg ADR	X																		X											Trsfr
SP	Aeon Co Ltd ADR	X												X								X									P, Trsfr
SP	Aiful Corporation ADR	X												X								X									S
SP	Allianz SE ADR	X												X									X								Trsfr
SP	Amcor Ltd ADR	X												X											X						Trsfr
SP	Ashai Kasei Corp	X												X			X									X					P, S
SP	Banco Bilbao Vizcaya SA ADR	X												X			X						X								S
SP	Banco Santander Central Hispano SA ADR	X												X			X								X						P, S
SP	Bank of Ireland Spon ADR	X												X			X									X					S
SP	Barclays PLC ADR	X												X										X							Trsfr
SP	BNP Paribas ADR	X												X			X									X					P, S
SP	BOC Hong Kong Ltd ADR	X												X									X								P, S
SP	Cadbury Schweppes PLC ADR	X															X									X					S
SP	Canon Inc ADR	X												X			X								X						P, S
SP	Centrica PLC ADR	X												X			X									X					S
SP	*CIBA Specialty Chemicals* ADR	X												X										X							Trsfr
SP	Citic Pacific Ltd ADR	X															X								X						S
SP	Coca Cola Femsa SA ASR	X												X			X								X						S
SP	Coca Cola Amatil Ltd ADR	X												X			X								X						S
SP	*Credit Suisse Group* ADR	X															X							X							S
SP	DBS Group Hldgs ADR	X												X			X								X						P, S
SP	Deutsche Bank AG	X															X									X					S
SP	Deutsche Telekom AG ADR	X												X			X								X						P, S
SP	E.On E AG ADR	X												X			X									X					P, S
SP	Enel SPA ADR	X															X								X						S
SP	ENI SPA ADR	X												X			X									X					S
SP	Ericsson Tel Co ADR	X												X								X									P, Trsfr
SP	Fortis NL ADR	X												X			X								X						S
SP	*France* Telecom SA ADR	X												X			X									X					P, S
SP	Friends Provident PLC ADR	X												X									X								P, S

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Fujifilm Holdings Corp ADR	X												X			X										X					S
SP	Furuno Electric Co Ltd ADR	X												X									X									S
SP	Hannover Ruckvesicherungs Corp ADR	X												X			X									X						S
SP	HBOS Spons ADR	X												X												X						Trsfr
SP	Hino Motors Ltd ADR	X												X			X										X					S
SP	Hong Kong Elec Hldgs Ltd (Hong Kong) Spon	X												X							X											P, Trsfr
SP	HSBC Holdings PLC ADR	X															X									X						P,S
SP	Hutchison Whampoa ADR	X												X										X								P,Trsfr
SP	Infineon Technologies AG ADR	X															X										X					S
SP	Johnson Electric Holdings ADR	X												X									X									P, Trsfr
SP	KAO Corp ADR	X												X			X										X					S
SP	Koninklijke Philips Electrs NV ADR	X												X			X										X					S
SP	Korea Electric Power ADR	X												X									X									Trsfr
SP	KT Corporation ADR	X												X			X									X						P,S
SP	Kubota Corp Adr Japan	X												X										X								P, Trsfr
SP	Lagardere Groupe ADR	X															X										X					S
SP	Mediaset Spa ADR	X												X												X						P,S
SP	Mitsubishi UFJ Finl Group Inc ADR	X												X										X								P,Trsfr
SP	National Australia Bank Limited ADR	X												X										X								P,Trsfr
SP	Nedbank (Nedcor Ltd) Group ADR	X												X											X							P,Trsfr
SP	Nestle Sa ADR	X												X			X									X						P,S
SP	Nissan Motor Co Ltd ADR	X												X			X									X						P,S
SP	Nokia Corp ADR	X												X			X											X				P,S
SP	Nomura Holdings Inc ADR	X												X										X								P,Trsfr
SP	Novartis AG ADR	X												X											X							P,Trsfr
SP	NTT Docomo Inc ADR	X												X			X								X							S
SP	Orix Corporation ADR	X															X							X								S
SP	Peugeot SA ADR	X												X			X								X							S
SP	Reed Elsevier PLC ADR	X												X			X									X						S
SP	Rentokil Initial PLC ADR	X												X			X									X						S
SP	Rexam Plc Spon Adr	X												X									X									P,Trsfr
SP	Ricoh Co Ltd ADR	X												X			X										X					S
SP	Sanofi-Avenits ADR	X												X												X						P,Trsfr
SP	Sekisui Homes Ltd ADR	X												X										X								P,Trsfr
SP	Siemens AG ADR	X												X			X									X						P,S
SP	SK Telecom Co Ltd ADR	X												X			X								X							P,S
SP	Sumitomo Mitsui Finl Grp ADR	X												X										X								P,Trsfr
SP	Svenska Cellulosa AB ADR	X												X			X									X						P,S
SP	Swiss Reinsurance ADR	X												X			X										X					P,S
SP	Taisei Corp ADR	X												X			X								X							P,S
SP	TDK Corporation ADR	X												X			X									X						S
SP	Teijin Ltd ADR	X												X										X								P,Trsfr

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Footnote
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excerpted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Telefonos De Mexico SA ADR	X												X			X									X						S
SP	Telenorte Lese Participacoes SA Spons ADR	X												X			X								X							P,S
SP	Telstra Corp ADR	X												X			X								X							S
SP	Teva Pharmaceutical Ind Ltd ADS	X												X			X								X							P,S
SP	Thomson SA ADR	X												X									X									Trsfr
SP	Toto Ltd ADR	X												X			X							X								P,S
SP	Trinity Mirror PLC ADR	X												X			X								X							S
SP	UBS AG	X												X										X								P Trsfr
SP	Unilever PLC ADR	X												X			X									X						P,S
SP	United Microelectronics Corp ADR	X												X									X									P,Trsfr
SP	United Overseas Bk Ltd ADR	X												X			X									X						S
SP	UPM Kymmene Corp	X												X			X								X							P,S
SP	Valeo ADR	X															X									X						S
SP	Vodafone Group PLC ADR	X												X										X								Trsfr
SP	***JP Morgan Private Bank Account***																															
SP	JP Morgan Tax Free MM Premier Sweep Fund								X					X												X						
SP	***UBS Brokerage Account***																															
SP	UBS Bank USA Dep Acct			X										X										X								
SP	UBS Select Prime Institutional Fund									X				X													X					
SP	Apple Inc									X											X											Trsfr
SP	Mcgraw Hill Companies Inc				X									X								X										Trsfr
SP	Plantronics Inc New					X								X								X										Trsfr
SP	Stanley Works				X									X								X										Trsfr
SP	GS Core Fixed Income Mutual Fund							X						X										X								Trsfr
SP	GS Government Income Mutual Fund										X			X			X										X					Trsfr
SP	Eksportfinans A S A 0.000% Due 05-29-08									X											X											P
SP	***UBS Parametric International Account***																															
SP	RMA Money Mkt. Portfolio				X									X									X									
SP	Abb Ltd Spon Adr					X															X											P
SP	Adidas Ag Spon Adr					X											X									X						Trsfr,S
SP	Aegon Nv Adr N Y Shs Netherlands Adr					X											X						X									Trsfr, S
SP	Aeon Co Ltd Unspon Adr					X															X											Trsfr
SP	Air Liquide Adr France Adr					X															X											P
SP	Aktiebolaget Electrolux Ltd Sponsored Adr				X																X											P
SP	Allianz Se Adr						X														X											Trsfr
SP	Alpha Bank A E Spon Adr			X																	X											P
SP	*Amcor Ltd New Au Adr*				X												X								X							Trsfr
SP	Arcelormittal Ny Registry Shs New					X								X								X										P
SP	Asahi Kaisei Corp Unspon Adr					X											X						X									S
SP	Australia & New Zealand Bkg Grp Ltd Sponsr				X																X											P
SP	Axa Adr				X																X											S
SP	Bae Systems Plc Spon Adr					X															X											P

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excerpted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Banco Bilbao Vizcaya Argentaria S.A. Spon						X										X					X										P
SP	Banco Santander S A Spon Adr						X							X									X									P
SP	Barclays Plc Adr					X														X												Trsfr
SP	Bayer A G Spon Adr				X															X												P
SP	Bnp Paribas Sa Adr					X														X												P
SP	Boc Hong Kong Holdings Ltd Spon Adr					X											X						X									P
SP	Bridgestone Corp Ltd Adr Japan Adr			X																X												P
SP	British Land Co Plc Spon Adr				X															X												P
SP	Canon Inc Adr Japan Adr					X														X												P
SP	Centrica Plc New 2004	X															X								X							P
SP	Cheung Kong Holdings Ltd Adr Hong Kong			X																X												P
SP	City Developments Ltd Spon Adr				X															X												P
SP	Clp Holdings Ltd Spon Adr				X															X												P
SP	Coca Cola Amatil Sponsor Adr Australia Adr			X																X												P
SP	Coca Cola Femsa S.A.B. De C.V. (Mexico) Sp				X												X					X										P
SP	Coca Cola Hellenic Bottling Co S.A. Spon A					X											X				X											P
SP	Compass Group Plc				X															X												P
SP	Crh Plc Ireland Spon Adr				X															X												P
SP	Daimler Ag Ord				X															X												P
SP	Dbs Group Hldgs Ltd. Spon Adr					X								X			X								X							P
SP	Delhaize Group Spon Adr			X																X												P
SP	Deutsche Bank Ag Reg Shs Ord					X														X												P
SP	Deutsche Telekom Ag De Spon Adr					X											X								X							P
SP	Diageo Plc New Gb Spon Adr				X															X												P
SP	E On Ag Spon Adr	X															X									X						P
SP	Elan Corp Plc Spon Adr			X																X												P
SP	Enel Spa Spon Adr					X								X									X									P
SP	Energias De Portugal S.A Spon Adr					X														X												P
SP	Erste Bk Der Oester Spon Adr				X															X												P
SP	Essilor Intl Spon Adr			X																X												P
SP	Fortis Nl Spon Adr					X								X			X								X							P
SP	France Telecom Sa Spon Adr					X											X							X								P
SP	Friends Provident Plc Unspons Adr	X												X									X									P
SP	Fuji Film Holdings Corp Adr					X														X												P
SP	Glaxo Smithkline Plc Adr						X													X												P
SP	Groupe Danone Sponsored Adr France			X																X												P
SP	Hang Lung Properties Ltd Spon Adr					X											X					X										P
SP	Hannover Rueckversicherungs Ag Spon Adr				X															X												P
SP	HBOS Spons ADR	X																		X												Trsfr. S
SP	Heineken N V Adr Netherlands Adr					X														X												P
SP	Henderson Land Dev Ltd Spon Adr Hong Kong			X																X												P
SP	Henkel Kgaa Spon Adr					X											X							X								P

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trsactn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Hino Motors Ltd Adr Adr					X															X											P
SP	Hong Kong Elec Hldgs Ltd (Hong Kong) Spon				X																X											P
SP	Hoya Corp Spon Adr				X																X											P
SP	Hsbc Holdings Plc New Gb Spon Adr					X															X											P
SP	Husovarna Ab Spon Adr				X																X											P
SP	Hutchison Whampoa Ltd Adr Hong Kong Adr				X												X									X						Trsfr
SP	Iberdrola Sa Spon Adr			X																	X											P
SP	Icap Plc Spon Adr					X											X						X									P
SP	Imperial Chem Ind Plc Adr New United Kingd				X																X											P
SP	Infineon Technologies Adr			X																	X											P
SP	Intesa Sanpaolo Spon Adr				X																X											P
SP	Invesco Ltd				X																X											P
SP	J. Sainsbury Plc Spon Adr					X															X											P
SP	James Hardie Ind. N.V. Spon Adr				X									X									X									P
SP	Kirin Holdings Ltd Spon Adr				X																X											P
SP	Komatsu Ltd Spon Adr New				X																X											P
SP	Koninklijke Ahold Nv New 2007 Spon Adr				X																X											P
SP	Koninklijke Philips El N V New 2000 Spon A				X																X											P
SP	Korea Electric Power Crp Sponsored Adr Shs				X																X											Trsfr
SP	Kt Corp Spon Adr				X												X								X							P
SP	Kubota Corp Adr Japan				X																X											Trsfr
SP	Lafarge New Spon Adr					X															X											P
SP	Logitech Intl Sa Reg Namen-Akt			X																	X											P
SP	Lonmin Plc New Spon Adr					X															X											P
SP	Loreal Co Adr France Adr				X																X											P
SP	Luxottica Group Spa Sponsored Adr Italy Ad		X																		X											P
SP	Macquarie Bank Ltd Adr					X															X											P
SP	Malayan Banking Berhad Spon Adr	X												X									X									P
SP	Mediaset Spa Spon Adr				X																X											P
SP	Millicom Intl Cellular Sa New				X																X											P
SP	Mitsubishi Corp Spons Adr Adr					X															X											P
SP	Mitsubishi Ufj Financial Group Inc Spon Ad				X																X											Trsfr
SP	Mitsui Sumitomo Insurance Ltd Japan Adr			X																	X											P
SP	Natl Australia Bank Ltd Spon Adr					X								X			X									X						Trsfr
SP	Natl Bank Greece Sa Spon Adr				X																X											P
SP	Nedbank Group Ltd Spon Adr				X												X								X							Trsfr
SP	Nestle S A Sponsored Adr Repstg Reg Shs Sw						X										X								X							P
SP	Newcrest Mining Ltd Spon Australia Adr					X															X											P
SP	Nidec Corp Adr			X																	X											P
SP	Nintendo Ltd Adr New Japan					X											X					X											P
SP	Nippon Steel Corp Unspon Adr					X															X											P
SP	Nippon Yusen Kabushiki Spon Adr 2006			X																	X											P

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Nissan Mtr Ltd Spons Adr Japan Adr					X															X											P
SP	Nokia Corp Spons Adr Finland Adr					X															X											P
SP	Nomura Holdings Inc New Spon Adr					X															X											Trsfr
SP	Novartis Ag Spon Adr						X														X											Trsfr
SP	Novo Nordisk Adr Denmark Adr					X											X					X										P
SP	Ntt Docomo Inc Fch Repstg 1/200Th Com Shs					X											X								X							P
SP	Old Mutual Plc Adr Adr					X								X										X								P
SP	Peugeot S.A. New Spon Adr (France)				X																X											P
SP	Promise Co Ltd Unspon Adr			X																	X											P
SP	Prudential Plc Adr United Kingdom					X															X											P
SP	Reed Elsevier Nv Repstg 2 Ord Shs Spon Adr				X																X											P
SP	Reed Elsevier Plc New Repstg 4 Ord Shs Spo					X															X											P
SP	Reed Elsevier Plc Repstg	X															X							X								P
SP	Reuters Group Plc Spon Adr				X																X											P
SP	Rexam Plc Spon Adr	X												X									X									P
SP	Ricoh Ltd Adr Japan				X																X											P
SP	Roche Hldg Ltd Spons Adr Switz Adr				X																X											P
SP	Rolls Royce Group Plc Spon Adr				X																X											P
SP	Royal Bank Scotland Group Plc Repstg Shs S			X																	X											P
SP	Rwe Ag Adr					X											X									X						P
SP	Sabmiller Plc Spon Adr				X									X									X									P
SP	Sandvik Ab Spon Adr					X															X											P
SP	Sanofi-Aventis Sa Spon Adr						X										X								X							Trsfr
SP	Sap Ag Spon Adr			X																	X											P
SP	Scottish & Sthn Energy Plc Spon Adr					X															X											P
SP	Seiko Epson Corp Unsponsored Adr				X																X											P
SP	Sekisui House Ltd Spon Adr				X																X											Trsfr
SP	Shiseido Co Ltd Spons Adr Japan				X																X											P
SP	Shizuoka Bank Ltd Japan Unspons Adr				X																X											P
SP	Siemens A G Spon Adr						X										X									X						P
SP	Sk Telecom Co Ltd Adr	X															X								X							P
SP	Smith & Nephew Plc New Spon Adr				X																X											P
SP	Sodexo Amern Depo Shs Spon Adr			X																	X											P
SP	Sony Corp Adr New Japan				X																X											P
SP	St George Bank Ltd Spons Adr			X																	X											P
SP	Suez Spon Adr					X															X											P
SP	Sumitomo Metal Ind Spon Adr (Japan)				X																X											P
SP	Sumitomo Mitsui Financial Group Inc Adr				X																X											Trsfr
SP	Svenska Cellulosa Ab (Sca) Spon Adr				X																X											P
SP	Swedbank Ab Adr			X																	X											P
SP	Swiss Reins Co Spon Adr				X																X											P
SP	Swisscom Ag Spon Adr				X																X											P

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Syngenta Ag Spon Adr				X																X											P
SP	Tabcorp Holdings Ltd-Sp Adr					X															X											P
SP	Tele Norte Leste Participacoes S A Adr				X																X											P
SP	Telecom Italia Spa New Rgstg 10 Ord Shs S					X											X							X								P
SP	Telstra Corp Ltd (Final) Adr				X																X											P
SP	Tesco Plc Spons Adr United Kingdom					X								X									X									P
SP	Teva Pharmaceuticals Ind Ltd Israel Adr				X									X								X										P
SP	Tnt N V Spon Adr				X																X											P
SP	Toto Ltd Acr Japan Adr				X																X											P
SP	Trinity Mirror Plc Spon Adr	X												X									X									P
SP	UBS Ag (Usd) New 2006 Ord			X																	X											Trsfr
SP	UBS Select Prime Institutional Fund	X												X										X								P
SP	Unilever Nv N Y Shs New Netherlands Spon				X																X											P
SP	Unilever Plc Amer Shs New Spon Adr					X								X			X									X						P
SP	United Utilities Plc Spon Adr					X											X						X									P
SP	Upm Kymmene Corp Spon Adr			X																	X											P
SP	Valeo Spon Adr			X																	X											P
SP	Veolia Environnement Spon Adr					X															X											P
SP	Vinci S A Adr					X															X											Trsfr
SP	Vodafone Group Plc New Spon Adr						X														X											P
SP	Volvo Aktiebolaget Adr B Adr			X																	X											P
SP	Westpac Banking Ltd Sponsored Adr Australi					X															X											P
SP	Woodside Petroleum Spons Adr Nw Australia			X																			X									P
SP	Wpp Group Adr	X												X			X						X									P
SP	Yara Intl Asa Spon Adr					X																										
SP	***UBS Aletheia Account***																															
SP	RMA Money Mkt. Porfolio									X				X										X								
SP	***UBS WHV Account***																															
SP	RMA Money Mkt. Porfolio							X						X										X								
SP	Allianz Se Adr					X															X											P
SP	Aracruz Celulose S A Adr Cl B				X																X											P
SP	Axa Adr				X																X											P
SP	Bae Systems Plc Spon Adr				X																X											P
SP	Basf Ag Spon Adr					X															X											P
SP	Bhp Billiton Ltd Spon Adr						X														X											P
SP	Brookfield Asset Mgmt Inc Ltd Vtg Shs Cl A				X																X											P
SP	Cadbury Schweppes Plc Adr New United Kingdom					X															X											P
SP	Canadian Pacific Railway Ltd				X																X											P
SP	Canadian Natural Resources Ltd				X																X											P
SP	Cdn Natl Railway Co Foreign Stock					X															X											P
SP	Companhia Vale Do Rio Doce Spon Adr						X														X											P
SP	Cooper Industries Ltd Cl A					X															X											P

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Core Laboratories N V				X																X											P
SP	Ensign Energy Services Inc				X																X											P
SP	Ingersoll Rand Co Cl A					X															X											P
SP	Manulife Financial Corp				X																X											P
SP	Nabors Industries Ltd New (Bermuda)						X														X											P
SP	Nestle S A Spensored Adr Repstg Reg Shs Switz A					X															X											P
SP	Noble Corp Ord						X														X											P
SP	Novartis Ag Spon Adr					X															X											P
SP	Partnerre Ltd Ord Foreign Stock				X																X											P
SP	Potash Corp Sask Inc Canada					X															X											P
SP	Rio Tinto Plc Spon Adr						X														X											P
SP	Rwe Ag Adr				X																X											P
SP	Schlumberger Ltd Netherlands Antilles						X														X											P
SP	Suncor Inc						X														X											P
SP	Talisman Energy Inc				X																X											P
SP	Teck Cominco Ltd Canada Sub Vtg Cl B Ord					X															X											P
SP	Tenaris S.A. Adr						X														X											P
SP	Transocean Inc New Shs Ord						X														X											P
SP	Trican Well Service Ltd			X																	X											P
SP	Unilever Nv N Y Shs New Netherlands Spon				X																X											P
SP	Weatherford Intl Inc (Bermuda)						X														X											P
SP	Yara Intl Asa Spon Adr			X																	X											P

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B													BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income												Transctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000		Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	***Goldman Sachs-CP Muni Sep AC***																																
JT	GS Fincl Square Tax Free MMF	X															X						X										
JT	Dept of Veteran Affairs 5.05% 12/01/13	X															X									X							P,Trsfr
JT	Sacramento Ca City Fin Auth 5% 12/1/33	X															X										X						P,Trsfr
JT	CA Edu Facs Auth 5% 10/1/33	X															X										X						P,Trsfr
JT	CA Dept of Water & Pwr 5.25% 5/1/09	X																				X											P,Trsfr
JT	Bakersfield CA CRFS Partn 4% 4/1/10	X															X										X						Trsfr
JT	Bay Area Toll Auth CA 5% 4/1/10	X															X											X					Trsfr
JT	CA DWP Rev 5.25% 5/1/09	X															X										X						Trsfr
JT	CA Hlth Facs Fing Auth 6.125% 12/1/19	X															X										X						Trsfr
JT	CA St Eco Rec GO 5% 1/1/09	X															X											X					Trsfr
JT	CA Stwde Comm 4.1% 4/1/28	X															X										X						Trsfr
JT	CA Univ Reg Rev 5% 5/15/10	X															X										X						Trsfr
JT	El Dorado CA Irr Dist 4% 8/1/08	X															X									X							Trsfr
JT	LA CA USD GO 5.375% 7/1/18	X															X											X					Trsfr
JT	Los Gatos CA H SD GO 5.375% 6/1/22	X															X										X						Trsfr
JT	Mojave Wtr Agy CA 5% 9/1/11	X															X											X					Trsfr
JT	Moreland CA SD GO 5% 8/1/22	X															X											X					Trsfr
JT	Oxnard CA Fing Auth 5% 6/1/11	X															X										X						Trsfr
JT	Pasadena CA USD 5% 11/1/19	X															X										X						Trsfr
JT	Puerto Rico Conv Ctr 5% 7/1/21	X															X											X					S
JT	Puerto Rico Infrastrct 5% 7/1/28	X															X											X					S
JT	Puerto Rico Pub Fin Corp 5.75% 8/1/30	X															X										X						S
JT	San Diego CA Pub Facs 5% 8/1/12	X															X										X						Trsfr
JT	San Diego CA Redev Agy Tx 5% 9/1/12	X															X											X					Trsfr
JT	San Fran CA City&Cnty 5% 11/1/10	X															X										X						Trsfr
JT	Santa Clara VY CA Trans 5.25% 6/1/14	X															X										X						Trsfr
JT	So CA Metro Rev 5% 7/1/11	X															X											X					Trsfr
JT	***Goldman Sachs- CP Aspen Loan***																																
JT	GS Fincl Square Tax Free MMF	X													X											X							
JT	GS Concentrated Intl Equity FD	X																X											X				S
JT	GS Core Fixed Inc I Mutual Fund	X													X											X							S
JT	GS Emerging Mkts Eq Inst Cl I	X																				X											Trsfr
JT	GS Structured Lg Cap Grwth Instl Cl I	X																X									X						S
JT	***Goldman Sachs-CP Alternatives***																																
JT	GS Core Fixed Inc I Mutual Fund	X													X											X							S
JT	GS Govt Income Mutual Fnd Class I	X													X													X					S
JT	***Goldman Sachs-CP Equities***																																
JT	GS Structured Lg Cap Grwth Instl Cl I	X													X													X					Trsfr
JT	***Goldman Sachs-CP Brokerage***																																
JT	GS Fincl Square Tax Free MMF	X													X									X									Trsfr
JT	GS Govt Income Mutual Fnd Class I	X													X			X											X				S,Trsfr

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Tax-exempt Trust	Qualifies Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	GS High Yield Muni Mutual Fnd Cl I	X												X																		
JT	***Goldman Sachs-CP Delaware Lg Cap***																										X					Trsfr
JT	GS Fincl Square Tax Free MMF	X												X																		
JT	Allergan Inc	X												X										X								Trsfr
JT	Chicago Mercantile Hldgs Inc	X												X									X									P,Trsfr
JT	Cme Group Inc	X												X			X								X							P,S
JT	Ebay Inc	X																					X									Mrgr,Trsfr
JT	Expeditors Intl Wash Inc	X												X			X							X								Trsfr
JT	Intercontinentalexchange Inc	X												X									X									Trsfr
JT	Intl Game Technology	X															X								X							P,S
JT	MGM Mirage	X												X										X								Trsfr
JT	Moodys Corp	X												X			X							X		X						S
JT	Paychex Inc	X												X			X							X								S
JT	Praxair Inc	X												X			X								X							P,S
JT	Procter & Gamble Co	X												X			X								X							S
JT	Qualcomm Inc	X												X										X								Trsfr
JT	Seagate Technology	X												X										X								P,Trsfr
JT	Staples Inc	X												X										X								Trsfr
JT	United Parcel Service Inc	X												X			X							X								Trsfr
JT	Unitedhealth Group Inc	X												X											X							S
JT	Walgreen Co	X												X								X										P,Trsfr
JT	Weight Watchers Intnatl Inc	X												X			X															Trsfr
JT	***UBS - CP Brokerage Account***																							X								P, Trsfr
JT	UBS Bank USA Deposit Account						X							X																		
JT	Goldman Sachs Government Income Fund I									X				X			X							X								
JT	Goldman Sachs High Yield Municipal Fund I	X												X														X				Trsfr,S
JT	***UBS - CP Eaton Vance Account***																									X						Trsfr,S
JT	RMA Money Mkt Portfolio									X				X										X								
JT	Abbott Labs			X																	X											P
JT	Aetna Inc			X																	X											P
JT	Air Prod & Chemical Inc			X																	X											P
JT	Alcoa Inc			X																	X											P
JT	Amer Intl Group Inc				X																X											P
JT	Ameriprise Financial Inc				X																X											P
JT	Avalonbay Communities Inc Sbi			X																	X											P
JT	Bank Of Amer Corp				X																X											P
JT	Bank Of New York Mellon Corp				X																X											P
JT	Best Buy Co Inc			X																	X											P
JT	Burlington Nthn Santa Fe Corp				X																X											P
JT	Chubb Corp				X																X											P
JT	Credit Suisse Group Spon Adr			X																	X											P
JT	Cvs Caremark Corp			X																	X											P

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Deere And Co					X															X											P
JT	Discover Financial Services			X																	X											P
JT	Eaton Corp					X															X											P
JT	Edison Intl					X															X											P
JT	Genl Dynamics Corp					X															X											P
JT	Genl Electric Co				X																X											P
JT	Goldman Sachs Group Inc					X															X											P
JT	Goldman Sachs Structured	X												X			X										X					P
JT	Hartford Fincl Services Group Inc					X															X											P
JT	Hewlett Packard Co					X															X											P
JT	Intl Business Mach					X															X											P
JT	Johnson & Johnson Com					X															X											P
JT	Johnson Controls Inc				X																X											P
JT	Jpmorgan Chase & Co					X															X											P
JT	Kimberly Clark Corp				X																X											P
JT	Kraft Foods Inc Cl A				X																X											P
JT	Kroger Company				X																X											P
JT	Lincoln Natl Corp Ind				X																X											P
JT	Lockheed Martin Corp				X																X											P
JT	Mcdonalds Corp					X															X											P
JT	Molson Coors Brewing Co Cl B				X																X											P
JT	Nestle S A Sponsored Adr Repstg Reg Shs S					X															X											P
JT	Nike Inc Cl B				X																X											P
JT	Nokia Corp Spons Adr Finland Adr					X															X											P
JT	Nrg Energy Inc New					X															X											P
JT	Pfizer Inc					X															X											P
JT	Prudential Financial Inc					X															X											P
JT	Public Service Enterprse Group Inc					X															X											P
JT	Safeway Inc				X																X											P
JT	Simon Ppty Group Inc Sbi				X																X											P
JT	Tjx Cos Inc New				X																X											P
JT	Travelers Cos Inc/The					X															X											P
JT	Utd Technologies Corp					X															X											P
JT	Verizon Communications Inc					X															X											P
JT	Vornado Realty Trust				X																X											P
JT	Wachovia Corp					X															X											P
JT	Walt Disney Co (Holding Co) Disney Com				X																X											P
JT	Wells Fargo & Co New					X															X											P
JT	Wyeth					X															X											P
JT	*UBS - CPAspen Loan Account*																															
JT	UBS Bank USA Dep Acct								X					X											X							
JT	UBS Select Prime Institutional Fund								X					X										X								

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Bind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Alpine International Real Estate Class Y						X							X											X							P
JT	American Funds Euro Pacific Fund Class F							X													X											P
JT	Columbia Marsico Growth Fund Class A						X														X											P
JT	Eaton Vance Large Cap Value Fund Cl A						X							X									X									P
JT	Excelsior Value Restructuringfund						X							X										X								P
JT	Ft Templeton Global Bond A					X								X										X								P
JT	Goldman Sachs Emerging Mkts Eq Fd Inst					X								X			X											X				Trsfr,S
JT	Jp Morgan Highbridge Stat Mkt Neutral F							X						X												X						P
JT	Lazard Emerging Market Port-Open Shar						X							X												X						P
JT	Loomis Sayles Strategic Income Fund Cl						X							X										X								P
JT	Nuveen Tradewinds Intl Value Fund						X							X											X							P
JT	Old Mutual Analytic Defensive Equity Fd							X						X												X						P
JT	Pimco Commodity Real Return Strategy Fd						X							X											X							P
JT	Pimco Foreign Bond Fund Class A					X								X								X										P
JT	Pimco Real Return Fund Class A						X							X									X									P
JT	Thornburg Core Growth Fund Class A						X														X											P
JT	Wells Fargo Advantage Endeavor Select						X														X											P
JT	***UBS - CP Westfield LCG Account***																															
JT	RMA Money Mkt Portfolio									X				X												X						
JT	Alcon Inc						X														X											P
JT	Allergan Inc	X												X			X										X					Trsfr,S
JT	Amdocs Ltd					X															X											P
JT	Autodesk Inc						X														X											P
JT	Bunge Limited						X							X								X										P
JT	Cme Group Inc						X							X			X									X						Trsfr,S
JT	Crown Castle Intl Corp	X															X															Trsfr,S
JT	Danaher Corp						X														X											P
JT	Ebay Inc					X											X									X						Trsfr,S
JT	Ecolab Inc						X														X											P
JT	Elan Corp Plc Span Adr							X													X											P
JT	Expedators Intl Wash Inc	X															X									X						P,S
JT	Gilead Sciences Inc						X														X											P
JT	Goldcorp Inc New						X							X								X										P
JT	Google Inc Cl A						X										X											X				Trsfr,S
JT	Halliburton Co	X												X									X									P
JT	Hewlett Packard Co						X														X											P
JT	Intel Corp						X							X									X									P
JT	Intercontinentalexchange	X															X											X				Trsfr,S
JT	Intl Game Technology	X												X			X									X						Trsfr,S
JT	Intuit	X															X									X						Trsfr,S
JT	Lilly Eli & Co						X							X										X								P
JT	Maxim Integrated Prods Inc					X								X									X									P

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,0	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Bind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Meme Electronic Materials Inc				X												X									X						P,S
JT	Mgm Mirage						X										X										X					Trsfr,S
JT	Microsoft Corp						X							X									X									P
JT	Natl-Oilwellvarco Inc						X														X											P
JT	Nestle S A Sponsored Adr Repstg Reg Shs S						X														X											P
JT	Nymex Holdings Inc					X															X											P
JT	Omnicom Group Inc						X														X											P
JT	Oracle Corp						X														X											P
JT	Paychex Inc	X															X									X						Trsfr,S
JT	Praxair Inc						X							X			X									X						Trsfr,S
JT	Procter & Gamble Co	X												X			X									X						Trsfr,S
JT	Qualcomm Inc						X										X								X							Trsfr,S
JT	Research In Motion Ltd	X															X											X				Trsfr,S
JT	Reuters Group Plc Spon	X															X								X							Trsfr,S
JT	Rockwell Automation Inc New						X							X									X									P
JT	Schwab Charles Corp New					X								X								X										P
JT	Seagate Technology	X															X									X						Trsfr,S
JT	Thermo Fisher Scientific Inc						X														X											P
JT	Utd Technologies Corp						X							X									X									P
JT	Weatherford Intl Inc (Bermuda)						X														X											P
JT	Weight Watchers Intl Inc	X															X									X						Trsfr,S
JT	Western Union Co	X															X							X								Trsfr,S
JT	Wyeth						X							X									X									P
JT	*UBS - CP Munis Acount*																															
JT	RMA Tax-Free Fund Inc									X				X												X						
JT	Bakersfield Calif Ctfs	X															X									X						Trsfr,S
JT	Bay Area Toll Auth Calif 5% 04-01-10									X											X											Trsfr
JT	Ca Hlth Ffa Cedar-Sinai 6.125% 12-01-19									X						X										X						Trsfr
JT	Ca St Economic Recovery	X															X									X						Trsfr
JT	Calif Statewide Countys	X															X									X						Trsfr
JT	California Edl Facs Auth 5% 10-01-33									X											X											Trsfr
JT	California St 5% 11-01-15									X											X											Trsfr
JT	California St Dept Vet 5.05% 12-01-13							X								X									X							Trsfr
JT	California St Wtr Res 5% 12-01-27							X								X									X							P
JT	East Bay Ca Mud Wstwtr 5% 06-01-26									X											X											P
JT	El Dorado Calif Irr Dist 4% 03-01-08						X														X											Trsfr
JT	Fremont Cal Usd Alameda 5% 08-01-27									X											X											P
JT	Los Angeles Ca Wstwtr 5% 06-01-32						X														X											P
JT	Los Angeles Co Met Rv 5.250% 07-01-30								X												X											P
JT	Los Gatos Calif Jt Uhsd 5 375%e 06-01-22									X						X										X						Trsfr
JT	Metro Wtr Dist Sthn 5% 07-01-11									X											X											Trsfr
JT	Mojave Wtr Agy Calif Imp 5% 09-01-11									X											X											Trsfr

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,0	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Moreland Calif Sch Dist	X																														
JT	Oxnard Calif Fing Au 5% 06-01-11									X						X										X						Trsfr,S
JT	Pasadena Calif Uni Sch D 5% 11-01-19									X						X										X						Trsfr
JT	Puerto Rico Comwlth Pub 5% 07-01-33									X						X										X						Trsfr
JT	Sacramento Calif Cty Fin 5% 12-01-33									X						X					X											P
JT	San Diego Ca Redev Agy	X																								X						Trsfr
JT	San Diego Calif Cmnty 5% 08-01-26									X						X										X						Trsfr,S
JT	San Diego Calif Pub Facs 5% 08-01-12									X											X											P
JT	San Francisco Ca City & 5% 08-01-15									X											X											Trsfr
JT	San Francisco Ca City&Co 5% 11-01-10									X											X											P
JT	Santa Clara Vy Ca Transn 5 250% 06-01-14									X						X										X						P
JT	University Calif Revs 5% 05-15-10									X						X										X						Trsfr
JT	Wyoming Stud Ln Rv Sr B 5% 06-01 35									X						X										X						Trsfr
																										X						P

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B												BLOCK C							BLOCK D												BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income												Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	***Goldman Sachs (GS)- Brokerage Account***																																
SP	GS Fincl Square MMF	X														X									X							Trsfr
SP	GS Core Fixed Inc I Mutual Fnd	X												X												X						S
SP	GMS Intl Eq Advisors 3 (Wm Blair) LLC	X																		Pshp								X				S
SP	***Goldman Sachs - Restricted Account***																																
SP	Harman International Industries Cmn	X												X											X							Trsfr
SP	***Goldman Sachs - Boston Account***																																
SP	ABN Amro Hlds NV ADR	X												X			X								X							S
SP	Adidas Ag Adr Cmn	X												X									X									P,Trsfr
SP	Aeon Co Ltd ADR	X												X									X									P,Trsfr
SP	Allianz Se Adr Cmn	X												X									X									P,Trsfr
SP	Amcor Ltd ADR	X												X			X							X								P,S
SP	Ashai Kasei Corp ADR	X												X			X								X							P,S
SP	Banco Bilbao Vizcaya SA ADR	X												X			X						X									S
SP	Banco Santander Central Hispano ADR	X												X			X							X								P,S
SP	Bank of Ireland ADR	X												X			X								X							S
SP	Barclays PLC ADR	X												X									X									Trsfr
SP	BNP Paribas ADR	X												X			X									X						P,S
SP	Cadbury Schweppes PLC ADR	X															X								X							S
SP	Canon Inc ADR	X												X			X							X								P,S
SP	Centrica PLC ADR	X												X			X								X							S
SP	Ciba Specialty Chemicals ADR	X												X										X								Trsfr
SP	Citic Pacific Ltd ADR	X															X							X								S
SP	Coca Cola Amatil Ltd ADR	X												X			X								X							S
SP	Coca Cola Femsa ADR	X												X			X								X							S
SP	Credit Suisse Group ADR	X															X							X								S
SP	DBS Group Hldgs ADR	X												X			X							X								P,S
SP	Deutsche Bank AG	X															X								X							S
SP	Deutsche Telekom ADR	X												X										X								P,S
SP	E.On AG ADR	X												X			X								X							P,S
SP	Enel SPA ADR	X															X							X								S
SP	ENI SPA ADR	X												X			X								X							S
SP	Fortis NL ADR	X												X			X								X							S
SP	France Telecom SA ADR	X												X			X								X							P,S
SP	Friends Provident PLC ADR	X												X									X									P,S
SP	Fujifilm Holdings Corp. ADR	X												X			X								X							S
SP	Hannover Ruckversigberungs Corp ADR	X												X			X								X							S
SP	HBOS Spons ADR	X												X										X								Trsfr
SP	Hino Motors Ltd ADR	X												X			X								X							S
SP	HSBC Holdings PLC ADR	X															X							X								P,S
SP	Hutchison Whampoa ADR	X												X									X									P,Trsfr
SP	Infineon Technologies ADR	X															X								X							S

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Unrealized Gain
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	KAO Corp ADR	X												X			X								X							
SP	Koninklijke Philips Electrs NV ADR	X												X			X								X							
SP	KT Corporation ADR	X												X			X							X								S
SP	Kubota Corp Adr Adr Cmn	X												X			X															S
SP	Lagardere Groupe ADR	X												X									X									P,Undr
SP	Mediaset ADR	X															X							X								S
SP	Mitsubishi UFJ Fml Group Inc ADR	X												X									X									P,S
SP	National Australia Bank Ltd ADR	X												X									X									P,Undr
SP	Nedbank Group Ltd (Nedcor Ltd) ADR	X												X									X									P,Undr
SP	Nestle SA ADR	X												X									X									S
SP	Nissan Motor Co Ltd ADR	X												X			X							X								P,S
SP	Nokia Corp ADR	X												X			X							X								P,S
SP	Nomura Holdings Inc ADR	X												X			X									X						P,S
SP	Novartis AG ADR	X												X									X									P,Undr
SP	NTT Docomo Inc ADR	X												X									X									P,Undr
SP	Orix Corporation ADR	X												X			X							X								S
SP	Peugeot SA ADR	X															X					X										S
SP	Reed Elsevier PLC ADR	X												X			X							X								S
SP	Rentokil Initial PLC ADR	X												X			X							X								S
SP	Rexam Plc Adr Cmn	X												X			X								X							S
SP	Ricoh Co Ltd ADR	X												X									X									S
SP	Sanofi-Aventis ADR	X												X			X								X							S
SP	Sekisui Homes Ltd	X												X										X								P,Undr
SP	Siemens AG ADR	X												X									X									P,Undr
SP	SK Telecom Co Ltd ADR	X												X			X									X						S
SP	Sumitomo Mitsui Fml Group Inc ADR	X												X			X							X								S
SP	Svenska Cellulosa ADR	X												X									X									P,Undr
SP	Swiss Reinsurance ADR	X												X			X								X							S
SP	Taisei Corp (Adr) Adr Cmn	X												X			X								X							S
SP	TDK Corporation ADR	X												X			X						X									S
SP	Teijin Ltd Adr Adr Cmn	X												X			X								X							S
SP	Telefonos De Mexico SA ADR	X												X									X									P,Undr
SP	Telemorte Leste Participacoes Adr Repstg Pfd	X												X			X								X							S
SP	Telstra Corp ADR	X												X			X							X								S
SP	Teva Pharmaceutical Ind Ltd ADS	X												X			X								X							S
SP	Thomson SA ADR	X												X			X								X							S
SP	Toto Ltd ADR	X												X									X									Undr
SP	Trinity Mirror PLC ADR	X												X			X						X									S
SP	UBS AG	X												X			X						X									S
SP	Unilever PLC ADR	X												X									X									S
SP	United Microelectronics ADR	X												X			X															S
SP	United Overseas Bk Ltd ADR	X												X			X							X								S

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B												BLOCK C						BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	UPM-Kymmene Corp ADR	X												X			X						X								P,S
SP	Valeo ADR	X															X								X						S
SP	Vodafone Group PLC ADR	X												X									X								Trsfr
SP	***Goldman Sachs - Sound Shore Account***																														
SP	Aetna Inc	X															X									X					P,S
SP	Amer Intl Group Inc Cmn	X												X								X									P,S
SP	AON Corporation	X												X			X						X								P,S
SP	Apollo Group Class A Common Stock	X															X							X							P,S
SP	Bank of America Corp	X												X			X								X						P,S
SP	Barrick Gold Corporation Cmn	X												X			X							X							P,S
SP	Baxter International Inc	X												X			X								X						P,S
SP	Berkshire Hathaway Inc Class B	X															X									X					P,S
SP	Chubb Corp	X												X			X								X						P,S
SP	Cigna Corp	X												X			X								X						P,S
SP	Citadel Broadcasting Corp Cmn	X															X					X									S
SP	Citigroup Inc. Cmn	X												X								X									P,S
SP	CSX Corp	X												X			X								X						S
SP	Flextronics International Ltd	X																		X											P,S
SP	General Electric Co	X												X										X							P,Trsfr
SP	Genworth Financial Inc	X												X			X								X						S
SP	Hewlett-Packard Co	X												X			X									X					P,S
SP	Honda Mtr Ltd ADR	X												X			X								X						P,S
SP	Invesco Plc Sponsored Adr Cmn	X												X								X									P,Trsfr
SP	Ishares Trust - Russell 1000 Value Index Fund	X												X									X								P,Trsfr
SP	Kinetic Concepts Inc	X															X								X						P,S
SP	Liberty Media Corp	X															X									X					S
SP	Lyondell Chemical Company	X												X			X									X					S
SP	Marsh & McLennan Co Inc	X												X									X								P,S
SP	Morgan Stanley	X												X			X								X						S
SP	Motorola Inc	X												X								X									P,S
SP	Pfizer Inc	X												X			X							X							P,S
SP	Sprint Nextel Corp	X												X								X									P,S
SP	Texas Instruments Inc	X												X			X						X								P,S
SP	The Mosaic Company	X															X									X					P,S
SP	Time Warner Inc	X												X			X							X							P,S
SP	Unum Group	X												X			X							X							P,S
SP	Unilever NV Ny Shs	X												X			X								X						P,S
SP	US Bancorp	X												X			X								X						S
SP	Walt Disney Company	X												X			X								X						P,S
SP	Washington Post Co Cl B	X												X			X						X								P,S
SP	Waste Mangement Inc	X												X									X								P,Trsfr
SP	Western Digital Corp Cmn	X															X					X									S

SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excerpted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	*Goldman Sachs-Delaware Large Cap*																														
SP	Allergan Inc	X												X							X										
SP	Chicago Mercantile Hldgs Inc	X												X			X						X								P,Trsfr
SP	CME Group Inc	X												X			X							X							P,S
SP	Ebay Inc	X												X			X							X							Mrgr,S
SP	Expeditors Intl Wash Inc	X															X						X								S
SP	Intercontinentalexchange Inc	X												X									X								Trsfr
SP	Intl Game Technology	X															X									X					P,S
SP	MGM Mirage	X												X									X								Trsfr
SP	Moodys Corp	X															X										X				S
SP	Paychex Inc	X												X			X						X								S
SP	Praxair Inc	X												X			X														S
SP	Procter & Gamble Company	X												X			X							X							P,S
SP	Qualcomm Inc	X												X												X					S
SP	Seagate Technology	X												X										X							Trsfr
SP	Staples Inc	X												X										X							P,Trsfr
SP	United Parcel Service Inc	X												X										X							Trsfr
SP	Walgreen Co	X												X			X							X							Trsfr
SP	Weight Watchers Intl Inc	X												X									X								S
SP	*UBS -Eaton Vance LCV*													X			X														Trsfr
SP	RMA Money Mkt. Portfolio																							X							P, Trsfr
SP	Abbott Labs				X				X					X										X							
SP	Aes Corp			X																	X										P
SP	Aetna Inc				X												X									X					Trsfr,S
SP	Air Prod & Chemical Inc				X																X										P
SP	Alcoa Inc			X																	X										P
SP	Amer Intl Group Inc					X								X							X										P,S
SP	Ameriprise Financial Inc				X																		X								P,S
SP	Aon Corp			X										X			X				X										P,S
SP	Apollo Group Inc Cl A			X													X									X					Trsfr,S
SP	Avalonbay Communities Inc Sbi			X																						X					Trsfr,S
SP	Bank Of Amer Corp					X								X							X										P,S
SP	Bank Of New York Mellon Corp					X																	X								P,S
SP	Barrick Gold Corp	X																			X										P
SP	Baxter Intl Inc			X													X									X					Trsfr,S
SP	Best Buy Co Inc				X												X									X					Trsfr,S
SP	Burlington Nthn Santa Fe Corp				X																X										P
SP	Chubb Corp					X															X										P,S
SP	Cigna Corp			X													X				X										P
SP	Citigroup Inc	X												X												X					Trsfr
SP	Credit Suisse Group Spon Adr				X																		X								Trsfr
SP	Cvs Caremark Corp			X																	X										P
																					X										P

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B													BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Cytec Industries Inc	X																X							X								Trsfr
SP	Deere And Co					X												X								X								P
SP	Eaton Corp				X																X													P
SP	Edison Intl				X																X													P
SP	Flextronics Intl Ltd	X																X								X								Trsfr
SP	Genl Dynamics Corp					X															X													P
SP	Genl Electric Co				X													X								X								P
SP	Goldman Sachs Group Inc					X															X													P
SP	Hartford Fincl Services Group Inc				X																X													P
SP	Hewlett Packard Co					X												X					X											P
SP	Intl Business Mach					X												X					X											P
SP	Invesco Plc Spon Adr	X																X						X										Trsfr
SP	Johnson & Johnson Com					X									X								X											P
SP	Johnson Controls Inc				X																X													P
SP	JP Morgan Chase & Co					X															X													P
SP	Kimberly Clark Corp				X																X													P
SP	Kinetic Concepts Inc New			X														X								X								Trsfr
SP	Kraft Foods Inc Cl A				X																X													P
SP	Kroger Company				X																X													P
SP	Lincoln Natl Corp Ind				X																X													P
SP	Lockheed Martin Corp				X																X													P
SP	Marsh & Mclennan Cos Inc	X													X								X											Trsfr,S
SP	Mcdonalds Corp					X									X									X										P
SP	Molson Coors Brewing Co Cl B			X																	X													P,S
SP	Nestle S A Sponsored Adr Repstg Reg Shs Sw					X															X													P,S
SP	Newmont Mining Corp	X																X									X							Trsfr,S
SP	Nike Inc Cl B				X																X													P
SP	Nokia Corp Spons Adr Finland Adr				X																X													P,S
SP	Nrg Energy Inc New				X																X													P,S
SP	Pfizer Inc				X										X								X											Trsfr,S
SP	Prudential Financial Inc					X									X								X											P
SP	Public Service Enterprse Group Inc				X										X			X						X										P,S
SP	Safeway Inc				X																X													P
SP	Simon Ppty Group Inc Sbi				X										X								X											P,S
SP	Texas Instruments	X													X			X							X									Trsfr,S
SP	Tjx Cos Inc New				X																X													P,S
SP	Travelers Cos Inc/The					X									X								X											P,S
SP	UBS Ag (Usd) New 2006 Ord			X																	X													P
SP	Unilever Nv N Y Shs New Netherlands Spon			X											X			X								X								Trsfr,S
SP	Utrd Technologies Corp					X									X								X											P
SP	Unum Group	X													X			X							X									Trsfr,S
SP	Verizon Communications Inc					X															X													P,S

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Vornado Realty Trust			X																	X											P,S
SP	Wachovia Corp				X									X									X									P,S
SP	Walt Disney Co (Holding Co) Disney Com				X												X									X						P,S
SP	Wash Post Co Cl B	X												X			X									X						Trsfr,S
SP	Wells Fargo & Co New				X																X											P
SP	Wstn Digital Corp			X													X									X						Trsfr,S
SP	Wyeth					X															X											P,S
SP	***UBS - Parametric International Account***																															
SP	RMA Money Mkt. Portfolio								X					X										X								P
SP	Abb Ltd Spon Adr			X													X				X				X							Trsfr,S
SP	Adidas Ag Spon Adr			X													X															Trsfr,S
SP	Aegon Nv Adr N Y Shs Netherlands Adr			X													X						X									Trsfr,S
SP	Aeon Co Ltd Unspon Adr			X																	X											Trsfr
SP	Air Liquide Adr France Adr			X																	X											P
SP	Aktiebolaget Electrolux Ltd Sponsored Adr			X																	X											P
SP	Allianz Se Adr				X																X											Trsfr
SP	Amcor Ltd New Au Adr			X													X							X								Trsfr,S
SP	Arcelormittal Ny Registry Shs New				X																X											P
SP	Asahi Kaisei Corp Unspon Adr			X													X						X									Trsfr,S
SP	Banco Bilbao Vizcaya Argentaria S.A. Spon				X																X											P
SP	Banco Santander S.A Spon Adr				X																X											P
SP	Barclays Plc Adr			X																	X											Trsfr
SP	Bayer A G Spon Adr			X																	X											P
SP	Bnp Paribas Sa Adr				X																X											Trsfr
SP	Boc Hong Kong Holdings Ltd Spon Adr			X																	X											Trsfr
SP	Bridgestone Corp Ltd Adr Japan Adr			X																	X											P
SP	British Land Co Plc Spon Adr			X																	X											P
SP	Canon Inc Adr Japan Adr			X																	X											Trsfr
SP	Centrica Plc New 2004	X															X								X							Trsfr,S
SP	Cheung Kong Holdings Ltd Adr Hong Kong			X																	X											P
SP	Clp Holdings Ltd Spon Adr			X																	X											P
SP	Coca Cola Femsa S.A.B. De C.V. (Mexico) Sp			X													X							X								P,S
SP	Coca Cola Hellenic Bottling Co S.A. Spon A			X																	X											P
SP	Compass Group Plc			X																	X											P
SP	Contl Ag-Spons Adr Adr			X																	X											P
SP	Daimler Ag Ord			X																	X											P
SP	Dbs Group Hldgs Ltd Spon Adr			X										X			X								X							Trsfr,S
SP	Delhaize Group Spon Adr			X																	X											P
SP	Deutsche Bank Ag Reg Shs Ord			X																	X											P
SP	Deutsche Telekom Ag De Spon Adr				X												X							X								Trsfr,S
SP	Diageo Plc New Gb Spon Adr			X																	X											P
SP	E On Ag Spon Adr	X															X									X						Trsfr,S

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnscn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Enel Spa Spon Adr				X									X									X									P
SP	Energias De Portugal S.A. Spon Adr			X																	X											P
SP	Erste Bk Der Oester Spon Adr			X																	X											P
SP	Fiat S P A New Repstg One Ord Euro 0 50 Pa			X																	X											P
SP	Fortis Nl Spon Adr			X										X										X								P,S
SP	France Telecom Sa Spon Adr				X												X						X									Trsfr,S
SP	Fuji Film Holdings Corp Adr			X																	X											P
SP	Glaxo Smithkline Plc Adr				X																X											P
SP	Hang Lung Properties Ltd Spon Adr			X																	X											Trsfr
SP	Hannover Rueckversicherungs Ag Spon Adr			X																	X											Trsfr
SP	Henkel Kgaa Spon Adr			X													X							X								Trsfr,S
SP	Hino Motors Ltd Adr Adr			X																	X											P
SP	Hong Kong Elec Hldgs Ltd (Hong Kong) Spon			X																	X											Trsfr
SP	Hoya Corp Spon Adr			X																	X											P
SP	Hsbc Holdings Plc New Gb Spon Adr				X																X											Trsfr
SP	Husqvarna Ab Spon Adr			X																	X											P
SP	Hutchison Whampoa Ltd	X															X								X							Trsfr,S
SP	Iberdrola Sa Spon Adr				X																X											P
SP	Ieap Plc Spon Adr			X																	X											P
SP	Imperial Chem Ind Plc Adr New United Kingd			X																	X											P
SP	Intesa Sanpaolo Spon Adr			X																	X											P
SP	Intl Power Plc Adr			X																	X											P
SP	Invesco Ltd			X																	X											P
SP	J. Sainsbury Plc Spon Adr			X																	X											P
SP	Kirin Holdings Ltd Spon Adr			X																	X											P
SP	Koninklijke Philips El N V New 2000 Spon A			X													X						X									P,S
SP	Korea Electric Power Crp Sponsored Adr Shs			X																	X											Trsfr
SP	Kt Corp Spon Adr			X													X							X								Trsfr,S
SP	Kubota Corp Adr Japan			X																	X											Trsfr
SP	Lafarge New Spon Adr			X																	X											Trsfr
SP	Lonmin Plc New Spon Adr			X																	X											P
SP	Loreal Co Adr France Adr			X																	X											P
SP	Macquarie Bank Ltd Adr			X																	X											P
SP	Malayan Banking Berhad Spon Adr	X												X									X									Trsfr,S
SP	Metso Corp Spon Adr			X																	X											P
SP	Mitsubishi Corp Spons Adr Adr				X																X											P
SP	Mitsubishi Estate Ltd Adr Adr			X																	X											P
SP	Mitsubishi Ufj Financial Group Inc Spon Ad			X																	X											Trsfr
SP	Mitsui Sumitomo Insurance Ltd Japan Adr			X																	X											P
SP	Mizuho Financial Group Inc Spon Adr			X																	X											P
SP	Natl Australia Bank Ltd Spon Adr				X									X			X							X								Trsfr,S
SP	Natl Bank Greece Sa Spon Adr			X																	X											P

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E
	Asset and/or Income Source	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	Transfer
SP	Nedbank Group Ltd Spon Adr			X													X						X									Trsfr,S
SP	Nestle S A Sponsored Adr Repstg Reg Shs Sw				X												X							X								Trsfr
SP	Newcrest Mining Ltd Spon Australia Adr			X																	X											P
SP	Nintendo Ltd Adr New Japan				X																X											P
SP	Nippon Steel Corp Unspon Adr			X																	X											P
SP	Nippon Yusen Kabushiki Spon Adr 2006			X																	X											P
SP	Nissan Mtr Ltd Spons Adr Japan Adr			X																	X											P
SP	Nokia Corp Spons Adr Finland Adr			X																	X											Trsfr
SP	Nomura Holdings Inc New Spon Adr			X																	X											P
SP	Novartis Ag Spon Adr				X																X											Trsfr
SP	Novo Nordisk Adr Denmark Adr			X													X						X									Trsfr
SP	Ntt Docomo Inc Ech Repstg 1/200Th Com Shs			X													X						X									P,S
SP	Old Mutual Plc Adr Adr			X									X										X									Trsfr,S
SP	Peugeot S.A. New Spon Adr (France)			X																			X									Trsfr,S
SP	Promise Co Ltd Unspon Adr			X																	X											Trsfr
SP	Prudential Plc Adr United Kingdom				X																X											P
SP	Reed Elsevier Plc Repstg				X												X						X									P
SP	Reuters Group Plc Spon Adr			X																	X											P,S
SP	Ricoh Ltd Adr Japan			X																	X											P
SP	Roche Hldg Ltd Spons Adr Switz Adr			X																	X											Trsfr
SP	Rolls Royce Group Plc Spon Adr			X																	X											P
SP	Royal Bank Scotland Group Plc Repstg Shs S			X																	X											P
SP	Rwe Ag Adr				X												X				X											P
SP	Ryanair Holdings Plc Spon Adr			X																				X								Trsfr,S
SP	Sandvik Ab Spon Adr			X																	X											P
SP	Sanofi-Aventis Sa Spon Adr				X												X				X											P
SP	Scottish & Sthn Energy Plc Spon Adr				X																		X									Trsfr,S
SP	Seiko Epson Corp Unsponsored Adr			X																	X											P
SP	Sekisui House Ltd Spon Adr			X																	X											P
SP	Shiseido Co Ltd Spons Adr Japan			X																	X											Trsfr
SP	Shizuoka Bank Ltd Japan Unspons Adr			X																	X											P
SP	Siemens A G Spon Adr				X												X				X											P
SP	Sk Telecom Co Ltd Adr	X															X								X							Trsfr,S
SP	Sony Corp Adr New Japan			X													X							X								Trsfr,S
SP	St George Bank Ltd Spons Adr			X																	X											P
SP	Suez Spon Adr				X																X											P
SP	Sumitomo Corp. Spon Adr			X																	X											P
SP	Sumitomo Metal Ind Spon Adr (Japan)			X																	X											P
SP	Sumitomo Mitsui Financial Group Inc Adr			X																	X											P
SP	Svenska Cellulosa Ab (Sca) Spon Adr			X																	X											Trsfr
SP	Swedbank Ab Adr	X																			X											Trsfr
SP	Swiss Reins Co Spon Adr			X																	X											Trsfr

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B											BLOCK C							BLOCK D												BLOCK E
Asset and/or Income Source		Value of Asset											Type of Income							Amount of Income												Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Syngenta Ag Spon Adr			X																X												P
SP	Tahoorp Holdings Ltd-Sp Adr			X																X												P
SP	Technip Sa New Spon Adr			X																X												P
SP	*Tele Norte Leste Participacoes S A Adr*			X																X												Trsfr
SP	Telecom Italia Spa New Repstg 10 Ord Shs S			X												X							X								Trsfr,S
SP	Telstra Corp Ltd (Final) Adr			X																X												Trsfr
SP	Tesco Plc Spons Adr United Kingdom				X															X												P
SP	Teva Pharmaceuticals Ind Ltd Israel Adr			X																X												Trsfr
SP	Tnt N V Spon Adr			X																X												P
SP	Toppan Printing Ltd Adr			X																X												P
SP	Toto Ltd Adr Japan Adr			X																X												Trsfr
SP	Toyota Motor Corp New Japan Spon Adr			X																X												Trsfr
SP	Ubs Ag (Usd) New 2006 Ord			X																X												Trsfr
SP	Unilever Plc Adr				X									X		X									X							P,Trsfr,S
SP	Untd Utilities Plc Spon Adr			X																X												Trsfr
SP	Vinci S.A Adr			X																X												P
SP	Vodafone Group Plc New Spon Adr				X											X							X									Trsfr,S
SP	Westpac Banking Ltd Sponsored Adr Australi			X																X												P
SP	Woodside Petroleum Spons Adr Nw Australia				X															X												P
SP	Yara Intl Asa Spon Adr			X																X												P
SP	***UBS - Restricted Account***																															
SP	UBS Bank USA Dep Acct			X																X												
SP	Harman Intl Indt Inc New										X			X									X									*Trsfr*
SP	***UBS - Westfield LCG Account***																															
SP	RMA Money Mkt. Portfolio								X					X								X										
SP	Alcon Inc				X															X												P,S
SP	Allergan Inc	X												X		X										X						Trsfr,S
SP	Amdocs Ltd				X															X												P,S
SP	Autodesk Inc					X										X						X										P,S
SP	Biogen Idec Inc					X														X												P,S
SP	Bunge Limited					X														X												P
SP	Celgene Corp					X														X												P
SP	Cisco Systems Inc				X															X												P,S
SP	Cme Group Inc					X								X		X									X							Trsfr,S
SP	Cognizant Tech Solutions Crp				X															X												P,S
SP	Crown Castle Intl Corp	X														X									X							Trsfr,S
SP	Cvs Caremark Corp					X														X												P,S
SP	Danaher Corp				X											X						X										P,S
SP	Ebay Inc				X											X									X							Trsfr,S
SP	Ecolab Inc					X										X						X										P,S
SP	*Elan Corp Plc Spon Adr*						X													X												P,S
SP	Expeditors Intl Wash Inc	X														X										X						Trsfr,S

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction
SP	Gent Electric Co					X															X										P,S
SP	Gilead Sciences Inc				X																	X									P,S
SP	Goldcorp Inc New					X								X									X								P,S
SP	Google Inc Cl A				X												X														Trust S
SP	Halliburton Co (Holding Company)					X																						X			P,S
SP	Hewlett Packard Co				X									X									X								P,S
SP	Intel Corp					X											X				X										P,S
SP	Intercontinentalexchange	X												X			X						X								P,S
SP	Intl Game Technology	X															X														Trust S
SP	Intuit	X												X			X											X			P,S
SP	Irt Corp				X												X														Trust S
SP	Lilly Eli & Co					X															X										P,S
SP	Marvell Technology Group Ltd				X									X			X						X								P,S
SP	Maxim Integrated Prods Inc				X																X										P,S
SP	Memc Electronic Materials Inc				X									X									X								P,S
SP	Mgm Mirage					X											X								X						P,S
SP	Microsoft Corp					X											X														P,S
SP	Natl-Oilwellvarco Inc					X								X			X										X				Trust S
SP	Nestle S A Sponsored Adr Repstg Reg Shs Sw					X																	X								GIA
SP	Nymex Holdings Inc					X															X										P,S
SP	Omnicom Group Inc				X																X										P,S
SP	Oracle Corp				X																X										P
SP	Paychex Inc				X																X										P,S
SP	Praxair Inc	X															X						X								P,S
SP	Procter & Gamble Co					X								X			X									X					Trust S
SP	Qualcomm Inc	X												X			X										X				Trust S
SP	Research In Motion Ltd				X												X										X				Trust S
SP	Reuters Group Plc Spon	X															X									X					Trust S
SP	Rockwell Automation Inc New	X															X											X			Trust S
SP	Schwab Charles Corp New				X									X			X								X						Trust S
SP	Seagate Technology				X												X						X								P,S
SP	Shire Plc Adr	X															X														P,S
SP	Thermo Fisher Scientific Inc				X																					X					Trust S
SP	Unitd Technologies Corp					X															X										P,S
SP	Weatherford Intl Inc (Bermuda)					X								X			X						X								P,S
SP	Weight Watchers Intl Inc	X																			X										P,S
SP	Western Union Co	X															X								X						Trust S
SP	Wyeth				X												X							X							Trust S
SP	*UBS - Brokerage Account*													X									X								P
SP	UBS Bank USA Dep Acct		X																												P
SP	UBS Select Prime Institutional Fund									X				X										X							P
SP	*UBS - WHV Account*																														

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Tmscn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	RMA Money Mkt Porfolio							X						X											X							
SP	Allianz Se Adr					X															X											P
SP	Aracruz Celulose S A Adr Cl B				X																X											P
SP	Axa Adr				X																X											P
SP	Bae Systems Plc Spon Adr				X																X											P
SP	Basf Ag Spon Adr					X															X											P
SP	Bhp Billiton Ltd Spon Adr						X														X											P
SP	Brookfield Asset Mgmt Inc Ltd Vtg Shs Cl A				X																X											P
SP	Cadbury Schweppes Plc Adr New United Kingdom					X															X											P
SP	Canadian Pacific Railway Ltd				X																X											P
SP	Canadian Natural Resources Ltd				X																X											P
SP	Cdn Natl Railway Co Foreign Stock					X															X											P
SP	Companhia Vale Do Rio Doce Spon Adr						X														X											P
SP	Cooper Industries Ltd Cl A					X															X											P
SP	Core Laboratories N V				X																X											P
SP	Ensign Energy Services Inc				X																X											P
SP	Ingersoll Rand Co Cl A					X															X											P
SP	Manulife Financial Corp				X																X											P
SP	Nabors Industries Ltd New (Bermuda)						X														X											P
SP	Nestle S A Sponsored Adr Repstg Reg Shs Switz Adr					X															X											P
SP	Noble Corp Ord						X														X											P
SP	Novartis Ag Spon Adr					X															X											P
SP	Partnerre Ltd Ord Foreign Stock				X																X											P
SP	Potash Corp Sask Inc Canada					X															X											P
SP	Rio Tinto Plc Spon Adr						X														X											P
SP	Rwe Ag Adr				X																X											P
SP	Schlumberger Ltd Netherlands Antilles						X														X											P
SP	Suncor Inc						X														X											P
SP	Talisman Energy Inc				X																X											P
SP	Teck Cominco Ltd Canada Sub Vtg Cl B Ord					X															X											P
SP	Tenaris S.A. Ads						X														X											P
SP	Transocean Inc New Shs Ord						X														X											P
SP	Trican Well Service Ltd			X																	X											P
SP	Unilever Nv N Y Shs New Netherlands Spon				X																X											P
SP	Weatherford Intl Inc (Bermuda)						X														X											P
SP	Yara Intl Asa Spon Adr			X																	X											P
SP	***UBS - Mutual Funds Account***																															
SP	Calvert Short Duration Income Fund Class A								X					X										X								P
SP	Eaton Vance Strategic Income Fund Class A								X					X												X						P
SP	Fidelity Advisor Short Fixed Income Fund Class T								X												X											P,S
SP	JP Morgan Highbridge Stat Market Neutral Fund A							X													X											P
SP	Loomis Syles Investment Grade Bond Fund Class A								X					X												X						P

JANE HARMAN
SCHEDULE III ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											Trnsctn
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP	Old Mutual Analytic Defensive Equity Fund A							X						X											X							P
SP	UBS Pace Alternative Strategies Investments Class A							X						X												X						P

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excerpted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	***Partnerships Held:***																														
JT	Blum Strategic Partners II LP								X										Ptshp									X			
JT	GS Pvt Eq Concntd Hlthcrare Fnd Offshr						X												Ptshp	X											P
JT	GS Strategic Euro Partners LP									X									Ptshp		X										
JT	GS WLR Opportunities Fund LP							X											Ptshp	X											P
JT	JBG/Fund III Investor LLC								X										Ptshp									X			
JT	JBG/Fund V Qualified Investor LLC								X										Ptshp									X			P
JT	Pope Resources LP					X													Ptshp						X						
	Bear Stearns Account																														
JT	Reserve Fund Primary Fund	X												X										X							
JT	ABN Amro Holding NV	X												X		X										X					S
JT	Astrazeneca PLC				X									X		X								X							S
JT	Barclays PLC ADR					X								X										X							P
JT	Cadbury Schweppes PLC ADR					X								X									X								
JT	Canon Inc ADR					X								X									X								
JT	CRH PLC ADR					X								X									X								P
JT	Danske Bank ADR				X									X									X								P
JT	Ericsson LM Tel Co ADR	X												X									X								P,S
JT	France Telecom ADR					X								X										X							
JT	HSBC Holdings PLC					X								X										X							P
JT	ING Groep NV ADR					X								X									X								P
JT	Ireland Bank ADR	X												X									X								S
JT	Ishares Trust MSCI EAFE Index Fund							X						X											X						P
JT	Mitsubishi UFJ Finl Group ADR	X												X								X									P,S
JT	Nestle SA ADR					X								X									X								
JT	Nokia Corp ADR	X												X			X									X					S
JT	Novartis AG ADR					X								X									X								P
JT	Roche Holding Ltd ADR					X														X											P
JT	Sanofi Aventis ADR					X								X									X								
JT	SAP Aktiengesellschaft ADR					X								X								X									P
JT	Shinsei Bank Ltd Tokyo ADR				X									X							X										P,S
JT	Societe Generale ADR				X									X									X								
JT	Telecom Corp of New Zealand Ltd ADR	X												X			X						X								S
JT	Tesco PLC ADR	X												X			X								X						S
JT	TNT NV ADR (TPG)				X									X									X								
JT	UBS AG New					X								X									X								P
JT	Unilever PLC ADR					X								X			X										X				S
JT	Vodafone Group PLC ADR					X								X									X								P
JT	WPP Group PLC ADR				X															X											P
	Goldman Sachs(GS)-Brokerage Account																														
JT	GS Fincl Square TE MMF	X														X									X						
JT	AB Svensk Exportkredit 0% due 2/28/07	X												X												X					S

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income												
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Goldman Sachs High Yield Muni Fnd	X												X												X						Trsfr
JT	Eksportfinans 0% 7/18/07	X															X											X				S
JT	Eksportfinans 0% 8/21/07	X															X											X				S
JT	***Goldman Sachs - Restricted Account***																															
JT	Harman International Inds Inc	X												X											X							Trsfr
	Goldman Sachs - Wasatch Account																															
JT	GS Fund Square TE MMF	X														X						X										
JT	Aegean Marine Pete Network Inc	X															X					X										S
JT	Aftermarket Technology Corp	X															X							X								S
JT	Amedisys Inc	X															X					X										S
JT	American Woodmark Corp	X															X					X										S
JT	Americredit Corp	X															X						X									S
JT	AMN Healthcare Services Inc	X															X						X									S
JT	Apollo Investment Corp	X															X						X									S
JT	Arbor Realty Trust Inc	X															X							X								S
JT	ASE Test Limited	X															X						X									S
JT	Baker (Michael) Corp	X															X					X										S
JT	Big 5 Sporting Goods Corp	X															X							X								P,S
JT	Blount International Inc	X															X					X										S
JT	Capital Senior Living Corp	X															X						X									S
JT	Copa Holdings SA	X															X							X								S
JT	Courier Corp	X															X					X										S
JT	Crystal River Capital Inc	X												X			X							X								S
JT	Drew Industries Inc	X															X					X										P,S
JT	Excel Technology Inc	X															X					X										S
JT	Fidelity Natl Financl Inc	X															X						X									S
JT	Fidelity Natl Info Svcs Inc	X															X							X								S
JT	Five Star Quality Care Inc	X															X						X									S
JT	Fossil Inc	X															X						X									S
JT	Franklin Bank Corp	X															X					X										S
JT	Global Imaging Services Inc	X															X							X								P,S
JT	Hub Internl Ltd	X															X								X							S
JT	Idearc Inc	X															X					X										S
JT	J B Hunt Trans Svcs Inc	X															X						X									S
JT	Keystone Autoreotive Inds Inc	X															X							X								S
JT	KKR Financial Corp	X															X						X									S
JT	LHC Group LLC	X															X							X								S
JT	Lithia Motors Inc	X															X						X									S
JT	Magellan Health Services Inc	X															X						X									S
JT	MCG Capital Corp	X												X			X						X									S
JT	McGrath Rentcorp	X															X							X								S
JT	Medical Properties Trust Inc	X															X							X								S

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	BLOCK A	BLOCK B												BLOCK C						BLOCK D										
	Asset and/or Income Source	Value of Asset												Type of Income						Amount of Income										
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Micrel Inc	X														X						X								S
JT	Microfinancial Inc	X														X						X								S
JT	Mobile Mini Inc	X														X							X							S
JT	Molecular Devices Corp	X														X								X						S
JT	Monro Muffler Brake Inc	X														X							X							S
JT	MSC Industrial Direct Co Inc	X														X						X								P,S
JT	NBTY Inc	X														X							X							S
JT	NCI Building Systems Inc	X														X							X							S
JT	Netscout Systems Inc	X														X							X							S
JT	*Northstar Realty Finance Corp*	X												X		X									X					S
JT	Nu Horizons Electronic Corp	X														X							X							S
JT	NVR Inc	X														X						X								S
JT	On Semiconductor Corp	X														X									X					S
JT	Pericom Semiconductor Corp	X														X						X								S
JT	Placer Sierra Bancshares	X														X							X							S
JT	Plains Expl & Prod Co	X														X								X						S
JT	Power Integrations Inc	X														X						X								S
JT	Redwood Trust Inc	X												X		X									X					S
JT	Rush Enterprises Inc Cl B	X														X						X								S
JT	Select Comfort Corporation	X														X						X								S
JT	SM&A Cmn	X														X						X								S
JT	Sonic Automotive Inc	X														X								X						S
JT	Supertex Inc	X														X							X							S
JT	TTM Technologies Inc	X														X						X								S
JT	Universal Technical Institute	X														X						X								S
JT	US Physical Therapy Inc	X														X						X								S
JT	USA Truck Inc	X														X						X								S
JT	Vitran Corp Inc	X														X							X							S
	Goldman Sachs - PCM Account																													
JT	GS Fincl Square TE MMF	X													X										X					
JT	Alltel Corp	X												X									X							Trsfr
JT	Bandag Inc	X												X		X										X				Trsfr
JT	Bank of Hawaii Corporation	X												X								X								Trsfr
JT	Bear Stearns Cos Inc	X												X									X							Trsfr
JT	CA Inc	X												X								X								P,Trsfr
JT	Datascope Corp	X												X								X								Trsfr
JT	Eastman Kodak Co	X												X									X							P,Trsfr
JT	Federated Dept Stores (Macy's Merger)	X												X								X								Merger
JT	First Citizens Bankshares	X												X								X								Trsfr
JT	Forest City Enterp Inc	X												X								X								Trsfr
JT	Glacier Bancorp Inc	X												X								X								P,Trsfr
JT	Health Management Assoc	X												X											X					Trsfr

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Hearst-Argyle Tel Inc	X												X								X									P,Trsfr
JT	Hewlett-Packard Inc	X												X									X								Trsfr
JT	Huntington Banchares Inc	X												X									X								Trsfr
JT	Imation Corp	X												X								X									Trsfr
JT	Intl Business Machines Corp	X												X								X									Trsfr
JT	Lawson Products Inc	X												X								X									Trsfr
JT	Lehman Brothers Holdings Inc	X												X			X							X							S
JT	Lennox Intl Inc	X												X			X								X						S
JT	Macy's Inc (Federated Merger)	X															X									X					Merger,S
JT	Masco Corporation	X												X			X					X									S
JT	Mercantile Bankshares Corp	X												X								X									Trsfr
JT	Mine Safety Appliances Co	X												X								X									Trsfr
JT	Motorola Inc	X												X								X									P,Trsfr
JT	National City corp	X															X										X				S
JT	*Northern Trust Corp*	X												X									X								P,Trsfr
JT	Oppenheimer Hldgs Inc	X												X								X									Trsfr
JT	PNC Financial Services Group	X															X								X						S
JT	Qualcomm Inc	X												X								X									Trsfr
JT	Raymond James Finl Inc	X												X								X									Trsfr
JT	Royal Caribbean Cruises Ltd	X												X								X									P,Trsfr
JT	Seacoast Bkg Corp	X												X								X									P,Trsfr
JT	Sky Financial Group Inc	X												X									X								Trsfr
JT	Skyline Corp	X												X								X									Trsfr
JT	Sterling Financial Corporation	X												X								X									P,Trsfr
JT	TD Banknorth Inc	X												X			X									X					Trsfr,S
JT	Tekronix Inc	X												X								X									Trsfr
JT	Tierone Corporation	X												X								X									Trsfr
JT	The Topps Company Inc	X															X							X							S
JT	Trane Inc	X															X								X						S
JT	Triumph Grp Inc	X												X							X										Trsfr
JT	Universal Health Svc Cl B	X												X								X									Trsfr
JT	Valley National Bancorp	X												X								X									Trsfr
JT	Verisign Inc	X															X									X					S
JT	West Pharmaceutical Svcs Inc	X												X								X									Trsfr
JT	Wiley John & Sons	X												X								X									Trsfr
JT	Windstream Corporation	X												X										X							Trsfr
JT	WSFS Financial Corp	X												X							X										Trsfr
JT	Xerox Corporation	X																		X											P,Trsfr
	Goldman Sachs - Sound Shore																														
JT	GS Fincl Square TE MMF	X														X								X							
JT	Aetna Inc	X															X								X						P,S
JT	*Amer Intl Group Doc*	X												X								X									P,S

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	AON Corporation	X												X			X								X						P,S
JT	Apollo Group Cl A	X															X							X							P,S
JT	Bank of America Corp	X												X			X							X							P,S
JT	Barrick Gold Corporation Cmn	X												X			X							X							P,S
JT	Baxter International Inc	X												X			X								X						P,S
JT	Berkshire Hathaway Inc Class B	X															X								X						P,S
JT	Chubb Corp	X												X			X								X						P,S
JT	Cigna Corp	X												X			X								X						P,S
JT	Citigroup Inc. Cmn	X												X								X									P,S
JT	CSX Corp	X												X			X							X							S
JT	General Electric Co	X												X									X								P,Trsfr
JT	Genworth Financial Inc	X												X			X								X						S
JT	Hewlett-Packard Inc	X												X			X									X					P,S
JT	Honda Mtr Ltd ADR	X												X			X								X						P,S
JT	Interpublic Group Cos	X															X						X								P,S
JT	Invesco Plc Sponsored Adr Cmn	X												X								X									P,Trsfr
JT	Ishares Trust - Russell 1000 Val Ind Fnd	X												X									X								P,Trsfr
JT	Kinetic Concepts Inc	X															X								X						P,S
JT	Liberty Media Holding Corp	X															X									X					S
JT	Lyondell Chemical Company	X												X			X								X						S
JT	Marsh & McLennan Co Inc	X												X								X									P,S
JT	Morgan Stanley	X												X			X								X						S
JT	Motorola Inc	X												X								X									P,S
JT	Pfizer Inc	X												X			X							X							P,S
JT	Southwest Airlines Co	X												X			X					X									P,S
JT	Texas Instruments Inc	X												X			X					X									P,S
JT	The Mosaic Company	X															X								X						P,S
JT	Time Warner Inc	X												X			X						X								P,S
JT	U.S. Bancorp Cmn	X												X			X							X							P,S
JT	Unum Group	X												X			X							X							P,S
JT	Unilever NV NY Shs	X												X			X								X						P,S
JT	Walt Disney Company	X												X			X							X							P,S
JT	Washington Post Co Cl B	X												X			X						X								P,S
JT	Waste Management Inc	X												X									X								P,Trsfr
JT	Western Digital Corp Cmn	X															X					X									P,S
	Goldman Sachs - Boston Company																														
JT	GS Fincl Square TE MMF	X													X									X							
JT	ABN Amro Hlds Nv ADR	X												X			X									X					S
JT	Adidas Ag Adr Cmn	X												X								X									P,T
JT	Aeon Co Ltd Adr	X												X								X									P,T
JT	Aiful Corporation ADR	X												X								X									S
JT	Allianz Se Adr Cmn	X												X								X									P,T

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D												
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income												
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Amcor Ltd ADR	X												X			X								X							P,S
JT	Ashai Kasei Corp ADR	X												X			X									X						P,S
JT	Banco Bilbao Vizcaya SA ADR	X												X			X						X									S
JT	Banco Santander Central Hispano Sa Adr	X												X			X							X								P,S
JT	Bank of Ireland ADR	X												X			X									X						S
JT	Barclays PLC ADR	X												X										X								Trsfr
JT	BNP Paribas ADR	X												X			X									X						P,S
JT	BOC Hong Kong Holdings Ltd ADR	X												X								X										P,Trsfr
JT	Cadbury Schweppes PLC ADR	X															X									X						S
JT	Canon Inc ADR	X												X			X								X							P,S
JT	Centrica PLC ADR	X												X			X									X						S
JT	CIBA Specialty Chemicals ADR	X												X										X								Trsfr
JT	Citic Pacific Ltd ADR	X															X							X								S
JT	Coca Cola Amatil Ltd ADR	X												X			X								X							S
JT	Coca Cola Femsa SA ADR	X												X			X								X							S
JT	Credit Suisse Group ADR	X															X							X								S
JT	DBS Group Holdings Spon ADR	X												X			X								X							P,S
JT	Deutsche Bank AG	X															X									X						S
JT	Deutsche Telekom AG ADR	X												X			X							X								P,S
JT	E On AG ADR	X												X			X									X						P,S
JT	Enel SPA ADR	X															X							X								S
JT	Fortis (NL) NV ADR	X												X			X								X							S
JT	France Telecom ADR	X												X			X									X						P,S
JT	Friends Provident PLC ADR	X												X								X										P,S
JT	Fujifilm Holdings Corporation ADR	X												X			X									X						S
JT	Hannover Ruckversicherungs Corp ADR	X												X			X								X							S
JT	HBOS Spons ADR	X												X										X								Trsfr
JT	Hino Motors Ltd ADR	X												X			X									X						S
JT	HSBC Holdings PLC ADR	X															X							X								P,S
JT	Hutchison Whampoa ADR	X												X								X										P,Trsfr
JT	Infineon Technologies AG ADR	X															X									X						S
JT	KAO Corp ADR	X												X			X									X						S
JT	Koninklijke Philips Electrs NV ADR	X												X			X									X						S
JT	Korea Electric Power ADR	X												X								X										Trsfr
JT	KT Corporation ADR	X												X			X							X								P,S
JT	Kubota Corp Adr Adr Cmn	X												X								X										P,Trsfr
JT	Lagardere Groupe ADR	X															X								X							S
JT	Mediaset ADR	X												X										X								P,S
JT	Mitsubishi UFJ Fnl Group Inc ADR	X												X								X										P,Trsfr
JT	National Australia Bank Ltd ADR	X												X								X										P,Trsfr
JT	Nedbank (Nedcor) Group Ltd ADR	X												X								X										P,Trsfr
JT	Nestle SA ADR	X												X			X								X							P,S

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D												
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income												
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI		
		None	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	None	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000		
JT	Nissan Motor Co Ltd ADR	X												X			X							X									P,S
JT	Nokia Corp ADR	X												X			X										X						P,S
JT	Nomura Holdings Inc ADR	X												X									X										P,Trsfr
JT	Novartis AG ADR	X												X										X									P,Trsfr
JT	NTT Docomo Inc ADR	X												X			X							X									S
JT	Orix Corporation ADR	X															X							X									S
JT	Peugeot SA ADR	X												X			X							X									S
JT	Reed Elsevier PLC ADR	X												X			X							X									S
JT	Rentokil Initial PLC ADR	X												X			X								X								S
JT	Rexam Plc Adr Cmn	X												X									X										P,S
JT	Ricoh Co Ltd ADR	X												X			X									X							S
JT	Sanofi - Aventis ADR	X												X										X									P,Trsfr
JT	Sekisui Homes Ltd ADR	X												X									X										P,Trsfr
JT	Siemens AG ADR	X												X			X										X						P,S
JT	SK Telecom Co Ltd ADR	X												X			X							X									P,S
JT	Sumitomo Mitsui Finl Group Inc ADR	X												X									X										P,Trsfr
JT	Svenska Cellulosa AB ADR	X												X			X								X								P,S
JT	Swiss Reinsurance ADR	X												X			X									X							P,S
JT	Taisei Corp (Adr) Adr Cmn	X												X			X							X									P,S
JT	TDK Corporation ADR	X												X			X								X								S
JT	Teijin Ltd Adr Adr Cmn	X												X									X										P,Trsfr
JT	Telefonos De Mexico SA ADR	X												X			X									X							S
JT	Telenorte Leste Participacoes S A Adr	X												X			X								X								P,S
JT	Telstra Corp ADR	X												X			X								X								S
JT	Teva Pharmaceutical Ind Ltd ADS	X												X			X								X								P,Trsfr
JT	Thomson SA ADR	X												X									X										Trsfr
JT	Toto Ltd ADR	X												X			X							X									P,S
JT	Trinity Mirror PLC ADR	X												X			X							X									P,S
JT	UBS AG Cmn Isin	X												X									X										P,Trsfr
JT	Unilever PLC ADR	X												X			X									X							P,S
JT	United Microelectronics Corp ADR	X												X											X								P,Trsfr
JT	United Overseas Bk Ltd ADR	X												X			X									X							S
JT	UPM-Kymmene Corp ADR	X												X			X							X									P,S
JT	Valeo ADR	X															X									X							S
JT	Vodafone Group PLC ADR	X												X										X									Trsfr
	Goldman Sachs-Delaware Large Cap																																
JT	GS Finc1 Square TE MMF	X														X								X									
JT	Allergan Inc	X												X									X										Trsfr
JT	Chicago Mercantile Hldgs Inc	X												X			X									X							P,S
JT	CME Group Inc	X												X			X								X								Mrgr,S
JT	Expeditors Intl Wash Inc	X												X									X										Trsfr
JT	Intercontinentalexchange inc	X															X								X								P,S

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Moodys Corp	X												X			X									X						P,S
JT	Paychex Inc	X												X			X								X							P,S
JT	Praxair Inc	X												X			X								X							S
JT	Procter & Gamble Company	X												X										X								Trsfr
JT	Qualcomm Inc	X												X										X								P,Trsfr
JT	Sandisk Corp	X															X								X							S
JT	Seagate Technology	X												X										X								P,Trsfr
JT	Staples Inc	X												X									X									Trsfr
JT	United Parcel Service Inc	X												X										X								S
JT	Walgreen Co	X												X									X									Trsfr
JT	Weight Watchers Intl Inc	X												X			X								X							P,S
JT	***Goldman Sachs -Central Municipal***																															
JT	GS Fincl Square TE MMF	X														X									X							
JT	CA DWP 5.5 5/1/08	X														X									X							Trsfr
JT	CA State GO 4.5% 6/29/07	X														X										X						Trsfr
JT	Garland Tx COPS 4.125% 2/15/16	X														X										X						Trsfr
JT	LA CA USD GO 5% 7/1/09	X														X									X							Trsfr
JT	Lewisville Tx Sch Dist 3.625% 2/15/16	X														X										X						Trsfr
JT	Los Angeles CA Rev 5% 2/1/13	X														X										X						P,Trsfr
JT	Noblesville Ind Redev 4% 7/15/12	X														X										X						Trsfr
JT	Oklahoma Dev Fin Auth 4% 7/1/14	X														X										X						Trsfr
JT	Orngc Cnty CA Loc Tran 5.7% 2/15/09	X														X											X					Trsfr
JT	San Buenaventura CA 4.75% 3/1/20	X														X										X						Trsfr
JT	San Francisco 4.5% 8/1/07	X														X										X						Trsfr
JT	St of Ca GO 5% 2/1/17	X														X										X						Trsfr
JT	St of NY GO 3.5% 4/15/11	X														X										X						Trsfr
JT	Univ of CA Regents 5% 5/15/08	X														X										X						Trsfr
JT	West Allis W Milwaukee 4% 4/1/13	X														X										X						Trsfr
JT	***UBS - Eaton Vance Account***																															
JT	RMA Money Mkt. Portfolio					X								X										X								
JT	Abbott Labs				X																X											P
JT	Aes Corp			X													X									X						Trsfr,s
JT	Aetna Inc				X																X											P
JT	Air Prod & Chemical Inc				X																X											P
JT	Aon Corp			X										X			X									X						Trsfr,S
JT	Apollo Group Inc Cl A			X													X										X					Trsfr,S
JT	Bank Of Amer Corp			X										X									X									P
JT	Bank Of New York Mellon Corp				X																X											P
JT	Barrick Gold Corp	X															X										X					Trsfr,S
JT	Baxter Intl Inc			X													X									X						Trsfr,S
JT	Best Buy Co Inc			X																	X											P
JT	Burlington Nthn Santa Fe Corp				X																X											P

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Chubb Corp				X																X											P
JT	Cigna Corp			X													X									X						Trsfr,S
JT	Citigroup Inc	X												X									X									Trsfr,S
JT	Credit Suisse Group Spon Adr				X																X											P
JT	Cytec Industries Inc	X												X			X						X									Trsfr,S
JT	Deere And Co					X															X											P
JT	Eaton Corp				X																X											P
JT	Flextronics Intl Ltd	X															X									X						Trsfr,S
JT	Genl Dynamics Corp				X																X											P
JT	Genl Electric Co				X												X								X							P,S
JT	Goldman Sachs Group Inc					X															X											P
JT	Hewlett Packard Co					X															X											P
JT	Intl Business Mach					X															X											P
JT	Invesco Plc Spon Adr	X															X							X								Trsfr,S
JT	Ishares Trust Russell 1000 Val Indx Fund								X												X											P
JT	Johnson & Johnson Corp				X									X									X									P
JT	JP Morgan Chase & Co					X															X											P
JT	Kimberly Clark Corp				X																X											P
JT	Kinetic Concepts Inc New			X																	X											Trsfr
JT	Kraft Foods Inc Cl A				X																X											P
JT	Kroger Company				X																X											P
JT	Lockheed Martin Corp				X																X											P
JT	Marsh & Mclennan Cos Inc	X												X									X									Trsfr,S
JT	Mcdonalds Corp				X									X										X								P
JT	Molson Coors Brewing Co Cl B			X																	X											P
JT	Nestle S A Sponsored Adr					X															X											P
JT	Newmont Mining Corp	X															X									X						Trsfr,S
JT	Nike Inc Cl B				X																X											P
JT	NRG Energy Inc New				X																X											P
JT	Pfizer Inc			X										X									X									Trsfr
JT	Prudential Financial Inc				X									X									X									P
JT	Public Service Enterprise Group Inc				X									X			X						X									P,S
JT	Safeway Inc				X																X											P
JT	Texas Instruments	X												X			X							X								Trsfr,S
JT	Tjx Cos Inc New				X																X											P
JT	Travelers Cos Inc/The				X									X									X									P
JT	Unilever Nv N Y Shs New Netherlands			X										X			X									X						Trsfr,S
JT	Utd Technologies Corp				X																X											P
JT	Unum Group			X										X			X									X						Trsfr,S
JT	Verizon Communications Inc					X															X											P
JT	Wachovia Corp	X												X									X									P,S
JT	Walt Disney Co				X												X									X						Trsfr,S

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C						BLOCK D												
Asset and/or Income Source		Value of Asset												Type of Income						Amount of Income												
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Wash Post Co Cl B	X												X			X							X							Trsfr,S
JT	Waste Mgmt Inc New	X															X						X								Trsfr,S
JT	Wstn Digital Corp			X													X								X						Trsfr,S
JT	Wyeth				X															X											P
JT	*UBS - Parametric International Account*																														
JT	RMA Money Mkt. Portfolio			X										X									X								
JT	Abb Ltd Spon Adr				X															X											P
JT	Adidas Ag Spon Adr				X												X								X						Trsfr,S
JT	Aegon Nv Adr N Y Shs Netherlands Adr				X															X											Trsfr
JT	Aeon Co Ltd Unspon Adr				X															X											Trsfr
JT	Air Liquide Adr France Adr				X															X											P
JT	Aktiebolaget Electrolux Ltd Spon Adr			X																X											P
JT	Allianz Se Adr				X															X											Trsfr
JT	Alpha Bank A E Spon Adr			X																X											P
JT	Amcor Ltd New Au Adr				X												X						X								Trsfr,S
JT	Arcelormittal Ny Registry Shs New				X															X											P
JT	Asahi Kaisei Corp Unspon Adr				X												X					X									Trsfr,S
JT	Australia & New Zealand Bkg Grp Ltd			X																X											P
JT	AXA Adr			X																X											P
JT	Banco Bilbao Vizcaya Argentaria S A.				X															X											P
JT	Banco Santander S.A Spon Adr				X															X											P
JT	Bank Of Ireland Spon Adr			X																X											Trsfr
JT	Barclays Plc Adr			X																X											Trsfr
JT	Bayer A G Spon Adr			X																X											P
JT	BNP Paribas Sa Adr				X															X											Trsfr
JT	Boc Hong Kong Holdings Ltd Spon Adr				X												X					X									Trsfr,S
JT	British Land Co Plc Spon Adr			X																X											P
JT	Canon Inc Adr Japan Adr				X															X											Trsfr
JT	Centrica Plc New 2004	X															X							X							Trsfr,S
JT	Cheung Kong Holdings Ltd Adr			X																X											P
JT	City Developments Ltd Spon Adr			X																X											P
JT	Coca Cola Femsa S.A.B. De C V.			X																X											P
JT	Coca Cola Hellenic Bottling Co S A				X															X											P
JT	Compass Group Plc			X																X											P
JT	CRTH Plc Ireland Spon Adr			X																X											P
JT	Daimler Ag Ord			X																X											P
JT	DBS Group Hldgs Ltd. Spon Adr				X									X			X						X								Trsfr,S
JT	Deutsche Bank Ag Reg Shs Ord			X																X											P
JT	Deutsche Telekom Ag De Spon Adr				X												X							X							Trsfr,S
JT	Diageo Plc New Gb Spon Adr			X																X											P
JT	E On Ag Spon Adr	X															X								X						Trsfr,S
JT	Elan Corp Plc Spon Adr			X																X											P

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		None	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	None	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	
JT	Enel Spa Spon Adr				X									X									X									P
JT	Energias De Portugal S.A Spon Adr				X																X											P
JT	Easte Bk Der Oester Spon Adr			X																	X											P
JT	Essilor Intl Spon Adr			X																	X											P
JT	Fortis Nl Spon Adr				X									X											X							P
JT	France Telecom Sa Spon Adr				X												X							X								Trsfr,S
JT	Friends Provident Plc Unspons Adr	X												X									X									Trsfr,S
JT	Fuji Film Holdings Corp Adr				X																X											P
JT	Fujitsu Ltd Adr New Japan			X																	X											P
JT	Glaxo Smithkline Plc Adr				X																X											P
JT	Hang Lung Properties Ltd Spon Adr				X																X											P
JT	Hannover Rueckversicherungs Ag Adr			X																	X											Trsfr
JT	Heineken N V Adr Netherlands Adr				X																X											P
JT	Henkel Kgaa Spon Adr				X												X							X								Trsfr,S
JT	Hino Motors Ltd Adr Adr				X																X											P
JT	Hong Kong Elec Hldgs Ltd			X																	X											Trsfr
JT	Hoya Corp Spon Adr			X																	X											P
JT	HSBC Holdings Plc New Gb Spon Adr				X																X											Trsfr
JT	Husqvarna Ab Spon Adr			X																	X											P
JT	Hutchison Whampoa Ltd Adr				X												X							X								Trsfr,S
JT	Icap Plc Spon Adr				X																X											P,S
JT	Imperial Chem Ind Plc Adr New			X																	X											P
JT	Intesa Sanpaolo Spon Adr			X																	X											P
JT	Intl Power Plc Adr			X																	X											P
JT	Invesco Ltd				X																X											P
JT	Ishares Msci Eafe Index Fund							X													X											P
JT	J. Sainsbury Plc Spon Adr				X																X											P
JT	James Hardie Ind. N.V. Spon Adr			X																	X											P
JT	Kirin Holdings Ltd Spon Adr			X																	X											P
JT	Koninkljke Philips El N V ADR				X												X						X									P,S
JT	Korea Electric Power Crp Spons Adr Shs			X																	X											Trsfr
JT	Kt Corp Spon Adr			X													X						X									Trsfr,S
JT	Kubota Corp Adr Japan			X																	X											Trsfr
JT	Lafarge New Spon Adr				X																X											Trsfr
JT	Logitech Intl Sa Reg Namen-Akt			X																	X											P
JT	Lonmin Plc New Spon Adr				X																X											P
JT	Loreal Co Adr France Adr			X																	X											P
JT	Malayan Banking Berhad Spon Adr	X												X									X									Trsfr,S
JT	Mediaset Spa Spon Adr			X																	X											Trsfr
JT	Millicom Intl Cellular Sa New			X																	X											P
JT	Mitsubishi Corp Spons Adr Adr				X																X											P
JT	Mitsubishi Ufj Financial Group Inc Adr			X																	X											Trsfr

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B													BLOCK C							BLOCK D											
Asset and/or Income Source		Value of Asset													Type of Income							Amount of Income											
		A	B	C	D	E	F	G	H	I	J	K	L									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		None	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000		Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	None	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	
JT	Natl Australia Bank Ltd Spon Adr				X										X			X							X								Trsfr
JT	Natl Bank Greece Sa Spon Adr			X																		X											P
JT	Nedbank Group Ltd Spon Adr			X														X							X								Trsfr,S
JT	Nestle S A Sponsored Adr				X													X							X								Trsfr,S
JT	Nintendo Ltd Adr New				X																	X											P
JT	Nippon Steel Corp Unspon Adr				X																	X											P
JT	Nippon Yusen Kabushiki Spon Adr			X																		X											P
JT	Nissan Mtr Ltd Spons Adr				X																	X											Trsfr
JT	Nokia Corp Spons Adr				X																	X											P
JT	Nomura Holdings Inc New Spon Adr				X																	X											Trsfr
JT	Novartis Ag Spon Adr				X																	X											Trsfr
JT	Novo Nordisk Adr Denmark Adr				X																	X											P
JT	Ntt Docomo Inc Ech Repstg				X																	X											Trsfr
JT	Old Mutual Plc Adr Adr				X										X									X									Trsfr
JT	Peugeot S.A. New Spon Adr			X																		X											Trsfr
JT	Promise Co Ltd Unspon Adr			X																		X											P
JT	Prudential Plc Adr United Kingdom				X																	X											P
JT	Reed Elsevier Plc Repstg				X													X						X									P,S
JT	Reuters Group Plc Spon Adr			X																		X											P
JT	Rexam Plc Spon Adr Sy	X													X									X									Trsfr,S
JT	Ricoh Ltd Adr Japan			X																		X											Trsfr
JT	Roche Hldg Ltd Spons Adr Switz Adr			X																		X											P
JT	Rolls Royce Group Plc Spon Adr			X																		X											P
JT	Royal Bank Scotland Group Plc			X																		X											P
JT	Rwe Ag Adr				X													X									X						Trsfr,S
JT	Sabmiller Plc Spon Adr			X																		X											P
JT	Sandvik Ab Spon Adr				X																	X											P
JT	Sanofi-Aventis Sa Spon Adr				X													X							X								Trsfr,S
JT	Sap Ag Spon Adr			X																		X											P
JT	Scottish & Sthn Energy Plc Spon Adr				X																	X											P
JT	Seiko Epson Corp Unsponsored Adr			X																		X											P
JT	Sekisui House Ltd Spon Adr				X																	X											Trsfr
JT	Shiseido Co Ltd Spons Adr				X																	X											P
JT	Shizuoka Bank Ltd Japan Unspons Adr			X																		X											P
JT	Siemens A G Spon Adr				X													X									X						Trsfr,S
JT	Sk Telecom Co Ltd Adr	X																X								X							Trsfr,S
JT	Smith & Nephew Plc New Spon Adr			X																		X											P
JT	Sony Corp Adr New Japan			X																		X											P
JT	St George Bank Ltd Spons Adr	X																				X											Trsfr
JT	Suez Spon Adr				X																	X											P
JT	Sumitomo Metal Ind Spon Adr			X																		X											P
JT	Sumitomo Mitsui Financial Group Inc Adr				X																	X											Trsfr

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		None	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	None	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000	
JT	Svenska Cellulosa Ab (Sca) Spon Adr			X																	X											Trsfr
JT	Swedbank Ab Adr			X																	X											P
JT	Swiss Reins Co Spon Adr			X																	X											Trsfr
JT	Swisscom Ag Spon Adr			X																	X											P
JT	Syngenta Ag Spon Adr			X																	X											P
JT	Tabcorp Holdings Ltd-Sp Adr				X																X											P
JT	Tele Norte Leste Participacoes S A Adr			X																	X											Trsfr
JT	Telecom Italia Spa New Repstg				X												X							X								Trsfr,S
JT	Telstra Corp Ltd (Final) Adr			X																	X											Trsfr
JT	Tesco Plc Spons Adr				X																X											P
JT	Teva Pharmaceuticals Ind Ltd Adr			X																	X											Trsfr
JT	Tnt N V Spon Adr				X																X											P
JT	Toto Ltd Adr Japan Adr			X																	X											Trsfr
JT	Ubs Ag (Usd) New 2006 Ord			X																	X											Trsfr
JT	Unilever Nv N Y Shs Spon Adr				X									X			X									X						P,S
JT	Until Utilities Plc Spon Adr				X																X											P
JT	Valeo Spon Adr			X																	X											P
JT	Veolia Environnement Spon Adr				X																X											P
JT	Vinci S A Adr				X																X											P
JT	Vodafone Group Plc New Spon Adr					X															X											Trsfr
JT	Volvo Aktiebolaget Adr B Adr			X																	X											P
JT	Westpac Banking Ltd Spons Adr				X																X											P
JT	Woodside Petroleum Spons Adr			X																	X											P
JT	Yara Intl Asa Spon Adr				X																X											P
JT	***UBS - Brokerage Account***																															
JT	UBS Bank USA Deposit Account						X							X										X								
JT	UBS Select Tax-Free Institutional Fund									X				X													X					
JT	Eksportfinans A S A 0.000% Due 05-29-08						X														X											Trsfr
JT	GS High Yield Municipal Fund	X												X												X						S
JT	KFW 0% 5/6/08 Globalization Basket								X												X											Trsfr
JT	***UBS - Munis Account***																															
JT	RMA Tax-Free Fund Inc			X										X											X							
JT	CA DWP Rb 3.375% 5/1/22	X														X	X									X						Trsfr,S
JT	CA St B/E 5% 11/1/15						X										X									X						P
JT	CA St Dept Wtr 5.5% Due 05-01-08						X									X									X							Trsfr
JT	CA St Dept Wtr 5.5% Due 05-01-10				X																X											P
JT	CA St Loc Calif 0% Due 05-01-34								X												X											P
JT	CA St Wtr Res 5.000% Due 12-01-27						X									X								X								P
JT	East Bay Ca Mud Wstwtr 5% Due 06-01-26							X													X											P
JT	Fremont CA Usd Alameda 5% Due 08-01-27							X													X											P
JT	Garland Tx COPS 4.125% 2/15/16	X															X									X						Trsfr,S
JT	Lewisville Tx Sch Dist 3.625% 2/15/16																X					X										Trsfr,S

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	LA CA Dept Wtr 5% Due 07-01-17						X														X											P
JT	LA CA Wstwtr 5% Due 06-01-32							X													X											P
JT	LA CA Usd 5% Due 07-01-09						X														X											Trsfr
JT	LA Cnty Ca Pub 5% Due 10-01-08				X																X											P
JT	LA Cnty Ca Met Rv 5.250% Due 07-01-30							X													X											P
JT	Metro Wtr Dist Sthn	X														X	X								X							P,S
JT	St of NY GO 3.5% 4/15/11	X														X									X							Trsfr,S
JT	Noblesville Ind Redev 4% 7/15/12	X															X						X									Trsfr,S
JT	Oklahoma Dev Fm Auth 4% 7/1/14	X															X							X								Trsfr,S
JT	Orngc Co Ca Loc Trans 5.7% Due 02-15-09							X													X											Trsfr
JT	Puerto Rico Cmwlth Pub 5% Due 07-01-33							X													X											P
JT	Sacramento MUD 5% Due 08-15-14						X														X											P
JT	Sacramento MUD 5% Due 08-15-33				X																X											P
JT	San Buenaventura CA 4.75% 3/1/20	X															X							X								Trsfr,S
JT	San Diego Calif Cmnty 5% Due 08-01-26						X														X											P
JT	University Calif Revs 5% Due 05-15-08							X								X									X							Trsfr
JT	West Allis W Milwaukee 4% 4/1/13	X															X							X								Trsfr,S
JT	Wyoming Stud Ln Rv 0% Due 06-01-35						X									X								X								P
JT	***UBS - Restricted Account***																															
JT	Harman Intl Inds Inc New										X										X											Trsfr
JT	***UBS - Private Equity Account***																															
JT	UBS Bank USA Dep Acct							X						X										X								
JT	UBS Select Tax-Free Institutional Fund								X					X										X								
JT	***UBS - Moody Aldrich Partners Account***																															
JT	RMA Money Mkt. Portfolio							X						X										X								
JT	Alltel Corp	X															X										X					Trsfr
JT	Avid Technology Inc				X																X											Trsfr
JT	Bank Of Hawaii Corp				X									X									X									Trsfr
JT	Bea Systems Inc						X														X											Trsfr
JT	Bear Stearns Cos Inc						X							X									X									Trsfr
JT	Ca Inc						X							X									X									Trsfr
JT	Checkfree Corp New	X															X								X							Trsfr,S
JT	Datascope Corp				X																X											Trsfr
JT	Dundee Corp Sub Vtg Cl A						X														X											Trsfr
JT	Eastman Kodak Co						X							X										X								Trsfr
JT	First Citizens Bancshs Inc N. C. Cl A				X																X											Trsfr
JT	Forest City Enterprises Inc Cl A						X														X											Trsfr
JT	Glacier Bancorp Inc New				X									X									X									Trsfr
JT	Health Mgmt Assn Inc New Cl A				X																X											Trsfr
JT	Hearst-Argyle Television Inc				X																X											Trsfr
JT	Hewlett Packard Co						X														X											Trsfr
JT	Huntington Bancshares				X																X											Trsfr

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Imation Corp			X																	X											Trsfr
JT	Intl Business Mach					X															X											Trsfr
JT	Lawson Products Inc				X																X											Trsfr
JT	Lehman Bros Holdings Inc					X															X											Trsfr
JT	Mattson Technology Inc				X																X											Trsfr
JT	Mentor Graphics Corp				X																X											Trsfr
JT	Mine Safety Appliances Co					X								X									X									Trsfr
JT	Motorola Inc					X															X											Trsfr
JT	Novellus Systems Inc				X																X											Trsfr
JT	Nthn Trust Corp						X														X											Trsfr
JT	Oppenheimer Holdings Inc Non Vtg Shs Cl				X																X											Trsfr
JT	Pope Res Del Ltd Partnership Dep Rct						X							X										X								Trsfr
JT	Progress Software Corp				X																X											Trsfr
JT	Qualcomm Inc				X																X											Trsfr
JT	Raymond James Financial Corp					X															X											Trsfr
JT	Royal Caribbean Cruises Ltd Liberia Ord						X														X											Trsfr
JT	Seacoast Bkg Corp Fla A New				X																X											Trsfr
JT	Skyline Corp				X																X											P
JT	Sprint Nextel Corp Series 1 Common Stock				X																X											Trsfr
JT	Sterling Finl Corp (Wa)				X																X											Trsfr
JT	Symantec Corp						X														X											Trsfr,S
JT	Tektronix Inc	X															X									X						Trsfr
JT	Tibco Software Inc				X																X											Trsfr
JT	Tierone Corp				X																X											Trsfr
JT	Triumph Group Inc					X															X											Trsfr
JT	Universal Health Svcs Inc Cl B					X															X											Trsfr
JT	Valley Natl Bancorp				X																X											Trsfr
JT	West Pharmaceutical Services Inc					X								X									X									Trsfr
JT	Wiley John & Sons Inc Cl A					X															X											Trsfr
JT	Windstream Corp				X																X											Trsfr
JT	Wsfs Finl Corp				X																X											Trsfr
JT	Xerox Corp				X																X											Trsfr
JT	***UBS - Westfield LCG Account***																															
JT	RMA Money Mkt. Portfolio			X										X									X									P
JT	Alcon Inc				X																X											P
JT	Allergan Inc	X															X									X						Trsfr,S
JT	Amdocs Ltd				X																X											P
JT	Autodesk Inc					X															X											P
JT	Bunge Limited				X																X											P
JT	Cme Group Inc				X												X									X						Trsfr,S
JT	Cvs Caremark Corp					X															X											P
JT	Danaher Corp				X																X											P

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Exempted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Ebay Inc				X												X															
JT	Ecolab Inc				X																				X							Trsfr
JT	Elan Corp Plc Spon Adr					X															X											P
JT	Expeditors Intl Wash Inc	X																			X											P
JT	Genentech Inc	X															X									X						Trsfr,S
JT	Gilead Sciences Inc				X												X								X							Trsfr,S
JT	Goldcorp Inc New				X																X											P
JT	Google Inc Cl A				X												X				X											P
JT	Hewlett Packard Co				X																					X						Trsfr,S
JT	Intel Corp				X																X											P
JT	Intercontinentalexchange	X																			X											P
JT	Intuit	X															X										X					Trsfr,S
JT	Ishares Trust Russell 1000 Index						X																			X						Trsfr,S
JT	Itt Corp				X																X											P
JT	Lilly Eli & Co					X															X											P
JT	Maxim Integrated Prods Inc													X									X									P
JT	Memc Electronic Materials Inc				X									X									X									P
JT	Mgm Mirage					X											X							X								P,S
JT	Microsoft Corp					X															X											P
JT	Natl-Oilwellvarco Inc					X															X											P
JT	Nestle S A Sponsored Adr					X															X											P
JT	Nymex Holdings Inc				X																X											P
JT	Omnicom Group Inc				X																X											P
JT	Oracle Corp				X																X											P
JT	Paychex Inc	X															X								X							Trsfr,S
JT	Praxair Inc					X											X									X						Trsfr,S
JT	Procter & Gamble Co	X												X			X									X						Trsfr,S
JT	Qualcomm Inc				X												X									X						Trsfr,S
JT	Research In Motion Ltd	X															X							X								Trsfr,S
JT	Reuters Group Plc Spon	X															X										X					Trsfr,S
JT	Rockwell Automation Inc New				X												X							X								Trsfr,S
JT	Sandisk Corp	X																			X											P
JT	Schwab Charles Corp New																X							X								Trsfr,S
JT	Seagate Technology	X																			X											P
JT	Thermo Fisher Scientific Inc					X											X							X								Trsfr,S
JT	Unitd Technologies Corp				X																X											P
JT	Walgreen Co	X																			X											P
JT	Weatherford Intl Inc (Bermuda)					X											X							X								Trsfr,S
JT	Weight Watchers Intl Inc	X															X				X											P
JT	Wyeth				X									X											X							Trsfr,S
JT	*UBS - WHV Account*																					X										P
JT	RMA Money Mkt. Porfolio					X								X									X									

JANE HARMAN
SCHEDULE III ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

BLOCK A		BLOCK B												BLOCK C							BLOCK D											
Asset and/or Income Source		Value of Asset												Type of Income							Amount of Income											
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
JT	Allianz Se Adr				X																X											P
JT	Arauco Celulose S A Adr Cl B			X																	X											P
JT	Axa Adr			X																	X											P
JT	Bae Systems Plc Spon Adr				X																X											P
JT	Basf Ag Spon Adr				X																X											P
JT	Bhp Billiton Ltd Spon Adr					X															X											P
JT	Brookfield Asset Mgmt Inc Ltd			X																	X											P
JT	Cadbury Schweppes Plc Adr				X																X											P
JT	Canadian Natural Resources Ltd				X																X											P
JT	Canadian Pacific Railway Ltd			X																	X											P
JT	Cdn Natl Railway Co Foreign Stock				X																X											P
JT	Companhia Vale Do Rio Doce Spon Adr				X																X											P
JT	Cooper Industries Ltd Cl A				X																X											P
JT	Core Laboratories N V			X																	X											P
JT	Ensign Energy Services Inc			X																	X											P
JT	Ingersoll Rand Co Cl A				X																X											P
JT	Manulife Financial Corp			X																	X											P
JT	Nabors Industries Ltd New					X															X											P
JT	Nestle S A Sponsored Adr				X																X											P
JT	Noble Corp Ord					X															X											P
JT	Novartis Ag Spon Adr				X																X											P
JT	Partnerre Ltd Ord Foreign Stock			X																	X											P
JT	Potash Corp Sask Inc				X																X											P
JT	Rio Tinto Plc Spon Adr					X															X											P
JT	Rwe Ag Adr			X																	X											P
JT	Schlumberger Ltd NA					X															X											P
JT	Suncor Inc					X															X											P
JT	Talisman Energy Inc			X																	X											P
JT	Teck Cominco Ltd Cl B				X																X											P
JT	Tenaris S.A. Ads					X															X											P
JT	Transocean Inc New Shs Ord					X															X											P
JT	Trican Well Service Ltd			X																	X											P
JT	Unilever Nv N Y Shs Spon ADR			X																	X											P
JT	Weatherford Intl Inc					X															X											P
JT	Yara Intl Asa Spon Adr			X																	X											P
JT	***UBS - Aletheia Account***																															
JT	RMA Money Mkt. Porfolio								X					X									X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,0	K Over $50,000,000
SP	***Goldman Sachs (GS) - Brokerage Account***														
SP	Eksportfinans ASA 0% Due 5/29/08	X			05/15/07							X			
SP	Eksportfinans ASA 0% Due 7/18/07		X		07/18/07										
SP	***Goldman Sachs - Boston Co Account***														
SP	Adidas Ag Adr Cmn	X			4 Transactions			X							
SP	Aeon Co., Ltd. Adr Cmn	X			6 Transactions				X						
SP	Allianz Se Adr Cmn	X			2 Transactions		X								
SP	Ashai Kasei Corp Adr Cmn	X			2 Transactions			X							
SP	Banco Santander Central Hispano Sa Spon Adr	X			2 Transactions		X								
SP	BNP Paribas Sponsored Adr Cmn	X			3 Transactions			X							
SP	BOC Hong Kong (Holdings) Ltd Adr	X			2 Transactions		X								
SP	Canon Inc Adr Adr Cmn	X			3 Transactions			X							
SP	DBS Group Holdings Sponsored Adr Cmn	X			4/9/2007	X									
SP	Debenhams Plc Adr Cmn	X			2 Transactions		X								
SP	Deutsche Telekom Ag Sponsored Adr Cmn	X			3 Transactions			X							
SP	E.On Ag Sponsored Adr Cmn	X			4/12/2007		X								
SP	Ericsson (Lm) Tel Co Adr Cmn Class B	X			5 Transactions				X						
SP	France Telecom Sa Sponsored Adr Cmn	X			2/16/2007		X								
SP	Friends Provident Plc Adr Cmn	X			3 Transactions			X							
SP	Henkel Kgaa Spon Adr Sponsored Adr Cmn	X			9 Transactions				X						
SP	Hong Kong Elec Hldgs Ltd (Spons Adr)	X			4 Transactions		X								
SP	HSBC Holdings Plc Sponsored Adr Cmn	X			4 Transactions			X							
SP	Hutchison Whampoa (Adr) Adr Cmn	X			8/14/2007		X								
SP	Johnson Elec Hldgs Spons Adr Sponsored Adr Pfd Usd0.1660	X			2 Transactions		X								
SP	KT Corporation Sponsored Adr Cmn	X			2 Transactions		X								
SP	Kubota Corp Adr Adr Cmn	X			8 Transactions				X						
SP	Lafarge Sponsored Adr Cmn	X			2 Transactions			X							
SP	Malayan Banking Berhad Sponsored Adr Cmn	X			5 Transactions			X							
SP	Mediaset Spa Adr Cmn	X			3/26/2007		X								
SP	Mitsubishi Ufj Finl Group, Inc Sponsored Adr Cmn	X			7 Transactions			X							
SP	National Australia Bank Limite Sponsored Adr (New)	X			7/9/2007	X									
SP	Nedbank Group Ltd Sponsored Adr Cmn	X			2 Transactions		X								
SP	Nestle Sa Sponsored Adr (Rep 1/20 Chf 10 Regd Shs)	X			2 Transactions		X								
SP	Nissan Motor Co Ltd Sponsored Adr	X			2 Transactions		X								
SP	Nokia Corp Spon Adr Sponsored Adr Cmn	X			2 Transactions			X							
SP	Nomura Holdings, Inc. Sponsored Adr Cmn	X			8 Transactions				X						
SP	Novartis Ag-Adr Sponsored Adr Cmn	X			6 Transactions				X						

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,0	K Over $50,000,000
SP	Old Mutual Public Limited Comp Sponsored Adr Cmn	X			2 Transactions				X						
SP	Rexam Plc Adr Cmn	X			5 Transactions			X							
SP	RWE Ag Aktiengesellschaft Spon Adr	X			4 Transactions				X						
SP	Sanofi-Aventis Sponsored Adr Cmn	X			4 Transactions			X							
SP	Sekisui Homes Ltd Sponsored Adr Cmn	X			2 Transactions		X								
SP	Siemens Ag Sponsored Adr Cmn	X			2 Transactions			X							
SP	SK Telecom Co., Ltd. Sponsored Adr Cmn	X			4/30/2007	X									
SP	Sumitomo Mitsui Finl Group Inc Adr	X			3 Transactions			X							
SP	Svenska Cellulosa Ab Spon Adr Sponsored Adr Cmn Class B	X			9/27/2007		X								
SP	Swiss Reinsurance Sponsored Adr Cmn	X			9/25/2007	X									
SP	Taisei Corp (Adr) Adr Cmn	X			2 Transactions		X								
SP	Teijin Ltd Adr Adr Cmn	X			4 Transactions			X							
SP	Telecom Italia Spa Sponsored Adr Cmn	X			4 Transactions				X						
SP	Telenorte Leste Participacoes S.A. Spons Adr Repstg Pfd	X			4 Transactions			X							
SP	Tesco Plc (Sponsored) Adr Sponsored Adr Cmn	X			3 Transactions			X							
SP	Teva Pharmaceutical Ind Ltd Ads	X			1/11/2007		X								
SP	Toto Ltd (Adr) Adr Cmn	X			3 Transactions		X								
SP	Toyota Motor Corporation Spon Adr	X			4/23/2007			X							
SP	Trinity Mirror Plc Adr Cmn	X			4 Transactions		X								
SP	UBS Ag Cmn	X			3 Transactions		X								
SP	Unilever Plc (New) Sponsored Adr Cmn	X			4 Transactions			X							
SP	United Microelectronics Corpor Sponsored Adr Cmn	X			2 Transactions		X								
SP	UPM-Kymmene Oyj Sponsored Adr Cmn	X			3 Transactions			X							
SP	WPP Group Plc Sponsored Adr Cmn	X			2 Transactions		X								
SP	ABN Amro Holding N.V. Sponsored Adr Cmn		X		6 Transactions				X						
SP	Aiful Corporation Adr Cmn		X		4 Transactions			X							
SP	Ashai Kasei Corp Adr Cmn		X		4 Transactions			X							
SP	Banco Bilbao Vizcaya S A Adr Sponsored Adr Cmn Usd0.3296		X		2 Transactions		X								
SP	Banco Santander Central Hispano Sa Spon Adr		X		2 Transactions		X								
SP	Bank Of Ireland Spon Adr Sponsored Adr Cmn		X		3 Transactions		X								
SP	BNP Paribas Sponsored Adr Cmn		X		2 Transactions			X							
SP	Cadbury Schweppes P.L.C. Adr Adr Cmn		X		5 Transactions			X							
SP	Canon Inc Adr Adr Cmn		X		1/3/2007		X								
SP	Centrica Plc Sponsored Adr Cmn		X		3 Transactions		X								
SP	Cnc Pacific Limited Sponsored Adr Cmn		X		4 Transactions		X								
SP	Coca Cola Femsa Sa Sponsored Adr Cmn		X		6 Transactions		X								
SP	Coca-Cola Amatil Limited Sponsored Adr Cmn		X		6 Transactions			X							

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,0	Over $50,000,000
SP	Credit Suisse Group Spon Adr Sponsored Adr Cmn		X		3 Transactions		X								
SP	DBS Group Holdings Sponsored Adr Cmn		X		1/22/2007		X								
SP	Deutsche Bank Ag Cmn		X		2 Transactions			X							
SP	Deutsche Telekom Ag Sponsored Adr Cmn		X		9/14/2007		X								
SP	E.On Ag Sponsored Adr Cmn		X		3 Transactions		X								
SP	Enel Spa Spon Adr Adr Cmn		X		4/2/2007		X								
SP	Eni S.P.A Spon Adr Sponsored Adr Cmn		X		2 Transactions			X							
SP	Fortis (Nl) N.V. Sponsored Adr Cmn		X		3/1/2007		X								
SP	France Telecom Sa Sponsored Adr Cmn		X		6 Transactions			X							
SP	Friends Provident Plc Adr Cmn		X		8/23/2007		X								
SP	Fujifilm Holdings Corporation Adr Cmn		X		7 Transactions				X						
SP	Funai Electric Co , Ltd. Adr Cmn		X		2 Transactions		X								
SP	Hannover Ruckvesicherungs Corp Sponsored Adr Cmn		X		3 Transactions			X							
SP	Hino Motors Ltd Adr Adr Cmn		X		9 Transactions				X						
SP	HSBC Holdings Plc Sponsored Adr Cmn		X		4 Transactions			X							
SP	Infineon Technologies Ag - Adr Sponsored Adr Cmn		X		4 Transactions			X							
SP	Kao Corp Sponsored Adr Cmn		X		7 Transactions				X						
SP	Koninklijke Philips Electrs Nv Adr Cmn		X		3 Transactions			X							
SP	KT Corporation Sponsored Adr Cmn		X		9/26/2007		X								
SP	Lagardere Groupe Spons Adr Sponsored Adr Cmn		X		4 Transactions				X						
SP	Mediaset Spa Adr Cmn		X		8/23/2007	X									
SP	Nestle Sa Sponsored Adr (Rep 1/20 Chf 10 Regd Shs)		X		3/7/2007		X								
SP	Nissan Motor Co Ltd Sponsored Adr		X		1/25/2007		X								
SP	Nokia Corp Spon Adr Sponsored Adr Cmn		X		4 Transactions				X						
SP	NTT Docomo, Inc. Sponsored Adr Cmn		X		5/2/2007		X								
SP	Orix Corporation Spons Adr Sponsored Adr Cmn		X		1/11/2007		X								
SP	Peugeot S A. Sponsored Adr Cmn		X		1/10/2007		X								
SP	Reed Elsevier Plc Sponsored Adr Cmn		X		9/27/2007		X								
SP	Rentokil Initial Plc Adr + Sponsored Adr Cmn		X		4 Transactions			X							
SP	Rexam Plc Adr Cmn		X		8/14/2007	X									
SP	Ricoh Co Ltd Adr Cmn		X		2 Transactions			X							
SP	Shinsei Bank Ltd Tokyo Sponsored Adr Cmn		X		4 Transactions			X							
SP	Siemens Ag Sponsored Adr Cmn		X		6 Transactions				X						
SP	SK Telecom Co , Ltd. Sponsored Adr Cmn		X		5/8/2007		X								
SP	Svenska Cellulosa Ab Spon Adr Sponsored Adr Cmn Class B		X		2 Transactions		X								
SP	Swiss Reinsurance Sponsored Adr Cmn		X		2 Transactions			X							
SP	Taisei Corp (Adr) Adr Cmn		X		4/10/2007		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,0	K Over $50,000,000
SP	TDK Corporation (Adr) Adr Cmn		X		4/10/2007			X							
SP	Telefonos De Mexico Sa Sponsored Adr'S Rep Sr L Shrs		X		2 Transactions		X								
SP	Telenorte Leste Participacoes S.A. Spons Adr Repstg Pfd		X		3 Transactions		X								
SP	Telstra Corp Adr (Final) Sponsored Adr Cmn		X		2 Transactions		X								
SP	Teva Pharmaceutical Ind Ltd Ads		X		3 Transactions			X							
SP	Toto Ltd (Adr) Adr Cmn		X		2/27/2007	X									
SP	Trinity Mirror Plc Adr Cmn		X		4 Transactions		X								
SP	Unilever Plc (New) Sponsored Adr Cmn		X		2 Transactions		X								
SP	United Overseas Bk Ltd Sponsored Adr Cmn		X		5 Transactions				X						
SP	UPM-Kymmene Oyj Sponsored Adr Cmn		X		9/27/2007	X									
SP	Valeo Spons Adr Sponsored Adr Cmn		X		6 Transactions				X						
SP	***UBS - Parametric International Account***														
SP	Abb Ltd Spon Adr	X			2 Transactions			X							
SP	Adidas Ag Spon Adr Unsolicited	X			12/13/07	X									
SP	Air Liquide Adr France Adr	X			2 Transactions			X							
SP	Aktiebolaget Electrolux Ltd Sponsored Adr	X			12/13/07		X								
SP	Alpha Bank A E Spon Adr Unsolicited	X			12/13/07	X									
SP	Arcelormittal Ny Registry Shs New	X			2 Transactions			X							
SP	Australia & New Zealand Bkg Grp Ltd Sponsrd Adr	X			11/12/07		X								
SP	Axa Adr	X			2 Transactions		X								
SP	Bae Systems Plc Spon Adr	X			11/12/07			X							
SP	Banco Bilbao Vizcaya Argentaria S A Spon	X			11/12/07				X						
SP	Banco Santander S.A Spon Adr	X			2 Transactions			X							
SP	Bayer A G Spon Adr	X			2 Transactions		X								
SP	Bridgestone Corp Ltd Adr Japan	X			11/12/07	X									
SP	British Land Co Plc Spon Adr	X			2 Transactions		X								
SP	Cheung Kong Holdings Ltd Adr Hong Kong	X			11/12/07	X									
SP	City Developments Ltd Spon Adr	X			11/12/07		X								
SP	Clp Holdings Ltd Spon Adr	X			12/13/07		X								
SP	Coca Cola Amahl Sponsor Adr Australia	X			2 Transactions	X									
SP	Coca Cola Hellenic Bottling Co S A. Spon	X			11/12/07			X							
SP	Compass Group Plc	X			11/12/07		X								
SP	Contl Ag-Spons Adr Adr	X			11/12/07		X								
SP	Crh Plc Ireland Spon Adr	X			11/12/07		X								
SP	Daimler Ag Ord	X			2 Transactions		X								
SP	Delhaize Group Spon Adr Unsolicited	X			12/13/07	X									
SP	Deutsche Bank Ag Reg Shs Ord	X			2 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,00	Over $50,000,000
SP	Diageo Plc New Gb Spon Adr	X			11/12/07		X								
SP	Elan Corp Plc Spon Adr	X			11/12/07	X									
SP	Enel Spa Spon Adr	X			2 Transactions			X							
SP	Energias De Portugal S.A. Spon Adr	X			11/12/07			X							
SP	Erste Bk Der Oester Spon Adr	X			11/12/07		X								
SP	Essilor Intl Spon Adr	X			11/12/07	X									
SP	Fiat S P A New Repstg Ont Ord Euro 0.50 Par	X			2 Transactions			X							
SP	Fortis Nl New Mandatory Exchange 12/01" Spon"	X			11/12/07		X								
SP	Fuji Film Holdings Corp Adr	X			11/12/07			X							
SP	Glaxo Smithkline Plc Adr	X			11/12/07				X						
SP	Groupe Danone Sponsored Adr	X			12/13/07	X									
SP	Hang Lung Properties Ltd Spon Adr	X			11/12/07			X							
SP	Heineken N V Adr Netherlands	X			11/12/07			X							
SP	Henderson Land Dev Ltd Spon Adr	X			12/13/07	X									
SP	Hino Motors Ltd Adr Adr	X			11/12/07			X							
SP	Hong Kong Elec Hldgs Ltd (Hong Kong) Spon Adr	X			12/13/07	X									
SP	Hoya Corp Spon Adr	X			2 Transactions		X								
SP	Husqvarna Ab Spon Adr Unsolicited	X			12/13/07		X								
SP	Iberdrola Sa Spon Adr	X			2 Transactions			X							
SP	Icap Plc Spon Adr	X			11/12/07			X							
SP	Imperial Chem Ind Plc Adr New	X			11/12/07		X								
SP	Infineon Technologies Adr	X			12/13/07	X									
SP	Intesa Sanpaolo Spon Adr	X			2 Transactions		X								
SP	Intl Power Plc Adr	X			11/12/07			X							
SP	Invesco Plc Spon Adr	X			11/12/07		X								
SP	Ishares Msci Eafe Index Fund	X			12/18/[illegible]							X			
SP	J. Sainsbury Plc Spon Adr	X			11/[illegible]			X							
SP	James Hardie Ind. N V. Spon Adr	X			11/12/07		X								
SP	Kirin Holdings Ltd Spon Adr	X			11/12/07		X								
SP	Komatsu Ltd Spon Adr New	X			11/12/07		X								
SP	Koninklijke Ahold Nv New 2007 Spon Adr	X			11/12/07		X								
SP	Logitech Intl Sa Reg Namen-Akt	X			12/13/07	X									
SP	Lonmin Plc New Spon Adr Unsolicited	X			12/13/07			X							
SP	Loreal Co Adr France Adr	X			2 Transactions		X								
SP	Macquarie Bank Ltd Adr	X			2 Transactions			X							
SP	Makita Corp Adr Japan	X			11/12/07			X							
SP	Marks & Spencer Group Inc Spon Adr	X			11/12/07			X							

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,0	Over $50,000,000
SP	Marubeni Corp Adr Japan	X			11/12/07	X									
SP	Metso Corp Spon Adr	X			11/12/07		X								
SP	Millicom Intl Cellular Sa New	X			12/1[illegible]/07	X									
SP	Mitsubishi Corp Spons Adr Adr	X			11/[illegible]			X							
SP	Mitsubishi Estate Ltd Adr Adr	X			11/12/[illegible]		X								
SP	Mitsui & Co Ltd Adr Japan	X			11/12/07			X							
SP	Mitsui Sumitomo Insurance Ltd Japan Adr	X			12/13/07	X									
SP	Natl Bank Greece Sa Spon Adr	X			2 Transactions		X								
SP	Neptune Orient Lines Ltd Spons Adr Singapore	X			11/12/07		X								
SP	Newcrest Mining Ltd Spon Australia Adr	X			2 Transactions			X							
SP	Nidec Corp Adr Unsolicited	X			12/13/07	X									
SP	Nintendo Ltd Adr New Japan	X			11/12/07			X							
SP	Nippon Steel Corp Unspon Adr	X			11/12/07			X							
SP	Nippon Yusen Kabushiki Spon Adr 2006	X			2 Transactions			X							
SP	Nokia Corp Spons Adr Finland Adr	X			2 Transactions			X							
SP	Novo Nordisk Adr Denmark Adr	X			11/12/07			X							
SP	Orkla Asa A Shs Spon Adr	X			11/12/07	X									
SP	Promise Co Ltd Unspon Adr	X			12/13/07	X									
SP	Prudential Plc Adr United Kingdom	X			11/12/07			X							
SP	Reed Elsevier Nv Repstg 2 Ord Shs Spon Adr	X			11/12/07		X								
SP	Reuters Group Plc Spon Adr	X			11/12/07		X								
SP	Roche Holding Ltd Spons Adr	X			2 Transactions		X								
SP	Rolls Royce Group Plc Spon Adr	X			11/12/07		X								
SP	Royal Bank Scotland Group Plc Repstg Shs	X			2 Transactions	X									
SP	Ryanair Holdings Plc Spon Adr	X			11/12/07		X								
SP	Sabmiller Plc Spon Adr	X			11/12/07		X								
SP	Sandvik Ab Spon Adr	X			11/12/07			X							
SP	Sap Ag Spon Adr	X			11/12/07	X									
SP	Scottish & Sthn Energy Plc Spon Adr	X			2 Transactions			X							
SP	Seiko Epson Corp Unsponsored Adr	X			12/13/07		X								
SP	Sgs Societe Generale De Surveillance Hldg Sa	X			11/12/07		X								
SP	Shiseido Co Ltd Spons Adr Japan	X			11/12/07		X								
SP	Shizuoka Bank Ltd Japan Unspons Adr	X			12/13/07		X								
SP	Smith & Nephew Plc New Spon Adr	X			11/12/07		X								
SP	Sodexho Alliance Sa Spon Adr	X			12/13/07	X									
SP	Sony Corp Adr New Japan	X			12/13/07		X								
SP	St George Bank Ltd Spons Adr	X			12/13/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,00	K Over $50,000,000
SP	Suez Spon Adr	X			11/12/07			X							
SP	Sumitomo Metal Ind Spon Adr (Japan)	X			11/12/07		X								
SP	Swedbank Ab Adr Unsolicited	X			12/13/07	X									
SP	Swisscom Ag Spon Adr Unsolicited	X			12/13/07		X								
SP	Syngenta Ag Spon Adr	X			11/12/07		X								
SP	Syngenta Ag Spon Adr Unsolicited	X			12/13/07		X								
SP	Tabcorp Holdings Ltd-Sp Adr	X			2 Transactions			X							
SP	Technip Sa New Spon Adr	X			2 Transactions			X							
SP	Tesco Plc Spons Adr United Kingdom	X			11/12/07		X								
SP	Tnt N V Spon Adr	X			2 Transactions		X								
SP	Unilever Nv N Y Shs New Netherlands Spon	X			11/12/07		X								
SP	Unitd Utilities Plc Spon Adr	X			11/12/07			X							
SP	Valeo Spon Adr Unsolicited	X			12/13/07	X									
SP	Veolia Environnement Spon Adr	X			11/12/07			X							
SP	Vinci S.A Adr	X			2 Transactions			X							
SP	Volkswagen A G Sponsored Adr New Germany	X			11/12/07			X							
SP	Volvo Aktiebolaget Adr B Adr	X			11/12/07	X									
SP	Westpac Banking Ltd Sponsored Adr Australia	X			11/12/07			X							
SP	Woodside Petroleum Spons Adr Nw Australia Adr	X			2 Transactions			X							
SP	Yara Intl Asa Spon Adr	X			11/12/07			X							
SP	Adidas Ag Spon Adr		X		11/12/07			X							
SP	Aegon Nv Adr N Y Shs Netherlands		X		5 Transactions	X									
SP	Aeon Co Ltd Unspon Adr		X		2 Transactions				X						
SP	Allianz Se Adr Vsp 05/24/07		X		11/12/07	X									
SP	Amcor Ltd New Au Adr		X		3 Transactions			X							
SP	Asahi Kaisei Corp Unspon Adr		X		2 Transactions		X								
SP	Bank Of Ireland Spon Adr Unsolicited		X		12/18/07		X								
SP	Boc Hong Kong Holdings Ltd Spon Adr		X		3 Transactions		X								
SP	Canon Inc Adr Japan		X		4 Transactions		X								
SP	Centrica Plc New 2004 Spon Adr		X		11/12/07		X								
SP	Ciba Specialty Chem Hldgs Inc Spon Adr		X		2 Transactions			X							
SP	Coca Cola Femsa S A B. De C.V. (Mexico) Spon		X		12/13/07	X									
SP	Contl Ag-Spons Adr Adr Unsolicited		X		12/18/07		X								
SP	Daimler Ag Ord Unsolicited		X		12/18/07		X								
SP	Dbs Group Hldgs Ltd. Spon Adr		X		5 Transactions			X							
SP	Debenhams Plc Adr		X		11/12/07		X								
SP	Deutsche Telekom Ag De Spon Adr		X		4 Transactions			X							

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	E On Ag Spon Adr		X		11/12/07			X							
SP	Fiat S P A New Repstg One Ord Euro 0.50 Par		X		2 Transactions			X							
SP	Fortis Nl Spon Adr Unsolicited		X		12/13/07		X								
SP	France Telecom Sa Spon Adr		X		11/12/07		X								
SP	Friends Provident Plc Unspons Adr		X		6 Transactions			X							
SP	Funai Elec Co Adr Unsolicited		X		12/13/07		X								
SP	Hbos Plc Spon Adr		X		5 Transactions			X							
SP	Henkel Kgaa Spon Adr		X		2 Transactions				X						
SP	Hino Motors Ltd Adr Adr Unsolicited		X		12/13/07	X									
SP	Hsbc Holdings Plc New Gb Spon Adr		X		2 Transactions		X								
SP	Hutchison Whampoa Ltd Adr Hong Kong		X		4 Transactions		X								
SP	Iberdrola Sa Spon Adr Unsolicited		X		2 Transactions			X							
SP	Icap Plc Spon Adr Unsolicited		X		12/13/07	X									
SP	Intl Power Plc Adr Unsolicited		X		12/18/07			X							
SP	Johnson Elec Hldgs Adr Bermuda Adr		X		2 Transactions		X								
SP	Komatsu Ltd Spon Adr New		X		12/13/07	X									
SP	Korea Electric Power Crp Sponsored Adr		X		11/12/07	X									
SP	Kt Corp Spon Adr		X		3 Transactions		X								
SP	Kubota Corp Adr Japan		X		8 Transactions				X						
SP	L M Ericsson Telefon Co Sek 10 New 2002 Adr		X		6 Transactions			X							
SP	Makita Corp Adr Japan		X		12/18/07		X								
SP	Malayan Banking Berhad Spon Adr		X		5 Transactions			X							
SP	Marks & Spencer Group Inc Spon Adr		X		12/18/07		X								
SP	Marubeni Corp Adr Japan		X		12/18/07	X									
SP	Mediaset Spa Spon Adr		X		3 Transactions			X							
SP	Metso Corp Spon Adr Unsolicited		X		12/18/07		X								
SP	Mitsubishi Estate Ltd Adr Adr		X		12/18/07		X								
SP	Mitsubishi Ufj Financial Group Inc Spon Adr		X		14 Transactions				X						
SP	Mitsui & Co Ltd Adr Japan		X		2 Transactions			X							
SP	Natl Australia Bank Ltd Spon Adr		X		2 Transactions		X								
SP	Nedbank Group Ltd Spon Adr		X		2 Transactions		X								
SP	Neptune Orient Lines Ltd Spons Adr Singapore		X		2 Transactions		X								
SP	Nestle S A Sponsored Adr Repstg Reg Shs Switz		X		2 Transactions		X								
SP	Nintendo Ltd Adr New Japan		X		12/13/07	X									
SP	Nippon Yusen Kabushiki Spon Adr 2006		X		2 Transactions		X								
SP	Nissan Mtr Ltd Spons Adr Japan		X		4 Transactions			X							
SP	Nomura Holdings Inc New Spon Adr		X		9 Transactions				X						

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	Novartis Ag Spon Adr		X		2 Transactions			X							
SP	Novo Nordisk Adr Denmark Adr		X		12/13/07	X									
SP	Ntt Docomo Inc Ech Repstg 1/200Th Com Shs		X		2 Transactions	X									
SP	Old Mutual Plc Adr Adr		X		2 Transactions			X							
SP	Orkla Asa A Shs Spon Adr Unsolicited		X		12/13/07	X									
SP	Reed Elsevier Plc Repstg 4 Ord Shs Spon Adr		X		2 Transactions	X									
SP	Rentokil Initial Plc Spon Adr		X		6 Transactions			X							
SP	Rexam Plc Spon Adr		X		6 Transactions		X								
SP	Rwe Ag Adr		X		11/12/07			X							
SP	Ryanair Holdings Plc Spon Adr		X		12/18/07	X									
SP	Sanofi-Aventis Sa Spon Adr		X		8 Transactions				X						
SP	Sekisui House Ltd Spon Adr		X		5 Transactions			X							
SP	Sgs Societe Generale De Surveillance Hldg Sa		X		12/18/07		X								
SP	Siemens A G Spon Adr		X		11/12/07				X						
SP	Sk Telecom Co Ltd Adr		X		11/12/07		X								
SP	Smith & Nephew Plc New Spon Adr		X		12/13/07	X									
SP	Sumitomo Mitsui Financial Group Inc Adr		X		9 Transactions				X						
SP	Svenska Cellulosa Ab (Sca) Spon Adr		X		11/12/07	X									
SP	Swiss Reins Co Spon Adr Unsolicited		X		12/18/07	X									
SP	Technip Sa New Spon Adr Unsolicited		X		2 Transactions			X							
SP	Teijin Ltd Adr		X		5 Transactions			X							
SP	Telecom Italia Spa New Repstg 10 Ord Shs Spon		X		4 Transactions		X								
SP	Thomson Spon Adr		X		2 Transactions			X							
SP	Toto Ltd Adr Japan		X		9 Transactions			X							
SP	Toyota Motor Corp New Japan Spon Adr		X		12/18/07		X								
SP	Trinity Mirror Plc Spon Adr		X		5 Transactions		X								
SP	Ubs Ag (Usd) New 2006 Ord		X		4 Transactions			X							
SP	Unilever Plc Amer Shs New Spon Adr		X		8 Transactions				X						
SP	Unitd Microelectronics Corp New Spon Adr		X		4 Transactions		X								
SP	Unitd Utilities Plc Spon Adr		X		12/13/07	X									
SP	Upm Kymmene Corp Spon Adr		X		7 Transactions				X						
SP	Volkswagen A G Sponsored Adr New Germany		X		12/18/07			X							
SP	Woodside Petroleum Spons Adr Nw Australia Adr		X		2 Transactions			X							
SP	Wpp Group Adr Unsolicited		X		3 Transactions		X								
SP	Yara Intl Asa Spon Adr Unsolicited		X		12/13/07	X									
SP	*UBS - WHV Account*														
SP	Allianz Se Adr	X			12/17/07			X							

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,0	Over $50,000,000
SP	Aracruz Celulose S A Adr Cl B Spon Adr	X			12/17/07	X									
SP	Axa Adr	X			12/17/07		X								
SP	Bae Systems Plc Spon Adr	X			12/17/07		X								
SP	Basf Ag Spon Adr	X			12/17/07			X							
SP	Bhp Billiton Ltd Spon Adr	X			12/17/07				X						
SP	Brookfield Asset Mgmt Inc Ltd Vtg Shs Cl A	X			12/17/07		X								
SP	Cadbury Schweppes Plc Adr New	X			12/17/07			X							
SP	Canadian Natural Resources Ltd	X			12/17/07		X								
SP	Canadian Pacific Railway Ltd	X			12/17/07		X								
SP	Cdn Natl Railway Co Foreign Stock	X			12/17/07			X							
SP	Companhia Vale Do Rio Doce Spon Adr	X			12/17/07			X							
SP	Cooper Industries Ltd Cl A	X			12/17/07			X							
SP	Core Laboratories N V	X			12/17/07		X								
SP	Ensign Energy Services Inc	X			12/17/07		X								
SP	Ingersoll Rand Co Cl A	X			12/17/07			X							
SP	Manulife Financial Corp	X			12/17/07		X								
SP	Nabors Industries Ltd New (Bermuda)	X			12/17/07				X						
SP	Nestle S A Sponsored Adr Repstg Reg Shs Switz	X			12/17/07			X							
SP	Noble Corp Ord	X			12/17/07				X						
SP	Novartis Ag Spon Adr	X			12/17/07			X							
SP	Partnerre Ltd Ord Foreign Stock	X			12/17/07		X								
SP	Potash Corp Sask Inc Canada	X			12/17/07			X							
SP	Rio Tinto Plc Spon Adr	X			12/17/07				X						
SP	Rwe Ag Adr	X			12/17/07		X								
SP	Schlumberger Ltd Netherlands Antilles	X			12/17/07				X						
SP	Suncor Inc	X			12/17/07				X						
SP	Talisman Energy Inc	X			12/17/07		X								
SP	Teck Cominco Ltd Canada Sub Vtg Cl B Ord	X			12/17/07			X							
SP	Tenaris S.A. Ads	X			12/17/07				X						
SP	Transocean Inc New Shs Ord	X			12/17/07				X						
SP	Trican Well Service Ltd	X			12/17/07	X									
SP	Unilever Nv N Y Shs New Netherlands Spon	X			2 Transactions			X							
SP	Weatherford Intl Inc (Bermuda)	X			12/17/07				X						
SP	Yara Intl Asa Spon Adr	X			12/17/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Goldman Sachs-CP Municipal SEP AC														
JT	Dept of Veteran Affairs 5.05% 12/01/13	X			01/03/07					X					
JT	Sacramento CA City Fin Auth 5% 12/1/33	X			02/02/07							X			
JT	CA Edu Facs Auth 5% 10/1/33	X			08/22/07							X			
JT	CA Dept of Water & Pwr 5.25% 5/1/09	X			09/10/07			X							
JT	Puerto Rico Infrastructure 5% 7/1/28		X		08/22/07							X			
JT	***Goldman Sachs(GS)-CP Alternatives***														
JT	GS Core Fixed Income Mutual Fund		X		07/02/07					X					
JT	GS Government Income Mutual Fund		X		06/26/07	X									
JT	***Goldman Sachs(GS)-CP Brokerage***														
JT	GS Government Income Mutual Fund		X		4 Transactions							X			
JT	***Goldman Sachs (GS)-CP Aspen Loan***														
JT	GS Structured Large Cap Growth Institutional		X		04/04/07						X				
JT	GS Concentrated International Equity Fund		X		04/04/07							X			
JT	GS Core Fixed Income Mutual Fund		X		9 Transactions					X					
JT	***Goldman Sachs (GS)-CP Delaware Large Cap***														
JT	Allergan Inc Cmn	X			3/16/07	X									
JT	Chicago Mercantile Hldgs Inc Cmn	X			6/12/07		X								
JT	Crown Castle Intl Corp Common Stock	X			6 Transactions				X						
JT	Genentech Inc. Cmn	X			8/22/07		X								
JT	Google, Inc. Cmn Class A	X			2 Transactions		X								
JT	Intercontinentalexchange Inc Cmn	X			6/12/07		X								
JT	Intuit Inc Cmn	X			3 Transactions		X								
JT	Paychex, Inc. Cmn	X			3/16/07	X									
JT	Qualcomm Inc Cmn	X			8/22/07		X								
JT	Research In Motion Cmn	X			8/20/07	X									
JT	Reuters Group Plc Spons Adr Sponsored Adr Cmn	X			2 Transactions				X						
JT	Unitedhealth Group Inc Cmn	X			9/4/07		X								
JT	Weight Watchers International, Inc. Cmn	X			3 Transactions		X								
JT	Western Union Company (The) Cmn	X			8/22/07		X								
JT	Chicago Mercantile Hldgs Inc Cmn		X		3/15/07		X								
JT	Cme Group Inc Cmn		X		8/20/07		X								
JT	Ebay Inc. Cmn		X		2 Transactions		X								
JT	Intercontinentalexchange Inc Cmn		X		8/20/07		X								
JT	Mgm Mirage Cmn		X		5 Transactions			X							
JT	Moodys Corp Cmn		X		4 Transactions				X						
JT	Paychex, Inc. Cmn		X		8/20/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,00	Over $50,000,000
JT	Praxair Inc Cmn		X		8/10/07		X								
JT	Sandisk Corp Cmn		X		2/21/07		X								
JT	United Parcel Service, Inc. Class B Common Stock		X		8/20/07		X								
JT	***UBS - CP Brokerage Account***														
JT	GS High Yield Municipal Mutual IFund		X		12/10/07							X			
JT	GS Government Income Mutual Fund		X		12/12/07							X			
JT	***UBS - CP Eaton Vance Account***														
JT	Abbott Labs	X			12/27/07		X								
JT	Aetna Inc	X			12/27/07		X								
JT	Air Prod & Chemical Inc	X			12/27/07		X								
JT	Alcoa Inc	X			12/27/07		X								
JT	Amer Intl Group Inc	X			12/27/07			X							
JT	Ameriprise Financial Inc	X			12/27/07			X							
JT	Avalonbay Communities Inc Sbi	X			12/27/07		X								
JT	Bank Of Amer Corp	X			12/27/07			X							
JT	Bank Of New York Mellon Corp	X			12/27/07			X							
JT	Best Buy Co Inc	X			12/27/07		X								
JT	Burlington Nthn Santa Fe Corp	X			12/27/07			X							
JT	Chubb Corp	X			12/27/07			X							
JT	Credit Suisse Group Spon Adr	X			12/27/07		X								
JT	Cvs Caremark Corp	X			12/27/07		X								
JT	Deere And Co	X			12/27/07			X							
JT	Discover Financial Services	X			12/27/07	X									
JT	Eaton Corp	X			12/27/07			X							
JT	Edison Intl	X			12/27/07			X							
JT	Genl Dynamics Corp	X			12/27/07			X							
JT	Genl Electric Co	X			12/27/07		X								
JT	Goldman Sachs Group Inc	X			12/27/07			X							
JT	Hartford Fincl Services Group Inc	X			12/27/07			X							
JT	Hewlett Packard Co	X			12/27/07				X						
JT	Intl Business Mach	X			12/27/07				X						
JT	Johnson & Johnson Com	X			12/27/07			X							
JT	Johnson Controls Inc	X			12/27/07		X								
JT	Jpmorgan Chase & Co	X			12/27/07			X							
JT	Kimberly Clark Corp	X			12/27/07		X								
JT	Kraft Foods Inc Cl A	X			12/27/07		X								
JT	Kroger Company	X			12/27/07		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	Lincoln Natl Corp Ind	X			12/27/07		X								
JT	Lockheed Martin Corp	X			12/27/07		X								
JT	Mcdonalds Corp	X			12/27/07			X							
JT	Molson Coors Brewing Co Cl B	X			12/27/07		X								
JT	Nestle S A Sponsored Adr Repstg Reg Shs Swtz	X			12/27/07			X							
JT	Nike Inc Cl B	X			12/27/07		X								
JT	Nokia Corp Spons Adr Finland Adr	X			12/27/07			X							
JT	Nrg Energy Inc New	X			12/27/07			X							
JT	Pfizer Inc	X			12/27/07			X							
JT	Prudential Financial Inc	X			12/27/07			X							
JT	Public Service Enterprse Group Inc	X			12/27/07			X							
JT	Safeway Inc	X			12/27/07		X								
JT	Simon Ppty Group Inc Sbi	X			12/27/07		X								
JT	Tjx Cos Inc New	X			12/27/07		X								
JT	Travelers Cos Inc/The	X			12/27/07			X							
JT	Untd Technologies Corp	X			12/27/07			X							
JT	Verizon Communications	X			12/27/07				X						
JT	Vornado Realty Trust	X			12/27/07		X								
JT	Wachovia Corp	X			12/27/07			X							
JT	Walt Disney Co (Holding Co) Disney Com	X			12/27/07		X								
JT	Wells Fargo & Co New	X			12/27/07			X							
JT	Wyeth	X			12/27/07			X							
JT	Goldman Sachs Structured Large Cap Val In		X		12/12/07							X			
JT	***UBS - CP Aspen Loan Account***														
JT	Alpine International Real Estate Class Y	X			12/12/07				X						
JT	American Funds Euro Pacific Fund Class	X			12/12/07					X					
JT	Columbia Marsico Growth Fund Class A	X			12/12/07				X						
JT	Eaton Vance Large Cap Value Fund Class A	X			12/12/07				X						
JT	Excelsior Value Restructuring Fund	X			12/12/07				X						
JT	Ft Templeton Global Bond	X			12/12/07			X							
JT	Jp Morgan Highbridge Stat Market Neutral	X			12/12/07					X					
JT	Lazard Emerging Market Portfolio-Open Shares	X			12/12/07				X						
JT	Loomis Sayles Strategic Income Fund	X			12/12/07				X						
JT	Nuveen Tradewmds International Value Fund	X			12/12/07				X						
JT	Old Mutual Analytic Defensive Equity Fund A	X			12/12/07					X					
JT	Pimco Commodity Real Return Strategy Fund	X			12/12/07				X						
JT	Pimco Foreign Bond Fund Class A (U.S. Dollar	X			12/12/07			X							

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,0	Over $50,000,000
JT	Pimco Real Return Fund Class A	X			12/12/07				X						
JT	Thornburg Core Growth Fund	X			12/12/07				X						
JT	Wells Fargo Advantage Endeavor Select Fund	X			12/12/07				X						
JT	Goldman Sachs Emerging Markets Eq Fd Insti Shs		X		12/12/07					X					
JT	***UBS - CP Westfield Account***														
JT	Alcon Inc	X			10/26/07				X						
JT	Amdocs Ltd	X			10/26/07			X							
JT	Amer Express Co	X			10/26/07			X							
JT	Autodesk Inc	X			3 Transactions				X						
JT	Biogen Idec Inc	X			2 Transactions					X					
JT	Bunge Limited	X			4 Transactions				X						
JT	Celgene Corp	X			3 Transactions				X						
JT	Cisco Systems Inc	X			10/26/07				X						
JT	Cognizant Tech Solutions Crp	X			3 Transactions				X						
JT	Corning Inc	X			10/26/07			X							
JT	Cvs Caremark Corp	X			10/26/07				X						
JT	Danaher Corp	X			10/26/07				X						
JT	Ecolab Inc	X			10/26/07				X						
JT	Elan Corp Plc Spon Adr	X			10/26/07					X					
JT	Genl Electric Co	X			10/26/07				X						
JT	Gilead Sciences Inc	X			10/26/07				X						
JT	Goldcorp Inc New	X			10/26/07				X						
JT	Halliburton Co	X			10/26/07				X						
JT	Hewlett Packard Co	X			3 Transactions				X						
JT	Intel Corp	X			10/26/07				X						
JT	Ishares Trust Russell 1000 Index	X			12/17/07							X			
JT	Itt Corp	X			10/26/07				X						
JT	Lilly Eli & Co	X			10/26/07				X						
JT	Marvell Technology Group Ltd	X			10/26/07				X						
JT	Maxim Integrated Prods Inc	X			10/26/07			X							
JT	Mcmc Electronic Materials Inc	X			10/26/07			X							
JT	Microsoft Corp	X			2 Transactions				X						
JT	Natl-Oilwellvarco Inc	X			2 Transactions				X						
JT	Nestle S A Sponsored Adr	X			10/26/07				X						
JT	Nymex Holdings Inc	X			4 Transactions			X							
JT	Omnicom Group Inc	X			10/26/07				X						
JT	Oracle Corp	X			10/26/07			X							

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction										
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,0	K Over $50,000,000
JT	Rockwell Automation Inc	X			10/26/07				X						
JT	Schwab Charles Corp New	X			10/26/07			X							
JT	Shire Plc Adr	X			10/26/07				X						
JT	Thermo Fisher Scientific Inc	X			10/26/07				X						
JT	Until Technologies Corp	X			10/26/07				X						
JT	Weatherford Intl Inc	X			10/26/07				X						
JT	Wyeth	X			10/26/07				X						
JT	Allergan Inc		X		7 Transactions					X					
JT	Amer Express Co		X		12/17/07			X							
JT	Biogen Idec Inc		X		12/17/07				X						
JT	Celgene Corp		X		12/17/07				X						
JT	Cisco Systems Inc		X		12/17/07				X						
JT	Cme Group Inc		X		10/26/07			X							
JT	Cognizant Tech Solutions Crp		X		12/17/07				X						
JT	Corning Inc		X		2 Transactions			X							
JT	Crown Castle Intl Corp		X		10/26/07				X						
JT	Cvs Caremark Corp		X		12/17/07				X						
JT	Ebay Inc		X		10/26/07				X						
JT	Expeditors Intl Wash Inc		X		10/26/07				X						
JT	Genentech Inc		X		10/26/07					X					
JT	Genl Electric Co		X		12/17/07				X						
JT	Google Inc Cl A		X		10/26/07					X					
JT	Halliburton Co		X		12/17/07				X						
JT	Intercontinentalexchange Inc		X		10/26/07					X					
JT	Intl Game Technology		X		10/26/07				X						
JT	Intuit		X		10/26/07				X						
JT	Itt Corp		X		12/17/07				X						
JT	Marvell Technology Group Ltd		X		6 Transactions				X						
JT	Maxim Integrated Prods Inc		X		5 Transactions			X							
JT	Memc Electronic Materials Inc		X		12/13/07			X							
JT	Mgm Mirage		X		10/26/07		X								
JT	Paychex Inc		X		10/26/07				X						
JT	Praxair Inc		X		10/26/07				X						
JT	Procter & Gamble Co		X		10/26/07					X					
JT	Qualcomm Inc		X		10/26/07				X						
JT	Research In Motion Ltd		X		10/26/07					X					
JT	Reuters Group Plc Spon Adr		X		10/26/07				X						

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN 1987 REVOCABLE TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	Sandisk Corp		X		10/26/07				X						
JT	Seagate Technology		X		10/26/07				X						
JT	Shire Plc Adr		X		12/17/07				X						
JT	Staples Inc		X		10/26/07				X						
JT	Thermo Fisher Scientific Inc		X		3 Transactions			X							
JT	United Parcel Service Inc Cl B		X		10/26/07				X						
JT	Unitedhealth Group Inc		X		10/26/07					X					
JT	Walgreen Co		X		10/26/07				X						
JT	Weight Watchers Intl Inc		X		10/26/07				X						
JT	Western Union Co		X		10/26/07				X						
JT	Zimmer Holdings Inc		X		10/26/07				X						
JT	***UBS - CP Munis***														
JT	California St	X			2 Transactions							X			
JT	California St Wtr Res Ser Ad Rev	X			11/13/07					X					
JT	East Bay Ca Mud Wstwtr Sys Rv Ser B	X			12/4/07							X			
JT	Fremont Cal Usd Alameda Ser B	X			12/4/07							X			
JT	Los Angeles Ca Wstwtr Ser A Rev	X			12/18/07				X						
JT	Los Angeles Co Ca Met Rv 7/7/27	X			12/13/07						X				
JT	Puerto Rico Comwlth Pub	X			2 Transactions							X			
JT	San Diego Calif Cmnty	X			12/19/07							X			
JT	San Francisco Ca City & Cnty Redev B	X			2 Transactions							X			
JT	Wyoming Stud Ln Rv Sr B Ser A Rev	X			10/24/07							X			
JT	Bakersfield Calif Cifs Ser A Rev		X		2 Transactions							X			
JT	Ca St Economic Recovery Series 2004A		X		11/7/07							X			
JT	Calif Statewide Cmntys		X		11/6/07							X			
JT	California St Dept Wtr Res Pwr Rev A		X		2 Transactions							X			
JT	Los Angeles Cal Usd Ser A		X		10/19/07							X			
JT	Moreland Calif Sch Dist Ser A		X		12/19/07							X			
JT	Puerto Rico Convention Ctr		X		12/19/07							X			
JT	San Diego Ca Redev Agy Tax Rev		X		11/27/07							X			

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,0	K Over $50,000,000
SP	***Goldman Sachs (GS)- Brokerage Account***														
SP	GS Alpha-Beta Continuum Fund Ltd Cl A		X		2 Transactions								X		
SP	GS GMS Intl Equity Advisers 3 (Wm Blair)		X		2 Transactions							X			
SP	GS Core Fixed Inc A Mutual Fund		X		5 Transactions							X			
SP	***Goldman Sachs - Boston Company Account***														
SP	Adidas Ag Adr Cmn	X			4 Transactions		X								
SP	Aeon Co., Ltd. Adr Cmn	X			6 Transactions		X								
SP	Allianz Se Adr Cmn	X			2 Transactions	X									
SP	Amcor Ltd Adr (New) Adr Cmn	X			5/1/07	X									
SP	Ashai Kasei Corp Adr Cmn	X			2 Transactions	X									
SP	Banco Santander Central Hispano Sa Spon Adr	X			2 Transactions	X									
SP	BNP Paribas Sponsored Adr Cmn	X			3 Transactions		X								
SP	BOC Hong Kong (Holdings) Ltd Adr	X			2 Transactions	X									
SP	Canon Inc Adr Adr Cmn	X			3 Transactions		X								
SP	DBS Group Holdings Sponsored Adr Cmn	X			4/9/07	X									
SP	Debenhams Plc Adr Cmn	X			2 Transactions	X									
SP	Deutsche Telekom Ag Sponsored Adr Cmn	X			3 Transactions	X									
SP	E.On Ag Sponsored Adr Cmn	X			4/2/07	X									
SP	Ericsson (Lm) Tel Co Adr Cmn Class B	X			5 Transactions		X								
SP	France Telecom Sa Sponsored Adr Cmn	X			2/16/07	X									
SP	Friends Provident Plc Adr Cmn	X			3 Transactions	X									
SP	Henkel Kgaa Spon Adr Sponsored Adr Cmn	X			9 Transactions		X								
SP	Hong Kong Elec Hldgs Ltd (Spons Adr)	X			4 Transactions	X									
SP	Hsbc Holdings Plc Sponsored Adr Cmn	X			4 Transactions		X								
SP	Hutchison Whampoa (Adr) Adr Cmn	X			8/14/07	X									
SP	Johnson Elec Hldgs Spons Adr Sponsored Adr Pfd Usd0.1660	X			2 Transactions	X									
SP	KT Corporation Sponsored Adr Cmn	X			2 Transactions	X									
SP	Kubota Corp Adr Adr Cmn	X			8 Transactions		X								
SP	Lafarge Sponsored Adr Cmn	X			2 Transactions	X									
SP	Malayan Banking Berhad Sponsored Adr Cmn	X			5 Transactions		X								
SP	Mediaset Spa Adr Cmn	X			3/26/07	X									
SP	Mitsubishi Ufj Finl Group, Inc Sponsored Adr Cmn	X			7 Transactions		X								
SP	National Australia Bank Limite Sponsored Adr (New)	X			7/9/07	X									
SP	Nedbank Group Ltd Sponsored Adr Cmn	X			2 Transactions	X									
SP	Nestle Sa Sponsored Adr (Rep 1/20 Chf 10 Regd Shs)	X			2 Transactions	X									
SP	Nissan Motor Co Ltd Sponsored Adr	X			2 Transactions	X									
SP	Nokia Corp Spon Adr Sponsored Adr Cmn	X			2 Transactions	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,00	Over $50,000,000
SP	Nomura Holdings, Inc. Sponsored Adr Cmn	X			8 Transactions		X								
SP	Novartis Ag-Adr Sponsored Adr Cmn	X			6 Transactions		X								
SP	Old Mutual Public Limited Comp Sponsored Adr Cmn	X			2 Transactions		X								
SP	Rexam Plc Adr Cmn	X			5 Transactions	X									
SP	RWE Ag Aktiengesellschaft Spon Adr	X			4 Transactions		X								
SP	Sanofi-Aventis Sponsored Adr Cmn	X			4 Transactions	X									
SP	Sekisui Homes Ltd Sponsored Adr Cmn	X			2 Transactions	X									
SP	Siemens Ag Sponsored Adr Cmn	X			2 Transactions	X									
SP	SK Telecom Co , Ltd. Sponsored Adr Cmn	X			4/30/07	X									
SP	Sumitomo Mitsui Finl Group Inc Adr	X			3 Transactions		X								
SP	Svenska Cellulosa Ab Spon Adr Sponsored Adr Cmn Class B	X			9/27/07	X									
SP	Swiss Reinsurance Sponsored Adr Cmn	X			9/25/07	X									
SP	Taisei Corp (Adr) Adr Cmn	X			2 Transactions	X									
SP	Teijin Ltd Adr Adr Cmn	X			4 Transactions		X								
SP	Telecom Italia Spa Sponsored Adr Cmn	X			4 Transactions		X								
SP	Telemorte Leste Participacoes S.A. Spons Adr Repstg Pfd	X			4 Transactions	X									
SP	Tesco Plc (Sponsored) Adr Sponsored Adr Cmn	X			3 Transactions	X									
SP	Teva Pharmaceutical Ind Ltd Ads	X			1/11/07	X									
SP	Toto Ltd (Adr) Adr Cmn	X			3 Transactions	X									
SP	Toyota Motor Corporation Spon Adr	X			4/23/07	X									
SP	Trinity Mirror Plc Adr Cmn	X			4 Transactions	X									
SP	UBS Ag Cmn	X			3 Transactions	X									
SP	Unilever Plc (New) Sponsored Adr Cmn	X			4 Transactions		X								
SP	United Microelectronics Corpor Sponsored Adr Cmn	X			2 Transactions	X									
SP	UPM-Kymmene Oyj Sponsored Adr Cmn	X			3 Transactions		X								
SP	WPP Group Plc Sponsored Adr Cmn	X			2 Transactions	X									
SP	ABN Amro Holding N.V. Sponsored Adr Cmn		X		6 Transactions		X								
SP	Aiful Corporation Adr Cmn		X		4 Transactions	X									
SP	Amcor Ltd Adr (New) Adr Cmn		X		9/4/07	X									
SP	Ashai Kasei Corp Adr Cmn		X		4 Transactions		X								
SP	Banco Bilbao Vizcaya S A Adr Sponsored Adr Cmn Usd0.3296		X		2 Transactions	X									
SP	Banco Santander Central Hispano Sa Spon Adr		X		2 Transactions	X									
SP	Bank Of Ireland Spon Adr Sponsored Adr Cmn		X		3 Transactions	X									
SP	BNP Paribas Sponsored Adr Cmn		X		2 Transactions	X									
SP	Cadbury Schweppes P L.C. Adr Adr Cmn		X		5 Transactions		X								
SP	Canon Inc Adr Adr Cmn		X		1/4/07	X									
SP	Centrica Plc Sponsored Adr Cmn		X		3 Transactions	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,00	Over $50,000,000
SP	Citic Pacific Limited Sponsored Adr Cmn		X		4 Transactions	X									
SP	Coca Cola Femsa Sa Sponsored Adr Cmn		X		6 Transactions	X									
SP	Coca-Cola Amatil Limited Sponsored Adr Cmn		X		6 Transactions	X									
SP	Credit Suisse Group Spon Adr Sponsored Adr Cmn		X		3 Transactions	X									
SP	DBS Group Holdings Sponsored Adr Cmn		X		1/22/07	X									
SP	Deutsche Bank Ag Cmn		X		2 Transactions	X									
SP	Deutsche Telekom Ag Sponsored Adr Cmn		X		9/4/07	X									
SP	E.On Ag Sponsored Adr Cmn		X		3 Transactions	X									
SP	Enel Spa Spon Adr Adr Cmn		X		4/2/07	X									
SP	Eni S.P.A Spon Adr Sponsored Adr Cmn		X		8/2/07		X								
SP	Fortis (Nl) N.V. Sponsored Adr Cmn		X		3/11/07	X									
SP	France Telecom Sa Sponsored Adr Cmn		X		6 Transactions		X								
SP	Friends Provident Plc Adr Cmn		X		8/23/07	X									
SP	Fujifilm Holdings Corporation Adr Cmn		X		7 Transactions		X								
SP	Furuai Electric Co., Ltd. Adr Cmn		X		2 Transactions	X									
SP	Hannover Ruckvesicherungs Corp Sponsored Adr Cmn		X		3 Transactions	X									
SP	Hino Motors Ltd Adr Adr Cmn		X		9 Transactions		X								
SP	HSBC Holdings Plc Sponsored Adr Cmn		X		4 Transactions		X								
SP	Infineon Technologies Ag - Adr Sponsored Adr Cmn		X		4 Transactions		X								
SP	Kao Corp Sponsored Adr Cmn		X		7 Transactions		X								
SP	Koninklijke Philips Electrs Nv Adr Cmn		X		3 Transactions	X									
SP	KT Corporation Sponsored Adr Cmn		X		9/26/07	X									
SP	Lagardere Groupe Spons Adr Sponsored Adr Cmn		X		4 Transactions		X								
SP	Mediaset Spa Adr Cmn		X		8/23/07	X									
SP	Nestle Sa Sponsored Adr (Rep 1/20 Chf 10 Regd Shs)		X		3/7/07	X									
SP	Nissan Motor Co Ltd Sponsored Adr		X		1/25/07	X									
SP	Nokia Corp Spon Adr Sponsored Adr Cmn		X		4 Transactions		X								
SP	NTT Docomo, Inc. Sponsored Adr Cmn		X		5/2/07	X									
SP	Orix Corporation Spons Adr Sponsored Adr Cmn		X		1/11/07	X									
SP	Peugeot S A. Sponsored Adr Cmn		X		1/10/07	X									
SP	Reed Elsevier Plc Sponsored Adr Cmn		X		9/27/07	X									
SP	Rentokil Initial Plc Adr + Sponsored Adr Cmn		X		4 Transactions	X									
SP	Rexam Plc Adr Cmn		X		8/14/07	X									
SP	Ricoh Co Ltd Adr Cmn		X		2 Transactions	X									
SP	Shinsei Bank Ltd Tokyo Sponsored Adr Cmn		X		4 Transactions	X									
SP	Siemens Ag Sponsored Adr Cmn		X		6 Transactions		X								
SP	Sk Telecom Co , Ltd. Sponsored Adr Cmn		X		5/8/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	Svenska Cellulosa Ab Spon Adr Sponsored Adr Cmn Class B		X		2 Transactions	X									
SP	Swiss Reinsurance Sponsored Adr Cmn		X		2 Transactions	X									
SP	Taisei Corp (Adr) Adr Cmn		X		4/10/07	X									
SP	TDK Corporation (Adr) Adr Cmn		X		4 Transactions		X								
SP	Telefonos De Mexico Sa Sponsored Adr'S Rep Sr L Shrs		X		2 Transactions	X									
SP	Telemorte Leste Participacoes S.A. Spons Adr Repstg Pfd		X		3 Transactions	X									
SP	Telstra Corp Adr (Final) Sponsored Adr Cmn		X		2 Transactions	X									
SP	Teva Pharmaceutical Ind Ltd Ads		X		3 Transactions	X									
SP	Toto Ltd (Adr) Adr Cmn		X		2/27/07	X									
SP	Trinity Mirror Plc Adr Cmn		X		4 Transactions	X									
SP	Unilever Plc (New) Sponsored Adr Cmn		X		2 Transactions	X									
SP	United Overseas Bk Ltd Sponsored Adr Cmn		X		5 Transactions		X								
SP	UPM-Kymmene Oyj Sponsored Adr Cmn		X		9/27/07	X									
SP	Valeo Spons Adr Sponsored Adr Cmn		X		6 Transactions		X								
SP	***Goldman Sachs - Sound Shore Account***														
SP	AES Corp. Cmn	X			12 Transactions		X								
SP	Amer Intl Group Inc Cmn	X			12 Transactions			X							
SP	Aon Corporation Cmn	X			7/19/07	X									
SP	Apollo Group Class A Common Stock	X			13 Transactions			X							
SP	Bank Of America Corp Cmn	X			4 Transactions		X								
SP	Barr Pharmaceuticals, Inc Cmn	X			20 Transactions			X							
SP	Barrick Gold Corporation Cmn	X			23 Transactions			X							
SP	Baxter International Inc Cmn	X			5 Transactions		X								
SP	Berkshire Hathaway Inc. Class B	X			2/23/07	X									
SP	Boston Scientific Corp Common Stock	X			11 Transactions		X								
SP	Chubb Corp Cmn	X			4 Transactions	X									
SP	Cigna Corp Cmn	X			8 Transactions		X								
SP	Citigroup Inc. Cmn	X			11 Transactions			X							
SP	Comcast Corporation Cmn Class A Voting	X			13 Transactions			X							
SP	Cytec Inds Inc Common Stock	X			22 Transactions		X								
SP	Flextronics International Ltd Cmn	X			7 Transactions		X								
SP	General Electric Co Cmn	X			2/23/07	X									
SP	Hewlett-Packard Co. Cmn	X			2 Transactions	X									
SP	Honda Mtr Ltd (Amer Shs) Adr Cmn	X			3 Transactions	X									
SP	Interpublic Group Cos Cmn	X			8 Transactions	X									
SP	Invesco Plc Sponsored Adr Cmn	X			16 Transactions			X							
SP	Ishares Trust - Russell 1000 Value Index Fund	X			4/4/07				X						

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,00	Over $50,000,000
SP	Kinetic Concepts Inc Cmn	X			8 Transactions		X								
SP	Marsh & Mclennan Co Inc Cmn	X			9 Transactions		X								
SP	Motorola Inc Cmn	X			3 Transactions		X								
SP	Newmont Mng Corp Hldng Co Cmn	X			6 Transactions			X							
SP	Pfizer Inc. Cmn	X			3 Transactions		X								
SP	Southwest Airlines Co Cmn	X			2 Transactions	X									
SP	Sprint Nextel Corporation Cmn	X			2 Transactions	X									
SP	Sun Microsystems,Inc Cmn Series	X			5 Transactions		X								
SP	Symantec Corp Cmn	X			9 Transactions		X								
SP	Texas Instruments Inc. Cmn	X			2 Transactions	X									
SP	The Goodyear Tire & Rubber Com Cmn	X			20 Transactions			X							
SP	Time Warner Inc. Cmn	X			5 Transactions		X								
SP	Unilever N.V. Ny Shs (New) Adr Cmn	X			4 Transactions	X									
SP	Unum Group Cmn	X			6 Transactions		X								
SP	Walt Disney Company (The) Cmn	X			3 Transactions	X									
SP	Washington Post Co Cl B Cmn Class B	X			16 Transactions		X								
SP	Waste Management Inc Cmn	X			6 Transactions		X								
SP	Western Digital Corp Cmn	X			11 Transactions		X								
SP	Aetna Inc Cmn		X		13 Transactions			X							
SP	Amer Intl Group Inc Cmn		X		3 Transactions		X								
SP	Aon Corporation Cmn		X		5 Transactions		X								
SP	Apollo Group Class A Common Stock		X		3 Transactions		X								
SP	Bank Of America Corp Cmn		X		3 Transactions		X								
SP	Barrick Gold Corporation Cmn		X		4 Transactions		X								
SP	Baxter International Inc Cmn		X		10 Transactions		X								
SP	Berkshire Hathaway Inc. Class B		X		13 Transactions				X						
SP	Boston Scientific Corp. Common Stock		X		4/4/07	X									
SP	Chubb Corp Cmn		X		16 Transactions			X							
SP	Cigna Corp Cmn		X		8 Transactions		X								
SP	Citigroup Inc. Cmn		X		3 Transactions		X								
SP	CSX Corp Cmn		X		11 Transactions		X								
SP	Flextronics International Ltd Cmn		X		15-Aug-07	X									
SP	Genworth Financial Inc Cmn Class A		X		19 Transactions			X							
SP	Hewlett-Packard Co. Cmn		X		10 Transactions			X							
SP	Honda Mtr Ltd (Amer Shs) Adr Cmn		X		27 Transactions			X							
SP	Interpublic Group Cos Cmn		X		3 Transactions		X								
SP	Kinetic Concepts Inc Cmn		X		16 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000
SP	Liberty Media Holding Corp Capital Cmn Series A		X		19 Transactions				X						
SP	Lyondell Chemical Company Cmn		X		23 Transactions				X						
SP	Marsh & Mclennan Co Inc Cmn		X		5 Transactions		X								
SP	Morgan Stanley Cmn		X		8 Transactions			X							
SP	Motorola Inc Cmn		X		10 Transactions			X							
SP	Pfizer Inc. Cmn		X		3 Transactions		X								
SP	Southwest Airlines Co Cmn		X		4 Transactions		X								
SP	Sprint Nextel Corporation Cmn		X		7 Transactions		X								
SP	Symantec Corp Cmn		X		2 Transactions	X									
SP	Texas Instruments Inc. Cmn		X		2 Transactions	X									
SP	The Mosaic Company Cmn		X		22 Transactions		X								
SP	Time Warner Inc. Cmn		X		4 Transactions		X								
SP	U.S. Bancorp Cmn		X		12 Transactions			X							
SP	Unum Group Cmn		X		4 Transactions		X								
SP	Unilever N V. Ny Shs (New) Adr Cmn		X		14 Transactions			X							
SP	Unumprovident Corporation Cmn		X		1/18/07	X									
SP	Walt Disney Company (The) Cmn		X		3 Transactions		X								
SP	Washington Post Co Cl B Cmn Class B		X		5 Transactions	X									
SP	Western Digital Corp Cmn		X		6/13/07	X									
SP	***Goldman Sachs - Delaware Large Cap***														
SP	Allergan Inc Cmn	X			3/16/07	X									
SP	Chicago Mercantile Hldgs Inc Cmn	X			6/12/07	X									
SP	Crown Castle Intl Corp Common Stock	X			6 Transactions			X							
SP	Genentech Inc. Cmn	X			8/22/07	X									
SP	Google, Inc. Cmn Class A	X			2 Transactions	X									
SP	Intercontinentalexchange Inc Cmn	X			6/12/07	X									
SP	Intuit Inc Cmn	X			3 Transactions		X								
SP	Paychex, Inc. Cmn	X			3/16/07	X									
SP	Qualcomm Inc Cmn	X			8/22/07	X									
SP	Research In Motion Cmn	X			8/20/07	X									
SP	Reuters Group Plc Spons Adr	X			2 Transactions			X							
SP	Unitedhealth Group Inc Cmn	X			9/4/07	X									
SP	Weight Watchers International, Inc Cmn	X			3 Transactions	X									
SP	Western Union Company (The) Cmn	X			8/22/07		X								
SP	Chicago Mercantile Hldgs Inc Cmn		X		3/15/07	X									
SP	CME Group Inc Cmn		X		8/20/07	X									
SP	Ebay Inc. Cmn		X		2 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,00	Over $50,000,000
SP	Intercontinentalexchange Inc Cmn		X		8/20/07	X									
SP	Mgm Mirage Cmn		X		5 Transactions		X								
SP	Moodys Corp Cmn		X		4 Transactions			X							
SP	Paychex, Inc. Cmn		X		8/20/07	X									
SP	Praxair Inc Cmn		X		8/10/07	X									
SP	Sandisk Corp Cmn		X		2/21/07		X								
SP	United Parcel Service, Inc. Class B Common Stock		X		8/20/07	X									
SP	***UBS - Eaton Vance Account***														
SP	Abbott Labs	X			11/9/07		X								
SP	Aetna Inc	X			2 Transactions		X								
SP	Air Prod & Chemical Inc	X			2 Transactions		X								
SP	Alcoa Inc	X			11/9/07		X								
SP	Amer Intl Group Inc	X			11/9/07		X								
SP	Ameriprise Financial Inc	X			2 Transactions			X							
SP	Avalonbay Communities Inc Sbi	X			11/9/07		X								
SP	Bank Of Amer Corp	X			12/10/07		X								
SP	Bank Of New York Mellon Corp	X			11/9/07			X							
SP	Best Buy Co Inc	X			2 Transactions		X								
SP	Burlington Nthn Santa Fe Corp	X			11/9/07			X							
SP	Chubb Corp	X			11/9/07			X							
SP	Credit Suisse Group Spon Adr	X			11/9/07		X								
SP	CVS Caremark Corp	X			11/9/07		X								
SP	Deere And Co	X			11/9/07			X							
SP	Discover Financial Services	X			11/30/2007	X									
SP	Eaton Corp	X			2 Transactions		X								
SP	Edison Intl	X			11/9/07			X							
SP	Genl Dynamics Corp	X			11/9/07			X							
SP	Genl Electric Co	X			11/30/2007	X									
SP	Goldman Sachs Group Inc	X			11/9/07			X							
SP	Hartford Fincl Services Group Inc	X			11/9/07			X							
SP	Hewlett Packard Co	X			11/9/07			X							
SP	Intl Business Mach	X			2 Transactions			X							
SP	Johnson & Johnson Com	X			11/9/07			X							
SP	Johnson Controls Inc	X			11/9/07		X								
SP	JP Morgan Chase & Co	X			11/9/07			X							
SP	Kimberly Clark Corp	X			11/9/07		X								
SP	Kimberly Clark Corp	X			12/21/07		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,0	Over $50,000,000
SP	Kraft Foods Inc Cl A	X			2 Transactions		X								
SP	Kroger Company	X			11/9/07		X								
SP	Lincoln Natl Corp Ind	X			11/9/07		X								
SP	Lockheed Martin Corp	X			11/9/07		X								
SP	Mcdonalds Corp	X			11/9/07			X							
SP	Molson Coors Brewing Co	X			11/9/07		X								
SP	Nestle S A Sponsored Adr	X			11/9/07			X							
SP	Nike Inc Cl B	X			2 Transactions		X								
SP	Nokia Corp Spons Adr	X			11/9/07			X							
SP	Nrg Energy Inc New	X			11/9/07			X							
SP	Prudential Financial Inc	X			11/9/07			X							
SP	Public Service Enterprise Group Inc	X			2 Transactions			X							
SP	Safeway Inc	X			11/9/07		X								
SP	Simon Ppty Group Inc Sbi	X			11/9/07		X								
SP	TJX Cos Inc New	X			2 Transactions		X								
SP	Travelers Cos Inc/The	X			2 Transactions			X							
SP	Ubs Ag (Usd) New 2006	X			11/30/2007	X									
SP	Until Technologies Corp	X			11/9/07			X							
SP	Verizon Communications	X			11/9/07			X							
SP	Vornado Realty Trust	X			11/9/07		X								
SP	Wachovia Corp	X			11/9/07			X							
SP	Walt Disney Co	X			12/21/07		X								
SP	Wells Fargo & Co New	X			11/9/07			X							
SP	Wyeth	X			11/9/07			X							
SP	Aes Corp		X		11/9/07				X						
SP	Alcoa Inc		X		12/20/07		X								
SP	Amer Intl Group Inc		X		6 Transactions		X								
SP	Ameriprise Financial Inc		X		2 Transactions		X								
SP	Aon Corp		X		11/9/07			X							
SP	Apollo Group Inc Cl A		X		11/9/07			X							
SP	Avalonbay Communities Inc Sbi		X		12/20/07		X								
SP	Bank Of Amer Corp		X		11/9/07		X								
SP	Barr Pharmaceuticals Inc		X		11/9/07			X							
SP	Barrick Gold Corp		X		11/9/07				X						
SP	Baxter Intl Inc		X		11/9/07			X							
SP	Boston Scientific Corp		X		11/9/07			X							
SP	Burlington Nthn Santa Fe Corp		X		12/20/07		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

	Asset	Type of Transaction			Date	Amount of Transaction										
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	Cigna Corp		X		11/9/07			X							
SP	Citigroup Inc		X		11/9/07		X								
SP	Comcast Corp New Cl A		X		11/9/07			X							
SP	Credit Suisse Group Spon Adr		X		12/20/07	X									
SP	CVS Caremark Corp		X		12/20/07		X								
SP	Cytec Industries Inc		X		11/9/07			X							
SP	Deere And Co		X		2 Transactions		X								
SP	Discover Financial Services		X		12/20/07	X									
SP	Edison Intl		X		12/20/07		X								
SP	Flextronics Intl Ltd		X		11/9/07				X						
SP	Genl Dynamics Corp		X		12/20/07	X									
SP	Genl Electric Co		X		2 Transactions				X						
SP	Goldman Sachs Group Inc		X		12/20/07	X									
SP	Goodyear Tire & Rubber Co		X		11/9/2007		X								
SP	Hartford Fincl Services Group Inc		X		12/20/07		X								
SP	Hewlett Packard Co		X		12/20/07	X									
SP	Interpublic Group Of Cos Inc		X		11/9/2007			X							
SP	Intl Business Mach		X		2 Transactions	X									
SP	Invesco Plc Spon Adr		X		11/9/2007			X							
SP	Ishares Trust Russell 1000 Value Index Fund		X		11/9/07				X						
SP	Johnson Controls Inc		X		12/20/07		X								
SP	JP Morgan Chase & Co		X		12/20/07	X									
SP	Kimberly Clark Corp		X		12/20/07	X									
SP	Kinetic Concepts Inc New		X		11/9/07			X							
SP	Kroger Company		X		12/20/07		X								
SP	Lincoln Natl Corp Ind		X		12/20/07		X								
SP	Lockheed Martin Corp		X		12/20/07		X								
SP	Marsh & Mclennan Cos Inc		X		11/9/07			X							
SP	Mcdonalds Corp		X		12/20/07	X									
SP	Molson Coors Brewing Co		X		12/20/07		X								
SP	Nestle S A Sponsored Adr		X		12/20/07		X								
SP	Newmont Mining Corp		X		11/9/07			X							
SP	Nokia Corp Spons Adr		X		12/20/07		X								
SP	Nrg Energy Inc New		X		12/20/07	X									
SP	Pfizer Inc		X		3 Transactions		X								
SP	Public Service Enterprise Group Inc		X		12/21/2007		X								
SP	Simon Ppty Group Inc Sbi		X		12/20/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,0	Over $50,000,000
SP	Southwest Airlines Co		X		11/9/07			X							
SP	Sprint Nextel Corp		X		11/9/2007		X								
SP	Sun Microsystems Inc		X		11/9/2007		X								
SP	Symantec Corp		X		11/9/07			X							
SP	Texas Instruments		X		11/9/07			X							
SP	Time Warner Inc		X		11/9/07			X							
SP	TJX Cos Inc New		X		12/20/07	X									
SP	Travelers Cos Inc/The		X		12/20/07	X									
SP	Unilever Nv N Y Shs New		X		11/9/2007			X							
SP	Unum Group		X		11/9/07			X							
SP	Verizon Communications		X		12/20/07	X									
SP	Vornado Realty Trust		X		12/20/07		X								
SP	Wachovia Corp		X		12/20/07		X								
SP	Walt Disney Co		X		11/9/07			X							
SP	Wash Post Co Cl B		X		11/9/07			X							
SP	Waste Mgmt Inc New		X		11/9/07			X							
SP	Wells Fargo & Co New		X		12/20/07		X								
SP	Wstn Digital Corp		X		11/9/07			X							
SP	Wyeth		X		12/20/07	X									
SP	***UBS - Parametric International Account***														
SP	Abb Ltd Spon Adr	X			2 Transactions	X									
SP	Air Liquide Adr France Adr	X			2 Transactions		X								
SP	Aktiebolaget Electrolux Ltd Sponsored Adr	X			12/13/07	X									
SP	Arcelormittal Ny	X			11/9/07		X								
SP	Australia & New Zealand Bkg Grp Ltd Sponsrd Adr	X			11/9/07	X									
SP	Axa Adr	X			2 Transactions	X									
SP	Bae Systems Plc Spon Adr	X			11/9/07		X								
SP	Banco Bilbao Vizcaya Argentaria S.A. Spon	X			11/9/07		X								
SP	Banco Santander S A Spon Adr	X			11/9/07		X								
SP	Bayer A G Spon Adr	X			2 Transactions	X									
SP	Bridgestone Corp Ltd Adr Japan	X			11/9/07	X									
SP	British Land Co Plc Spon Adr	X			2 Transactions	X									
SP	Cheung Kong Holdings Ltd Adr Hong Kong	X			11/9/07	X									
SP	City Developments Ltd Spon Adr	X			11/9/07	X									
SP	Clp Holdings Ltd Spon Adr	X			12/13/07	X									
SP	Coca Cola Amatil Sponsor Adr Australia	X			11/9/07	X									
SP	Coca Cola Hellenic Bottling Co S.A. Spon	X			11/9/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,00	Over $50,000,000
SP	Compass Group Plc	X			11/9/07	X									
SP	Contl Ag-Spons Adr Adr	X			2 Transactions	X									
SP	Crh Plc Ireland Spon Adr	X			11/9/07	X									
SP	Daimler Ag Ord	X			2 Transactions	X									
SP	Delhaize Group Spon Adr	X			12/13/07	X									
SP	Deutsche Bank Ag Reg Shs Ord	X			2 Transactions	X									
SP	Diageo Plc New Gb Spon Adr	X			11/9/07	X									
SP	Elan Corp Plc Spon Adr	X			11/9/07	X									
SP	Enel Spa Spon Adr	X			11/9/07		X								
SP	Energias De Portugal S A Spon Adr	X			2 Transactions	X									
SP	Erste Bk Der Oester Spon Adr	X			11/9/07	X									
SP	Essilor Intl Spon Adr	X			11/9/07	X									
SP	Fiat S P A New Repstg One Ord Euro 0.50 Par	X			2 Transactions	X									
SP	Fortis Nl New Mandatory	X			11/9/07	X									
SP	Fuji Film Holdings Corp Adr	X			11/9/07	X									
SP	Glaxo Smithkline Plc Adr	X			11/9/07		X								
SP	Hang Lung Properties Ltd Spon Adr	X			11/9/07	X									
SP	Heineken N V Adr Netherlands	X			11/9/07	X									
SP	Hino Motors Ltd Adr Adr	X			11/9/07	X									
SP	Hoya Corp Spon Adr	X			11/9/07	X									
SP	Husqvarna Ab Spon Adr	X			12/13/07	X									
SP	Iberdrola Sa Spon Adr	X			2 Transactions		X								
SP	Icap Plc Spon Adr	X			11/9/07	X									
SP	Imperial Chem Ind Plc Adr New	X			11/9/07	X									
SP	Intesa Sanpaolo Spon Adr	X			11/9/07	X									
SP	Intesa Sanpaolo Spon Adr	X			12/13/07	X									
SP	Intl Power Plc Adr	X			11/9/07	X									
SP	Invesco Plc Spon Adr	X			11/9/07	X									
SP	J Sainsbury Plc Spon Adr	X			11/9/07	X									
SP	James Hardie Ind. N.V. Spon Adr	X			11/9/07	X									
SP	Kirin Holdings Ltd Spon Adr	X			2 Transactions	X									
SP	Komatsu Ltd Spon Adr	X			11/9/07	X									
SP	Koninklijke Ahold Nv New 2007 Spon Adr	X			11/9/07	X									
SP	Lonmin Plc New Spon Adr	X			12/13/07	X									
SP	Loreal Co Adr France Adr	X			2 Transactions	X									
SP	Macquarie Bank Ltd Adr	X			11/9/07	X									
SP	Makita Corp Adr Japan	X			11/9/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,0	Over $50,000,000
SP	Marks & Spencer Group Inc Spon Adr	X			11/9/07	X									
SP	Metso Corp Spon Adr	X			11/9/07	X									
SP	Metso Corp Spon Adr	X			12/20/07	X									
SP	Millicom Intl Cellular Sa New	X			12/13/07	X									
SP	Mitsubishi Corp Spons Adr Adr	X			11/9/07		X								
SP	Mitsubishi Estate Ltd Adr Adr	X			11/9/07	X									
SP	Mitsui & Co Ltd Adr Japan	X			11/9/07		X								
SP	Mitsui Sumitomo Insurance Ltd Japan Adr	X			12/13/07	X									
SP	Mizuho Financial Group Inc Spon Adr	X			12/20/07	X									
SP	Natl Bank Greece Sa Spon Adr	X			2 Transactions	X									
SP	Neptune Orient Lines Ltd Spons Adr Singapore	X			11/9/07	X									
SP	Newcrest Mining Ltd Spon Australia Adr	X			2 Transactions	X									
SP	Nintendo Ltd Adr New Japan	X			11/9/07		X								
SP	Nippon Steel Corp UnsponAdr	X			11/9/07		X								
SP	Nippon Yusen Kabushiki Spon Adr 2006	X			2 Transactions		X								
SP	Nokia Corp Spons Adr Finland Adr	X			2 Transactions		X								
SP	Novo Nordisk Adr Denmark Adr	X			11/9/07		X								
SP	Promise Co Ltd Unspon Adr	X			12/13/07	X									
SP	Prudential Plc Adr United Kingdom	X			11/9/07		X								
SP	Reed Elsevier Nv Repstg 2 Ord Shs Spon Adr	X			11/9/07	X									
SP	Reuters Group Plc Spon Adr	X			11/9/07	X									
SP	Roche Holding Ltd Spons Adr	X			2 Transactions	X									
SP	Rolls Royce Group Plc Spon Adr	X			11/9/07	X									
SP	Royal Bank Scotland Group Plc Repstg Shs	X			2 Transactions	X									
SP	Ryanair Holdings Plc Spon Adr	X			11/9/07	X									
SP	Sabmiller Plc Spon Adr	X			11/9/07	X									
SP	Sandvik Ab Spon Adr	X			11/9/07	X									
SP	Scottish & Sthn Energy Plc Spon Adr	X			11/9/07		X								
SP	Seiko Epson Corp Unsponsored Adr	X			12/13/07	X									
SP	Sgs Societe Generale De Surveillance Hldg Sa	X			11/9/07	X									
SP	Shiseido Co Ltd Spons Adr Japan	X			11/9/07	X									
SP	Shizuoka Bank Ltd Japan Unspons Adr	X			12/13/07	X									
SP	Smith & Nephew Plc New Spon Adr	X			11/9/07	X									
SP	Sodexho Alliance Sa Spon Adr	X			12/13/07	X									
SP	Sony Corp Adr New Japan	X			12/13/07	X									
SP	St George Bank Ltd Spons Adr	X			12/13/07	X									
SP	Suez Spon Adr	X			11/9/07		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,00	Over $50,000,000
SP	Sumitomo Corp. Spon Adr	X			12/20/07	X									
SP	Sumitomo Metal Ind Spon Adr (Japan)	X			11/9/07	X									
SP	Swisscom Ag Spon Adr	X			12/13/07	X									
SP	Syngenta Ag Spon Adr	X			11/9/07	X									
SP	Syngenta Ag Spon Adr	X			12/13/07	X									
SP	Tabcorp Holdings Ltd-Sp Adr	X			11/9/07	X									
SP	Technip Sa New Spon Adr	X			11/9/07	X									
SP	Technip Sa New Spon Adr	X			12/13/07	X									
SP	Tesco Plc Spons Adr United Kingdom	X			11/9/07	X									
SP	Tnt N V Spon Adr	X			11/9/07	X									
SP	Toppan Printing Ltd Adr	X			12/20/07	X									
SP	Unilever Nv N Y Shs New Netherlands Spon	X			11/9/07	X									
SP	Untd Utilities Plc Spon Adr	X			11/9/07	X									
SP	Valeo Spon Adr	X			12/13/07	X									
SP	Veolia Environnement Spon Adr	X			11/9/07		X								
SP	Vinci S.A Adr	X			11/9/07		X								
SP	Volkswagen A G Sponsored Adr New Germany	X			11/9/07		X								
SP	Volvo Aktiebolaget Adr B Adr	X			11/9/07	X									
SP	Westpac Banking Ltd Sponsored Adr Australia	X			11/9/07		X								
SP	Woodside Petroleum Spons Adr Nw Australia Adr	X			2 Transactions		X								
SP	Yara Intl Asa Spon Adr	X			11/9/07	X									
SP	Adidas Ag Spon Adr		X		11/9/07	X									
SP	Aegon Nv Adr N Y Shs Netherlands		X		5 Transactions	X									
SP	Aeon Co Ltd Unspon Adr		X		2 Transactions		X								
SP	Air Liquide Adr France Adr		X		12/20/07	X									
SP	Allianz Se Adr		X		4 Transactions	X									
SP	Amcor Ltd New Au Adr		X		12/20/07	X									
SP	Amcor Ltd New Au Adr		X		11/9/07	X									
SP	Asahi Kaisei Corp Unspon Adr		X		11/9/07	X									
SP	Australia & New Zealand Bkg Grp Ltd Sponsrd Adr		X		12/20/07	X									
SP	Axa Adr		X		2 Transactions	X									
SP	Bae Systems Plc Spon Adr		X		12/20/07	X									
SP	Banco Bilbao Vizcaya Argentaria S.A. Spon		X		2 Transactions	X									
SP	Banco Santander S.A Spon Adr		X		12/20/07	X									
SP	Bank Of Ireland Spon Adr		X		12/20/07	X									
SP	Bayer A G Spon Adr		X		12/20/07	X									
SP	Bnp Paribas Sa Adr		X		12/20/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,00	Over $50,000,000
SP	Hoc Hong Kong Holdings Ltd Spon Adr		X		3 Transactions	X									
SP	Canon Inc Adr		X		5 Transactions	X									
SP	Centrica Plc New 2004 Spon Adr		X		11/9/07	X									
SP	Ciba Specialty Chem Hldgs Inc Spon Adr		X		8 Transactions		X								
SP	City Developments Ltd Spon Adr		X		12/20/07	X									
SP	Coca Cola Amatil Sponsor Adr Australia		X		12/20/07	X									
SP	Coca Cola Femsa S.A.B. De C.V. (Mexico) Spon		X		2 Transactions	X									
SP	Coca Cola Hellenic Bottling Co S.A. Spon		X		2 Transactions	X									
SP	CRH Plc Ireland Spon Adr		X		1 Transactions	X									
SP	DBS Group Hldgs Ltd. Spon Adr		X		5 Transactions	X									
SP	Debenhams Plc Adr		X		11/9/07	X									
SP	Deutsche Bank Ag Reg Shs Ord		X		2 Transactions	X									
SP	Deutsche Telekom Ag De Spon Adr		X		7 Transactions	X									
SP	E On Ag Spon Adr		X		11/9/07		X								
SP	Elan Corp Plc Spon Adr		X		12/20/07	X									
SP	Essilor Intl Spon Adr		X		12/20/07	X									
SP	Fortis Nl Spon Adr		X		12/13/07	X									
SP	France Telecom Sa Spon Adr		X		2 Transactions	X									
SP	Friends Provident Plc Unspons Adr		X		6 Transactions	X									
SP	Funai Elec Co Adr		X		12/13/07	X									
SP	Hang Lung Properties Ltd Spon Adr		X		2 Transactions	X									
SP	Hbos Plc Spon Adr		X		5 Transactions		X								
SP	Heineken N V Adr Netherlands		X		12/20/07	X									
SP	Henkel Kgaa Spon Adr		X		5 Transactions		X								
SP	Hino Motors Ltd Adr Adr		X		12/13/07	X									
SP	Hutchison Whampoa Ltd Adr Hong Kong		X		6 Transactions		X								
SP	Ieap Plc Spon Adr		X		12/13/07	X									
SP	Intl Power Plc Adr		X		12/20/07	X									
SP	James Hardie Ind. N.V. Spon Adr		X		2 Transactions	X									
SP	Johnson Elec Hldgs Adr Bermuda Adr		X		2 Transactions	X									
SP	Komatsu Ltd Spon Adr		X		2 Transactions	X									
SP	Koninklijke Ahold Nv New 2007 Spon Adr		X		12/20/07	X									
SP	Korea Electric Power Crp Sponsored Adr		X		11/9/07	X									
SP	Kt Corp Spon Adr		X		4 Transactions	X									
SP	Kubota Corp Adr		X		8 Transactions		X								
SP	L M Ericsson Telefon Co Sek 10 New 2002 Adr		X		6 Transactions		X								
SP	Lafarge New Spon Adr		X		12/20/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,0	K Over $50,000,000
SP	Loreal Co Adr France Adr		X		12/20/07	X									
SP	Makita Corp Adr		X		12/20/07	X									
SP	Malayan Banking Berhad Spon Adr		X		5 Transactions	X									
SP	Marks & Spencer Group Inc Spon Adr		X		12/20/07	X									
SP	Mediaset Spa Spon Adr		X		5 Transactions		X								
SP	Millicom Intl Cellular Sa New		X		12/20/07	X									
SP	Mitsubishi Estate Ltd Adr Adr		X		12/20/07	X									
SP	Mitsubishi Ufj Financial Group Inc Spon Adr		X		13 Transactions		X								
SP	Mitsui & Co Ltd Adr		X		12/20/07		X								
SP	Natl Australia Bank Ltd Spon Adr		X		2 Transactions	X									
SP	Nedbank Group Ltd SponAdr		X		3 Transactions	X									
SP	Neptune Orient Lines Ltd Spons Adr Singapore		X		2 Transactions	X									
SP	Nestle S A Sponsored Adr Repstg Reg Shs Switz		X		4 Transactions	X									
SP	Nippon Steel Corp Unspon Adr		X		12/20/07	X									
SP	Nissan Mtr Ltd Spons Adr		X		5 Transactions		X								
SP	Nokia Corp Spons Adr		X		2 Transactions	X									
SP	Nomura Holdings Inc New Spon Adr		X		11 Transactions		X								
SP	Novartis Ag Spon Adr		X		4 Transactions	X									
SP	Novartis Ag Spon Adr		X		11/9/07		X								
SP	Novo Nordisk Adr Denmark Adr		X		2 Transactions	X									
SP	Ntt Docomo Inc Ech Repstg 1/200Th Com Shs		X		3 Transactions	X									
SP	Old Mutual Plc Adr Adr		X		3 Transactions		X								
7/	Reed Elsevier Plc Repstg 4 Ord Shs Spon Adr		X		2 Transactions	X									
SP	Rentokil Initial Plc Spon Adr		X		6 Transactions		X								
SP	Rexam Plc Spon Adr		X		6 Transactions	X									
SP	Rolls Royce Group Plc Spon Adr		X		12/20/07	X									
SP	Rwe Ag Adr		X		11/9/07		X								
SP	Ryanair Holdings Plc Spon Adr		X		12/20/07	X									
SP	Sabmiller Plc Spon Adr		X		12/20/07	X									
SP	Sandvik Ab Spon Adr		X		12/20/07	X									
SP	Sanofi-Aventis Sa Spon Adr		X		10 Transactions		X								
SP	Sekisui House Ltd Spon Adr		X		7 Transactions		X								
SP	SGS Societe Generale De Surveillance Hldg Sa		X		12/20/07	X									
SP	Siemens A G Spon Adr		X		2 Transactions		X								
SP	Sk Telecom Co Ltd Adr		X		11/9/07	X									
SP	Smith & Nephew Plc New Spon Adr		X		2 Transactions	X									
SP	Sodexho Alliance Sa Spon Adr		X		12/20/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

	Asset	Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,00	Over $50,000,000
SP	Sumitomo Metal Ind Spon Adr		X		12/20/07	X									
SP	Sumitomo Mitsui Financial Group Inc Adr		X		9 Transactions		X								
SP	Svenska Cellulosa Ab (Sca) Spon Adr		X		11/9/07	X									
SP	Swiss Reins Co Spon Adr		X		12/20/07	X									
SP	Swisscom Ag Spon Adr		X		12/20/07	X									
SP	Syngenta Ag Spon Adr		X		12/20/07	X									
SP	Tabcorp Holdings Ltd-SpAdr		X		12/20/07	X									
SP	Teijin Ltd Adr		X		5 Transactions		X								
SP	Telecom Italia Spa New		X		5 Transactions		X								
SP	Thomson Spon Adr		X		2 Transactions	X									
SP	Toto Ltd Adr		X		9 Transactions		X								
SP	Toyota Motor Corp New Japan Spon Adr		X		12/20/07	X									
SP	Trinity Mirror Plc SponAdr		X		5 Transactions	X									
SP	UBS (Usd) New 2006		X		4 Transactions		X								
SP	Unilever Nv N Y Shs New Netherlands Spon		X		12/20/07	X									
SP	Unilever Plc Amer Shs New Spon Adr		X		9 Transactions		X								
SP	Unitd Microelectronics Corp New Spon Adr		X		7 Transactions	X									
SP	Unitd Utilities Plc Spon Adr		X		12/13/07	X									
SP	UPM Kymmene Corp Spon Adr		X		7 Transactions		X								
SP	Valeo Spon Adr		X		12/20/07	X									
SP	Veolia Environnement Spon Adr		X		2 Transactions		X								
SP	Vodafone Group Plc New Spon Adr		X		3 Transactions	X									
SP	Volkswagen A G Sponsored Adr		X		12/20/07	X									
SP	Volvo Aktiebolaget Adr B Adr		X		12/20/07	X									
SP	Westpac Banking Ltd Sponsored Adr		X		12/20/07	X									
SP	WPP Group Adr		X		3 Transactions	X									
SP	***UBS - Westfield Account***														
SP	Alcon Inc	X			10/26/07			X							
SP	Amdocs Ltd	X			10/26/07		X								
SP	Amer Express Co	X			10/26/07		X								
SP	Autodesk Inc	X			2 Transactions			X							
SP	Biogen Idec Inc	X			2 Transactions				X						
SP	Bunge Limited	X			4 Transactions			X							
SP	Celgene Corp	X			4 Transactions				X						
SP	Cisco Systems Inc	X			10/26/07			X							
SP	Cognizant Tech Solutions Crp	X			3 Transactions			X							
SP	Corning Inc	X			10/26/07		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,0	K Over $50,000,000
SP	Cvs Caremark Corp	X			10/26/07			X							
SP	Danaher Corp	X			10/26/07		X								
SP	Ecolab Inc	X			10/26/07			X							
SP	Elan Corp Plc Spon Adr	X			10/26/07				X						
SP	Genl Electric Co	X			10/26/07			X							
SP	Gilead Sciences Inc	X			10/26/07			X							
SP	Goldcorp Inc New	X			10/26/07			X							
SP	Halliburton Co (Holding Company)	X			10/26/07				X						
SP	Hewlett Packard Co	X			3 Transactions			X							
SP	Intel Corp	X			10/26/07			X							
SP	Itt Corp	X			10/26/07			X							
SP	Lilly Eli & Co	X			10/26/07			X							
SP	Marvell Technology Group Ltd	X			10/26/07			X							
SP	Maxim Integrated Prods Inc	X			10/26/07		X								
SP	Memc Electronic Materials Inc	X			10/26/07		X								
SP	Microsoft Corp	X			2 Transactions			X							
SP	Natl-Oilwellvarco Inc	X			2 Transactions				X						
SP	Nestle S A Sponsored Adr Repstg Reg Shs Switz	X			10/26/07			X							
SP	Nymex Holdings Inc	X			3 Transactions		X								
SP	Omnicom Group Inc	X			10/26/07			X							
SP	Oracle Corp	X			10/26/07		X								
SP	Rockwell Automation Inc New	X			10/26/07			X							
SP	Schwab Charles Corp New	X			10/26/07		X								
SP	Shire Plc Adr	X			10/26/07			X							
SP	Thermo Fisher Scientific Inc	X			10/26/07				X						
SP	Untd Technologies Corp	X			10/26/07			X							
SP	Weatherford Intl Inc (Bermuda)	X			10/26/07			X							
SP	Wyeth	X			10/26/07			X							
SP	Alcon Inc		X		12/20/07	X									
SP	Allergan Inc		X		7 Transactions				X						
SP	Amdocs Ltd		X		12/20/07	X									
SP	Amer Express Co		X		2 Transactions		X								
SP	Autodesk Inc		X		12/20/07	X									
SP	Biogen Idec Inc		X		12/20/07		X								
SP	Cisco Systems Inc		X		12/20/07	X									
SP	Cme Group Inc		X		10/26/07		X								
SP	Cognizant Tech Solutions Crp		X		12/20/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,00	Over $50,000,000
SP	Corning Inc		X		2 Transactions		X								
SP	Crown Castle Intl Corp		X		10/26/07			X							
SP	Cvs Caremark Corp		X		2 Transactions		X								
SP	Danaher Corp		X		12/20/07	X									
SP	Ebay Inc		X		2 Transactions			X							
SP	Ecolab Inc		X		12/20/07	X									
SP	Elan Corp Plc Spon Adr		X		12/20/07		X								
SP	Expeditors Intl Wash Inc		X		10/26/07				X						
SP	Genentech Inc		X		10/26/07				X						
SP	Genl Electric Co		X		12/20/07	X									
SP	Gilead Sciences Inc		X		12/20/07	X									
SP	Goldcorp Inc New		X		12/20/07	X									
SP	Google Inc Cl A		X		10/26/07				X						
SP	Halliburton Co (Holding Company)		X		12/20/07		X								
SP	Hewlett Packard Co		X		12/20/07	X									
SP	Intel Corp		X		12/20/07	X									
SP	Intercontinentalexchange Inc		X		10/26/07				X						
SP	Intl Game Technology		X		10/26/07			X							
SP	Intuit		X		10/26/07				X						
SP	ITT Corp		X		12/20/07	X									
SP	Lilly Eli & Co		X		2 Transactions		X								
SP	Marvell Technology Group Ltd		X		5 Transactions		X								
SP	Maxim Integrated Prods Inc		X		6 Transactions		X								
SP	Memc Electronic Materials Inc		X		12/13/07		X								
SP	Mgm Mirage		X		2 Transactions		X								
SP	Microsoft Corp		X		12/20/07	X									
SP	Natl-Oilwellvarco Inc		X		12/20/07	X									
SP	Nestle S A Sponsored Adr Repstg Reg Shs Switz		X		12/20/07		X								
SP	Omnicom Group Inc		X		12/20/07	X									
SP	Oracle Corp		X		12/20/07	X									
SP	Paychex Inc		X		10/26/07			X							
SP	Praxair Inc		X		2 Transactions			X							
SP	Procter & Gamble Co		X		10/26/07				X						
SP	Qualcomm Inc		X		2 Transactions				X						
SP	Research In Motion Ltd		X		10/26/07				X						
SP	Reuters Group Plc Spon Adr		X		10/26/07			X							
SP	Rockwell Automation Inc New		X		12/20/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

Asset		Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	Sandisk Corp		X		10/26/07			X							
SP	Schwab Charles Corp New		X		12/20/07	X									
SP	Seagate Technology		X		10/26/07				X						
SP	Shire Plc Adr		X		3 Transactions		X								
SP	Staples Inc		X		10/26/07			X							
SP	Thermo Fisher Scientific Inc		X		5 Transactions		X								
SP	United Parcel Service Inc Cl B		X		10/26/07			X							
SP	Unitedhealth Group Inc		X		10/26/07				X						
SP	Untd Technologies Corp		X		12/20/07		X								
SP	Walgreen Co		X		10/26/07			X							
SP	Weatherford Intl Inc (Bermuda)		X		2 Transactions		X								
SP	Weight Watchers Intl Inc New		X		10/26/07			X							
SP	Western Union Co		X		10/26/07			X							
SP	Wyeth		X		12/20/07	X									
SP	Zimmer Holdings Inc		X		10/26/07			X							
SP	***UBS - WHV Account***														
SP	Allianz Se Adr	X			12/17/07	X									
SP	Aracruz Celulose S A Adr Cl B Spon Adr	X			12/17/07	X									
SP	Axa Adr	X			12/17/07	X									
SP	Bae Systems Plc Spon Adr	X			12/17/07	X									
SP	Basf Ag Spon Adr	X			12/17/07		X								
SP	Bhp Billiton Ltd Spon Adr	X			12/17/07		X								
SP	Brookfield Asset Mgmt Inc Ltd Vtg Shs Cl A	X			12/17/07	X									
SP	Cadbury Schweppes Plc Adr New	X			12/17/07		X								
SP	Canadian Natural Resources Ltd	X			12/17/07	X									
SP	Canadian Pacific Railway Ltd	X			12/17/07	X									
SP	Cdn Natl Railway Co Foreign Stock	X			12/17/07		X								
SP	Companhia Vale Do Rio Doce Spon Adr	X			12/17/07		X								
SP	Cooper Industries Ltd Cl A	X			12/17/07		X								
SP	Core Laboratories N V	X			12/17/07	X									
SP	Ensign Energy Services Inc	X			12/17/07	X									
SP	Ingersoll Rand Co Cl A	X			12/17/07		X								
SP	Manulife Financial Corp	X			12/17/07	X									
SP	Nabors Industries Ltd New (Bermuda)	X			12/17/07		X								
SP	Nestle S A Sponsored Adr Repstg Reg Shs Switz	X			12/17/07		X								
SP	Noble Corp Ord	X			12/17/07		X								
SP	Novartis Ag Spon Adr	X			12/17/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
SIDNEY HARMAN CHARITABLE REMAINDER TRUST

	Asset	Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000
SP	Partnerre Ltd Ord Foreign Stock	X			12/17/07	X									
SP	Potash Corp Sask Inc Canada	X			12/17/07		X								
SP	Rio Tinto Plc Spon Adr	X			12/17/07		X								
SP	Rwe Ag Adr	X			12/17/07	X									
SP	Schlumberger Ltd Netherlands Antilles	X			12/17/07		X								
SP	Suncor Inc	X			12/17/07		X								
SP	Talisman Energy Inc	X			12/17/07	X									
SP	Teck Cominco Ltd Canada Sub Vtg Cl B Ord	X			12/17/07	X									
SP	Tenaris S.A. Ads	X			12/17/07		X								
SP	Transocean Inc New Shs Ord	X			12/17/07		X								
SP	Trican Well Service Ltd	X			12/17/07	X									
SP	Unilever Nv N V Shs New Netherlands Spon	X			2 Transactions		X								
SP	Weatherford Intl Inc (Bermuda)	X			12/17/07		X								
SP	Ysra Intl Asa Spon Adr	X			12/17/07	X									
SP	***UBS - Mutual Funds Account***														
SP	JP Morgan Highbridge Stat Market Neutral	X			12/12/2007					X					
SP	UBSPace Alternative Strategies Investments	X			12/12/2007					X					
SP	Old Mutual Analytic Defensive Equity Fund A	X			12/12/2007					X					
SP	Loomis Sayles Investment Grade Bond	X			12/12/2007						X				
SP	Fidelity Advisor Short Fixed Income Fund Class	X			12/12/2007						X				
SP	Eaton Vance Strategic Income Fund Class A	X			12/12/2007						X				
SP	Calvert Short Duration Income Fund Class A	X			12/12/2007						X				
SP	Fidelity Advisor Short Fixed Income Fund Class		X		12/28/2007	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	***Partnerships***														
JT	GS Pvt Equity Concentrated Healthcare Fund Offshore Hldgs LP	X			3 Transactions				X						
JT	GS WLR Opportunities Fund LP	X			4 Transactions					X					
JT	JBG Fund V Qualified Investor LLC	X			2 Transactions										
JT	***Bear Stearns Account***														
JT	UBS AG New	X			08/10/07	X									
JT	Barclays PLC-ADR	X			10/01/07	X									
JT	CRH PLC-ADR	X			08/10/07	X									
JT	Danske Bank ADR	X			07/25/07	X									
JT	Ericsson LM Tel Co ADR	X			3 Transactions			X							
JT	HSBC Holdings PLC ADR	X			10/01/07	X									
JT	ING Groep NV ADR	X			08/10/07		X								
JT	Ishares Trust MSCI EAFE Index Fund	X			12/13/07				X						
JT	Mitsubishi UFJ Finl Group ADR	X			07/26/07	X									
JT	Novartis AG ADR	X			10/01/07	X									
JT	Roche Holding Ltd ADR	X			11/16/07			X							
JT	SAP Aktiengesellschaft ADR	X			04/12/07			X							
JT	Shinsei Bank Ltd Tokyo ADR	X			3 Transactions		X								
JT	Vodafone Group PLC ADR	X			08/10/07	X									
JT	WPP Group PLC ADR	X			10/17/07		X								
JT	ABN Amro Holding NV		X		3 Transactions			X							
JT	Astrazeneca PLC ADR		X		03/16/07	X									
JT	Ericsson LM Tel Co ADR		X		5 Transactions			X							
JT	Ireland Bank ADR		[illegible]		2 Transactions		X								
JT	Mitsubishi UFJ Finl Group ADR		X		7 Transactions		X								
JT	Nokia Corporation ADR		X		4 Transactions				X						
JT	Shinsei Bank Ltd Tokyo ADR		X		3 Transactions		X								
JT	Telecom Corp of New Zealand ADR		X		2 Transactions		X								
JT	Tesco PLC-ADR		X		2 Transactions			X							
JT	Unilever PLC ADR		X		03/16/07		X								
JT	***Goldman Sachs (GS)- Brokerage Account***														
JT	Eksportfinans ASA 0% due 5/29/08	X			05/15/07				X						
JT	AB Svensk Exportkredit 0% due 2/28/07		X		02/28/07					X					
JT	Eksportfinans ASA 0% due 7/18/07		X		07/18/07						X				
JT	Eksportfinans ASA 0% due 8/21/07		X		08/21/07						X				
JT	***Goldman Sachs - Wasatch SC Val Account***														
JT	Big 5 Sporting Goods Corp Cmn	X			1/8/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,0	Over $50,000,000
JT	CBRE Realty Finance Inc Cmn	X			4 Transactions	X									
JT	Drew Industries Inc New Cmn	X			1/9/07	X									
JT	Eschelon Telecom, Inc. Cmn	X			1/2[illegible]	X									
JT	Global Imaging Services Inc Cmn	X			1/[illegible]	X									
JT	MSC Industrial Direct Co Inc Cl-A Class A	X			1/[illegible]	X									
JT	Accredited Home Lendrs Hldg Co Cmn		X		2/8/07	X									
JT	Aegean Marine Pete Network Inc Cmn		X		2/8/07	X									
JT	Aftermarket Technology Corp Cmn		X		2 Transactions	X									
JT	Amedisys Inc Cmn		X		2/8/07	X									
JT	American Woodmark Corp Cmn		X		2/8/07	X									
JT	Americas Car Mart Inc Cmn		X		2/8/07	X									
JT	Americredit Corp Cmn		X		2 Transactions	X									
JT	AMN Healthcare Services Inc Cmn		X		3 Transactions	X									
JT	Apollo Investment Corporation Mutual Fund		X		2/8/07	X									
JT	Arbor Realty Trust, Inc. Cmn		X		2 Transactions	X									
JT	ASE Test Limited Cmn		X		2/8/07	X									
JT	Baker (Michael) Corp Cmn		X		2/8/07	X									
JT	Beacon Roofing Supply, Inc. Cmn		X		2/8/07	X									
JT	Big 5 Sporting Goods Corp Cmn		X		2/8/07	X									
JT	Blount International Inc Cmn		X		2/8/07	X									
JT	Capital Senior Living Corp Cmn		X		2/8/07	X									
JT	CBRE Realty Finance Inc Cmn		X		2/8/07	X									
JT	Claymont Steel Holdings Inc Cmn		X		2/8/07	X									
JT	Commonwealth Bankshares Inc Cmn		X		2/8/07	X									
JT	Con-Way Inc Cmn		X		2/8/07	X									
JT	Copa Holdings, S.A. Cmn Class A		X		2/8/07	X									
JT	Courier Corp Cmn		X		2/8/07	X									
JT	Crystal River Capital, Inc Cmn		X		2/8/07	X									
JT	Drew Industries Inc New Cmn		X		2/8/07	X									
JT	Eschelon Telecom, Inc Cmn		X		2/8/07	X									
JT	Excel Technology Inc Cmn		X		2/8/07	X									
JT	Fidelity National Finl Cmn		X		1/4/07	X									
JT	Fidelity Natl Info Svcs Inc Cmn		X		2/8/07	X									
JT	Five Star Quality Care Inc Cmn		X		2/8/07	X									
JT	Fossil Inc Cmn		X		2/8/07	X									
JT	Franklin Bank Corp. Cmn		X		1/12/07	X									
JT	Global Imaging Services Inc Cmn		X		2/8/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,0	K Over $50,000,000
JT	Homebanc Corp. Cmn		X		2/8/07	X									
JT	Hub International Limited Cmn		X		2/8/07		X								
JT	Hypercom Corporation Cmn		X		2 Transactions	X									
JT	Ideare Inc. Cmn		X		2/8/07	X									
JT	J B Hunt Trans Svcs Inc Cmn		X		2/8/07	X									
JT	Kenneth Cole Productions Inc Cl-A Class A		X		2/8/07	X									
JT	Keystone Automotive Inds Inc Cmn		X		2/8/07	X									
JT	KKR Financial Corp Cmn		X		2/8/07	X									
JT	KMG America Corporation Cmn		X		2/8/07	X									
JT	LHC Group, Llc Cmn		X		2/8/07	X									
JT	Lithia Motors Inc Cl-A Cmn Class A		X		2/8/07	X									
JT	Magellan Health Services, Inc. Cmn		X		2/8/07	X									
JT	MCG Capital Corp Cmn		X		2/8/07	X									
JT	Mcgrath Rentcorp Cmn		X		2/8/07	X									
JT	Medical Properties Trust Inc Cmn		X		2 Transactions	X									
JT	Micrel Inc Cmn		X		2/8/07	X									
JT	Microfinancial Incorporated Cmn		X		2/8/07	X									
JT	Mobile Mini Inc Cmn		X		2/8/07	X									
JT	Molecular Devices Corp Cmn		X		1/29/07	X									
JT	Monro Muffler Brake, Inc. Cmn		X		3 Transactions	X									
JT	Msc Industrial Direct Co Inc Cl-A Class A		X		2/8/07	X									
JT	N V R Inc Cmn		X		1/29/07	X									
JT	Nanometrics Inc Cmn		X		2/8/07	X									
JT	NBTY, Inc Cmn		X		2 Transactions	X									
JT	NCI Building Systems Inc Cmn		X		2/8/07	X									
JT	Netscout Systems Inc Cmn		X		2/8/07	X									
JT	Northstar Realty Finance Corp. Cmn		X		2/8/07	X									
JT	Nu Horizons Electronic Corp Cmn		X		2/8/07	X									
JT	On Semiconductor Corp Cmn		X		2/8/07	X									
JT	Outdoor Channel Hldgs Inc Cmn		X		2/8/07	X									
JT	Pericom Semiconductor Corp Cmn		X		2/8/07	X									
JT	Placer Sierra Bancshares Cmn		X		1/11/07	X									
JT	Plains Expl & Prod Co Lp Cmn		X		2/8/07	X									
JT	Plato Learning Inc Cmn		X		2/8/07	X									
JT	Power Integrations Inc Cmn		X		2/8/07	X									
JT	Redwood Trust Inc Cmn		X		2/8/07		X								
JT	Rush Enterpises Inc Cmn Class A		X		2/8/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000
JT	Rush Enterprises Inc Cmn Class B		X		2/8/07	X									
JT	Schawk Inc Cmn Class A		X		2/8/07	X									
JT	Select Comfort Corporation Cmn		X		2/8/07	X									
JT	SM&A Cmn		X		2/8/07	X									
JT	Sonic Automotive Inc Class A Cmn Class A		X		2/8/07		X								
JT	Supertex Inc Cmn		X		2/8/07	X									
JT	TTM Technologies Inc Cmn		X		2/8/07	X									
JT	Tuesday Morning Corp Cmn		X		2/8/07	X									
JT	U S Physical Therapy Inc Cmn		X		2/8/07	X									
JT	United Panam Financial Corp Cmn		X		2/8/07	X									
JT	United Western Bancorp Inc Cmn		X		2/8/07	X									
JT	Universal Technical Institute Cmn		X		2/8/07	X									
JT	USA Truck Inc Cmn		X		2/8/07	X									
JT	Valero Gp Holdings, Llc Cmn		X		1/31/07	X									
JT	Vitran Corp Inc. Cmn		X		2/8/07	X									
JT	***Goldman Sachs - PCM Core Account***														
JT	Avid Technology Inc Cmn	X			9/17/07	X									
JT	Bea Systems Inc Common Stock	X			4 Transactions				X						
JT	Ca Inc Cmn	X			6/27/07		X								
JT	Checkfree Corp New Cmn	X			6/6/07		X								
JT	Eastman Kodak Company Cmn	X			2 Transactions		X								
JT	Glacier Bancorp Inc (New) Cmn	X			6/20/07		X								
JT	Health Management Assoc. Cl A	X			2 Transactions			X							
JT	Hearst-Argyle Tel Inc Cmn	X			5/30/07		X								
JT	Mattson Technology Inc Cmn	X			5/22/07		X								
JT	Motorola Inc Cmn	X			3 Transactions			X							
JT	Northern Trust Corp Cmn	X			3 Transactions			X							
JT	Royal Caribbean Cruises Ltd	X			3 Transactions				X						
JT	Seacoast Bkg Corp Fla Cmn	X			2 Transactions	X									
JT	Sterling Financial Corporation Cmn	X			5/30/07		X								
JT	Symantec Corp Cmn	X			3/14/07		X								
JT	Tibco Software Inc. Cmn	X			4/12/07		X								
JT	Xerox Corporation Cmn	X			9/28/07		X								
JT	American Standard Companies Cmn		X		4/23/07		X								
JT	Federated Department Stores In Cmn		X		4 Transactions				X						
JT	Health Management Assoc. Cl A		X		5/29/07	X									
JT	Lehman Brothers Holdings Inc Cmn		X		3/22/07			X							

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$5,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,00	K Over $50,000,000
JT	Lennox International Inc Cmn		X		3/14/07		X								
JT	Masco Corporation Cmn		X		5/3/07	X									
JT	Mc Clatchy Company (Hldg Co) Class A		X		8/9/07		X								
JT	Pnc Financial Services Group Cmn		X		4/5/07		X								
JT	The Topps Company Inc Cmn		X		3/6/07		X								
JT	Verisign Inc Cmn		X		3 Transactions			X							
JT	***Goldman Sachs - Sound Shore Account***														
JT	AES Corp. Cmn	X			12 Transactions		X								
JT	Aetna Inc Cmn	X			3/12/07										
JT	Amer Intl Group Inc Cmn	X			14 Transactions			X							
JT	AON Corporation Cmn	X			7/19/07	X									
JT	Apollo Group Class A Common Stock	X			13 Transactions		X								
JT	Bank Of America Corp Cmn	X			4 Transactions		X								
JT	Barr Pharmaceuticals, Inc Cmn	X			21 Transactions			X							
JT	Barrick Gold Corporation Cmn	X			23 Transactions			X							
JT	Baxter International Inc Cmn	X			5 Transactions		X								
JT	Berkshire Hathaway Inc. Class B	X			2/23/07	X									
JT	Boston Scientific Corp. Common Stock	X			11 Transactions		X								
JT	Chubb Corp Cmn	X			4 Transactions	X									
JT	Cigna Corp Cmn	X			8 Transactions		X								
JT	Citigroup Inc. Cmn	X			11 Transactions			X							
JT	Comcast Corporation Cmn Class A	X			14 Transactions			X							
JT	Cytec Inds Inc Common Stock	X			22 Transactions		X								
JT	Flextronics International Ltd Cmn	X			8 Transactions	X									
JT	General Electric Co Cmn	X			2/23/07	X									
JT	Hewlett-Packard Co. Cmn	X			2 Transactions	X									
JT	Honda Mtr Ltd (Amer Shs) Adr Cmn	X			3 Transactions	X									
JT	Interpublic Group Cos Cmn	X			8 Transactions	X									
JT	Invesco Plc Sponsored Adr Cmn	X			16 Transactions		X								
JT	Ishares Trust - Russell 1000 Val Ind Fnd	X			4/4/07			X							
JT	Kinetic Concepts Inc Cmn	X			8 Transactions		X								
JT	Marsh & Mclennan Co Inc Cmn	X			9 Transactions		X								
JT	Motorola Inc Cmn	X			3 Transactions	X									
JT	Newmont Mng Corp Hldng Co Cmn	X			6 Transactions		X								
JT	Pfizer Inc Cmn	X			3 Transactions	X									
JT	Southwest Airlines Co Cmn	X			2 Transactions	X									
JT	Sprint Nextel Corporation Cmn	X			2 Transactions	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,0	Over $50,000,000
JT	Sun Microsystems,Inc Cmn Series	X			5 Transactions		X								
JT	Symantec Corp Cmn	X			9 Transactions		X								
JT	Texas Instruments Inc. Cmn	X			2 Transactions	X									
JT	The Goodyear Tire & Rubber Com Cmn	X			20 Transactions		X								
JT	Time Warner Inc. Cmn	X			6 Transactions	X									
JT	Unilever N.V. Ny Shs (New) Adr Cmn	X			3 Transactions	X									
JT	Unum Group Cmn	X			6 Transactions	X									
JT	Walt Disney Company (The) Cmn	X			3 Transactions	X									
JT	Washington Post Co Cl B Cmn Class B	X			15 Transactions		X								
JT	Waste Management Inc Cmn	X			7 Transactions		X								
JT	Western Digital Corp Cmn	X			11 Transactions		X								
JT	Aetna Inc Cmn		X		13 Transactions			X							
JT	Amer Intl Group Inc Cmn		X		3 Transactions	X									
JT	Aon Corporation Cmn		X		5 Transactions		X								
JT	Apollo Group Class A Common Stock		X		3 Transactions	X									
JT	Bank Of America Corp Cmn		X		3 Transactions		X								
JT	Barrick Gold Corporation Cmn		X		4 Transactions	X									
JT	Baxter International Inc Cmn		X		10 Transactions		X								
JT	Berkshire Hathaway Inc. Class B		X		10 Transactions			X							
JT	*Boston Scientific Corp. Common Stock*		X		4/4/07	X									
JT	Chubb Corp Cmn		X		16 Transactions			X							
JT	Cigna Corp Cmn		X		8 Transactions		X								
JT	Citigroup Inc. Cmn		X		3 Transactions		X								
JT	CSX Corp Cmn		X		10 Transactions		X								
JT	Flextronics International Ltd Cmn		X		8/15/07	X									
JT	Genworth Financial Inc Cmn Class A		X		19 Transactions			X							
JT	Hewlett-Packard Co. Cmn		X		10 Transactions			X							
JT	*Honda Mtr Ltd (Amer Shs) Adr Cmn*		X		27 Transactions			X							
JT	Interpublic Group Cos Cmn		X		3 Transactions	X									
JT	Kinetic Concepts Inc Cmn		X		16 Transactions		X								
JT	Liberty Media Holding Corp Capital		X		18 Transactions			X							
JT	Lyondell Chemical Company Cmn		X		23 Transactions			X							
JT	Marsh & Mclennan Co Inc Cmn		X		5 Transactions	X									
JT	Morgan Stanley Cmn		X		8 Transactions		X								
JT	Motorola Inc Cmn		X		10 Transactions		X								
JT	*Newmont Mining Corporation Cmn*		X		10/2/07	X									
JT	Pfizer Inc. Cmn		X		3 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	Southwest Airlines Co Cmn		X		4 Transactions	X									
JT	Sprint Nextel Corporation Cmn		X		7 Transactions		X								
JT	Symantec Corp Cmn		X		2 Transactions	X									
JT	Texas Instruments Inc. Cmn		X		2 Transactions	X									
JT	The Mosaic Company Cmn		X		22 Transactions		X								
JT	Time Warner Inc. Cmn		X		4 Transactions	X									
JT	U.S. Bancorp Cmn		X		12 Transactions			X							
JT	Unum Group Cmn		X		4 Transactions	X									
JT	Unilever N V. Ny Shs (New) Adr Cmn		X		15 Transactions		X								
JT	Walt Disney Company (The) Cmn		X		3 Transactions	X									
JT	Washington Post Co Cl B Cmn Class B		X		5 Transactions	X									
JT	Western Digital Corp Cmn		X		6/13/07	X									
JT	***Goldman Sachs - Boston Company Account***														
JT	Adidas Ag Adr Cmn	X			4 Transactions		X								
JT	Aeon Co., Ltd. Adr Cmn	X			6 Transactions		X								
JT	Allianz Se Adr Cmn	X			2 Transactions	X									
JT	Amcor Ltd Adr (New) Adr Cmn	X			5/1/07	X									
JT	Ashai Kasei Corp Adr Cmn	X			2 Transactions		X								
JT	Banco Santander Central Hispano Sa Spon Adr	X			2 Transactions	X									
JT	BNP Paribas Sponsored Adr Cmn	X			3 Transactions		X								
JT	BOC Hong Kong (Holdings) Ltd Adr	X			2 Transactions	X									
JT	Canon Inc Adr Adr Cmn	X			3 Transactions		X								
JT	DBS Group Holdings Sponsored Adr Cmn	X			4/9/07	X									
JT	Debenhams Plc Adr Cmn	X			2 Transactions	X									
JT	Deutsche Telekom Ag Sponsored Adr Cmn	X			3 Transactions		X								
JT	E.On Ag Sponsored Adr Cmn	X			4/2/07	X									
JT	Ericsson (Lm) Tel Co Adr Cmn Class B	X			5 Transactions		X								
JT	France Telecom Sa Sponsored Adr Cmn	X			2/16/07	X									
JT	Friends Provident Plc Adr Cmn	X			3 Transactions		X								
JT	Henkel Kgaa Spon Adr Sponsored Adr Cmn	X			9 Transactions		X								
JT	Hong Kong Elec Hldgs Ltd (Spons Adr)	X			4 Transactions	X									
JT	HSBC Holdings Plc Sponsored Adr Cmn	X			4 Transactions		X								
JT	Hutchison Whampoa (Adr) Adr Cmn	X			8/14/07	X									
JT	Johnson Elec Hldgs Spons Adr Sponsored Adr Pfd Usd0.1660	X			2 Transactions	X									
JT	KT Corporation Sponsored Adr Cmn	X			2 Transactions	X									
JT	Kubota Corp Adr Adr Cmn	X			8 Transactions			X							
JT	Lafarge Sponsored Adr Cmn	X			2 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transtaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,0	K Over $50,000,000
JT	Ashai Kasei Corp Adr Cmn		X		4 Transactions		X								
JT	Banco Bilbao Vizcaya S A Adr Sponsored Adr Cmn Usd0.3296		X		2 Transactions	X									
JT	Banco Santander Central Hispano Sa Spon Adr		X		2 Transactions	X									
JT	Bank Of Ireland Spon Adr Sponsored Adr Cmn		X		2 Transactions		X								
JT	BNP Paribas Sponsored Adr Cmn		X		2 Transactions		X								
JT	Cadbury Schweppes P.L.C. Adr Adr Cmn		X		5 Transactions		X								
JT	Canon Inc Adr Adr Cmn		X		1/4/07	X									
JT	Centrica Plc Sponsored Adr Cmn		X		3 Transactions		X								
JT	Cibc Pacific Limited Sponsored Adr Cmn		X		4 Transactions	X									
JT	Coca Cola Femsa Sa Sponsored Adr Cmn		X		6 Transactions	X									
JT	Coca-Cola Amatil Limited Sponsored Adr Cmn		X		6 Transactions		X								
JT	Credit Suisse Group Spon Adr Sponsored Adr Cmn		X		3 Transactions	X									
JT	DBS Group Holdings Sponsored Adr Cmn		X		1/22/07	X									
JT	Deutsche Bank Ag Cmn		X		2 Transactions		X								
JT	Deutsche Telekom Ag Sponsored Adr Cmn		X		9/4/07	X									
JT	E On Ag Sponsored Adr Cmn		X		3 Transactions	X									
JT	Enel Spa Spon Adr Adr Cmn		X		4/2/07	X									
JT	Fortis (Ni) N.V. Sponsored Adr Cmn		X		3/1/07	X									
JT	France Telecom Sa Sponsored Adr Cmn		X		6 Transactions		X								
JT	Friends Provident Plc Adr Cmn		X		8/23/07	X									
JT	Fujifilm Holdings Corporation Adr Cmn		X		7 Transactions		X								
JT	Funai Electric Co., Ltd. Adr Cmn		X		2 Transactions	X									
JT	Hannover Ruckvesicherungs Corp Sponsored Adr Cmn		X		3 Transactions		X								
JT	Hino Motors Ltd Adr Adr Cmn		X		9 Transactions			X							
JT	Hsbc Holdings Plc Sponsored Adr Cmn		X		4 Transactions		X								
JT	Infineon Technologies Ag - Adr Sponsored Adr Cmn		X		4 Transactions		X								
JT	Kao Corp Sponsored Adr Cmn		X		7 Transactions		X								
JT	Koninklijke Philips Electrs Nv Adr Cmn		X		3 Transactions		X								
JT	KT Corporation Sponsored Adr Cmn		X		9/26/07	X									
JT	Lagardere Groupe Spons Adr Sponsored Adr Cmn		X		4 Transactions		X								
JT	Mediaset Spa Adr Cmn		X		8/23/07	X									
JT	Nestle Sa Sponsored Adr (Rep 1/20 Chf 10 Regd Shs)		X		3/7/07	X									
JT	Nissan Motor Co Ltd Sponsored Adr		X		1/25/07	X									
JT	Nokia Corp Spon Adr Sponsored Adr Cmn		X		4 Transactions		X								
JT	NTT Docomo, Inc. Sponsored Adr Cmn		X		5/2/07	X									
JT	Orix Corporation Spons Adr Sponsored Adr Cmn		X		1/11/07	X									
JT	Peugeot S.A. Sponsored Adr Cmn		X		1/10/07	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	Reed Elsevier Plc Sponsored Adr Cmn		X		9/27/07	X									
JT	Rentokil Initial Plc Adr + Sponsored Adr Cmn		X		4 Transactions		X								
JT	Rexam Plc Adr Cmn		X		8/14/07	X									
JT	Ricoh Co Ltd Adr Cmn		X		2 Transactions		X								
JT	Shinsei Bank Ltd Tokyo Sponsored Adr Cmn		X		4 Transactions		X								
JT	Siemens Ag Sponsored Adr Cmn		X		6 Transactions			X							
JT	SK Telecom Co., Ltd. Sponsored Adr Cmn		X		5/8/07	X									
JT	Svenska Cellulosa Ab Spon Adr Sponsored Adr Cmn Class B		X		2 Transactions	X									
JT	Swiss Reinsurance Sponsored Adr Cmn		X		2 Transactions		X								
JT	Taisei Corp (Adr) Adr Cmn		X		4/10/07	X									
JT	TDK Corporation (Adr) Adr Cmn		X		4 Transactions		X								
JT	Telefonos De Mexico Sa Sponsored Adr'S Rep Sr L Shrs		X		2 Transactions	X									
JT	Telenorte Leste Participacoes S.A. Spons Adr Repstg Pfd		X		3 Transactions	X									
JT	Telstra Corp Adr (Final) Sponsored Adr Cmn		X		2 Transactions	X									
JT	Teva Pharmaceutical Ind Ltd Ads		X		3 Transactions		X								
JT	Toto Ltd (Adr) Adr Cmn		X		2/27/07	X									
JT	Trinity Mirror Plc Adr Cmn		X		4 Transactions	X									
JT	Unilever Plc (New) Sponsored Adr Cmn		X		2 Transactions	X									
JT	United Overseas Bk Ltd Sponsored Adr Cmn		X		5 Transactions		X								
JT	UPM-Kymmene Oyj Sponsored Adr Cmn		X		9/27/07	X									
JT	Valeo Spons Adr Sponsored Adr Cmn		X		6 Transactions		X								
JT	***Goldman Sachs - Delaware Large Cap***														
JT	Chicago Mercantile Hldgs Inc Cmn	X			6/12/07	X									
JT	Crown Castle Intl Corp Common Stock	X			7 Transactions			X							
JT	Google, Inc. Cmn Class A	X			2 Transactions		X								
JT	Intercontinentalexchange Inc Cmn	X			6/12/07	X									
JT	Intuit Inc Cmn	X			2 Transactions	X									
JT	Moodys Corp Cmn	X			4/11/07	X									
JT	Paychex, Inc. Cmn	X			4/11/07	X									
JT	Qualcomm Inc Cmn	X			8/22/07		X								
JT	Research In Motion Cmn	X			8/20/07	X									
JT	Reuters Group Plc Spons Adr	X			2 Transactions		X								
JT	Seagate Technology Cmn	X			4/11/07		X								
JT	Weight Watchers International, Inc. Cmn	X			4/11/07		X								
JT	Western Union Company (The) Cmn	X			8/22/07		X								
JT	Zimmer Hldgs Inc Cmn	X			8/22/07	X									
JT	Chicago Mercantile Hldgs Inc Cmn		X		3/15/07		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	CME Group Inc Cmn		X		8/20/07	X									
JT	Ebay Inc. Cmn		X		2 Transactions		X								
JT	Intercontinentalexchange Inc Cmn		X		8/20/07	X									
JT	Moodys Corp Cmn		X		4 Transactions			X							
JT	Paychex, Inc. Cmn		X		8/20/07		X								
JT	Praxair Inc Cmn		X		8/10/07		X								
JT	Sandisk Corp Cmn		X		2/21/07		X								
JT	United Parcel Service, Inc. Class B		X		8/20/07		X								
JT	***Goldman Sachs - Central Municipals***														
JT	Los Angeles CA Rev 5% 2/1/13	X			7/9/07					X					
JT	CA DWP Rev 5.25% 5/1/09	X			8/8/07				X						
JT	CA DWP IB 5.375% 5/1/22	X			8/10/07				X						
JT	***UBS - Eaton Vance Account***														
JT	Abbott Labs	X			11/9/07		X								
JT	Aetna Inc	X			11/9/07		X								
JT	Air Prod & Chemical Inc	X			11/9/07		X								
JT	Alcoa Inc	X			11/9/07		X								
JT	Amer Intl Group Inc	X			11/9/07	X									
JT	Ameriprise Financial Inc	X			2 Transactions		X								
JT	Avalonbay Communities Inc Sbi	X			11/9/07		X								
JT	Bank Of Amer Corp	X			12/10/07	X									
JT	Bank Of New York Mellon Corp	X			11/9/07		X								
JT	Best Buy Co Inc	X			11/9/07	X									
JT	Burlington Nthn Santa Fe Corp	X			11/9/07		X								
JT	Chubb Corp	X			11/9/07		X								
JT	Credit Suisse Group Spon Adr	X			11/9/07		X								
JT	CVS Caremark Corp	X			11/9/07		X								
JT	Deere And Co	X			11/9/07			X							
JT	Discover Financial Services	X			11/30/2007	X									
JT	Eaton Corp	X			2 Transactions		X								
JT	Edison Intl	X			11/9/07			X							
JT	Genl Dynamics Corp	X			11/9/07		X								
JT	Genl Electric Co	X			11/30/2007	X									
JT	Goldman Sachs Group Inc	X			11/9/07			X							
JT	Hartford Fincl Services Group Inc	X			11/9/07		X								
JT	Hewlett Packard Co	X			11/9/07			X							
JT	Intl Business Mach	X			2 Transactions			X							

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,0	Over $50,000,000
JT	Ishares Trust Russell 1000 Value Index Fund	X			12/18/07						X				
JT	Johnson & Johnson Com	X			11/9/07		X								
JT	Johnson Controls Inc	X			11/9/07		X								
JT	JP Morgan Chase & Co	X			11/9/07			X							
JT	Kimberly Clark Corp	X			11/9/07		X								
JT	Kraft Foods Inc Cl A	X			11/9/07		X								
JT	Kroger Company	X			11/9/07		X								
JT	Lincoln Natl Corp Ind	X			11/9/07		X								
JT	Lockheed Martin Corp	X			11/9/07		X								
JT	Mcdonalds Corp	X			11/9/07		X								
JT	Molson Coors Brewing Co	X			11/9/07	X									
JT	Nestle S A Sponsored Adr	X			11/9/07			X							
JT	Nike Inc Cl B	X			11/9/07		X								
JT	Nokia Corp Spons Adr	X			11/9/07		X								
JT	NRG Energy Inc New	X			11/9/07		X								
JT	Prudential Financial Inc	X			11/9/07		X								
JT	Public Service Enterprse Group Inc	X			2 Transactions		X								
JT	Safeway Inc	X			11/9/07		X								
JT	Simon Ppty Group Inc Sbi	X			11/9/07		X								
JT	TJX Cos Inc New	X			11/9/07		X								
JT	Travelers Cos Inc/The	X			2 Transactions		X								
JT	Untd Technologies Corp	X			11/9/07		X								
JT	Verizon Communications	X			11/9/07			X							
JT	Vornado Realty Trust	X			11/9/07		X								
JT	Wachovia Corp	X			11/9/07		X								
JT	Wells Fargo & Co New	X			11/9/07		X								
JT	Wyeth	X			11/9/07		X								
JT	AES Corp		X		11/9/2007			X							
JT	Alcoa Inc		X		12/18/2007		X								
JT	Amer Intl Group Inc		X		12/18/2007			X							
JT	Ameriprise Financial Inc		X		12/18/2007		X								
JT	Aon Corp		X		2 Transactions			X							
JT	Apollo Group Inc Cl A		X		11/9/2007		X								
JT	Avalonbay Communities Inc Sbi		X		12/18/2007		X								
JT	Bank Of Amer Corp		X		11 Transactions			X							
JT	Barr Pharmaceuticals Inc		X		11/9/2007			X							
JT	Barrick Gold Corp		X		11/9/2007			X							

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,00	Over $50,000,000
JT	Baxter Intl Inc		X		11/9/2007		X								
JT	Boston Scientific Corp		X		11/9/2007			X							
JT	Cigna Corp		X		11/9/2007		X								
JT	Citigroup Inc		X		11/9/2007		X								
JT	Comcast Corp New Cl A		X		11/9/2007			X							
JT	CVS Caremark Corp		X		12/18/2007	X									
JT	Cytec Industries Inc		X		11/9/2007		X								
JT	Discover Financial Services		X		12/18/2007	X									
JT	Edison Intl		X		12/18/2007			X							
JT	Flextronics Intl Ltd		X		11/9/2007			X							
JT	Genl Electric Co		X		11/9/2007			X							
JT	Goodyear Tire & Rubber Co		X		11/9/2007		X								
JT	Hartford Fincl Services Group Inc		X		12/18/2007		X								
JT	Interpublic Group Of Cos Inc		X		11/9/2007		X								
JT	Invesco Plc Spon Adr		X		11/30/2007		X								
JT	Ishares Trust Russell 1000 Value Index Fund		X		11/9/2007			X							
JT	Johnson Controls Inc		X		12/18/2007		X								
JT	Kinetic Concepts Inc New		X		11/9/2007		X								
JT	Lincoln Natl Corp Ind		X		12/18/2007		X								
JT	Marsh & Mclennan Cos Inc		X		11/9/2007		X								
JT	Newmont Mining Corp (Holding Co)		X		11/9/2007		X								
JT	Nokia Corp Spons Adr Finland Adr		X		12/18/2007		X								
JT	Pfizer Inc		X		11/9/2007	X									
JT	Pfizer Inc		X		4 Transactions		X								
JT	Public Service Enterprse Group Inc		X		12/21/2007	X									
JT	Simon Ppty Group Inc Sbi		X		12/18/2007		X								
JT	Southwest Airlines Co		X		11/9/2007		X								
JT	Sprint Nextel Corp Series 1 Common Stock		X		11/9/2007		X								
JT	Sun Microsystems Inc Reverse Split A/O 11/07		X		11/9/2007		X								
JT	Symantec Corp		X		11/9/2007			X							
JT	Texas Instruments		X		11/9/2007		X								
JT	Time Warner Inc		X		11/9/2007			X							
JT	Unilever Nv N Y Shs New Netherlands Spon		X		11/9/2007		X								
JT	Unum Group		X		11/9/2007		X								
JT	Vornado Realty Trust		X		12/18/2007	X									
JT	Wachovia Corp		X		12/18/2007		X								
JT	Walt Disney Co (Holding Co) Disney Com		X		11/9/2007		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,00	Over $50,000,000
JT	Wash Post Co Cl B		X		11/9/2007			X							
JT	Waste Mgmt Inc New		X		11/9/2007			X							
JT	Wells Fargo & Co New		X		12/18/2007		X								
JT	Wstn Digital Corp		X		11/9/2007		X								
JT	***UBS - Parametric International Account)***														
JT	Abb Ltd Spon Adr	X			11/9/2007		X								
JT	Air Liquide Adr France Adr	X			2 Transactions		X								
JT	Aktiebolaget Electrolux Ltd Sponsored Adr	X			12/13/2007	X									
JT	Alpha Bank A E Spon Adr	X			12/13/2007	X									
JT	Arcelormittal Ny Registry Shs Stock	X			11/9/2007		X								
JT	Australia & New Zealand Bkg Grp Ltd Sponsrd Adr	X			11/9/2007	X									
JT	AXA Adr	X			2 Transactions	X									
JT	Bae Systems Plc Spon Adr	X			11/9/2007		X								
JT	Banco Bilbao Vizcaya Argentaria S A Spon	X			11/9/2007		X								
JT	Banco Santander S A Spon Adr	X			11/9/2007		X								
JT	Bayer A G Spon Adr	X			2 Transactions	X									
JT	Bridgestone Corp Ltd Adr Japan	X			11/9/2007	X									
JT	British Land Co Plc Spon Adr	X			2 Transactions	X									
JT	Cheung Kong Holdings Ltd Adr Hong Kong	X			11/9/2007	X									
JT	City Developments Ltd Spon Adr	X			11/9/2007	X									
JT	Coca Cola Amatil Sponsor Adr Australia	X			11/9/2007	X									
JT	Coca Cola Hellenic Bottling Co S A. Spon	X			11/9/2007		X								
JT	Compass Group Plc	X			11/9/2007	X									
JT	Contl Ag-Spons Adr Adr	X			11/9/2007	X									
JT	CRH Plc Ireland Spon Adr	X			11/9/2007	X									
JT	Daimler Ag Ord	X			2 Transactions	X									
JT	Deutsche Bank Ag Reg Shs Ord	X			11/9/2007	X									
JT	Diageo Plc New Gb Spon Adr	X			11/9/2007	X									
JT	Elan Corp Plc Spon Adr	X			11/9/2007	X									
JT	Enel Spa Spon Adr	X			11/9/2007		X								
JT	Energias De Portugal S A Spon Adr	X			11/9/2007		X								
JT	Erste Bk Der Oester Spon Adr	X			11/9/2007	X									
JT	Essilor Intl Spon Adr	X			11/9/2007	X									
JT	Fiat S P A New Repstg One Ord Euro 0 50 Par	X			11/9/2007		X								
JT	Fortis Nl New Mandatory Exchange 12/01" Spon"	X			11/9/2007	X									
JT	Fuji Film Holdmgs Corp Adr	X			11/9/2007		X								
JT	Fujitsu Ltd Adr New Japan	X			12/13/2007	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,00	$25,000,001-$50,000,0	Over $50,000,000
JT	Glaxo Smithkline Plc Adr	X			11/9/2007		X								
JT	Hang Lung Properties Ltd Spon Adr	X			11/9/2007		X								
JT	Heineken N V Adr Netherlands	X			11/9/2007		X								
JT	Hino Motors Ltd Adr Adr	X			11/9/2007		X								
JT	Hoya Corp Spon Adr	X			11/9/2007	X									
JT	Husqvarna Ab Spon Adr	X			12/13/2007	X									
JT	Iberdrola Sa Spon Adr	X			2 Transactions		X								
JT	Icap Plc Spon Adr	X			11/9/2007		X								
JT	Imperial Chem Ind Plc Adr New	X			11/9/2007	X									
JT	Intesa Sanpaolo Spon Adr	X			2 Transactions	X									
JT	Intl Power Plc Adr	X			11/9/2007		X								
JT	Invesco Plc Spon Adr	X			11/9/2007	X									
JT	Ishares Msci Eafe Index Fund	X			12/18/2007					X					
JT	J. Sainsbury Plc Spon Adr	X			11/9/2007		X								
JT	James Hardie Ind. N.V. Spon Adr	X			11/9/2007	X									
JT	Kirin Holdings Ltd Spon Adr	X			2 Transactions	X									
JT	Komatsu Ltd Spon Adr New	X			11/9/2007	X									
JT	Koninklijke Ahold Nv New 2007 Spon Adr	X			11/9/2007	X									
JT	Logitech Intl Sa Reg Namen-Akt	X			12/13/2007	X									
JT	Lonmin Plc New Spon Adr	X			12/13/2007		X								
JT	Loreal Co Adr France Adr	X			2 Transactions	X									
JT	Macquarie Bank Ltd Adr	X			11/9/2007		X								
JT	Makita Corp Adr Japan	X			11/9/2007		X								
JT	Marks & Spencer Group Inc Spon Adr	X			11/9/2007		X								
JT	Metso Corp Spon Adr	X			11/9/2007	X									
JT	Millicom Intl Cellular Sa New	X			12/13/2007	X									
JT	Mitsubishi Corp Spons Adr Adr	X			11/9/2007		X								
JT	Mitsubishi Estate Ltd Adr Adr	X			11/9/2007		X								
JT	Mitsui & Co Ltd Adr Japan	X			11/9/2007		X								
JT	Natl Bank Greece Sa Spon Adr	X			2 Transactions	X									
JT	Neptune Orient Lines Ltd Spons Adr Singapore	X			11/9/2007	X									
JT	Newcrest Mining Ltd Spon Australia Adr	X			2 Transactions		X								
JT	Nintendo Ltd Adr New Japan	X			11/9/2007		X								
JT	Nippon Steel Corp Unspon Adr	X			11/9/2007		X								
JT	Nippon Yusen Kabushiki Spon Adr 2006	X			2 Transactions		X								
JT	Nokia Corp Spons Adr Finland Adr	X			2 Transactions		X								
JT	Novo Nordisk Adr Denmark Adr	X			11/9/2007		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,0	Over $50,000,000
JT	Promise Co Ltd Unspon Adr	X			12/13/2007	X									
JT	Prudential Plc Adr United Kingdom	X			11/9/2007		X								
JT	Reed Elsevier Nv Repstg 2 Ord Shs Spon Adr	X			11/9/2007	X									
JT	Reuters Group Plc Spon Adr	X			11/9/2007	X									
JT	Roche Holding Ltd Spons Adr	X			2 Transactions	X									
JT	Rolls Royce Group Plc Spon Adr	X			11/9/2007	X									
JT	Royal Bank Scotland Group Plc Repstg Shs	X			2 Transactions	X									
JT	Ryanair Holdings Plc Spon Adr	X			11/9/2007	X									
JT	Sabmiller Plc Spon Adr	X			11/9/2007	X									
JT	Sandvik Ab Spon Adr	X			11/9/2007		X								
JT	Sap Ag Spon Adr	X			11/9/2007	X									
JT	Scottish & Sthn Energy Plc Spon Adr	X			11/9/2007		X								
JT	Seiko Epson Corp Unsponsored Adr	X			12/13/2007	X									
JT	Sgs Societe Generale De Surveillance Hldg Sa	X			11/9/2007	X									
JT	Shiseido Co Ltd Spons Adr Japan	X			11/9/2007		X								
JT	Shizuoka Bank Ltd Japan Unspons Adr	X			12/13/2007	X									
JT	Smith & Nephew Plc New Spon Adr	X			11/9/2007	X									
JT	Sony Corp Adr New Japan	X			12/13/2007	X									
JT	Suez Spon Adr	X			11/9/2007		X								
JT	Sumitomo Metal Ind Spon Adr (Japan)	X			11/9/2007	X									
JT	Swedbank Ab Adr	X			12/13/2007	X									
JT	Swisscom Ag Spon Adr	X			12/13/2007	X									
JT	Syngenta Ag Spon Adr	X			2 Transactions	X									
JT	Tabcorp Holdings Ltd-Sp Adr	X			11/9/2007		X								
JT	Technip Sa New Spon Adr	X			11/9/2007		X								
JT	Technip Sa New Spon Adr	X			12/13/2007	X									
JT	Tesco Plc Spons Adr United Kingdom	X			11/9/2007	X									
JT	Tnt N V Spon Adr	X			11/9/2007	X									
JT	Tnt N V Spon Adr	X			12/13/2007	X									
JT	Unilever Nv N Y Shs New Netherlands Spon	X			11/9/2007	X									
JT	Until Utilities Plc Spon Adr	X			11/9/2007		X								
JT	Valeo Spon Adr	X			12/13/2007	X									
JT	Veolia Environnement Spon Adr	X			11/9/2007		X								
JT	Vinci S.A Adr	X			11/9/2007		X								
JT	Volkswagen A G Sponsored Adr New Germany	X			11/9/2007		X								
JT	Volvo Aktiebolaget Adr B Adr	X			11/9/2007	X									
JT	Westpac Banking Ltd Sponsored Adr Australia	X			11/9/2007		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,00	Over $50,000,000
JT	Woodside Petroleum Spons Adr Nw Australia Adr	X			2 Transactions		X								
JT	Yara Intl Asa Spon Adr	X			11/9/2007		X								
JT	Adidas Ag Spon Adr		X		11/9/2007		X								
JT	Aegon Nv Adr N Y Shs Netherlands		X		2 Transactions	X									
JT	Aeon Co Ltd Unspon Adr		X		2 Transactions		X								
JT	Allianz Se Adr		X		11/9/2007	X									
JT	Amcor Ltd New Au Adr		X		11/9/2007		X								
JT	Asahi Kaisei Corp Unspon Adr		X		11/9/2007	X									
JT	Bae Systems Plc Spon Adr		X		12/18/2007		X								
JT	Bank Of Ireland Spon Adr		X		12/13/2007	X									
JT	Barclays Plc Adr		X		12/18/2007	X									
JT	BOC Hong Kong Holdings Ltd Spon Adr		X		3 Transactions	X									
JT	Bridgestone Corp Ltd Adr Japan		X		12/18/2007	X									
JT	Canon Inc Adr Japan		X		3 Transactions	X									
JT	Centrica Plc New 2004 Spon Adr		X		2 Transactions	X									
JT	Ciba Specialty Chem Hldgs Inc Spon Adr		X		5 Transactions		X								
JT	Coca Cola Amatil Sponsor Adr Australia		X		12/18/2007	X									
JT	Contl Ag-Spons Adr Adr		X		12/18/2007	X									
JT	Daimler Ag Ord		X		12/18/2007	X									
JT	DBS Group Hldgs Ltd. Spon Adr		X		4 Transactions		X								
JT	Debenhams Plc Adr		X		11/9/2007	X									
JT	Deutsche Telekom Ag De Spon Adr		X		4 Transactions		X								
JT	E On Ag Spon Adr		X		11/9/2007		X								
JT	Fiat S P A New Reptstg One Ord Euro 0.50 Par		X		12/18/2007		X								
JT	Fortis Nl Spon Adr		X		12/13/2007	X									
JT	France Telecom Sa Spon Adr		X		11/9/2007	X									
JT	Friends Provident Plc Unspons Adr		X		5 Transactions		X								
JT	Funai Elec Co Adr		X		12/13/2007	X									
JT	Hbos Plc Spon Adr		X		6 Transactions		X								
JT	Henkel Kgaa Spon Adr		X		11/9/2007		X								
JT	Henkel Kgaa Spon Adr		X		12/13/2007	X									
JT	Hino Motors Ltd Adr Adr		X		12/13/2007	X									
JT	Hsbc Holdings Plc New Gb Spon Adr		X		12/18/2007	X									
JT	Hutchison Whampoa Ltd Adr Hong Kong		X		2 Transactions	X									
JT	Iberdrola Sa Spon Adr		X		2 Transactions		X								
JT	Icap Plc Spon Adr		X		12/13/2007	X									
JT	Intl Power Plc Adr		X		12/18/2007	X									

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00	J $25,000,001-$50,000,00	K Over $50,000,000
JT	Johnson Elec Hldgs Adr Bermuda Adr		X		2 Transactions	X									
JT	Komatsu Ltd Spon Adr New		X		2 Transactions	X									
JT	Koninklijke Philips El N V New 2000 Spon Adr		X		12/13/2007	X									
JT	Korea Electric Power Crp Sponsored Adr		X		11/9/2007	X									
JT	Kt Corp Spon Adr		X		11/9/2007	X									
JT	Kt Corp Spon Adr		X		2 Transactions	X									
JT	Kubota Corp Adr Japan		X		8 Transactions		X								
JT	L M Ericsson Telefon Co Sek 10 New 2002 Adr		X		6 Transactions		X								
JT	Macquarie Bank Ltd Adr		X		12/18/2007		X								
JT	Makita Corp Adr Japan		X		12/18/2007	X									
JT	Malayan Banking Berhad Spon Adr		X		5 Transactions		X								
JT	Marks & Spencer Group Inc Spon Adr		X		12/18/2007	X									
JT	Mediaset Spa Spon Adr		X		11/9/2007		X								
JT	Mediaset Spa Spon Adr		X		12/18/2007	X									
JT	Metso Corp Spon Adr		X		12/18/2007	X									
JT	Mitsubishi Estate Ltd Adr Adr		X		12/18/2007	X									
JT	Mitsubishi Ufj Financial Group Inc Spon Adr		X		14 Transactions		X								
JT	Mitsui & Co Ltd Adr Japan		X		12/18/2007		X								
JT	Natl Australia Bank Ltd Spon Adr		X		2 Transactions	X									
JT	Nedbank Group Ltd Spon Adr		X		3 Transactions	X									
JT	Neptune Orient Lines Ltd Spons Adr Singapore		X		2 Transactions	X									
JT	Nestle S A Sponsored Adr Repstg Reg Shs Switz		X		2 Transactions	X									
JT	Newcrest Mining Ltd Spon Australia Adr		X		2 Transactions		X								
JT	Nippon Yusen Kabushiki Spon Adr 2006		X		2 Transactions	X									
JT	Nissan Mtr Ltd Spons Adr Japan		X		4 Transactions		X								
JT	Nomura Holdings Inc New Spon Adr		X		9 Transactions		X								
JT	Novartis Ag Spon Adr		X		2 Transactions		X								
JT	Novo Nordisk Adr Denmark Adr		X		12/13/2007	X									
JT	Ntt Docomo Inc Ech Repstg 1/200Th Com Shs		X		2 Transactions	X									
JT	Old Mutual Plc Adr Adr		X		11/9/2007		X								
JT	Reed Elsevier Plc Repstg 4 Ord Shs Spon Adr		X		2 Transactions	X									
JT	Rentokil Initial Plc Spon Adr		X		6 Transactions		X								
JT	Rexam Plc Spon Adr		X		5 Transactions	X									
JT	RWE Ag Adr		X		11/9/2007		X								
JT	Ryanair Holdings Plc Spon Adr		X		12/18/2007	X									
JT	Sanofi-Aventis Sa Spon Adr		X		10 Transactions			X							
JT	Sekisui House Ltd Spon Adr		X		7 Transactions		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,00	K Over $50,000,000
JT	Sgs Societe Generale De Surveillance Hldg Sa		X		12/18/2007	X									
JT	Siemens A G Spon Adr		X		11/9/2007		X								
JT	SK Telecom Co Ltd Adr		X		11/9/2007	X									
JT	Sumitomo Mitsui Financial Group Inc Adr		X		9 Transactions		X								
JT	Svenska Cellulosa Ab (Sca) Spon Adr		X		11/9/2007	X									
JT	Swiss Reins Co Spon Adr		X		2 Transactions	X									
JT	Technip Sa New Spon Adr		X		2 Transactions		X								
JT	Teijin Ltd Adr		X		4 Transactions		X								
JT	Telecom Italia Spa New Repstg 10 Ord Shs Spon		X		4 Transactions		X								
JT	Thomson Spon Adr		X		2 Transactions		X								
JT	Toto Ltd Adr Japan		X		9 Transactions		X								
JT	Toyota Motor Corp New Japan Spon Adr		X		12/18/2007	X									
JT	Trinity Mirror Plc Spon Adr		X		5 Transactions	X									
JT	UBS Ag (Usd) New 2006 Ord		X		4 Transactions		X								
JT	Unilever Plc Amer Shs New Spon Adr		X		7 Transactions			X							
JT	Untd Microelectronics Corp New Spon Adr		X		9 Transactions	X									
JT	Untd Utilities Plc Spon Adr		X		12/13/2007	X									
JT	UPM Kymmene Corp Spon Adr		X		7 Transactions		X								
JT	Volkswagen A G Sponsored Adr New Germany		X		12/18/2007		X								
JT	Woodside Petroleum Spons Adr Nw Australia Adr		X		2 Transactions		X								
JT	WPP Group Adr		X		3 Transactions	X									
JT	Yara Intl Asa Spon Adr		X		12/13/2007	X									
JT	***UBS - Brokerage Account***														
JT	UBS Select Tax-Free Institutional Fund	X			2 Transactions							X			
JT	Goldman Sachs High Yield Municipal Fund		X		12/10/2007						X				
JT	UBS Select Tax-Free Institutional Fund		X		12/12/2007							X			
JT	***UBS - Munis Account***														
JT	California St Be/R/	X			10/18/2007				X						
JT	California St Dept Wtr Res Pwr Sup Rv 02A Be/R/	X			11/1/2007		X								
JT	California St Loc Calif B/E /R/	X			12/10/2007						X				
JT	California St Wtr Res Ser Ad Rev Fsa B/E /R/	X			11/13/2007				X						
JT	East Bay Ca Mud Wstwtr Sys Rv Ser B Ambac Be/R/	X			2 Transactions					X					
JT	Fremont Cal Usd Alameda Ser B Fsa B/E /R/	X			12/4/2007					X					
JT	Los Angeles Ca Dept Wtr Rev Ambac B/E /R/	X			11/1/2007				X						
JT	Los Angeles Ca Wstwtr Ser A Rev Fgic B/E /R/	X			12/18/2007					X					
JT	Los Angeles Cnty Ca Pub Wks Au Ref 05A Fsa Be/R/	X			11/1/2007		X								
JT	Los Angeles Co Ca Met Rv A Fgic Oid97.0727 B/E/R/	X			12/13/2007					X					

JANE HARMAN
SCHEDULE H ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

	Asset	Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	Metro Wtr Dist Sthn Ser B-3 Rev B/E /R/	X			11/13/2007					X					
JT	Puerto Rico Comwlth Pub A Oid99 6854 He/R/	X			11/29/2007					X					
JT	Sacramento Mun Util Dist Ser R Rev Mbia B/E /R/	X			11/1/2007				X						
JT	Sacramento Mun Util Dist Ser R Rev Mbia B/E /R/	X			11/2/2007		X								
JT	San Diego Calif Cmnty Fsa B/E /R/	X			12/19/2007				X						
JT	Wyoming Stud Ln Rv Sr B Ser A Rev B/E /R/	X			10/24/2007				X						
JT	Ca St Dept Wtr Res Pwr A Mbia Pref Crc Rv Be/R/		X		11/15/2007				X						
JT	California St B/E /R/		X		10/31/2007					X					
JT	California St Dept Wtr Res Pwr Rev A Mbia He/R/		X		10/25/2007				X						
JT	California St Loc Calif B/E /R/		X		12/21/2007						X				
JT	Garland Tex Ctfs Oblig B/E Oid@98 4833 /R/		X		11/20/2007					X					
JT	Lewisville Tex Indpt Sch Dist B/E /R/		X		11/1/2007					X					
JT	Los Angeles Calif Sunin Charge Rv Fsa Rv He/R/		X		11/20/2007					X					
JT	Metro Wtr Dist Sthn Ser B-3 Rev B/E /R/		X		12/18/2007					X					
JT	New York State Gen Oblig Rfdg 03C Oid99 929 He/R/		X		10/19/2007					X					
JT	Noblesville Ind Redev Au Rev Ambac B/E /R/		X		11/1/2007					X					
JT	Oklahoma Dev Fin Au Rev Ser A Xlca B/E /R/		X		11/20/2007					X					
JT	San Buenaventura Calif W Astewater Rev Ctfs /R/		X		11/15/2007				X						
JT	West Allis West Milwauke Fsa B/E /R/		X		11/1/2007					X					
JT	***UBS - Moody Aldrich Partners Account***														
JT	Sprint Nextel Corp Series 1 Common Stock	X			3 Transactions		X								
JT	***UBS - Westfield LCG Account***														
JT	Alcon Inc	X			10/26/2007		X								
JT	Amdocs Ltd	X			10/26/2007		X								
JT	Amer Express Co	X			10/26/2007		X								
JT	Autodesk Inc	X			2 Transactions			X							
JT	Biogen Idec inc	X			2 Transactions			X							
JT	Bunge Limited	X			4 Transactions		X								
JT	Celgene Corp	X			3 Transactions			X							
JT	Cisco Systems Inc	X			10/26/2007		X								
JT	Cognizant Tech Solutions Crp	X			3 Transactions		X								
JT	Corning Inc	X			10/26/2007		X								
JT	CVS Caremark Corp	X			10/26/2007			X							
JT	Danaher Corp	X			10/26/2007		X								
JT	Ecolab Inc	X			10/26/2007		X								
JT	Idan Corp Plc Spon Adr	X			10/26/2007			X							
JT	Genl Electric Co	X			10/26/2007		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,00	Over $50,000,000
JT	Gilead Sciences Inc	X			10/26/2007		X								
JT	Goldcorp Inc New	X			10/26/2007		X								
JT	Halliburton Co (Holding Company)	X			10/26/2007			X							
JT	Hewlett Packard Co	X			3 Transactions		X								
JT	Intel Corp	X			10/26/2007		X								
JT	Ishares Trust Russell 1000 Index	X			12/17/2007					X					
JT	Itt Corp	X			10/26/2007		X								
JT	Lilly Eli & Co	X			10/26/2007			X							
JT	Marvell Technology Group Ltd	X			10/26/2007		X								
JT	Maxim Integrated Prods Inc	X			10/26/2007		X								
JT	Memc Electronic Materials Inc	X			10/26/2007		X								
JT	MGM Mirage	X			10/26/2007			X							
JT	Microsoft Corp	X			2 Transactions			X							
JT	Natl-Oilwellvarco Inc	X			2 Transactions			X							
JT	Nestle S A Sponsored Adr Repstg Reg Shs Switz	X			10/26/2007			X							
JT	Nymex Holdings Inc	X			2 Transactions		X								
JT	Omnicom Group Inc	X			10/26/2007		X								
JT	Oracle Corp	X			10/26/2007		X								
JT	Rockwell Automation Inc New	X			10/26/2007		X								
JT	Schwab Charles Corp New	X			10/26/2007		X								
JT	Shire Plc Adr	X			10/26/2007			X							
JT	Thermo Fisher Scientific Inc	X			10/26/2007			X							
JT	Untd Technologies Corp	X			10/26/2007		X								
JT	Weatherford Intl Inc (Bermuda)	X			10/26/2007			X							
JT	Wyeth	X			10/26/2007			X							
JT	Allergan Inc		X		6 Transactions			X							
JT	Amer Express Co		X		12/17/2007		X								
JT	Biogen Idec Inc		X		12/17/2007			X							
JT	Celgene Corp		X		12/17/2007			X							
JT	Cisco Systems Inc		X		12/17/2007		X								
JT	Cme Group Inc		X		10/26/2007		X								
JT	Cognizant Tech Solutions Crp		X		12/17/2007		X								
JT	Corning Inc		X		2 Transactions		X								
JT	Crown Castle Intl Corp		X		10/26/2007			X							
JT	Ebay Inc		X		10/26/2007			X							
JT	Expeditors Intl Wash Inc		X		10/26/2007			X							
JT	Genentech Inc		X		10/26/2007			X							

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
						B	C	D	E	F	G	H	I	J	K
		Purchase	Sale	Exchange	(MO/DAY/YR)	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000
JT	Genl Electric Co		X		12/17/2007		X								
JT	Google Inc Cl A		X		10/26/2007				X						
JT	Halliburton Co (Holding Company)		X		12/17/2007			X							
JT	Intercontinentalexchange Inc		X		10/26/2007				X						
JT	Intuit		X		10/26/2007			X							
JT	Marvell Technology Group Ltd		X		6 Transactions		X								
JT	Maxim Integrated Prods Inc		X		4 Transactions		X								
JT	Memc Electronic Materials Inc		X		12/13/2007		X								
JT	Paychex Inc		X		10/26/2007			X							
JT	Praxair Inc		X		10/26/2007		X								
JT	Procter & Gamble Co		X		10/26/2007			X							
JT	Qualcomm Inc		X		10/26/2007			X							
JT	Research In Motion Ltd		X		10/26/2007				X						
JT	Reuters Group Plc Spon Adr		X		10/26/2007		X								
JT	Sandisk Corp		X		10/26/2007		X								
JT	Seagate Technology		X		10/26/2007				X						
JT	Shire Plc Adr		X		12/17/2007		X								
JT	Staples Inc		X		10/26/2007			X							
JT	Thermo Fisher Scientific Inc		X		3 Transactions		X								
JT	United Parcel Service Inc Cl B		X		10/26/2007			X							
JT	Unitedhealth Group Inc		X		10/26/2007			X							
JT	Walgreen Co		X		10/26/2007			X							
JT	Weight Watchers Intl Inc New		X		10/26/2007			X							
JT	Western Union Co		X		10/26/2007			X							
JT	Zimmer Holdings Inc		X		10/26/2007			X							
JT	***UBS - WHV Account***														
JT	Allianz Se Adr	X			12/17/2007		X								
JT	Aracruz Celulose S A Adr Cl B Spon Adr	X			12/17/2007	X									
JT	Axa Adr	X			12/17/2007	X									
JT	Bae Systems Plc Spon Adr	X			12/17/2007		X								
JT	Basf Ag Spon Adr	X			12/17/2007		X								
JT	Bhp Billiton Ltd Spon Adr	X			12/17/2007			X							
JT	Brookfield Asset Mgmt Inc Ltd Vtg Shs Cl A	X			12/17/2007	X									
JT	Cadbury Schweppes Plc Adr New	X			12/17/2007		X								
JT	Canadian Natural Resources Ltd	X			12/17/2007		X								
JT	Canadian Pacific Railway Ltd	X			12/17/2007	X									
JT	Cdn Natl Railway Co Foreign Stock	X			12/17/2007		X								

JANE HARMAN
SCHEDULE IV ATTACHMENT
JANE HARMAN 25 YEAR GRANTOR TRUST - MANAGED ACCOUNTS

Asset		Type of Transaction			Date	Amount of Transaction									
		Purchase	Sale	Exchange	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,00(	J $25,000,001-$50,000,0(	K Over $50,000,000
JT	Companhia Vale Do Rio Doce Spon Adr	X			12/17/2007		X								
JT	Cooper Industries Ltd Cl A	X			12/17/2007		X								
JT	Core Laboratories N V	X			12/17/2007	X									
JT	Ensign Energy Services Inc	X			12/17/2007	X									
JT	Ingersoll Rand Co Cl A	X			12/17/2007		X								
JT	Manulife Financial Corp	X			12/17/2007	X									
JT	Nabors Industries Ltd New (Bermuda)	X			12/17/2007			X							
JT	Nestle S A Sponsored Adr Repstg Reg Shs Switz	X			12/17/2007		X								
JT	Noble Corp Ord	X			12/17/2007			X							
JT	Novartis Ag Spon Adr	X			12/17/2007		X								
JT	Partnerre Ltd Ord Foreign Stock	X			12/17/2007	X									
JT	Potash Corp Sask Inc Canada	X			12/17/2007		X								
JT	Rio Tinto Plc Spon Adr	X			12/17/2007			X							
JT	Rwe Ag Adr	X			12/17/2007	X									
JT	Schlumberger Ltd Netherlands Antilles	X			12/17/2007			X							
JT	Suncor Inc	X			12/17/2007			X							
JT	Talisman Energy Inc	X			12/17/2007	X									
JT	Teck Cominco Ltd Canada Sub Vtg Cl B Ord	X			12/17/2007		X								
JT	Tenaris S.A. Ads	X			12/17/2007		X								
JT	Transocean Inc New Shs Ord	X			12/17/2007			X							
JT	Trican Well Service Ltd	X			12/17/2007	X									
JT	Unilever Nv N Y Shs New Netherlands Spon	X			2 Transactions		X								
JT	Weatherford Intl Inc (Bermuda)	X			12/17/2007			X							
JT	Yara Intl Asa Spon Adr	X			12/17/2007	X									
JT	***UBS - Alethela (WS15398)***														
JT	UBS Select Tax-Free Institutional Fund		X		12/21/07						X				

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

RECEIVED
RESOURCE CENTER
2008 MAY -1 PM 4:17
(Office Use Only)
HOUSE OF REPRESENTATIVES

MC

The Honorable Alcee Lamar Hastings
(Full Name)

202-225-1313
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives State: FL District: 23 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name The Honorable Alcee Lamar Hastings

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
The Connell Company, Berkeley Heights, NJ	Speech	Jun. 22, 2007	$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable Alcee Lamar Hastings

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Wright Patman Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE V - LIABILITIES

Name The Honorable Alcee Lamar Hastings

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Robert Catz	Legal Fees	$500,001 - $1,000,000
	Terrance Anderson	Legal Fees	$1,000,001 - $5,000,000
	Patricia Williams	Legal Fees	$500,001 - $1,000,000
	Karr and McClan	Legal Fees	$100,001 - $250,000
	Mark McDonald	Legal Fees	$15,001 - $50,000
	Lewis Meyers	Legal Fees	$15,001 - $50,000

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERY

2008 MAY 14 PM 2:10

MC

DOC HASTINGS
(Full Name)

202-225-5816
(Daytime Telephone)

(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: WASH District: 04	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

1665

Name	DOC HASTINGS	Page 1 of 4

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STATE OF WASHINGTON	LEGISLATIVE PENSION	$2168

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name DOC HASTINGS

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a *fair market* value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in *Block A. For additional information*, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check *all columns* that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below **Dividends and Interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below **Dividends and Interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

	Block A: Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: Transaction
SP	SP Mega Corp. Stock					X									X			X														
DC, JT	Examples: Simon & Schuster		Indefinite																	Royalties				X								S (partial)
	1st Bank of Paducah, KY Accounts						X									X									X				X			
	JT COLUMBIA BASIN POWER + SUPPLY TRUST / PETERSEN-HASTINGS								X					X												X						
	JT YAKIMA FEDERAL - DEPOSIT				X												X					X										
	JT CD			X													X						X									
	JT CHEVY CHASE - DEPOSIT			X													X					X										
	JT AMERIAN WEST - DEPOSIT			X													X					X										

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: DOC HASTINGS

Page 3 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	CHAS SCWAB - IRA					X									X		X							X								
	DEPOSIT ACCTS			X													X					X										
	MATHEWS PACIFIC TIGER			X											X								X									
	SCHWAB INSTITUTIONAL				X										X								X									
	SCHWAB INTL INDEX				X										X								X									
	SCWAB SMALL CAP				X										X									X								
	LGE CAP VALUE TTI			X											X								X									
JT	VANGUARD GROUP				X										X									X								
	TOTAL INTL INDEX			X											X									X								
	TOTAL ST MKT INDEX			X											X								X									

This page may be copied if more space is required.

SCHEDULE VII— TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
AMERICAN ISRAEL EDUCATION FOUNDATION	AUG 5-12	DC - TEL AVIV, ISRAEL - DC	Y	Y	Y	NONE

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 AM 10: 28

(Office Use Only)

ROBERT CANNON HAYES
(Full Name)

704-795-2000
(Daytime Telephone)

Filer Status ✓ Member of the U.S. House of Representative — State NC District: 8 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTION

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes	No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	No ✓
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ✓	No
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ✓	No
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes	No ✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes	No ✓
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ✓	No
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTION

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

1670

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name ROBERT CANNON HAYES

BLOCK A **Asset and/or Income Source**	BLOCK B **Year-End Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SEE ATTACHMENTS				

SCHEDULE IV - TRANSACTIONS

Name ROBERT CANNON HAYES

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transactio
	SOLECTRON CP STOCK	S	10-9-07	$1,001 - $15,000
SP	BANK OF AMERICA STOCK	P	11-29-07	$15,001 - $50,000
SP	NEWDOMINION BK STOCK	P	11-29-07	$15,001 - $50,000
SP	SPECTRA ENERY STOCK	P	6-21-07	$1,001 - $15,000
SP	FIRST DATA CORP STOCK	S	9-25-07	$15,001 - $50,000
SP	KIMBERLY-CLARK STOCK	S	7-10-07	$15,001 - $50,000
SP	MARSHALL & ILSLEY MED TERM NOTE FUND	S	7-10-07	$1,001 - $15,000
SP	EBAY STOCK	P	7-10-07	$15,001 - $50,000
SP	LOWE'S COS STOCK	P	7-10-07	$15,001 - $50,000
SP	WELLS FARGO STOCK	P	7-10-07	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name ROBERT CANNON HAYES

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	NEWBRIDGE BANK (FORMERLY FNB SOUTHEAST), LEXINGTON, NC	COMMERCIAL LOAN ON AIRPLANE	$1,000,001 - $5,000,000

SCHEDULE VIII - POSITIONS

Name ROBERT CANNON HAYES

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organizatio
MEMBER	ARCTIC SOUTHERN FARMS, LLC
PRESIDENT, DIRECTOR	MOUNT PLEASANT HOSIERY MILL, INC
MEMBER	PALMER MOUNTAIN, LLC
DIRECTOR	CANNON FOUNDATION, INC.

SP, DC, JT	ASSET AND/OR INCOME SOURCE	VALUE OF ASSET A NONE	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,000-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L OVER $50,000,000	TYPE OF INCOME NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	AMOUNT OF INCOME I NONE	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI OVER $5,000,000	TRANSACTION P, S, E
	BERKSHIRE HATHAWAY B, STOCK				X									X							X											
	SOLECTRON CP, STOCK	X																X					A									S
	EMC CORP MASS, STOCK			X										X							X											
	INTEL CORP, STOCK			X											X							X										
	JDS UNIPHASE CP NEW, STOCK		X											X							X											
	MOTOROLA INC., STOCK			X											X							X										
	ORACLE CORP, STOCK			X										X							X											
	VIGNETTE CORP NEW, STOCK		X											X							X											
SP	MORGAN STANLEY BANK DEPOSIT				X												X						X									
SP	AMERICAN EXPRESS, STOCK			X											X							X										
SP	BB&T CORP., STOCK				X										X									X								
SP	CONOCOPHILLIPS, STOCK					X									X			X								X						
SP	CORNING INC., STOCK			X											X							X										
SP	DEERE & CO, STOCK				X										X							X										
SP	INTEL CORP, STOCK			X											X							X										
SP	PFIZER INC., STOCK			X											X								X									
SP	WILLIAMS CO INC, STOCK				X										X							X										
SP	BANK OF AMERICA, STOCK				X										X									X								P
SP	NEWDOMINION BK, STOCK				X									X							X											P
SP	SPECTRA ENERGY, STOCK			X											X								X									P
	SUNOCO INC., STOCK				X										X								X									

ROBERT CANNON HAYES
ATTACHMENT TO SCHEDULE III-ASSETS AND "UNEARNED INCOME"

SP, DC, JT	ASSET AND/OR INCOME SOURCE	VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	RICHFIELD PROPERTY, CULP ROAD RICHFIELD, NC					X								X							X											
	WISCONSIN PROPERTY, CLINE ROAD BRIED, WI				X									X							X											
	MOUNT PLEASANT HOSIERY MILL BLDG, MT PLEASANT, NC					X								X							X											
	AIRPLANE, CONCORD, NC									X						X					X (NET)							X (GROSS)				
	MOUNT PLEASANT HOSIERY INC., STOCK, MT PLEASANT, NC	X												X							X											
JT	WACHOVIA CAP ACCOUNT					X											X									X						
SP	WACHOVIA CAP ACCOUNT	X													X		X							X								CLOSED IN 2007
SP	BERKSHIRE HATHAWAY A, STOCK	TRANSFERRED TO TRUST ACCOUNT (SEE ATTACHMENT D)																														
	ARCTIC SOUTHERN FARMS, LLC	X (LAND OWNED BY TRUST)												X							X											
SP	GRAN PACIFICA RESORT S.A., STOCK						X								X									X								
	TRUST CA CANNON DTD 12-5-51 (SEE SCHEDULE III, ATTACHMENT A)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X		X	X											X		
	TRUST CA CANNON DTD 5-12-53 (SEE SCHEDULE III, ATTACHMENT B)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X	X	X	X								X					
	TRUST CA CANNON (1221) (SEE SCHEDULE III, ATTACHMENT C)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X		X	X												X	
SP	BARBARA W. HAYES TRUST (SEE SCHEDULE III, ATTACHMENT D)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X		X	X									X				
	TRUST MCH #10 (SEE SCHEDULE III, ATTACHMENT E)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X		X											X			
	TRUST MCH #11 (SEE SCHEDULE III, ATTACHMENT F)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X										X						
	TRUST MCH 1951 (SEE SCHEDULE III, ATTACHMENT G)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X		X								X						
	TRUST MCH 1953 (SEE SCHEDULE III, ATTACHMENT H)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X		X								X						
	TRUST MCH #12 (SEE SCHEDULE III, ATTACHMENT I)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X								X								
	TRUST MCH #209 (SEE SCHEDULE III, ATTACHMENT J)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X												X				
	TRUST MCH #210 (SEE SCHEDULE III, ATTACHMENT K)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X		X												X		

ROBERT CANNON HAYES
ATTACHMENT TO SCHEDULE III-ASSETS AND "UNEARNED INCOME"

SP, DC, JT	ASSET AND/OR INCOME SOURCE	VALUE OF ASSET											TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	TRUST MCH #352 (SEE SCHEDULE III, ATTACHMENT L)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X												X					
	TRUST CA CANNON #396 (SEE SCHEDULE III, ATTACHMENT M)	SEE DETAIL OF HOLDINGS ON ATTACHMENT													X											X						

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT A
ASSETS OF TRUST C A CANNON DTD 12-5-51

Mr. Hayes receives income from this trust. Securities and other assets held in this trust are for the benefit of Mr. Hayes' heirs

SP, DC, JT	ASSET AND/OR INCOME SOURCE	VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$100	$101-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	EVERGREEN MUNICIPAL MONEY MARKET						X								X										X							
	FORSYTH CNTY NC BONDS					X											X								X							
	NORTH CAROLINA STATE BONDS	X															X	X					X									
	UNIVERSITY OF NC BONDS					X											X								X							
	STANLEY COUNTY BONDS						X										X								X							
	CHARLOTTE NC BONDS					X											X							X								
	NEW HANOVER COUNTY NC HOSPITAL BONDS						X										X								X							
	TYCO INTL LTD NEW COM, STOCK				X										X								X									
	DU PONT E I DE NEMOURS & CO COM, STOCK					X									X										X							
	ALTRIA GROUP INC COM, STOCK							X							X												X					
	ANHEUSER BUSCH COS INC COM, STOCK					X									X									X								
	COMCAST HLDGS INC CL A, STOCK				X									X							X											
	EXPEDIA INC COM, STOCK				X									X							X											
	HOME DEPOT INC COM, STOCK	X													X			X					X									
	IAC/INTERACTIVECORP COM, STOCK				X									X							X											
	KONINKLIJKE PHILIPS ELECTRS N V N Y REGISTRY SH NEW 2000, STOCK					X									X									X								
	NEWS CORP INC CLASS B COM, STOCK					X									X								X									
	CISCO SYS INC COM, STOCK				X									X							X											

Mr. Hayes receives income from this trust. Securities and other assets held in this trust are for the benefit of Mr. Hayes' heirs.

SP, DC, JT	ASSET AND/OR INCOME SOURCE	VALUE OF ASSET											TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	FIRST DATA CORP COM, STOCK	X																X									X					
	WEST UNION CO COM, STOCK				X										X							X										
	CHEVRON CORP COM, STOCK						X								X										X							
	EXXON MOBIL CORP COM, STOCK							X							X										X							
	ROYAL DUTCH SHELL PLC - ADR A, STOCK							X							X											X						
	DUKE ENERGY CORP COM, STOCK					X									X										X							
	AMERICAN INTL GRP INC COM, STOCK							X							X											X						
	BERKSHIRE HATHAWAY INC DEL CL B, STOCK					X								X							X											
	JOHNSON & JOHNSON, STOCK					X									X									X								
	KRAFT FOODS INC CL A, STOCK						X								X										X							
	LOWES COS INC, STOCK				X										X								X									
	TYCO ELECTRONICS LTD COM, STOCK				X										X								X									
	COVIDIEN LTD, STOCK				X										X								X									
	SPECTRA ENERGY, STOCK	X																X									X					

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT B
ASSETS OF TRUST C A CANNON DTD 5-12-53

Mr. Hayes receives income from this trust. Securities and other assets held in this trust are for the benefit of Mr Hayes' heirs

		VALUE OF ASSET												TYPE OF INCOME						AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,001	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	EVERGREEN MUNICIPAL MONEY MARKET						X								X											X						
	GENERAL ELEC CO COM, STOCK									X					X												X					
	PITNEY-BOWES INC COM, STOCK						X								X											X						
	TYCO INTL LTD NEW COM, STOCK				X										X								X									
	ALTRIA GROUP INC COM, STOCK									X					X													X				
	ANHEUSER BUSCH COS INC COM, STOCK						X								X									X								
	DIAGEO PLC SPONSORED ADR NEW, STOCK						X								X										X							
	NESTLE S A SPONSORED ADR REPSTG REG SH, STOCK								X						X										X							
	COMCAST HLDGS INC CL A, STOCK						X							X							X											
	EXPEDIA INC COM, STOCK				X									X							X											
	IAC/INTERACTIVECORP COM, STOCK				X									X							X											
	MCDONALDS CORP COM, STOCK							X							X										X							
	NEWS CORP INC CLASS B COM, STOCK						X								X								X									
	INTL BUSINESS MACHINES CORP COM, STOCK						X								X									X								
	MICROSOFT CORP COM, STOCK						X								X									X								
	BRISTOL-MYERS SQUIBB CO COM, STOCK							X							X										X							
	MERCK & CO INC COM, STOCK								X						X											X						
	PFIZER INC COM								X						X											X						

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT B
ASSETS OF TRUST C A CANNON DTD 5-12-53

Mr. Hayes receives income from this trust. Securities and other assets held in this trust are for the benefit of Mr. Hayes' heirs.

		VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	UNITEDHEALTH GRP INC COM, STOCK						X								X							X										
	ZIMMER HLDGS INC COM, STOCK					X								X							X											
	ROYAL DUTCH SHELL PLC - ADR A, STOCK								X						X												X					
	DUKE ENERGY CORP COM, STOCK					X									X										X							
	AMERICAN INTL GRP INC COM, STOCK								X						X											X						
	CITIGROUP INC COM, STOCK						X								X											X						
	JP MORGAN CHASE & CO COM, STOCK						X								X										X							
	LINCOLN NATL CORP COM					X									X									X								
	PROMISSORY NOTE FROM ROBERT C HAYES									X							X											X				
	CABIN, LAKE COUNTY, MN				X									X							X											
	LAND W/ 2 CABINS, LAKE COUNTY, MN				X											X							X									
	TIMBER, LAKE COUNTY, MN								X					X							X											
	LAND, SHELDON, SC									X						X											X					
	LAND, LAKE COUNTY, MN										X			X							X											
	KRAFT FOODS INC CL A, STOCK								X						X												X					
	LOWES COS INC, STOCK				X										X								X									
	TYCO ELECTRONICS LTD COM, STOCK				X										X								X									
	COVIDIEN LTD, STOCK				X										X								X									

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT B
ASSETS OF TRUST C A CANNON DTD 5-12-53

Mr. Hayes receives income from this trust. Securities and other assets held in this trust are for the benefit of Mr. Hayes' heirs.

		VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	SPECTRA ENERGY, STOCK	X																X									X					

SCHEDULE III, ATTACHMENT C
ASSETS OF TRUST C A CANNON (322)

Mr. Hayes receives income from this trust. Securities and other assets held in this trust are for the benefit of Mr. Hayes' heirs.

		VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
S, D, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	EVERGREEN MUNICIPAL MONEY MARKET							X							X											X						
	NORTH CAROLINA STATE BONDS									X							X											X				
	UNIVERSITY NC CHAPEL HILL BONDS						X										X									X						
	NEW HANOVER COUNTY NC BONDS							X									X									X						
	BUNCOMBE COUNTY NC BONDS								X								X										X					
	CHARLOTTE NC BONDS							X									X									X						
	CARY NC BONDS							X									X										X					
	GREENVILLE NC BONDS							X									X										X					
	ORANGE COUNTY NC BONDS							X									X										X					
	MECKLENBURG COUNTY NC BONDS									X							X										X					
	CHARLOTTE NC STORM WATER BONDS							X									X										X					
	NC EDUCATIONAL FACULTIES BONDS								X								X											X				
	COGDELL SPENCER INC COM. STOCK							X							X												X					
	HEARC INC COM. STOCK	X																X									X					
	GENERAL ELEC CO COM. STOCK									X					X														X			
	MASCO CORP COM. STOCK							X							X												X					
	PITNEY-BOWES INC COM. STOCK								X						X												X					
	3M CO COM. STOCK									X					X												X					

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT C
ASSETS OF TRUST C A CANNON (322)

Mr. Hayes receives income from this trust. Securities and other assets held in this trust are for the benefit of Mr. Hayes' heirs

		VALUE OF ASSET											TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	TYCO INTL LTD NEW COM, STOCK					X									X									X							
	DU PONT E I DE NEMOURS & CO COM, STOCK						X								X										X						
	ALTRIA GROUP INC COM, STOCK									X					X													X			
	ANHEUSER BUSCH COS INC COM, STOCK						X								X									X							
	DIAGEO PLC SPONSORED ADR NEW, STOCK						X								X										X						
	KIMBERLY-CLARK CORP COM, STOCK						X								X									X							
	NESTLE S A SPONSORED ADR REPSTG REG SH, STOCK									X					X											X					
	COMCAST HLDGS INC CL A, STOCK						X							X							X										
	EXPEDIA INC COM, STOCK					X								X							X										
	HOME DEPOT INC COM, STOCK	X																X									X				
	IAC/INTERACTIVECORP COM, STOCK					X								X							X										
	KONINKLIJKE PHILIPS ELECTRS N V N Y REGISTRY SH NEW 2000, STOCK						X								X									X							
	NEWS CORP INC CLASS B COM, STOCK							X							X								X								
	CISCO SYS INC COM, STOCK						X							X							X										
	DELL INC COM, STOCK						X							X							X										
	INTEL CORP COM, STOCK	X																X								X					
	INTL BUSINESS MACHINES CORP COM, STOCK									X					X											X					
	MICROSOFT CORP COM, STOCK								X						X										X						
	BRISTOL-MYERS SQUIBB CO COM, STOCK								X						X											X					

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT C
ASSETS OF TRUST C A CANNON (322)

Mr. Hayes receives income from this trust. Securities and other assets held in this trust are for the benefit of Mr. Hayes' heirs

SP, DC, JT	ASSET AND/OR INCOME SOURCE	VALUE OF ASSET											TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	MEDCO HEALTH SOLUTIONS INC COM, STOCK							X						X							X											
	MERCK & CO INC COM, STOCK									X					X												X					
	PFIZER INC COM									X					X														X			
	UNITEDHEALTH GRP INC COM, STOCK						X								X							X										
	ZIMMER HLDGS INC COM, STOCK						X							X							X											
	ANADARKO PETE CORP COM							X							X									X								
	BP PLC SPONSORED ADR								X						X												X					
	EXXON MOBIL CORP COM, STOCK									X					X													X				
	ROYAL DUTCH SHELL PLC - ADR A, STOCK									X					X												X					
	DUKE ENERGY CORP COM, STOCK							X							X											X						
	VERIZON COMMUNICATIONS COM, STOCK							X							X												X					
	AMERICAN INTL GRP INC COM, STOCK									X					X												X					
	BERKSHIRE HATHAWAY INC DEL CL A, STOCK									X				X							X											
	BERKSHIRE HATHAWAY INC DEL CL B, STOCK					X								X							X											
	CITIGROUP INC COM, STOCK							X							X												X					
	FIRST CHARTER CORP COM, STOCK									X					X													X				
	JP MORGAN CHASE & CO COM, STOCK						X								X											X						
	VERIZON COMMUNICATIONS (FORMERLY CT COMMUNICATIONS INC COM), STOCK	X																X											X			
	EBAY INC, STOCK						X							X							X											

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT C
ASSETS OF TRUST C A CANNON (322)

Mr. Hayes receives income from this trust. Securities and other assets held in this trust are for the benefit of Mr. Hayes' heirs.

		VALUE OF ASSET											TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$15,000,000	$15,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	KRAFT FOODS INC CL A, STOCK								X						X											X						
	LOWES COS INC, STOCK						X								X									X								
	SPECTRA ENERGY, STOCK						X								X										X							
	TYCO ELECTRONICS LTD COM, STOCK					X									X									X								
	COVIDIEN LTD, STOCK					X									X									X								

SCHEDULE III, ATTACHMENT E
ASSETS OF TRUST MCH #10

SP, DC, JT	ASSET AND/OR INCOME SOURCE	VALUE OF ASSET A NONE	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L OVER $50,000,000	TYPE OF INCOME NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	AMOUNT OF INCOME I NONE	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI OVER $5,000,000	TRANSACTION P, S, E
	EVERGREEN INST MM FUND								X						X												X					
	BURLINGTON NC PUB IMPT BD						X										X								X							
	HIGH POINT NC PUB IMPT G O BD							X									X								X							
	MECKLENBURG CNTY NC BD					X											X							X								
	NEW HANOVER CNTY NC HOSP REV BD							X									X								X							
	ORANGE CNTY NC BD								X								X										X					
	ALTRIA GROUP INC COM, STOCK									X					X												X					
	AMERICAN EXPRESS COMPANY, STOCK								X						X										X							
	AMERIPRISE FINANCIAL, STOCK						X								X									X								
	ANHEUSER BUSCH COS INC COM, STOCK							X							X										X							
	BANK OF AMERICA, STOCK								X						X												X					
	BANK OF GRANITE, STOCK				X										X								X									
	BERKSHIRE HATHAWAY INC DEL CL A, STOCK									X				X							X											
	BRISTOL-MYERS SQUIBB, STOCK								X						X												X					
	CEMEX, STOCK						X								X										X							
	COCA-COLA, STOCK									X					X												X					
	COMCAST HLDGS, STOCK						X							X							X											
	DUKE ENERGY CORP COM, STOCK						X								X										X							

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT E
ASSETS OF TRUST MCH #10

		VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								J	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$100	$101-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	EXXON MOBIL CORP COM, STOCK									X					X													X				
	GENERAL ELECTRIC, STOCK							X							X											X						
	INTL BUSINESS MACHINES CORP COM, STOCK						X								X										X							
	JP MORGAN CHASE, STOCK						X								X											X						
	KONINKLIJKE PHILIPS ELECTRS N V N Y REGISTRY SH NEW 2000, STOCK						X								X										X							
	KRAFT FOODS INC CL A, STOCK							X							X											X						
	LOWES COS INC, STOCK					X									X								X									
	MICROSOFT CORP COM, STOCK						X								X									X								
	NEWS CORP, STOCK						X								X								X									
	PFIZER, STOCK									X					X													X				
	SPECTRA ENERGY, STOCK						X								X										X							
	UNITED TECHNOLOGIES, STOCK							X							X											X						
	UNITEDHEALTH GROUP, STOCK							X							X							X										
	WALTER INDS, STOCK						X								X								X									
	TYCO INTL LTD NEW COM, STOCK				X										X								X									

SCHEDULE III, ATTACHMENT F
ASSETS OF TRUST MCH #11

SP, DC, JT	ASSET AND/OR INCOME SOURCE	VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	EVERGREEN INST MM FUND							X							X											X						
	AMERICAN EXPRESS COMPANY, STOCK						X								X										X							
	AMERIPRISE FINANCIAL, STOCK				X										X								X									
	ANHEUSER BUSCH COS INC COM, STOCK						X								X										X							
	BP PLC, STOCK						X								X										X							
	BANK OF AMERICA, STOCK						X								X											X						
	BERKSHIRE HATHAWAY INC DEL CL A, STOCK							X						X							X											
	BERKSHIRE HATHAWAY INC DEL CL B, STOCK					X								X							X											
	BRISTOL-MYERS SQUIBB, STOCK						X								X										X							
	CEMEX, STOCK					X									X										X							
	CITIGROUP, STOCK					X									X										X							
	COMCAST HLDGS, STOCK					X								X							X											
	DELL INC, STOCK					X								X							X											
	EXXON MOBIL CORP COM, STOCK						X								X										X							
	GENERAL ELECTRIC, STOCK								X						X												X					
	INTL BUSINESS MACHINES CORP COM, STOCK						X								X									X								
	LOWES COS INC, STOCK					X									X									X								
	MICROSOFT CORP COM, STOCK				X										X								X									
	MOTOROLA, STOCK					X									X								X									

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT F
ASSETS OF TRUST MCH #11

		VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI		
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUS	OTHER	NONE	$1-$200	$201-$1,000	$1,001-2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	NEWS CORP, STOCK						X								X								X										
	PFIZER, STOCK							X							X												X						
	UNITEDHEALTH GROUP, STOCK						X								X							X											
	WALGREEN CO, STOCK					X									X								X										
	WALTER INDS, STOCK					X									X								X										
	WEST UNION, STOCK				X										X							X											
	TYCO INTL LTD NEW COM, STOCK				X										X								X										

Mr. Hayes receives income from this trust. Securities and other assets held in this trust will be distributed at a later date provided in the trust to designated Hayes family members.

		VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME												TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	EVERGREEN INST MM FUND				X										X									X								
	NEW HANOVER CNTY NC HOSP REV BD						X										X								X							
	WILSON CNTY NC, BD					X											X							X								
	WINSTON SALEM NC BD					X											X							X								
	ANHEUSER BUSCH COS INC COM, STOCK					X									X									X								
	BP PLC, STOCK				X										X									X								
	BERKSHIRE HATHAWAY INC DEL CL A, STOCK						X							X							X											
	COMCAST HLDGS, STOCK					X								X							X											
	DIAGEO PLC, STOCK					X									X									X								
	EXPEDIA, STOCK				X									X							X											
	GENERAL ELECTRIC, STOCK				X										X									X								
	IAC/INTERACTIVECORP, STOCK				X									X							X											
	JP MORGAN CHASE, STOCK					X									X									X								
	JOHNSON & JOHNSON, STOCK					X									X								X									
	KONINKLIJKE PHILIPS ELECTRS N V N Y REGISTRY SH NEW 2000, STOCK					X									X									X								
	NEWS CORP, STOCK				X										X							X										
	UNITEDHEALTH GROUP, STOCK				X										X							X										
	WAL-MART, STOCK				X										X								X									
	WEST UNION, STOCK				X										X							X										

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT G
ASSETS OF TRUST MCH 1951

Mr. Hayes receives income from this trust. Securities and other assets held in this trust will be distributed at a later date provided in the trust to designated Hayes family members

		VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	ZIMMER HOLDINGS, STOCK				X									X							X											
	COVIDIEN, STOCK			X											X							X										
	TYCO INTL LTD NEW COM, STOCK			X											X							X										
	TYCO ELECTRONICS, STOCK			X											X							X										
	WILLIS GROUP HOLDINGS, STOCK						X								X									X								

ROBERT CANNON HAYES
SCREDULE III, ATTACHMENT II
ASSETS OF TRUST MCH 1993

Mr. Hayes receives income from this trust. Securities and other assets held in this trust will be distributed at a later date provided in the trust to designated Hayes family members.

		VALUE OF ASSET											TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	EVERGREEN MUNICIPAL MONEY MARKET								X						X											X						
	ALTRIA GROUP INC COM, STOCK								X						X												X					
	AMERICAN EXPRESS COMPANY, STOCK								X						X											X						
	AMERICAN INTL GRP INC COM, STOCK									X					X												X					
	AMERIPRISE FINANCIAL, STOCK						X								X									X								
	ANHEUSER BUSCH COS INC COM, STOCK								X						X												X					
	BP PLC, STOCK						X								X											X						
	BERKSHIRE HATHAWAY INC DEL CL A, STOCK *									X				X							X											
	BERKSHIRE HATHAWAY INC DEL CL B, STOCK				X									X							X											
	BRISTOL-MYERS SQUIBB, STOCK							X							X												X					
	CEMEX, STOCK						X								X											X						
	CITIGROUP INC COM, STOCK						X								X											X						
	COCA-COLA, STOCK						X								X											X						
	COMCAST HLDGS CL A, STOCK							X						X							X											
	COMCAST HLDGS, STOCK						X							X							X											
	CONOCOPHILLIPS, STOCK						X								X										X							
	DELL INC, STOCK						X							X							X											
	DIAGEO PLC, STOCK							X							X											X						
	DUKE ENERGY CORP COM, STOCK						X								X											X						

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT H
ASSETS OF TRUST MCH 1953

Mr. Hayes receives income from this trust. Securities and other assets held in this trust will be distributed at a later date provided in the trust to designated Hayes family members.

		VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME												TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI		
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	EXXON MOBIL CORP COM, STOCK								X						X											X							
	GENERAL ELEC CO COM, STOCK									X					X												X						
	ILLINOIS TOOL WORKS, STOCK							X							X											X							
	INTL BUSINESS MACHINES CORP COM, STOCK							X							X											X							
	KONINKLIJKE PHILIPS ELECTRS N V N Y REGISTRY SH NEW 2000, STOCK								X						X											X							
	KRAFT FOODS INC CL A, STOCK						X								X											X							
	LEVEL 3 COMMUNICATIONS, STOCK					X								X							X												
	LIMITED BRANDS, STOCK						X								X											X							
	LOWE'S COS, STOCK						X								X									X									
	MERCK & CO INC COM, STOCK									X					X												X						
	MUELLER WATER PRODUCTS, STOCK					X									X								X										
	NEWS CORP INC CLASS B COM, STOCK							X							X									X									
	PFIZER INC COM								X						X												X						
	ROYAL DUTCH SHELL, STOCK							X							X											X							
	SPECTRA ENERGY, STOCK						X								X										X								
	UNITED TECHNOLOGIES, STOCK								X						X											X							
	UNITEDHEALTH GROUP, STOCK							X							X							X											
	WALGREEN, STOCK						X								X									X									

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT H
ASSETS OF TRUST MCH 1953

Mr. Hayes receives income from this trust. Securities and other assets held in this trust will be distributed at a later date provided on the trust to designated Hayes family members

		VALUE OF ASSET											TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	WALTER INDS, STOCK						X								X								X									
	WELLS FARGO, STOCK						X								X										X							
	WEST UNION, STOCK						X								X								X									
	WYETH, STOCK						X								X										X							
	COVIDIEN LTD, STOCK					X									X									X								
	TYCO INTERNATIONAL, STOCK					X									X									X								
	TYCO ELECTRONICS, STOCK					X									X									X								
	MORTGAGE, MC HAYES TRUST							X									X									X						

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT I
ASSETS OF TRUST MCH #12

		VALUE OF ASSET											TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	EVERGREEN MUNICIPAL MONEY MARKET			X											X								X								
	BERKSHIRE HATHAWAY INC DEL CL A, STOCK						X							X							X										
	BERKSHIRE HATHAWAY INC DEL CL B, STOCK						X							X							X										

SP, DC, JT	ASSET AND/OR INCOME SOURCE	VALUE OF ASSET A NONE	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L OVER $50,000,000	TYPE OF INCOME NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	AMOUNT OF INCOME I NONE	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI OVER $5,000,000	TRANSACTION P, S, E
	EVERGREEN MUNICIPAL MONEY MARKET						X								X										X						
	DODGE & COX STOCK FUND								X						X										X						
	EVERGREEN STRATEGIC GROWTH FD CL I								X						X									X							
	WESTPORT FDS SELECT CAP FD CL I						X								X								X								
	DODGE & COX INTERNATIONAL STOCK FUND					X									X									X							
	EVERGREEN INTERNATIONAL EQUITY FD CL I							X							X										X						
	PROMISSORY NOTE FROM LLC OWNED BY ROBERT C. HAYES, JR. AND WINSLOW H. GALLOWAY								X					X							X										

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT K
ASSETS OF TRUST MCH #210

Mr. Hayes receives income from this trust. Securities and other assets held in this trust will be distributed at a later date provided in the trust to designated Hayes family members.

		VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	EVERGREEN MUNICIPAL MONEY MARKET				X										X									X								
	MECKLENBURG CNTY NC BD						X										X								X							
	ORANGE CNTY NC BD						X										X									X						
	UNION CNTY NC BD							X									X									X						
	WAKE CNTY NC BD						X										X								X							
	WINSTON SALEM NC BD						X										X									X						
	ANHEUSER BUSCH COS INC COM, STOCK						X								X										X							
	BANK OF AMERICA, STOCK							X							X												X					
	BERKSHIRE HATHAWAY INC DEL CL A, STOCK							X						X							X											
	BERKSHIRE HATHAWAY INC DEL CL B, STOCK					X								X							X											
	CEMEX, STOCK					X									X										X							
	COCA-COLA, STOCK							X							X											X						
	COMCAST HLDGS CL A, STOCK					X								X							X											
	DELL INC, STOCK					X								X							X											
	DUKE ENERGY CORP COM, STOCK					X									X										X							
	GENERAL ELEC CO COM, STOCK									X					X												X					
	INTL BUSINESS MACHINES CORP COM, STOCK					X									X								X									
	KONINKLIJKE PHILIPS ELECTRS N V N Y REGISTRY SH NEW 2000, STOCK						X								X									X								

Mr. Hayes receives income from this trust. Securities and other assets held in this trust will be distributed at a later date provided in the trust to designated Hayes family members

SP, DC, JT	ASSET AND/OR INCOME SOURCE	VALUE OF ASSET A NONE	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,000-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L OVER $50,000,000	TYPE OF INCOME NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	AMOUNT OF INCOME I NONE	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-1,000,000	X $1,000,001-$5,000,000	XI OVER $5,000,000	TRANSACTION P, S, E
	LOWE'S COS, STOCK				X										X								X									
	MEDCO HEALTH SOLUTIONS, STOCK						X							X							X											
	MERCK & CO INC COM, STOCK								X						X												X					
	MUELLER WATER PRODUCTS, STOCK				X										X							X										
	NEWS CORP INC CLASS B COM, STOCK																															
	PFIZER INC COM						X								X								X									
	SPECTRA ENERGY, STOCK					X									X									X								
	WALTER INDS, STOCK					X									X								X									
	WEST UNION, STOCK				X										X							X										
	COVIDIEN LTD, STOCK				X										X								X									
	TYCO INTERNATIONAL, STOCK				X										X								X									
	TYCO ELECTRONICS, STOCK				X										X								X									

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT L
ASSETS OF TRUST MCH #352

		VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	EVERGREEN MUNICIPAL MONEY MARKET			X											X								X									
	ALTRIA GROUP INC COM, STOCK								X						X											X						
	ANHEUSER BUSCH COS INC COM, STOCK					X									X									X								
	BP PLC, STOCK					X									X										X							
	BERKSHIRE HATHAWAY INC DEL CL A, STOCK								X					X							X											
	BERKSHIRE HATHAWAY INC DEL CL B, STOCK					X								X							X											
	CEMEX, STOCK					X									X										X							
	CISCO SYSTEMS, STOCK						X							X							X											
	COCA-COLA, STOCK								X						X											X						
	COMCAST HLDGS CL A, STOCK						X							X							X											
	DELL INC, STOCK					X								X							X											
	DU PONT, STOCK				X										X									X								
	DUKE ENERGY CORP COM, STOCK						X								X											X						
	EXXON MOBIL CORP COM, STOCK								X						X											X						
	GENERAL ELEC CO COM, STOCK							X							X											X						
	KRAFT FOODS INC CL A, STOCK						X								X											X						
	LOWE'S COS, STOCK				X										X								X									
	MERCK & CO INC COM, STOCK						X								X											X						
	NEWS CORP INC CLASS B COM, STOCK					X									X								X									

SP, DC, JT	ASSET AND/OR INCOME SOURCE	VALUE OF ASSET A NONE	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L OVER $50,000,000	TYPE OF INCOME NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	AMOUNT OF INCOME I NONE	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI OVER $5,000,000	TRANSACTION P, S, E
	ROYAL DUTCH SHELL, STOCK							X							X										X							
	SPECTRA ENERGY, STOCK					X									X									X								
	TYCO INTERNATIONAL, STOCK				X										X								X									
	PROMISSORY NOTE FROM LLC OWNED BY ROBERT C. HAYES, JR. AND WINSLOW H. GALLOWAY									X				X							X											
	LAND AND HOUSE, HAMPTON, SC									X				X							X											
	PROMISSORY NOTE FROM ARCTIC SOUTHERN FARMS, LLC					X								X							X											

ROBERT CANNON HAYES
SCHEDULE III, ATTACHMENT M
ASSETS OF TRUST CA CANNON #396

Mr. Hayes receives income from this trust. Securities and other assets held in this trust will be distributed at a later date provided in the trust to designated Hayes family members.

		VALUE OF ASSET												TYPE OF INCOME							AMOUNT OF INCOME											TRANSACTION
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
SP, DC, JT	ASSET AND/OR INCOME SOURCE	NONE	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,000-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	OVER $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED/BLIND TRUST	OTHER	NONE	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-1,000,000	$1,000,001-$5,000,000	OVER $5,000,000	P, S, E
	EVERGREEN MUNICIPAL MONEY MARKET			X											X								X									
	BERKSHIRE HATHAWAY INC DEL CL A, STOCK							X						X							X											
	CISCO SYSTEMS, STOCK				X									X							X											
	DUKE ENERGY CORP COM, STOCK			X											X								X									
	GENERAL ELEC CO COM, STOCK						X								X											X						
	KIMBERLEY-CLARK, STOCK					X									X									X								
	NESTLE S A, STOCK						X								X									X								
	WACHOVIA CORP, STOCK					X									X											X						

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A 1/12
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 1:51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC

Dean Arthur Heller
(Full Name)

(202) 225-6155
(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: NV District: 02	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1703

SCHEDULE I - EARNED INCOME

Name Dean Arthur Heller | 2/12

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Nevada, Carson City	Salary	$1,096
Carson City School District	Spouse Salary	$1,113

Name Dean Heller | 3/12

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
N/A			

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dean Arthur Heller

4/12

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Bank of America	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Bank of America	$15,001 - $50,000	INTEREST	$201 - $1,000	
DC	Bank of America	$15,001 - $50,000	INTEREST	$201 - $1,000	
DC	Bank of America	$15,001 - $50,000	INTEREST	$201 - $1,000	
DC	Bank of America	$1 - $1,000	INTEREST	$1 - $200	
DC	Bank of America	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dean Arthur Heller

5/12

	State of Nevada Retirement	$50,001 - $100,000	None	NONE	
	Mass Mutual Life Ins	$50,001 - $100,000	None	NONE	
SP	Mass Mutual Life Ins	$1,001 - $15,000	None	NONE	
DC	Mass Mutual Life Ins	$15,001 - $50,000	None	NONE	
DC	Mass Mutual Life Ins	$15,001 - $50,000	None	NONE	
DC	Mass Mutual Life Ins	$15,001 - $50,000	None	NONE	
	Loan to Heller for Congress	$15,001 - $50,000	None	NONE	
SP	AD Clark Family Ltd Pshp % of Rental Property, see attached.	$250,001 - $500,000	RENT	$5,001 - $15,000	
SP	Brombach Family Ltd Pshp % of , see attached.	$1,000,001 - $5,000,000	INTEREST	$50,001 - $100,000	

SCHEDULE IV - TRANSACTIONS

Name Dean Arthur Heller 6/12

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Brombach Family Ltd Pshp (see attached)	S	various	$500,001 - $1,000,000

SCHEDULE V - LIABILITIES

Name Dean Heller 7/12

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	N/A		

SCHEDULE VI - GIFTS

Name Dean Heller 8/12

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
N/A		

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Dean Heller 9/12

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
N/A						

SCHEDULE VIII - POSITIONS

Name Dean Heller 10/12

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX - AGREEMENTS

Name Dean Heller 11/12

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

FOOTNOTES

Name	Dean Heller	12/12

Number	Section / Schedule	Footnote	This note refers to the following item
	N/A		

SCHEDULE III ATTACHMENT
AD CLARK FAMILY LTD PARTNERSHIP
12/31/07 PERIOD

DEAN HELLER

ADDRESS OF PROPERTY OWNED:
12901 WEST JEFFERSON BLVD
LOS ANGELES CALIFORNIA

ESTIMATED VALUE OF PROPERTY	$414,600
RENTAL GROSS INCOME	$10,600
RENTAL NET INCOME	$3,600

SCHEDULE III ATTACHMENT
BROMBACH FAMILY LP
12/31/2007 PERIOD

DEAN HELLER

	ASSET VALUE	ESTIMATED INCOME
CMA MONEY FUND/CASH	65,124	1597
MUNI BONDS		
RIVERSIDE CO CA TRCOM	35,107	1986
LOS ANGELES CA MTA SLS	108,194	6115
SAN JOSE CA REDEV AGY	74,820	4312
SAN JOSE CA REDEV AGY	33,140	1907
CABRILLO CA UNI SCH DIST	42,845	2156
CLOVIS CA UNISCH DIST	69,952	2764
MONTEREY CNTY CA COP	70,906	3455
SANTA ANA CA CMNTY REDEV	57,113	2349
BELL CA CMNTY HSG AUTH	22,638	884
BAKERSFIELD CA WASTEWTR	43,521	2073
LOS ANGELES CA UNI SCH	110,805	5441
SAN FRANCISCO CA CY-CO	74,904	3455
CALIFORNIA ST ECONOMIC	93,213	4353
SAN JOAQUIN DELTA CCD	72,727	2764
ORANGE CNTY CA SANTN	48,868	2246
UNIVERSITY CA REVS GEN	37,923	1727
BVRLY HLLS CA PUB FN AT	60,550	2902
CENTRAL COAST WTR AUTH	76,731	3455
CALIFORNIA ST FSA-CR	61,536	2764
RICHMOND CA WASTEWTR REV	68,588	3109
OAKLAND CA UNI SCH DIST	46,072	2073
SANFRANCISCO CA CMNTY	84,329	3939
CALIF ST DPT WTR RES PSR	34,550	0
LIVERMORE AMADOR VY WTR	44,003	2073
SAN MATEO CA UN HIGH SCH	73,783	3455
EAST BAY CA MUD WTR SYS	51,825	0
PUERTO RICO COMWLTH	69,537	3455
TOTAL	1,733,304	76809

SCHEDULE III ATTACHMENT
BROMBACH FAMILY LP
12/31/2007

DEAN HELLER

PROCEEDS

FREMONT CA UN HSD	10/15/2007	49,440
FONTANA CA PFA TAX ALLOC	3/7/2007	55,233
SOLANO CNTY CALIF CMNTY	3/7/2007	84,709
CALIFORNIA STATEWIDE	6/29/2007	82,920
SOUTHERN CA PPA TRANSMSN	9/19/2007	55,280
METRO WTR DT STHN CA	11/28/2007	93,227
STOCKTON EAST WD CA COP	3/7/2007	73,156
UNIVERSITY CA REVS	9/4/2007	69,791
		563,756

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:43
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

MC

Jeb Hensarling
(Full Name)

202-225-3484
(Daytime Telephone)

Filer Status [✓] Member of the U.S. House of Representatives — State: TX District: 05 — [ ] Officer Or Employee — Employing Office:

Report Type [✓] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [ ] No [✓]	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ] No [✓]	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [✓] No [ ]	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes [✓] No [ ]	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [ ] No [✓]	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ] No [✓]	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes [✓] No [ ]	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [ ] No [✓]	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ] No [✓]	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [✓]
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [✓]

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jeb Hensarling

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more *than $200 in "unearned" income during the year. For rental property or* land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific *investments), provide the value and income information on each asset* in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, *parent or sibling; any deposits totaling $5,000 or less in personal* savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Artisan International Investor Class Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
Bank of America Checking	$1,001 - $15,000			
Coca Cola - Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Cohen and Steers Realty Fund	None	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	S
Dallas National Bank - Stock	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
Dreyfus Disciplined Stock Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jeb Hensarling

Dreyfus Emerging Leader Fund	$50,001 - $100,000		NONE	
Equity Residential Property Fund	None	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S
Family Support Assurance - Stock	$1 - $1,000		NONE	
Fidelity Capital and Income Fund	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	P
Fidelity Municipal Money Market Fund	$100,001 - $250,000	DIVIDENDS/INTEREST	$5,001 - $15,000	
Fidelity Overseas Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
Fidelity Select Biotechnology Fund	$50,001 - $100,000		NONE	
Fidelity Select Electronics Fund	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
Fidelity Select Financial Services Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
Fidelity Total Bond Fund	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	P
Fidelity VIP Contra Fund	$50,001 - $100,000		NONE	
Fidelity VIP Dynamic Appreciation Fund	$50,001 - $100,000		NONE	
Fidelity VIP Growth Opportunities Fund	$50,001 - $100,000		NONE	
Morgan Stanley Emerging Market Debt Fund	$50,001 - $100,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jeb Hensarling

	Neuberger and Berman Genesis Fund	$15,001 - $50,000		NONE	
	Pimco Total Return Fund	$1 - $1,000			
	Schwab 1000 Fund	$15,001 - $50,000		NONE	
	Smith and Barney IRA	$15,001 - $50,000		NONE	
	Vanguard S&P 500 Fund	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	S
	Zixit - Stock	$15,001 - $50,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name Jeb Hensarling

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fidelity Total Bond Fund	P	2-13-07	$50,001 - $100,000
	Fidelity Capital and Income Fund	P	2-13-07	$50,001 - $100,000
	Artisan International Investor Class Fund	P	2-13-07	$50,001 - $100,000
	Cohen and Steers Realty Fund	S	10-4-07	$15,001 - $50,000
	Equity Residential Fund	S	9-19-07	$50,001 - $100,000
	Vanguard 500 Index Fund	S	9-19-07	$15,001 - $50,000
	Vanguard 500 Index Fund	S	10-4-07	$15,001 - $50,000
	Fidelity Cash Reserves	S	2-13-07	$50,001 - $100,000
	Cohen and Steers Realty	S	9-19-07	$15,001 - $50,000
	Vanguard 500 Index Fund	S	9-4-07	$15,001 - $50,000
	Vanguard 500 Index Fund	S	7-5-07	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Jeb Hensarling

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Heritage Foundation	Feb. 1-3	Washington, DC - Baltimore, MD	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:53
MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Stephanie Herseth Sandlin (202) 225-2801
(Full Name) (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: South Dakota District: At-Large	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (*i.e.*, aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

1724

Name Stephanie Herseth Sandlin | Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Fleishman-Hillard Government Relations	Sponse salary	NA
	Mercury Public Affairs, LLC	Sponse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Stephanie Herseth Sandlin | Page ___ of ___

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	The Connell Company	Appearance	June 14, 2007	$2000

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name Stephanie Herseth Sandlin | Page ___ of ___

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e., plans in which you have the power, even if not exercised,* to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs,*** indicate the type of income by checking the appropriate box below **Dividends and Interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below **Dividends and Interest, even if reinvested, should be listed as income.** Check "None" if no income was received

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows (S) (partial) See below for example.

P
S
E

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP	Examples	SP Mega Corp Stock					X									X			X						X								S (partial)
DC		Simon & Schuster		Indefinite																	Royalties												
JT		1st Bank of Paducah, KY Accounts						X									X										X						
Livestock					X																Farm Income	X											
532 Southview Drive Brookings, SD								X							X							X											
500 Miller Drive Marshall, Tx (SP)									X						X							X											
Federal Thrift Savings Plan						X															NA												
Fed'l Thrift Savings Plan (SP)								X													NA												
Wright Patman Cong'l FCU							X										X							X									

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Stephanie Herscin Sandlin | Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Texas County & District Retirement System						X												NA – Pension												
	Ford Motor Co.		X											X							X										P
	Daktronics			X										X							X										P
	VeraSun			X										X							X										P
NO	Alternatives Fund			X										X							X										P

This page may be copied if more space is required.

Name Stephanie Herseth Sandlin | Page ___ of ___

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	Ford Motor Co.	X			12/07										
	Daktronics	X			12/07	X									
	VeraSun	X			12/07	X									
	New Alternatives Fund	X			12/07	X									

This page may be copied if more space is required.

Name Stephanie Hereth Sandlin | Page ___ of ___

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	U.S. Dept of Education	consolidated student loans			X							
	Midwest R&S Corporation	bank loan (current balance = ∅)	X									
	Citibank	revolving charge acct (current bal = $2,725.00)		X								
SP	Panola State Bank, Marshall, Tx	mortgage on 500 Miller Dr. Marshall, TX				X						

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

Name Stephanie Haseth Sandlin	Page ___ of ___

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1986-1996	Texas County and District Retirement System Max A Sandlin Jr.	Former County Employee — Interest in Retirement Plan

Use additional sheets if more space is required.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Brian M. Higgins
(Full Name)

716-852-3501
(Daytime Telephone)

(Office Use Only)

2008 MAY -9 PM 1:46

MC

Filer Status	☑ Member of the U.S. House of Representatives	State: NY District: 27	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	*Details regarding "Qualified Blind Trusts"* approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, *your spouse*, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1732

SCHEDULE I - EARNED INCOME

Name Brian M. Higgins

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Salary - City of Buffalo, NY Board of Education	Spouse Salary	$46,156.85

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Brian M. Higgins

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Congressional FCU	$1,001 - $15,000	INTEREST	$1 - $200	
	CRV Capital LLC (not self-directed)	$15,001 - $50,000	INTEREST/DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
DC	M&T Bank	$1,001 - $15,000	INTEREST	$1 - $200	
DC	M&T Bank	$1,001 - $15,000	INTEREST	$1 - $200	
SP	M&T Bank	$1,001 - $15,000	INTEREST	$1 - $200	
	M&T Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Brian M. Higgins

	Mass Mutual Life Insurance Co (not self-directed)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	New York State Employees Credit Union	$1 - $1,000	INTEREST	$1 - $200	
SP	NY State and Local Employees Retirement System (not self-directed)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	NY State and Local Employees Retirement System (not self-directed)	$15,001 - $50,000	INTEREST	$201 - $1,000	
DC	NYS College Savings Account (not self-directed)	$1,001 - $15,000	INTEREST	$201 - $1,000	
DC	NYS College Savings Account (not self-directed)	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Real Property - 33 Hollywood Ave., Buffalo, NY 14220	None	CAPITAL GAINS	NONE	S

SCHEDULE IV - TRANSACTIONS

Name Brian M. Higgins

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Sale of Real Property - 33 Hollywood Ave., Buffalo, NY 14220	S	10-17-2008	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Brian M. Higgins

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Chase	Revolving charge	$15,001 - $50,000
JT	ABN Amro Mortgage	Mortgage on investment real property - 33 Hollywood Ave., Buffalo, NY 14220	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Brian M. Higgins

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	CRV Capital LLC

SCHEDULE IX - AGREEMENTS

Name Brian M. Higgins

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
OPEN	NY State and Local Employees Retirement System	Pension at retirement. Please see enclosed letter for additional information.

BRIAN HIGGINS
27TH DISTRICT, NEW YORK

COMMITTEE ON TRANSPORTATION AND INFRASTRUCTURE
HIGHWAYS, TRANSIT AND PIPELINES
WATER RESOURCES AND ENVIRONMENT
COAST GUARD AND MARITIME TRANSPORTATION

COMMITTEE ON GOVERNMENT REFORM
ENERGY AND RESOURCES
NATIONAL SECURITY, EMERGING THREATS, AND INTERNATIONAL RELATIONS

Congress of the United States
House of Representatives
Washington, DC 20515–3227

WASHINGTON OFFICE:
431 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-3306
(202) 226-0347 (FAX)

WESTERN NEW YORK OFFICES
LARKIN BUILDING
726 EXCHANGE STREET
SUITE 601
BUFFALO, NY 14210
(716) 852-3501
(716) 852-3929 (FAX)

FENTON BUILDING
2 EAST 2ND STREET
SUITE 300
JAMESTOWN, NY 14701
(716) 484-0725
(716) 484-1049 (FAX)

WEBSITE: www.house.gov/higgins

April 25, 2008

The Honorable Stephanie Tubbs Jones, Chairwoman
The Honorable Doc Hastings, Ranking Republican Member
Committee on Standards of Official Conduct
United States House of Representatives
Suite HT-2, The Capitol
Washington, DC 20515

Dear Chairwoman Jones & Ranking Member Hastings:

Within the 2007 Financial Disclosure Statement filed by my Chief of Staff, questions were raised specific to his retirement asset within the New York State and Local Employees' Retirement System. Specifically, questions were raised as to his ability, as a member of the Retirement System, to in a discretionary manner buy and sell specific assets and investments within the retirement fund. If that were the case, he, as a filer, would be required to detail the "value, income, purchases and sales..." thereof. .

As my Chief of Staff pointed out in an amendment to his 2007 statement, in point of fact, the assets and income of the New York State and Local Employees' Retirement System are held in the Common Retirement Fund and are invested by the New York State Comptroller as sole trustee.[1] Following a discussion of the same between my Chief of Staff and counsel at the Standards Committee last year, it was determined that there is no requirement on the part of members of the system to provide such detail.

As is detailed within the enclosed 2008 statement, both my wife and I are members of the aforementioned retirement system. In order avoid any confusion I thought it wise to provide this additional information at the outset. If you should require additional information, kindly contact me at (716) 852-3501.

Very truly yours,

BRIAN HIGGINS
Member of Congress
27th District, State of New York

[1] See http://www.osc.state.ny.us/pension/index.htm

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

INSIDE MAIL
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 10: 59
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

BARON P. HILL
(Full Name)

202-225-5315
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: INDIANA District: 9	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

1741

Name	Page 2 of 9
BARBARA P. HILL	

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from *any source* (*other* than the filer's current employment by the U.S. Government) totalling $200 or *more* during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SEYMOUR COMMUNITY SCHOOLS	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	NONE			

This page may be copied if more space is required.

Name BARBU P. HILL

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

SP/DC/JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X										X						
	MCAPITOL 401 K				X										X		X															
SP	SEYMOUR SCHOOLS					X									X		X															
	STATE OF INDIANA			X											X		X															
JT	CISCO			X																												
JT	ORACLE			X																												
JT	SUN MILROSOFT			X																												

Examples

For additional assets and unearned income, use next page.

1744

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name BARON P. HILL

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	NORTEL		X																													
JT	SAVINGS INDIANA BANK						X																									

This page may be copied if more space is required.

Name BARON P. HILL | Page 6 of 9

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the *reporting year of any* real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that *resulted in a loss. Provide a brief* description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. ***If only a portion of an asset is sold, please so indicate (i.e., "partial sale").*** See example below.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	NONE															

This page may be copied if more space is required.

1746

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
NONE							

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
DIRECTOR	SYCAMORE LAND TRUST

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

GPO: 2008 41-219 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
2008 MAY 15 PM 2:46
(Office Use Only)

U.S. Rep. Maurice D. Hinchey
(Full Name)

202-225-6335
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: NY — District: 22 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1750

SCHEDULE I - EARNED INCOME

Name U.S. Rep. Maurice D. Hinchey

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of New York	Legislative Pension	$35,068
Pat Lynch Associates	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name U.S. Rep. Maurice D. Hinchey

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Alfacell Common Stock	$1 - $1,000	CAPITAL GAINS	NONE	
SP	American Funds 529 College Savings Plan	$50,001 - $100,000	CAPITAL GAINS	NONE	
	Echo Hill Road property, Saugerties, NY	$100,001 - $250,000	CAPITAL GAINS	NONE	
SP	Key Bank personal savings account	$1,001 - $15,000	INTEREST	$1 - $200	
	Novo Networks Stock	$1 - $1,000	CAPITAL GAINS	NONE	
SP	Oppenheimer Funds 401(k)	$15,001 - $50,000	CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name U.S. Rep. Maurice D. Hinchey

	Partition Street property, Saugerties, NY	$250,001 - $500,000	CAPITAL GAINS	NONE
	Prudential IRA	$15,001 - $50,000	CAPITAL GAINS	NONE
	Ulster Savings personal savings account	$1,001 - $15,000	INTEREST	$1 - $200

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name U.S. Rep. Maurice D Hinchey

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute Congressional Program	Nov. 26 - Dec. 2	NY - Liberia, Costa Rica - NY	Y	Y	Y	1 Day
International Management and Development Institute	Feb. 17 - 24.	Hurley, NY - Hamburg, Germany - Hurley, NY	Y	Y	Y	None
Free Press	Jan. 12 - 14.	Washington, DC - Memphis, TN - Hurley, NY	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 14 PM 4:50

(Office Use Only)

RUBEN E. HINOJOSA
(Full Name)

(202) 225-2531
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: TX District: 15 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑	No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑	No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐	No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII	Yes ☑	No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

1755

SCHEDULE I - EARNED INCOME

Name RUBEN E. HINOJOSA

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
H & H FOODS	CONSULTING FEES	$671
ROBERT DRISCOLL AND JULIA DRISCOLL AND ROBERT DRISCOLL JR FOUNDATION	SPOUSE DIRECTOR FEES	N/A
COUNTY OF FAIRFAX	SPOUSE SALARY	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name RUBEN E. HINOJOSA

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	342 S. TEXAS AVE. MERCEDES, TX RUBEN'S RENTAL BUILDING	$50,001 - $100,000	RENT	$1,001 - $2,500	
	REAL ESTATE ASSOCIATES (DISPOSED 4/2/04, NO PROCEEDS RECEIVED TO DATE)	None	PARTNERSHIP INCOME	NONE	Other
	HINOJOSA ENTERPRISES	$1 - $1,000	PARTNERSHIP INCOME	NONE	
	HINOJOSA DEVELOPMENT	$250,001 - $500,000	PARTNERSHIP INCOME	$5,001 - $15,000	
SP	FIRM CONSULTANTS, INC.	$1,001 - $15,000	S CORPORATION INCOME	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name RUBEN E. HINOJOSA

	H & H FOODS STOCK	$500,001 - $1,000,000	DIVIDENDS	NONE	
DC	MERRILL LYNCH CMA	None	INTEREST	$1 - $200	Other
SP	MERRILL LYNCH (IRA) - CASH	None	DIVIDENDS	$201 - $1,000	Other
SP	EXXON MOBIL CORP STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	INTL BANCSHARES STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	MICROSOFT CORP STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	PFIZER INC STOCK	None	DIVIDENDS	NONE	S
SP	PROCTER GAMBLE STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	TEXAS TOMORROW FUND	$15,001 - $50,000	INTEREST	NONE	
JT	BANK OF AMERICA CHECKING (DC)	$1 - $1,000	None	NONE	
JT	BANK OF AMERICA SAVINGS	$1 - $1,000	INTEREST	$1 - $200	
	MERRILL LYNCH CMA	None	INTEREST	$1 - $200	Other
	EXXON MOBIL STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	MICROSOFT CORP STOCK	$15,001 - $50,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name RUBEN E. HINOJOSA

	PROCTER GAMBLE STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DC	MERRILL LYNCH CMA	None	INTEREST	$201 - $1,000	Other
DC	TSB SECURITIES, INC. - CASH	$1 - $1,000	DIVIDENDS	$1 - $200	
	MERRILL LYNCH (IRRA) - CASH	None	DIVIDENDS	$1,001 - $2,500	Other
	H & H FOODS ESOP NOTE	$100,001 - $250,000	INTEREST	NONE	
DC	LONE STAR BANK STOCK	$50,001 - $100,000	DIVIDENDS	NONE	
DC	BANK OF AMERICA SAVINGS	$1,001 - $15,000	INTEREST	$1 - $200	
DC	BANK OF AMERICA SAVINGS	$1,001 - $15,000	INTEREST	$1 - $200	
DC	EUROPACIFIC GROWTH FUND - 529A	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
DC	THE GROWTH FUND OF AMERICA - 529A	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
DC	THE INCOME FUND OF AMERICA - 529A	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	TEXAS STATE BANK (IRA) - CASH	$50,001 - $100,000	DIVIDENDS	NONE	Other
DC	EUROPACIFIC GROWTH FUND - 529A	$1,001 - $15,000	DIVIDENDS	NONE	Other
DC	THE GROWTH FUND OF AMERICA - 529A	$1,001 - $15,000	DIVIDENDS	NONE	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name RUBEN E. HINOJOSA

DC	THE INCOME FUND OF AMERICA - 529A	$1,001 - $15,000	DIVIDENDS	NONE	Other
DC	GROWTH FUND OF AMERICA CLASS A	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
DC	INCOME FUND OF AMERICA CLASS A	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
DC	EUROPACIFIC GROWTH FUND - 529A	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	Other
DC	THE GROWTH FUND OF AMERICA - 529A	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	Other
DC	THE INCOME FUND OF AMERICA - 529A	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	Other
SP	TEXAS STATE BANK (IRA) - CASH	$1,001 - $15,000	DIVIDENDS	NONE	Other
	TEXAS STATE BANK IMA - CASH	$1,001 - $15,000	DIVIDENDS	NONE	Other

SCHEDULE IV - TRANSACTIONS

Name RUBEN E. HINOJOSA

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	MERRILL LYNCH CMA	Other	2-1-07	$100,001 - $250,000
SP	MERRILL LYNCH (IRA) - CASH	Other	12-11-07	$1,001 - $15,000
SP	PFIZER INC STOCK	S	1-1-07	$1,001 - $15,000
	MERRILL LYNCH CMA	Other	12-13-07	$1,001 - $15,000
DC	MERRILL LYNCH CMA	Other	12-27-07	$15,001 - $50,000
	MERRILL LYNCH (IRRA) - CASH	Other	12-11-07	$50,001 - $100,000
DC	EUROPACIFIC GROWTH FUND - 529A	P	1-4-07	$1,001 - $15,000
DC	THE GROWTH FUND OF AMERICA - 529A	P	1-4-07	$1,001 - $15,000
DC	THE INCOME FUND OF AMERICA - 529A	P	1-4-07	$1,001 - $15,000
	TEXAS STATE BANK (IRA) - CASH	Other	12-11-07	$50,001 - $100,000
DC	EUROPACIFIC GROWTH FUND - 529A	Other	2-1-07	$15,001 - $50,000
DC	THE GROWTH FUND OF AMERICA - 529A	Other	2-1-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name RUBEN E. HINOJOSA

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	THE INCOME FUND OF AMERICA - 529A	Other	2-1-07	$15,001 - $50,000
DC	GROWTH FUND OF AMERICA CLASS A	P	4-9-07	$15,001 - $50,000
DC	INCOME FUND OF AMERICA CLASS A	P	4-9-07	$15,001 - $50,000
DC	EUROPACIFIC GROWTH FUND - 529A	Other	12-27-07	$1,001 - $15,000
DC	THE GROWTH FUND OF AMERICA - 529A	Other	12-27-07	$1,001 - $15,000
DC	THE INCOME FUND OF AMERICA - 529A	Other	12-27-07	$1,001 - $15,000
SP	TEXAS STATE BANK (IRA) - CASH	Other	12-11-07	$1,001 - $15,000
	TEXAS STATE BANK IMA - CASH	Other	12-13-07	$1,001 - $15,000
	REAL ESTATE ASSOCIATES (DISPOSED 4/2/04, NO PROCEEDS RECEIVED TO DATE)	Other	1-1-07	$0

SCHEDULE V - LIABILITIES

Name RUBEN E. HINOJOSA

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	HINOJOSA DEVELOPMENT CO.	PERSONAL LOAN 1984	$250,001 - $500,000

SCHEDULE VIII - POSITIONS

Name RUBEN E. HINOJOSA

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PARTNER	HINOJOSA DEVELOPMENT COMPANY
PARTNER	HINOJOSA ENTERPRISES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 JUN -9 PM 5:14
(Office Use Only)
HOUSE OF REPRESENTATIVES

MAZIE K. HIRONO
(Full Name)

808-732-1959
(Daytime Telephone)

Filer Status ✓ Member of the U.S. House of Representatives — State: HI — District: 02 — Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓	No
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ✓
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ✓	No
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ✓	No
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes	No ✓
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ✓	No
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ✓	No

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

1765

SCHEDULE I - EARNED INCOME

Name MAZIE K. HIRONO

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
STATE OF HAWAII EMPLOYEES RETIREMENT SYSTEM	STATE PENSION	$52,082
WONG & OSHIMA, ATTYS AT LAW	SPOUSE SALARY	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MAZIE K. HIRONO

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	1122 ELM ST. #204 HONOLULU, HI	$250,001 - $500,000	RENTAL	$5,001 - $15,000	
	ALOHA PACIFIC FCU FKA CITY & COUNTY FCU	$1,001 - $15,000	INTEREST	$1 - $200	
JT	AMCAP FUND A	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
JT	BANK OF THE ORIENT	$15,001 - $50,000	INTEREST	$1 - $200	
JT	BOND FUND OF AMERICA A	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
JT	CAPITAL INCOME BUILDER A	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	P

JT	CAPITAL WORLD GROWTH & INC FUND A	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	P
	CAPITOL INCOME BUILDER A (IRA)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	CONGRESSIONAL FED CREDIT UNION	$15,001 - $50,000		NONE	
JT	HAWAII STATE FEDERAL CREDIT UNION	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	INCOME FUND OF AMERICA A	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
JT	INVESTMENT CO OF AMERICA A	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	P
SP	MORGAN STANLEY (IRA) INTL FIXED INC	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	MORGAN STANLEY (IRA) US SMALL CAP VALUE	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	MORGAN STANLEY (IRA) INTL VALUE EQUITY FUND D	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P
SP	MORGAN STANLEY (IRA) LIQUID ASSET FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	MORGAN STANLEY (IRA) MID CAP VALUE FUND D	$1,001 - $15,000	DIVIDENDS	$2,501 - $5,000	P
SP	MORGAN STANLEY (IRA) MSIF INVEST GRADE FIX INC INST	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	MORGAN STANLEY (IRA) MSIF TR LTD DURATION INST	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SP	MORGAN STANLEY (IRA) MSIF US LARGE CAP GR PORT A	$15,001 - $50,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MAZIE K. HIRONO

SP	MORGAN STANLEY (IRA) MSIF US REAL ESTATE PORT A	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	P
SP	MORGAN STANLEY (IRA) VAN KAMPEN COMSTOCK I	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SP	MORGAN STANLEY (IRA)MSIF TR HI YIELD PORT INST	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	MORGAN STANLEY LIQUID ASSET FUND	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
SP	OPPENHEIMER GLOBAL (401K)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS(part)
	STATE OF HAWAII EMPLOYEE RETIREMENT SYS	$500,001 - $1,000,000	PENSION	NONE	
SP	T ROWE PRICE BLUE CHIP GROWTH (401K)	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
SP	T ROWE PRICE INTL EQUITY INDEX (401K)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS(part)
SP	T ROWE PRICE NEW ERA (401K)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	T ROWE PRICE PERSONAL STRATEGY (401K)	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	P
SP	T ROWE PRICE PRIME RESERVE (401K)	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	PS(part)
SP	WONG & OSHIMA AAL (OWNERSHIP SHARE)	None		NONE	

SCHEDULE IV - TRANSACTIONS

Name MAZIE K. HIRONO

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	OPPENHEIMER GLOBAL (401K)	PS(part)	6 P VAR DATES 12/7/07 S	$15,001 - $50,000
SP	T ROWE PRICE BLUE CHIP GROWTH (401K)	PS(part)	6 P VAR DATES 12/7/07 S	$1,001 - $15,000
SP	T ROWE PRICE INTL EQUITY INDEX (401K)	PS(part)	4 P VAR DATES 12/7/07 S	$15,001 - $50,000
SP	T ROWE PRICE PERSONAL STRATEGY	P	10 P VAR DATES	$50,001 - $100,000
SP	T ROWE PRICE NEW ERA (401K)	P	7/27/07 P 12/7/07 P DIV REINVEST	$1,001 - $15,000
SP	MORGAN STANLEY INTL VALUE EQUITY (IRA) DIV REINVESTMENT	P	12/21/07	$1,001 - $15,000
SP	MORGAN STANLEY MID CAP VALUE (IRA) DIV REINVESTMENT	P	12/21/07	$1,001 - $15,000
SP	MSIF TR LTD DURATION (IRA)	P	MONTH DIV REINVEST	$1,001 - $15,000
SP	VAN KAMPEN COMSTOCK (IRA)	P	QTRLY DIV REINVEST	$1,001 - $15,000
SP	MSIF US REAL ESTATE (IRA)	P	QTRLY DIV REINVEST	$1,001 - $15,000
	CAPITOL INCOME BUILDER A (IRA)	P	QTRLY DIV REINVEST	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MAZIE K. HIRONO

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	AMCAP FUND A - DIV REINVESTMENT	P	6/15/07 12/14/07	$1,001 - $15,000
JT	CAPITOL WORLD GROWTH & INC FUND A - MONTHLY INVESTMENT PLUS DIV REINVEST	P	16 P	$1,001 - $15,000
JT	INVESTMENT COMPANY OF AMERICA A - MONTHLY INVESTMENT PLUS DIV REINVEST	P	16P	$1,001 - $15,000
JT	CAPITAL INCOME BUILDER A - MONTHLY INVESTMENT PLUS DIV REINVEST	P	16P	$1,001 - $15,000
JT	INCOME FUND OF AMERICA A - MONTHLY INVESTMENT PLUS DIV REINVEST	P	16P	$1,001 - $15,000
SP	T ROWE PRICE PRIME RESERVE (401K)	P	16 P VAR DATES INCL DIV REINVEST	$15,001 - $50,000
SP	T ROWE PRICE PRIME RESERVE (401K)	S(part)	11 S VAR DATES TO PURCH OTHER FUNDS	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name MAZIE K. HIRONO

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	TERRITORIAL SAVINGS BANK	MORTGAGE OF 1122 ELM ST. #204	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name MAZIE K. HIRONO

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	BLOOD BANK OF HAWAII
BOARD MEMBER	BOARD OF VISITORS U S COAST GUARD ACADEMY

SCHEDULE IX - AGREEMENTS

Name: MAZIE K. HIRONO

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
11/1/02	STATE OF HAWAII EMPLOYEE UNION TRUST FUND	POST RETIREMENT BENEFITS MEDICAL, DENTAL & DRUG INSURANCE

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 1:47

U.S. HOUSE OF REPRESENTATIVES

MC

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

David L. Hobson (Full Name)

202-225-4324 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: Oh District: 07	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name David L. Hobson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Public Employees Retirement System of Ohio	Legislative Pension	$13,298

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David L. Hobson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
I-Four Partnership (Bldg & Land) 2138 N. Limestone, Springfield, OH	$1,000,001 - $5,000,000	Rent	$15,001 - $50,000	
Fidelity Investment Advisors, Inc. , Investments at SmithBarney CitiGroup	$50,001 - $100,000	Dividends/Interest	$2,501 - $5,000	
The Offices at Rickenbacker LLC (Bldgs & Land, Dublin, OH	$500,001 - $1,000,000	Rent	Partnership Loss	
Village Associates LLC (Bldgs & Land), Powell, OH	$500,001 - $1,000,000	Rent	Partnership Loss	
Spring Creek Shopping Center LLC, (Bldgs & Land), Columbus, OH	$250,001 - $500,000	Rent	Partnership Loss	

1777

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David L. Hobson

	First Choice Storage, LLC	$100,001 - $250,000	Rent/Interest	Partnership Loss	
SP	CDB Investments, LTD	$250,001 - $500,000	Partnership Income	Partnership Loss	
	Portable On Demand Investors LLC	$100,001 - $250,000	Partnership Income/Interest	Partnership Loss	
	Scarborough Village LLC (Bldgs & Land), Springfield, OH	$50,001 - $100,000	Partnership Income	Partnership Loss	P
	Fujiyama Columbus Partnership, Note Receivable	$100,001 - $250,000	Interest	NONE	
	Fujiyama Indianapolis Partnership, Note Receivable	$50,001 - $100,000	Interest	NONE	
SP	Delaware Investments (Mutual Fund)	None	Interest	NONE	Transferred to Raymond James #52465028
	DWS Scudder Mutual Funds	$15,001 - $50,000	Dividends	NONE	
	IBM Corp Stock	$15,001 - $50,000	Dividends	$201 - $1,000	
	FLC (Stock)	$15,001 - $50,000	Dividends	NONE	
	The Champaign Telephone Co. (Stock)	$1,001 - $15,000	Dividends	$201 - $1,000	
	Ohio Public Employee Retirement Fund	$15,001 - $50,000	Pension	$5,001 - $15,000	
	Assets Held in Smith Barney Citigroup #063-14571-16 089 Formerly #715-45624-12				

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David L. Hobson

	Park National Corp (Stock)	$100,001 - $250,000	Dividends	$5,001 - $15,000	
	Microsoft Corp (Stock)	$50,001 - $100,000	Dividends	$201 - $1,000	
	Altria Group Inc (Stock)	$15,001 - $50,000	Dividends	$201 - $1,000	
	Intel Corp (Stock)	$15,001 - $50,000	Dividends	$201 - $1,000	
	Citigroup Inc. (Stock)	$50,001 - $100,000	Dividends	$2,501 - $5,000	
	Proctor & Gamble (Stock)	$1,000,001 - $5,000,000	Dividends	$15,001 - $50,000	
	Cisco Systems Inc (Stock)	$15,001 - $50,000	Dividends/Capital Gains	$5,001 - $15,000	S(part)
	General Electric Co (Stock)	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Bank of America (Stock)	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Amgen Inc (Stock)	$15,001 - $50,000	Dividends/Capital Gains	$2,501 - $5,000	S
	Champaign Tel Co (Stock)	$50,001 - $100,000	Dividends	$2,501 - $5,000	
	Chevron Texaco Corp (Stock)	$15,001 - $50,000	Dividends	$201 - $1,000	
	Exxon Mobile Corp (Stock)	$15,001 - $50,000	Dividends	$201 - $1,000	
	Fifth Third BancCorp (Stock)	$15,001 - $50,000	Dividends	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David L. Hobson

	Eaton Vance Enhanced Equity Inc Fund	$15,001 - $50,000	Dividends/Capital Gains	$1,001 - $2,500	
	Kahne Anderson Energy Total Return	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Huntington Bancshares Inc (Stock)	$1,001 - $15,000	Dividends	$201 - $1,000	
	New York Community Bancorp	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Money Market Fund-Formerly Citibank Bank Deposit Program	$15,001 - $50,000	Interest	$201 - $1,000	
	Washington Mutual Inc (Stock)	None	Dividends/Capital Loss	NONE	S
	JM Smucker Co (Stock)	$1,001 - $15,000	Dividends	$201 - $1,000	
	Enterprise Prods Partners LP	$15,001 - $50,000	Partnership Income	Partnership Loss	
	BP Purdhoe Bay Rty Trust	None	Royalty/Capital Loss	NONE	S
	First Horizon Natl Corp	$1,001 - $15,000	Dividends	NONE	P
	ING Global Advantage & Prem Fun	$1,001 - $15,000	Dividends	$201 - $1,000	P
	LMP Real Estate Income FD	$1,001 - $15,000	Dividends	$201 - $1,000	P
	Nuveen Real Estate Income Fund	$1,001 - $15,000	Dividends	$201 - $1,000	P
	Blackrock SR High Income Fund Inc.	$1,001 - $15,000	Dividends	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David L. Hobson

	Eaton Vance SR Floating RT Fund	$1,001 - $15,000	Dividends	$201 - $1,000	P
	Van Kampen Senior Income TR	$1,001 - $15,000	Dividends	$201 - $1,000	P
	Arlington Bank Restricted	$15,001 - $50,000	Dividends	NONE	
	Assets Held in IRA SmithBarney Citigroup #063-14591-12089 Formerly #715-50987-13				
	SB Citigroup (Money Fund)	$1,001 - $15,000	Dividends	$201 - $1,000	
	Legg Mason Partners Lg Cap Growth Fund (Mutual Fund)	$1,001 - $15,000	Dividends	$201 - $1,000	
	Legg Mason Partners Aggressive Growth Fund (Mutual Fund)	$1,001 - $15,000	Dividends	NONE	
	Legg Mason Partners Capital Fund (Mutual Fund)	$1,001 - $15,000	Dividends	$201 - $1,000	
	Merck & Co Inc (Stock)	$1,001 - $15,000	Dividends	$1 - $200	
	Legg Mason Value Trust Inc (Mutual Fund)	$1,001 - $15,000	Dividends	$201 - $1,000	
	Microsoft Corp (Stock)	None	Dividends/Capital Loss	NONE	S
	Genworth Financial Inc (Stock)	None	Dividends/Capital Loss	NONE	S
	Park National Corp (Stock)	$15,001 - $50,000	Dividends	$201 - $1,000	

	Unit Investment Trusts	$15,001 - $50,000	Dividends/Capital Loss	$201 - $1,000	
	Western Asset Money Market Fund	$15,001 - $50,000	Interest	$1 - $200	
	Kraft Foods	None	Dividends/Capital Gains	$1,001 - $2,500	PS
SP	Assets Held in IRS SmithBarney Citigroup #063-14642-11-089 Formerly #715-57493-15				
SP	Unit Investments Trusts	$1,001 - $15,000	Dividends	$1 - $200	
SP	Citibank Bank Deposit Program	$1 - $1,000	Dividends	$1 - $200	
SP	Legg Mason Partners Lg Cap Growth Fund (Mutual Fund)	$1,001 - $15,000	Dividends/Capital Gains	$201 - $1,000	
SP	Legg Mason Partners Aggressive Growth Fund (Mutual Fund)	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Legg Mason Partners Capital Fund (Mutual Fund)	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Assets Held in SmithBarney Citigroup #063-14414-11 Formerly #715-01917-11				
SP	Proctor & Gamble (Stock)	$50,001 - $100,000	Dividends	$1,001 - $2,500	
SP	Park National Corp (Stock)	$100,001 - $250,000	Dividends	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David L. Hobson

SP	Legg Mason Partners Lg Cap Growth Fund (Mutual Fund)	$1,001 - $15,000	Dividends	NONE	
SP	Money Market Fund	$1 - $1,000	Interest	NONE	
SP	SB Citigroup Money Fund	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	JM Smucker Co (Stock)	$1 - $1,000	Dividends	$1 - $200	
SP	Legg Mason Value Trust Inc. (Mutual Fund)	$15,001 - $50,000	Dividends/Capital Gains	$2,501 - $5,000	
	Assets Held in SmithBarney Citigroup #063-14596-17-089 Formerly #715-51764-10				
	Citibank NA Bank Deposit Program	$1,001 - $15,000	Interest	$1,001 - $2,500	
	Citicorp Trust Bank	None	Interest	$201 - $1,000	
	JM Smucker Co (Stock)	$1 - $1,000	Dividends	$1 - $200	
	Real Estate - FL Condo	None	Capital Gain	$100,001 - $1,000,000	PS
SP	Assets Held at Raymond James #52465028				
SP	Client Interest Program	$15,001 - $50,000	Interest		
SP	Aberdeen Asia Pacific Inc Fund	$15,001 - $50,000	Dividends	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David L. Hobson

SP	Claymore Raymond James SB1 EQT	$15,001 - $50,000	Dividends	$2,501 - $5,000	
SP	Delaware Tax Free USA Fund (Mutual Fund)	$15,001 - $50,000	Dividends	$1,001 - $2,500	
SP	Eagle Rock Energy Partners LP	$15,001 - $50,000	Partnership Income	Partnership Loss	
SP	Eaton Vance Tx Mgd Div Eq Income (Mutual Fund)	$15,001 - $50,000	Dividends	$1,001 - $2,500	
SP	Federal Home Loan Mortgage Corp.	$15,001 - $50,000	Interest	$2,501 - $5,000	
SP	Federal Farm Credit Banks	$15,001 - $50,000	Interest	$2,501 - $5,000	
SP	Federal Home Loan Banks	$15,001 - $50,000	Interest	$1,001 - $2,500	S(part)
SP	First TR Four Crnrs SR Flt Rte	None	Dividends/Capital Loss	NONE	S
SP	Hersha Hospitality TR	$15,001 - $50,000	Dividends	$1,001 - $2,500	
SP	Inergy L P Unit LTD Ptnr	$15,001 - $50,000	Partnership Income	NONE	
SP	Synagro Technologies (Stock)	None	Dividends/Capital Gains	$2,501 - $5,000	S
SP	US Bancorp Del	None	Dividends/Capital Gains	$1,001 - $2,500	S
SP	Home Depot (Stock)	$15,001 - $50,000	Dividends	$201 - $1,000	P
SP	Van Kampen Dynamic Cr Opp.	$15,001 - $50,000	Dividends	$1,001 - $2,500	P

SP	Legacy Reserves LP	$15,001 - $50,000	Partnership Income	$1,001 - $2,500	P
SP	Alpine Total Dynamic Divid	$1,001 - $15,000	Dividend	$1,001 - $2,500	P
SP	Federal Home Loan Mtg Corp Med Trm	$50,001 - $100,000	Interest	$201 - $1,000	PS(part)
SP	Federal Ntl Mortgage Assoc.	$15,001 - $50,000	Interest	$201 - $1,000	P
SP	Assets Held at Raymond James #79233327				
SP	DWS RREEF Real Estate Securities	$1,001 - $15,000	Dividends	$1,001 - $2,500	
SP	Davis New York Venture Fund	$15,001 - $50,000	Dividends	$1 - $200	
SP	Dodge & Cox Income Fund N/L	$1,001 - $15,000	Dividends/Capital Gains	$201 - $1,000	S(part)
SP	Europacific Growth Fund Class F	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Goldman Sachs High Yield Bond	$15,001 - $50,000	Dividends	$1,001 - $2,500	
SP	Harbor International Growth	$1,001 - $15,000	Dividends	$1 - $200	
SP	Heritage Capital Appreciation	$15,001 - $50,000	Dividends/Capital Gains	$2,501 - $5,000	
SP	Heritage Core Equity Fund	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Heritage Mid Cap Stock Fund	$1,001 - $15,000	Dividends	$1,001 - $2,500	

SP	Investment Company of America	None	Dividends/Capital Gains	$201 - $1,000	S
SP	Janus Adviser Mid Cap Value Fund (Mutual Fund)	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Legg Mason Value Trust	$1,001 - $15,000	Dividends	$1,001 - $2,500	
SP	Pimco Total Return Fund	$1,001 - $15,000	Dividends/Capital Loss	$201 - $1,000	PS
SP	Pimco Commodity Real Return	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	T.Rowe Price International Bond Fund	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Wells Fargo Advantage Inter-	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Western Asset Inflation Index	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	Western Asset Core Plus Bond	$1,001 - $15,000	Dividends	$201 - $1,000	P
SP	RJ Bank Deposit Program	$1,001 - $15,000	Interest	$1 - $200	
SP	Goldman Sachs Large Cap Value	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
SP	University of Evansville-Alex (Trust) Fifth Third Bank	None	Interest/Dividends /Capital Gains	$15,001 - $50,000	

SCHEDULE IV - TRANSACTIONS

Name David L. Hobson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Scarborough Village LLC (Bldgs & Land), Springfield, OH	P	08-06-07	$50,001 - $100,000
	Assets Held in Smith Barney Citigroup #063-14571-16 089 Formerly #715-45624-12			
	Cisco Systems Inc (Stock)	S(part)	09-24-07	$15,001 - $50,000
	Amgen Inc (Stock)	S	05-03-07	$15,001 - $50,000
	Washington Mutual Inc (Stock)	S	12-11-07	$15,001 - $50,000
	BP Purdhoe Bay Rty Trust	S	02-20-07	$15,001 - $50,000
	Microsoft Corp (Stock) SmithBarney Citigroup #063-14591-12 089	S	12-07-07	$1,001 - $15,000
	Genworth Financial Inc (Stock) SmithBarney Citigroup #063-14591-12 089	S	12-07-07	$1,001 - $15,000
	Kraft Foods (Stock) SmithBarney Citigroup #063-14571-16 089	PS	04-10-07 05-03-07	$1,001 - $15,000
	Assets Held in SmithBarney Citigroup #063-14596-17-089 Formerly #715-51764-10			

SCHEDULE IV - TRANSACTIONS

Name David L. Hobson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Real Estate - FL Condo 22129 Nature Cove Court Estero, FL	PS	01-31-07	$500,001 - $1,000,000
SP	Assets Held at Raymond James #52465028			
SP	First TR Four Crnrs SR Flt Rte	S	12-04-07 12-05-07	$15,001 - $50,000
SP	Synagro Technologies (Stock)	S	01-29-07	$1,001 - $15,000
SP	US Bancorp Del	S	04-18-07	$15,001 - $50,000
SP	Home Depot (Stock)	P	04-18-07 12-04-07	$15,001 - $50,000
SP	Van Kampen Dynamic Cr Opp.	P	12-04-07	$15,001 - $50,000
SP	Legacy Reserves LP	P	01-11-07	$15,001 - $50,000
SP	Alpine Total Dynamic Divid	P	01-25-07	$1,001 - $15,000
SP	Federal Home Loan Mtg Corp Med Trm	P	10-03-07	$15,001 - $50,000
SP	Federal Ntl Mortgage Assoc.	P	09-27-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name David L. Hobson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Assets Held at Raymond James #79233327			
SP	Dodge & Cox Income Fund N/L	S(part)	02-14-07	$1,001 - $15,000
SP	Investment Company of America	S	05-14-07	$15,001 - $50,000
SP	Pimco Total Return Fund	S(part)	02-12-07 05-21-07	$1,001 - $15,000
SP	Western Asset Core Plus Bond	P	02-14-07	$1,001 - $15,000
SP	Goldman Sachs Large Cap Value	P	05-14-07	$15,001 - $50,000
SP	Federal Home Loan Mortgage	Redemption	09-18-07	$15,001 - $50,000
SP	Federal Farm Credit Banks	Redemption	09-19-07	$15,001 - $50,000
	First Horizon Natl Corp Smith Barney #063-14571-16-089	P	10-29-07	$1,001 - $15,000
	ING Global Advantage & Prem Fun Smith Barney #063-14571-16-089	P	05-03-07	$1,001 - $15,000
	LMP Real Estate Income FD Smith Barney #063-14571-16-089	P	05-03-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name David L. Hobson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Nuveen Real Estate Income Fund Smith Barney #063-14571-16-089	P	05-03-07	$1,001 - $15,000
	Blackrock SR High Income Fund Inc. Smith Barney #063-14571-16-089	P	05-03-07	$1,001 - $15,000
	Eaton Vance SR Floating RT Fund Smith Barney #063-14571-16-089	P	05-03-07	$1,001 - $15,000
	Van Kampen Senior Income TR Smith Barney #063-14571-16-089	P	05-03-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name David L. Hobson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	KeyBank	Fujiyama Columbus, OH Partnership Restaurant & Land	$250,001 - $500,000
	Security National Bank	Business Line of Credit	$50,001 - $100,000
	New Carlisle Federal	Revolving Line of Credit	$50,001 - $100,000
	US Bank	Business Note, Village Associates, LLC, Powell, OH	$500,001 - $1,000,000
	National City Bank	Business Note, First Choice Storage, LLC	$500,001 - $1,000,000
	Huntington Bank	Business Line of Credit	$50,001 - $100,000
	Huntington Bank	Business Line of Credit	$50,001 - $100,000
	Security National Bank	First Mortgage on 2138 N. Limestone St., Spfld, OH (I-Four)	$500,001 - $1,000,000
	Republic Bank	Business Note, The Office at Rickenbacker, LLC	$250,001 - $500,000
	National City	Business Note	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name David L. Hobson

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	I-Four
Officer	Fidelity Investment Advisors, Inc.
Partner	The Offices at Rickenbacker, LLC
Partner	Village Associates, LLC
Partner	Spring Creek Shopping Center, LLC
Partner	First Choice Storage, LLC
Partner	Portable on Demand Investors, LLC
Partner	Scarborough Village LLC

SCHEDULE IX - AGREEMENTS

Name David L. Hobson

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1982-Present	State of Ohio Public Retirement System	Continuing participation in retirement plan

HAND DELIVERED

ARCHIVE RESOURCE CENTER

2008 JUN -4 PM 3:19

U.S. HOUSE OF REPRESENTATIVES

MC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Peter Hoekstra
(Full Name)

(202) 225-4401
(Daytime Telephone)

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representatives — State: MI — District: 02 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

1794

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter Hoekstra

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and *mutual funds (do not use ticker symbols). For all IRAs and other* retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal *savings accounts; any financial interest in or income derived from U.S.* Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other *than one of the listed* categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all *other assets, indicate the* category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Compass Diversified	$1,001 - $15,000	Div/DIVIDENDS/ CAPITAL GAINS	$1,001 - $2,500	S(part)
American Funds Capital World Growth & Income - A	$15,001 - $50,000	DIVIDENDS/CAPI TAL GAINS	$1,001 - $2,500	
Herman Miller MLHR	None	DIVIDENDS/CAPI TAL GAINS	$1 - $200	Other: Gift
American Funds Growth Fund *of America - A*	$50,001 - *$100,000*	DIVIDENDS/CAPI *TAL GAINS*	$2,501 - $5,000	
American Funds New Economy - A	None	DIVIDENDS/CAPI TAL GAINS	$2,501 - $5,000	
American Funds Washington Mutual - A	$50,001 - $100,000	DIVIDENDS/CAPI TAL GAINS	$5,001 - $15,000	

1795

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter Hoekstra

Dreyfus Premier S&P Stars - B	None	CAPITAL GAINS	$2,501 - $5,000	S
CMS Energy Corp (CMS)	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
Franklin Utilities - C	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Interoil Corp (IOC)	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	PS(part)
Nam Tai Electronics (NTE)	None	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S
Urstadt Biddle Properties Inc (UBA)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Franklin Templeton Perspective Alloc - C	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Gentex Corp (GNTX)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Senbanc (SENBX)	None		NONE	S
Ivanhoe Energy Inc (IVAN)	$1,001 - $15,000		NONE	
McKenzie Bay Intl (MKBY)	$1 - $1,000		NONE	
ADC Telecommunications (ADCT)	None	Other: LOSS	NONE	S
APA Enterprises Inc (APAT)	$1,001 - $15,000		NONE	
Clarion Technologies	$1 - $1,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter Hoekstra

	Pacific Ethanol Inc (PEIX)	$1,001 - $15,000		NONE	
	PowerShares WilderHill Clean Energy (PBW)	$1,001 - $15,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name Peter Hoekstra

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Compass Diversified	S(part)	11/2/07	$15,001 - $50,000
	Herman Miller MLHR	Other	11/7/07	$1,001 - $15,000
	Dreyfus Premier S&P Stars - B	S	1/8/07	$15,001 - $50,000
	Interoil Corp (IOC)	P	6/27/07	$1,001 - $15,000
	Nam Tai Electronics (NTE)	S	1/8/07	$1,001 - $15,000
	Senbanc (SENBX)	S	11/1/07	$1,001 - $15,000
	ADC Telecommunications (ADCT)	S	11/1/07	$1,001 - $15,000
	American Funds New Economy - A	S	7/12/07	$15,001 - $50,000
	American Funds Capital World Growth & Income - A	Other	11/7/07	$1,001 - $15,000
	American Funds Washington Mutual - A	S(part)	6/27/07	$1,001 - $15,000
	Interoil Corp (IOC)	P	1/25/07	$1,001 - $15,000
	Interoil Corp (IOC)	P	6/27/07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Peter Hoekstra

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Interoil Corp (IOC)	P	6/27/07	$1,001 - $15,000
	Interoil Corp (IOC)	S(part)	6/7/07	$1,001 - $15,000
	Senbanc (SENBX)	S(part)	1/25/07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Peter Hoekstra

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Macatawa Bank	Equity Line of Credit	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Peter Hoekstra

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
David Horowitz Freedom Center	Nov. 17th-18th	Grand Rapids, MI-West Palm Beach, FL-Grand Rapids, MI	Y	Y	Y	None
NC/SC Terrorism Conference Inc.	Oct. 29th-Oct. 30th	DC-Charlotte, NC-DC	Y	Y	N	None
The Heritage Foundation	Feb. 1st-Feb. 2nd	DC-Baltimore, MD-Holland, MI	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Peter Hoekstra

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Compass Academy
Board Member	Holland Christian Schools Foundation

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:00
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Tim Holden
(Full Name)

(202)225-5546
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: PA District: 17 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1803

SCHEDULE I - EARNED INCOME

Name Tim Holden

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. *For a spouse, list the source and amount of any honoraria;* list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
County of Schuylkill	Spouse Salary	$45,479.45

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tim Holden

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with *a fair market value exceeding $1,000* at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the *end of the reporting period*. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing *a brief description* in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all *other assets, indicate the* category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Schuylkill Federal Employees Credit Union, 724 Market Street, Pottsville, PA 17901	$1 - $1,000	DIVIDENDS	$1 - $200	
JT	M &T Bank, One South Centre, Pottsville, PA 17901	$1,001 - $15,000	INTEREST	$1 - $200	S(part)
JT	Van Guard Energy Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
	Lucent Technologies	None	None	NONE	
JT	Windsor II Stocks	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	PA Tax Free Fund, Insured Long Term Bond	$1,001 - $15,000	Other: (Tax free income)	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tim Holden

JT	VMMR Prime Money Market Fund	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
	Vanguard Small-Cap Vipers	None	CAPITAL GAINS	$201 - $1,000	S
JT	Van Guard High Yield Corporate Fund	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
SP	Schuylkill County Employees Retirement System	$50,001 - $100,000	NA	None	
	Agere Systems	$1 - $1,000	None	NONE	
	Vanguard Energy ETF (Vipers)	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	S(part)
	Vanguard Intl Equity Index FD Vanguard Emerging Mkts Vipers	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Vanguard Industries ETF	$1 - $1,000	DIVIDENDS	$1 - $200	
	WisdomTree DIEFA High Yield	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Drefyess Money Market Fund (formery CitiBank Deposit Program)	$1,001 - $15,000	INTEREST	$1 - $200	
	Capitol One Money Market	$1,001 - $15,000	INTEREST	$1 - $200	P
	Leesport Financial Corp	$1 - $1,000	DIVIDENDS	$1 - $200	P
	Susquehanna Bancshares Inc	$1 - $1,000	DIVIDENDS	$1 - $200	P
	Wisdom TR INTL Utilities Sector FD	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tim Holden

VanGuard Bond Index Funds Short Term Bond ETF	$1,001 - $15,000	INTEREST	$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Name Tim Holden

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Capitol OneMoney Market	P	05/03/07	$1,001 - $15,000
	Capitol One Money Market	P	08/02/07	$1,001 - $15,000
	VanGuard Prime Money Market Fund	P	05/17/07	$15,001 - $50,000
	VanGuard Prime Money Market Fund	P	08/02/07	$1,001 - $15,000
	WisomTreeTR INTL Utilities Sector FD	P	10/11/07	$1,001 - $15,000
	VanGuard Industries ETF	P	10/4/07	$1,001 - $15,000
	Susquehanna Bancshares Inc-P	P	10/4/07	$1,001 - $15,000
	Leesport Financial Corp	P	10/4/07	$1,001 - $15,000
	VanGuard INTL Equity	P	7/25/07	$1,001 - $15,000
	Ishares Trust Lehman tips	P	7/25/07	$1,001 - $15,000
	VanGuard Financials	P	4/18/07	$1,001 - $15,000
	VanGuard BD Index FD, Inc Intermediate Term BD ETF	P	5/23/07 5/30/07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Tim Holden

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	VanGuard Energy ETF (vipers)	S	10/4/07	$1,001 - $15,000
	VanGuard INTL Equity Index FD	S	10/4/07	$1,001 - $15,000
	VanGuard INTL Equity Index FD	S	3/8/07	$1,001 - $15,000
	Ishares Trust Lehman Tips	S	4/18/07	$1,001 - $15,000
	VanGuard Small Cap	S	6/4/07	$1,001 - $15,000
	VanGuard BD Index FD, Inc Intermediate Term BD ETF	S	6/4/08	$1,001 - $15,000
	VanGuard Financials	S	7/25/07	$1,001 - $15,000
	VanGuard Bond Index Funds Short Term Bond ETF	P	7/6/07	$1,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

Rush D. Holt
(Full Name)

609-737-2343
(Daytime Telephone)

(For Clerk's Use Only)

Filer Status	☒ Member of the U.S. House of Representatives	State: NJ District: 12	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the *reporting period* (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? ***If yes, complete and attach Schedule II.***	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth *more* than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule *III*.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or *before* the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒ No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the *reporting period*? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an *outside entity*? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—*Details regarding "Qualified Blind Trusts"* approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

1810

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	University Medical Center at Princeton	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	New Jersey Council for the Humanities	speech	10/28/2007	$1000

This page may be copied if more space is required.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e., plans in which you have the power, even if not exercised,* to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs,*** indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

	Block A		Block B: None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	Block D: None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	Block E: P, S, E
SP	Examples	SP Mega Corp Stock					X									X			X						X								S (partial)
DC		Simon & Schuster			Indefinite																Royalties									X			
JT		1st Bank of Paducah, KY Accounts						X									X										X						
JT		Wachovia Bank Acct				X												X						X									
JT		Bank America Acct	O												X							X											
JT		Citizens Bank			X										X							X											
JT		Congres Credit Union			X										X							X											
JT		Rental House (Pennington NJ)						X									X										X						
		Rental Cabins (Ausable Forks NY)							X								X										X						

For additional assets and unearned income, use next page.

1813

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Rush D. Holt

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Vanguard Windsor Retirement Fund	F	DIVIDENDS, CAPITAL GAINS	–	
	TIAA Traditional Fund	F	INTEREST	VI	
	CREF Stock Fund	F	CAPITAL GAINS	VI	
	Froelich Land Trust *	F	RENT	IV	
SP	Fidelity Magellan ERA	D	CAPITAL GAINS	V	
SP	TIAA Traditional Fund	F	INTEREST	VI	
SP	CREF Stock Fund 403b	G	CAPITAL GAINS	VII	
SP	Fidelity Contrafund "	F	DIVIDENDS, CAPITAL GAINS	VII	
SP	Fidelity Magellan "	E	DIVIDENDS, CAPITAL GAINS	IV	
SP	Fidelity Asset Mngr "	F	DIVIDENDS, CAPITAL GAINS	VII	
SP	Prudential Hedley "	D	DIVIDENDS, CAPITAL GAINS	III	
SP	Farm Share (Amity, OR 400 acres)	G	Farm income	VI	
	*farm share 80 acres Livingston County, IL				

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Name Rush D. Holt

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	NA														

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $7,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Wells Fargo Bank	Mortgage 89 A Old Hawkey Rd Ausable Forks NY				X						
JT	Wells Fargo Bank	Mortgage 273 Pennington R.H. Rd Pennington NJ					X					
JT	Wachovia Bank	Home equity Loan Pennington NJ					X					

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
	n/a	

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Aspen Institute	2/21-2/25	Newark - San Juan Puerto Rico - Newark	Y	Y	Y	None
	Aspen Institute	5/28-6/5	Newark - Ljubljana, Slovania Newark	Y	Y	Y	2 days

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Board of Trustees	McCarter Theater
" "	Family & Childrens Services of Central NJ
" "	Planned Parenthood of Mercer Area

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	n/a	

Use additional sheets if more space is required.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 2:31

MC

Michael M. Honda
(Full Name)

202-225-2631
(Daytime Telephone)

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representative — State: CA — District: 15 — Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1819

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael M. Honda

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Acacia Life Insurance	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
Americo Financial Life & Annuity Ins. Co.	$50,001 - $100,000	Other: (Retirement)	$2,501 - $5,000	
Americo Financial Life & Annuity Ins. Co.	$100,001 - $250,000	Other: (Retirement)	$2,501 - $5,000	
CA Public Employees Retirement System	$15,001 - $50,000	Other: (Retirement)	NONE	
CA State Teachers Retirement System	$100,001 - $250,000	Other: (Retirement)	NONE	
CA State Teachers Retirement System	$50,001 - $100,000	Other: (Retirement)	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael M. Honda

Fidelity Funds	$1,001 - $15,000	DIVIDENDS	$1 - $200
Fidelity Funds	$15,001 - $50,000	DIVIDENDS	$1 - $200
International County/City Managers Assoc.	$50,001 - $100,000	Other. (Deffered income)	NONE
Keystone/Evergreen Small Co . Growth	$1,001 - $15,000	DIVIDENDS	$1 - $200
Provident Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000
San Bernardino Land Parcel	$1,001 - $15,000	None	NONE
Union Bank	$1,001 - $15,000	INTEREST	$201 - $1,000

SCHEDULE V - LIABILITIES

Name Michael M. Honda

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	First Bank Master Card	revolving charge account	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Michael M. Honda

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Korea Center for United Nations Human Right Policy	Nov. 24-24	San Francisco-Seoul,Korea - San Francisco	Y	Y	N	none
Community Clinic of Los Angeles County	July 20-21	DC-San Diego,CA- San Jose, CA	Y	Y	N	none
The Aspen Institute Congressional Program	March 31-April 8	San Francisco-Shanghai-Nanjing-Beijing-San Jose,CA	Y	Y	N	none

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

Darlene Hooley
(Full Name)

202-225-5711
(Daytime Telephone)

2008 MAY 15 PM 5:00 MC
(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representatives — State: OR — District: 5 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Darlene Hooley

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; *list only the source for other spouse earned income exceeding $1,000.*

Source	Type	Amount
State of Oregon PERS	Pension	$33,704
Social Security	Benefit	$21,098

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Darlene Hooley

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Ameriprise Financial IRA -- ARIEL APPRECIATION ARIEL MUTUAL FUNDS	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Ameriprise Financial IRA -- 1ST EAGLE GLOBAL -- A FIRST EAGLE FUNDS	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Ameriprise Financial IRA -- AMCENT EQUITY INCOME ADV	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Ameriprise Financial IRA -- FIDELITY MID CAP II - T FIDELITY ADVISOR FUNDS	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
Ameriprise Financial IRA -- FRK MUTUAL DISCOVER - A FRANKLIN GROUP OF FUNDS	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

Ameriprise Financial IRA -- GAB WESTWOOD BALANCE AAA GABELLI FUNDS	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Ameriprise Financial IRA -- ROYCE TOTAL RETURN INV ROYCE FUNDS	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Ameriprise Financial IRA -- SELECTED AMERICAN - S SELECTED FUNDS	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Ameriprise-- AMP Brokerage -- Amr Inc Fund of Amer	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Ameriprise-- AMP Brokerage -- Calamos Growth & Inc.	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
Ameriprise-- AMP Brokerage -- EV Divedend Inc	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
Ameriprise-- AMP Brokerage -- EV Inc Fund Boston	None	DIVIDENDS	$15,001 - $50,000	S
Ameriprise-- AMP Brokerage -- Franklin Income A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Ameriprise-- AMP Brokerage -- Insured Money Market	$1,001 - $15,000	INTEREST	$1 - $200	
Ameriprise-- AMP Brokerage -- WP Carey CPA 16	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Ameriprise-- AMP Brokerage -- RVS Cash Mgmt	None	INTEREST/DIVIDENDS	$100,001 - $1,000,000	PS
Ameriprise-- AMP Brokerage -- RVS Tax Ex Mny Mkt	$100,001 - $250,000	DIVIDENDS/INTEREST	$1,001 - $2,500	P
Ameriprise-- Frk Mutual Discovery	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Darlene Hooley

Fidelity Investment -- FIDELITY MAGELLAN FUND FMAGX	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000
Kerr McGee Oil and Gass Onshore (Formerly West Port Oil)	$1,001 - $15,000	INTEREST	$1 - $200
Portland Teacher's Credit Union	$1,001 - $15,000	INTEREST	$1 - $200
Wedbush C/F IRA -- AT&T INC	$1,001 - $15,000	INTEREST/DIVIDENDS	$1 - $200
Wedbush C/F IRA -- COMCAST CORP CLASS A NEW	$1,001 - $15,000	INTEREST	NONE
Wedbush C/F IRA -- IDACORP	$15,001 - $50,000	INTEREST/DIVIDENDS	$201 - $1,000
Wedbush C/F IRA -- JOHN HANCOCK TAX ADV	$15,001 - $50,000	INTEREST/DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000
Wedbush C/F IRA -- SAFECO	$15,001 - $50,000	INTEREST/DIVIDENDS	$201 - $1,000
Wright Patman Congressional Federal Credit Union	$1 - $1,000	INTEREST	$1 - $200

SCHEDULE IV - TRANSACTIONS

Name Darlene Hooley

Report any purchase, sale or exchange by you, *your spouse, or* dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the *transaction or* series of transactions exceeded $1,000. Include transactions that resulted in a loss. *Do not report* a transaction *between you, your* spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Ameriprise-- AMP Brokerage -- EV Divedend Inc	P	5/25/07	$18,790
	Ameriprise-- Frk Mutual Discovery	P	5/24/07	$15,000
	Ameriprise-- AMP Brokerage --RVS Cash Mgmt	P	7/19/07	$200,000
	Ameriprise-- AMP Brokerage --RVS Tax Ex Mny Mkt	P	9/20/07	$200,000
	Ameriprise-- AMP Brokerage --RVS Cash Mgmt	PS	9/20/07	$200,934.49
	Ameriprise-- AMP Brokerage -- Calamos Growth & Inc.	S	5/24/07	$1,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:17
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Darlene Hooley
(Full Name)

202-225-5711
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: OR District: 5	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☒ Amendment	☐ Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

1830

Name	Darlene Hooley	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of OR PERS	Pension	35,063

For payments to charity in lieu of honoraria, use Schedule II.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 14 AM 11:43

(Office Use Only)

MC

Rep. Steny H. Hoyer
(Full Name)

(202) 225-3130
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: MD District: 05	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependant child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1832

SCHEDULE I - EARNED INCOME

Name Rep. Steny H. Hoyer

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Maryland State Retirement Agency	Legislative Pension	$20,481.24

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Steny H. Hoyer

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Citigroup/Smith Barney SEP IRA (Berkshire Income Realty, Inc.)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Citigroup/Smith Barney SEP IRA (Income Fund of America)	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Citigroup/Smith Barney SEP IRA (Investment Company of America)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Citigroup/Smith Barney SEP IRA (Legg Mason Value Trust Mutual Fund)	$250,001 - $500,000	CAPITAL GAINS	$15,001 - $50,000	
Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Steny H. Hoyer

	Digital Angel Corp.	$1,001 - $15,000	None	NONE	
	Telkonet, Inc. SEP IRA	$15,001 - $50,000	None	NONE	
	Western Asset Government Money Market Fund Class A (formerly Citigroup/Smith Barney Money Funds Govt. Port CL A, Legg Mason Wood Walker Govt. Money Market)	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Rep. Steny H. Hoyer

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Educaton Foundation (AIEF)	Aug. 11-19	DC-Tel Aviv, Israel-DC	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Rep. Steny H. Hoyer

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	St. Mary's College Board of Trustees

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 10: 05
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

NC

Kenny Charles Hulshof
(Full Name)

202-225-2956
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: MO District: 09 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1838

SCHEDULE I - EARNED INCOME

Name Kenny Charles Hulshof

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Dave Weldon for Congress	Music Performance	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kenny Charles Hulshof

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is *included only because* it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	American Century, KC, MO Global Growth	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	American Century, KC, MO Growth	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	American Century, KC, MO Life Sciences	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	American Century, KC, MO Prime Money Market	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	American Century, KC, MO Ultra	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	American Century, KC, MO Value	$15,001 - $50,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kenny Charles Hulshof

DC	American Funds, San Antonio, TX Capital Income Builder	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	American Funds, San Antonio, TX Capital Income Builder	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	American Funds, San Antonio, TX Growth Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	American Funds, San Antonio, TX Growth Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	American Funds, San Antonio, TX Investment Company	$1,001 - $15,000	DIVIDENDS	NONE	
DC	American Funds, San Antonio, TX Investment Company	$1,001 - $15,000	DIVIDENDS	NONE	
JT	Bank of America, Columbia, MO - Accounts	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Certificates of Deposit, Citizens Bank, Charleston, MO	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Citizens Bank, Charleston, MO - Accounts	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	Delta Growers Association, Charleston, MO	$1 - $1,000	DIVIDENDS	$1 - $200	
DC	First National Bank, Columbia, MO	$1 - $1,000	INTEREST	$1 - $200	
DC	First National Bank, Columbia, MO	$1 - $1,000	INTEREST	$1 - $200	
JT	First National Bank, Columbia, MO - Accounts	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Focus Bank (Formerly First Security State Bank), Charleston, MO - Accounts	$15,001 - $50,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kenny Charles Hulshof

JT	Great River Soy Processing Coop, Sikeston, MO	$1,050	DIVIDENDS	NONE	
JT	IRA, Citizens Bank, Charleston, MO	$1,001 - $15,000	INTEREST; Other - Mandatory Distribution	$1,001 - $2,500	
JT	KCH Hulshof Farms Real Property: Equipment	$1,000,001 - $5,000,000	RENT - Other-Farm Income	$100,001 - $1,000,000	
JT	KCH Hulshof Farms, 3550 W. 220th Road, Charleston, MO - Rental House	$50,001 - $100,000	RENT	$2,501 - $5,000	
JT	MFA Oil Company, East Prarie, MO	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	MO States Employees Retirement System	$15,001 - $50,000	DIVIDENDS	NONE	
JT	Progressive Farm Credit, Charleston, MO	$15,001 - $50,000	INTEREST	$2,501 - $5,000	
JT	Prudential Financial	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Savings Bonds	$1 - $1,000	INTEREST	NONE	
SP	Vanguard (Formerly Citistreet), Valley Forge, PA Explorer Fund (Formerly AIM Small Cap Growth)	$1 - $1,000	DIVIDENDS	NONE	
SP	Vanguard (Formerly Citistreet), Valley Forge, PA Market Index (Formerly SSGA Money Market)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Vanguard (Formerly Citistreet), Valley Forge, PA Pimco Total Return Admin	$1,001 - $15,000	DIVIDENDS	$1 - $200	

- UNEARNED" INCOME

Name Kenny Charles Hutshof

SP	Vanguard (Formerly Citistreet), Valley Forge, PA Stable Value (Formerly Dreyfus S&P Index)	$1 - $1,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Kenny Charles Hulshof

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Primevest Financial, St. Cloud, MN	S	10-28-07	$1-$1,000

SCHEDULE V - LIABILITIES

Name Kenny Charles Hulshof

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Progressive Farm Credit, Charleston, MO	Real Estate, Equipment Debt, Operating Loan	$100,001 - $250,000
JT	Chase Visa Card	Credit Card	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Kenny Charles Hulshof

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
National Biodiesel Conference	Feb. 4-5	St. Louis - San Antonio - DC	Y	Y	N	2 Days
National Ethanol Conference	Feb. 20-21	St. Louis - Tuscon - St. Louis	Y	Y	N	2 Days
National Farmers Union Conference	Mar. 2-3	DC - Orlando - St. Louis	Y	Y	N	2 Days

SCHEDULE VIII - POSTITIONS

Name Kenny Charles Hulshof

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Owner	KCH Hulshof Farms

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 JUN 12 AM 10: 44
MC
(Office Use Only)

Kenny Charles Hulshof
(Full Name)

202-225-2956
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: MO District: 09 ☐ Officer Or Employee — Employing Office:

Report Type: ☐ Annual (May 15) ☑ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE VIII - POSITIONS

Name Kenny Charles Hulshof

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Owner	KCH Hulshof Farms
Owner	Bingham Road Cellars

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:56
OFFICE OF INTEGRITY & COMPLIANCE
U.S. HOUSE OF REPRESENTATIVES
MC

DUNCAN L. HUNTER — (Full Name)
202-225-5672 — (Daytime Telephone)
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 52	[ ] Officer or Employee	Employing Office:	***A $200 penalty shall be assessed against anyone who files more than 30 days late.***
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X] No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

Name DUNCAN HUNTER | Page ___ of ___

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

				A	B	C	D	E	F	G	H	I	J	K	L																				
				None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Types of Income (Specify: For Example, Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	
SP	Examples:	SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC			Simon & Schuster		Indefinite																	Royalties								X				
JT			1st Bank of Paducah, KY Accounts						X									X									X							
A			APP 1 ACRE W/CABIN, VA	X					X																									S	
B			REMAINING 17 ACRES (APP), VA						X														X												
C			1/4 ACRE LOT, UTAH				X																X												
D			HOME, S.D.							X													X												
NIFCU #1							X																			X									
NIFCU #2									X																		X								

For additional assets and unearned income, use next page.

1851

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DUNCAN HUNTER | Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	NFCU #3						X										X								X							
	PACIFIC WESTERN	X															X							X								
	ING SAVINGS	X															X						X									
	TEMECULA SAVINGS						X										X							X								

This page may be copied if more space is required.

Name DUNCAN HUNTER | Page ___ of ___

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
JT	CABIN, APP 1 ACRE VA			X		4/11/07				X						

This page may be copied if more space is required.

Name DUNCAN HUNTER | Page ___ of ___

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	CONG FED CREDIT UNION	CREDIT CARDS	X									
JT	SOUTHWEST AIRLINE	"		X								
JT	NORTH ISLAND	"		X								

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

Name DUNCAN HUNTER | Page ___ of ___

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
BOARD MEMBER	ACU
PARTNER	CABIN / W PETE GERIEN ON BLUE RIDGE

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

DUNCAN HUNTER
52ND DISTRICT, CALIFORNIA
RANKING MEMBER
COMMITTEE ON ARMED SERVICES

HAND DELIVERED

2266 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-0552
(202) 225-5672
FAX: (202) 226-0295
1870 CORDELL COURT, #206
EL CAJON, CA 92020
(619) 448-3201
FAX: (619) 449-2251

U.S. House of Representatives
Washington, DC 20515-0552

2008 JUN 12 PM 4:34
FEDERAL ACTIVE RESOURCE CENTER
CLERK OF THE U.S. HOUSE OF REPRESENTATIVES

June 12, 2008

MC

The Honorable Lorraine C. Miller
Clerk-U.S. House of Representatives
H 154 Capitol
Washington, D.C. 20515-6612

Dear Ms. Miller:

On my financial disclosure question 9 should have been answered "no." I answered it "yes" because it asked about an arrangement with an "outside entity." I thought that my board membership with the American Conservative Union qualified, but further conversation with the staff at the Committee on Standards of Official Conduct indicates it doesn't.

In answer to your question about the vacant property I have listed on the schedule titled "Assets" the location is as follows:

A and B: Hunter Division, Fire Trail Road, Warren County, VA
C: Bear Lake, Utah

Please do not hesitate to call me if you have any questions.

Sincerely,

Duncan Hunter

Duncan Hunter
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
RECEIPT CENTER
2008 MAY 14 AM 9:48
HOUSE OF REPRESENTATIVES
(Office Use Only)

Bob Inglis
(Full Name)

864.517.2898
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State SC District 4	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

SCHEDULE I - EARNED INCOME

Name Bob Inglis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Inglis for Congress Committee, Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bob Inglis

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
IRA with Fidelity Investments composed of the following:	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$5,001 - $15,000	
Fidelity Advisor Equity Growth CL T	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS/INTEREST	$2,501 - $5,000	
Fidelity Advisor Equity Income CL T	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	
Fidelity Advisor Intermed Bond CL T	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bob Inglis

IRA with AG Edwards composed of the following:	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	
AmCent Quant Inc & Grwth	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	NONE	
Columbia Marsico Focused Equity	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	P
Columbia Marsico International Oppt	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	PS(part)
Davis N Y Venture Fd Inc	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1 - $200	
DWS Dreman High Retrn Eqty Fd Cl A	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	NONE	P
DWS Small Cap Val Fd Cl S	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1 - $200	
HarborCapital Appreciation Fd	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	
Harbor International Investor	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	P
Harbor Mid Capital Growth	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1 - $200	P
Hotchkis & Wiley Fds Mid Cap Cl A	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bob Inglis

Lord Abbett Mid Cap Value Cl A	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1 - $200	
Putnam Small Cap Growth	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1 - $200	
T Rowe Price Blue Chip	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1 - $200	P
T. Rowe Price Intl Emerging Mrkts Stk	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	P
T. Rowe Price Mid-Cap Gr	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	S(part)
Templeton Devel Mkts I	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1,001 - $2,500	
Wash Mut Invs Fd Inc Cl F	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1 - $200	
Alliance Bernstein Lg Cap Growth	None	DIVIDENDS/INTEREST/CAPITAL GAINS	$1 - $200	S
Federated Govt Obligs Money Mk	None	DIVIDENDS/INTEREST/CAPITAL GAINS	$1 - $200	S
Oppenheimer Developing Mkts F	None	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	S
Putnam Intl Equity Fd Cl A	None	DIVIDENDS/INTEREST/CAPITAL GAINS	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bob Inglis

T Rowe Price Growth Stck	None	DIVIDENDS/INTEREST/CAPITAL GAINS	$1 - $200	S
Templeton Foreign I	None	DIVIDENDS/INTEREST/CAPITAL GAINS	$1 - $200	S
Allick Wyllie Inglis, Jr. Qualified Personal Residence Trust and Helen McCullough Inglis Qualified Personal Residence Trust (1/5 interest in both)	$250,001 - $500,000	RENT	$2,501 - $5,000	

SCHEDULE IV - TRANSACTIONS

Name Bob Inglis

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Columbia Marsico Focused Equity	P	6-12-07	$1,001 - $15,000
	Columbia Marsico International Oppt	P	2-8-07	$1,001 - $15,000
	DWS Dreman High Retrn Eqty Fd Cl A	P	6-12-07	$1,001 - $15,000
	Harbor International Investor	P	6-12-07	$1,001 - $15,000
	Harbor Mid Capital Growth	P	9-10-07	$1,001 - $15,000
	T Rowe Price Blue Chip	P	6-12-07	$1,001 - $15,000
	T. Rowe Price Intl Emerging Mrkts Stk	P	8-13-07	$1,001 - $15,000
	Alliance Bernstein Lg Cap Growth	S	6-12-07	$1,001 - $15,000
	Oppenheimer Developing Mkts F	S	8-13-07	$1,001 - $15,000
	Putnam Intl Equity Fd Cl A	S	2-8-07	$1,001 - $15,000
	T Rowe Price Growth Stck	S	6-12-07	$1,001 - $15,000
	Templeton Foreign I	S	6-12-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Bob Inglis

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	T. Rowe Price Mid-Cap Gr	S(part)	9-10-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Bob Inglis

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank of Travelers Rest	Home Equity Loan	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Bob Inglis

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Allick Wyllie Inglis, Jr. Qualified Personal Residence Trust
Trustee	Helen McCullough Inglis Qualified Personal Residence Trust

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 14 PM 6:02
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)
MC

Jay Robert Inslee
(Full Name)

202-225-6311
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: WA District: 1	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Jay Robert Inslee

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Dr. Steven Schwager	Spouse Salary	$1,781

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jay Robert Inslee

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Frontier Bank	$1 - $1,000	INTEREST	$1 - $200	
	Monsanto (misspelled in 2006 as Montesano)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Dupont	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	I Share S&P 400 Growth (formerly listed as S&P Mid Cap Growth Index)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	I Shares Russell 2000 Growth	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jay Robert Inslee

	I Shares S&P 400 Value (formerly listed as S&P Mid Cap Value Index)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	I Shares Russell 2000 Value	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	S&P Energy Sector (formerly listed as S&P Energy Index)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Street Tracks Gold Sector (formerly listed as Gold Index)	$15,001 - $50,000	None	NONE	
	SunPower Corp	$15,001 - $50,000	None	NONE	
	I Shares EAFE Sector (formely listed as MSCI EAFE Index)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	I Shares Russell MicroCap	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Advanced Micro Devices	$1,001 - $15,000	None	NONE	
	I Shares 1-3yr Treasury	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S(part)
	I Shares Russell 1000 Value	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	I Shares Russell 1000 Growth	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Powershares Commodity Index	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Dreyfus Liquid Assets	$1,001 - $15,000	None	NONE	
SP	Zumiez	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jay Robert Inslee

SP	Costco	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Starbucks	$1,001 - $15,000	None (loss)	NONE (loss)	S(part)
DC	Costco	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Starbucks	$1,001 - $15,000	None	NONE	
DC	Zumiez	$1,001 - $15,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Jay Robert Inslee

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	I Shares 1-3yr Treasury	S(part)	12-17-07	$1,001 - $15,000
SP	Zumiez	S(part)	12-17-07	$1,001 - $15,000
SP	Starbucks	S(part)	12-17-07	less than $1,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Jay Robert Inslee

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Island Press	Sept. 7-9	DC-San Francisco-Seattle	Y	Y	N	none
Island Press	Sept. 20	DC-Seattle-no return	N	Y	N	None
Island Press	Oct. 5-6	DC-Oakland-Seattle	Y	Y	N	None
Island Press	Oct. 27-29	DC-Denver-DC	Y	Y	N	None
Island Press	Nov. 5-6	Seattle-NYC-DC	Y	N	N	None
Island Press	Nov. 12-13	DC-Des Moines-Seattle	Y	Y	N	None
Island Press	Dec. 1-3	DC-Los Angeles-DC	Y	N	N	None
Point Carbon	Oct. 30	DC-NYC-DC	N	N	N	None

FOOTNOTES

Name Jay Robert Inslee

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule VII	travel was in connection with member's book tour for "Apollo's Fire"	Island Press

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2009 MAY 15 PM 3:18
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Steve J. Israel
(Full Name)

2022253335
(Daytime Telephone)

(Office Use Only)

Filer Status: ☑ Member of the U.S. House of Representatives — State: NY District: 02 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files *more than* 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of *more than $200 in the reporting period* or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from *one source*)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts– Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. *Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?* Yes ☐ No ☑

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1875

SCHEDULE I - EARNED INCOME

Name Steve J. Israel

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Suffolk County Family Court	Spouse Salary	n/a
Law Office of Marlene Budd	Spouse Salary (fees and liquidation of firm closed in 2005)	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steve J. Israel

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	NYS Retirement Account	$15,001 - $50,000	DIVIDENDS	n/a	
SP	NYS Retirement Account	$15,001 - $50,000	DIVIDENDS	n/a	
	Oppenheimer Funds Portfolio Series: Active Allocation Fund A	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Steve J. Israel

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Oppenheimer Funds NY Municipal Fund	S	1/17, 2/27, 9/7	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Steve J. Israel

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Sallie Mae/Educational Loan Servicing	Student Loan	$15,001 - $50,000

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 5:02
MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Jesse L. Jackson, Jr.
(Full Name)

(202) 225-0773
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representative — State: IL District: 02 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1880

SCHEDULE I - EARNED INCOME

Name Jesse L. Jackson, Jr.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. *For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.*

Source	Type	Amount
7th Ward Alderman, City of Chicago	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jesse L. Jackson, Jr.

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the Instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	American Capital World Growth & Income-A IRA	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	American Global Small Cap Annuity IRA	None		NONE	S
	American Growth Annuity IRA	None		NONE	S
SP	American Growth Income-A IRA	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	American Growth-A IRA	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	American Growth-Inc. Annuity IRA	None		NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jesse L. Jackson, Jr.

SP	American Invest Co.-A IRA	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	American Mutual-A IRA	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
DC	American Washington Mutual Investors 529	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Cash-IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Delaware VIP Reit Annuity IRA	None		NONE	S
	MFS VIT Total Return Annuity IRA	None	DIVIDENDS/CAPITAL GAINS	NONE	S
	Oppenheimer Quest Opportunity Value IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Putnam Asset Allocation Growth-A SEP IRA	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Putnam Equity Income A	$1 - $1,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Jesse L. Jackson, Jr.

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	American Growth Small Cap Annuity IRA	S	10-26-07	$1,001 - $15,000
	American Growth Annuity IRA	S	10-26-07	$15,001 - $50,000
	American Growth-Inc. Annuity IRA	S	10-26-07	$15,001 - $50,000
	Delaware VIP Reit Annuity IRA	S	10-26-07	$1,001 - $15,000
	MFS VIT Total Return Annuity IRA	S	10-26-07	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name Jesse L. Jackson, Jr.

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Chicago Theological Seminary
Director	Easter Seals of Metropolitan Chicago
Advisory Board Member	JFK School of Government Institute of Politics, Harvard University

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
... RESOURCE CENTER
2008 JUN 13 PM 1:14
... (Office Use Only) ...TIVES

Sheila Jackson Lee
(Full Name)

202 225 3816
(Daytime Telephone)

Filer Status	☐ Member of the U.S. House of Representatives	State: TX District: 18	☐ Officer or Employee	Employing Office:
Report Type	■ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ■	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ■
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ■	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ■ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ■	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ■ No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ■	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ■
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ■	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ■
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ■

1886

Name Sheila Jackson Lee | Page 2 of 7

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	The University of Houston - State of Texas Administration	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For *all IRAs* and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, even *if not exercised*, to select the specific investments), provide the value and income information on *each asset* in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check *all columns* that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets *including all IRAs*, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, *including all IRAs*, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

	Block A		Block B (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C (None; Dividends; Rent; Interest; Capital Gains; Excepted/Qualified Trust; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D (I None; II $1 – $200; III $201 – $1,000; V $1,501 – $2,500; VI $2,501 – $5,000; VII $5,001 – $15,000; VIII $15,001 – $50,000; IX $50,001 – $100,000; X $100,001 – $1,000,000; XI $1,000,001 – $5,000,000; Over $5,000,000)	Block E (P, S, E)
SP	SP	Mega Corp. Stock		X		S (partial)
DC	Examples:	Simon & Schuster	Indefinite			
JT		1st Bank of Paducah, KY Accounts	X			
SP		First Investors Fund For Income	X	X	X	
SP		Metropolitan Life	X	N/A	N/A	
SP		Oppenheimer Fund		N/A	N/A	
SP		457 Fund		N/A	N/A	
		Pension City of Houston Not established		N/A	N/A	

For additional assets and unearned income, use next page.

1888

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Sheila Jackson Lee

Page 4 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H1 $1,000,001 - $5,000,000	H2 $5,000,001 - $25,000,000	I $25,000,001 - $50,000,000	J Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Brannon Stock			X												N/A									N/A						
	company may not exist																														
DC	Bell Fort Sp Dac Hurch	X																	Bond						N/A						
DC	Bell fort spa Church	X																	Bond						N/A						
	Occidental Detroleum	X											X								X										
	Wright - Patman Credit Union			X											X							X									
DC	Bank One			X											X							X									
	United Energy (credit union) Resources	X																													
	Capital Credit Union	X													X																
	Unity Bank			X											X						X										
	Woodforest Bank			X											X							X									
	Wells Fargo Bank																														
	Bank One			X											N/A							N/A									

This page may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X					
JT	Wells Fargo Bank	Loan			X						
SP	Sallie Mae Savings Corp	Education Loan		X							
JT	Chase Manhattan	Mortgage - Campbellsburg Sq. 800N 1st St SW				X					

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 8–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Ghana 50 Jubilee Celebration	March 2-7 07	DC - Accra, Ghana	Y	Y	N	None
	CBC Health Forum	March 30-31 07	DC - Newark, NJ	Y	Y	N	None
	Rainbow Push Coalition - N.O.	Apr 3 2007	DC - New Orleans	Y	Y	N	None
	Democratic Leadership Council	Apr 20-22-07	DC - Williamsburg, VA	Y	Y	No	None
	CBC Institute Southern Power Dinner	Oct 12-14-07	DC - Dallas, TX	Y	Y	N	None
	Democratic Leadership Council	July 28-30-07	DC - Nashville, TN	Y	Y	N	None
	Northern Caribbean University	Aug 12-17-07	Houston - Mandeville Jamaica	Y	Y	Y	None
	HBO Real Time - Bill Maher	Oct 19-20 07	DC - L.A. CA	Y	Y	N	None
	NYork Caribbean News Foundation	Nov 8-11-07	DC - Antigua, W. Indies	Y	Y	N	None

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Vice Chancellor - sp	sp University of Houston
Director - sp	March of Dimes
Boy Scouts of America	Spouse & Member Board of Directors
Advisory Board	Spaulding for Children
Advisory Board	Houston Grand Opera
Honorary Chair	Temporary Position for Non-Profit

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 12: 21
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

William J. Jefferson
(Full Name)

202-225-6636
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: LA, District: 2nd	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☑ No ☐	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1893

SCHEDULE I - EARNED INCOME

Name William J. Jefferson | 1 of 9

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Southern University	Spouse Salary	N/A

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name William J. Jefferson | 2 of 9

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
N/A			

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William J. Jefferson

3 of 9

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Farmland - 10 Acres Lake Providence, LA	$15,001 - $50,000	RENT	$1,001 - $2,500	
	Farmland - 40 Acres Lake Providence, LA	$50,001 - $100,000	RENT	$2,501 - $5,000	
	Jefferson Interest - Loan	$100,001 - $250,000		NONE	
	Jefferson, Bryan Jupiter- Shares in Prof. Corp., LA	$250,001 - $500,000		NONE	
	Jefferson Committee	$100,001 - $250,000		NONE	
	Vernon Jackson-Loan Receivable	$1,001 - $15,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name William J. Jefferson 4 of 9

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	N/A			

SCHEDULE V - LIABILITIES

Name William J. Jefferson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Dryades Bank, New Orleans, LA	Demand	$15,001 - $50,000
	Liberty Bank, New Orleans, LA	Demand/Installments	$15,001 - $50,000
	Noah Samara, Washington, DC	Demand	$15,001 - $50,000
	Robert L. Johnson, Washington, DC	Installments	$100,001 - $250,000
	MBNA, Delaware	Revolving Charge	$15,001 - $50,000

SCHEDULE VI - GIFTS

Name William J. Jefferson

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Legal Defense Trust Fund (see attached sheets)	Contributions	$20,300

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name William J. Jefferson

7 of 9

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
N/A	N/A	N/A	N	N	N	N/A

SCHEDULE VIII - POSITIONS

Name William J. Jefferson

Report all positions, compensated or uncompensated, held during the *current calendar year* as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: *Positions* held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	N/A

SCHEDULE IX - AGREEMENTS

Name William J. Jefferson | 9 of 9

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1990 Year	Jefferson, Bryan Jupiter LLC New Orleans, LA	None during this reporting period (see 1990 Disclosure Form re previous agreement with law firm)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 1:44
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Eddie Bernice Johnson
(Full Name)

214-922-8885
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: TX District: 30 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☑ No ☐
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1903

SCHEDULE I - EARNED INCOME

Name Eddie Bernice Johnson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Texas	Legislative Pension	$35,000
Social Security	Over 65	$18,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Eddie Bernice Johnson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. *Exclude: Your personal residence(s) (unless there is rental income); any* debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** *For retirement plans* or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Oppenheim Main Street Fidelity Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Putnam Investors Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	
Putnam New Oppurtunity	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Texas Federal Credit Union	$1,001 - $15,000	INTEREST	$1,001 - $2,500	
U.S. Savings Bonds	$1,001 - $15,000	INTEREST	$5,001 - $15,000	
Waterhouse Securities	None	DIVIDENDS	$201 - $1,000	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Eddie Bernice Johnson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Texas Library Association	August 12	Dallas, TX-San Antonio, TX	N	N	N	None

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:57
MC
(Office Use Only)

Henry C. "Hank" Johnson, Jr.
(Full Name)

(202) 225-1605
(Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives — State: Ga. District: 04	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

1907

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	DeKalb County, Georgia	Pension	$12,000.00
	Johnson + Johnson Law Group LLC	Spouse salary	N.A.
	Burroughs, Johnson + Hopewell LLC	Spouse salary	N.A.

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the Instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

			Block A: Asset and/or Income Source	Block B: Value of Asset											Block C: Type of Income							Block D: Amount of Income											Block E: Transaction
				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC	*Examples:*		Simon & Schuster		Indefinite																	Royalties									X			
JT			1st Bank of Paducah, KY Accounts						X									X									X							
			US. Govt. Retirement Account																X				N.A.											

For additional assets and unearned income, use next page.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

RECEIVED
2008 MAY 13 PM 4:27
MC
(Office Use Only)

Samuel Robert Johnson
(Full Name)

(202) 225-4201
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: TX 03 District:	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

1910

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e., plans in which you have the power, even if not exercised,* to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP						X									X			X						X								S (partial)
DC, Examples	SP Mega Corp. Stock																															
	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X									X							
	Legacy Bank of Texas			X													X				X											
	Congressional FCU			X													X				X											
	Franklin Gold				X													X							X							

For additional assets and unearned income, use next page.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Heritage Foundation	Feb 1-3	DC-Baltimore-DC	Y	Y	Y	None

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Board of Regents	Smithsonian Institution, Washington, D.C.
Board Member	Institute in Basic Life Principles, Oak Brook, IL

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER

2009 MAY -9 PM 3:35

CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Timothy Vincent Johnson (Full Name)	212-225-2371 (Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: IL, District: 15	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1914

SCHEDULE I - EARNED INCOME

Name Timothy Vincent Johnson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Illinois	Legislative Pension	$62,674

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
1st Mid-Illinois Bank & Trust Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
Bank of Rantoul Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
Busey Bank of St. Joseph Certificate of Deposit	$15,001 - $50,000	INTEREST	$201 - $1,000	
Central Illinois Bank of Sidney Certificate of Deposit	$15,001 - $50,000	INTEREST	$201 - $1,000	
First National Bank in Paxton Certificate of Deposit	$15,001 - $50,000	INTEREST	$201 - $1,000	
First National Bank of Ogden Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	Longview State Bank Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Main Street Bank & Trust Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Philo Exchange Bank Certificate of Deposit	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Sidell State Bank Certificate of Deposit	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Tuscola National Bank Certificate of Deposit	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Villa Grove State Bank Certificate of Deposit	$15,001 - $50,000	INTEREST	$201 - $1,000	
	AMCAP Fund	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	
	AMCAP Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Capmark Bk Midvale Utah Certificate of Deposit	$15,001 - $50,000	None	NONE	P
	DWS High Income Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
	Eaton Vance Tax Managed Growth	None	CAPITAL GAINS	$5,001 - $15,000	S
	Farm Bur Bk Certificate of Deposit	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
	Federal Hm Ln Mtg Corp Government Bond	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
	Federal Home Ln Bks Cons Bds Government Bond	$100,001 - $250,000	INTEREST	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	Fidelity Advisor Diversified International	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	
	Fidelity Advisor Diversified International	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	Fidelity Advisor Equity Income	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	
	Fidelity Advisor Equity Income	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	Fidelity Advisor Leveraged Company	$50,001 - $100,000	CAPITAL GAINS	$1,001 - $2,500	P
	Fidelity Advisor Leveraged Company	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
	Fidelity Advisor Mid Cap Stock	$50,001 - $100,000	CAPITAL GAINS	$5,001 - $15,000	
	Fidelity Advisor Mid Cap Stock	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
	Fidelity Advisor New Insights	$50,001 - $100,000	CAPITAL GAINS	$1,001 - $2,500	
	Fidelity Advisor Small Cap Fund	$50,001 - $100,000	CAPITAL GAINS	$1,001 - $2,500	
	Fidelity Advisor Small Cap Value	$50,001 - $100,000	CAPITAL GAINS	$2,501 - $5,000	
	Hartford Capital Appreciation Fund	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	
	Hartford Capital Appreciation Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	I Shares TRS Russell 2000 Index Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy Vincent Johnson

	Sun America Money Market	None	DIVIDENDS	$2,501 - $5,000	S
	Dreyfus Cash Mngt Plus Inv Sh	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
	Lasalle Bk Midwest Ntl Assn Certificate of Deposit	None	INTEREST	$2,501 - $5,000	S
	Washington Mut Bk Certificate of Deposit	None	INTEREST	$5,001 - $15,000	S
	FiServe Securities	None	None	NONE	S
	National Financial Services	$1 - $1,000	DIVIDENDS	$1 - $200	P
	Fidelity Advisor Equity Growth	None	CAPITAL GAINS	$5,001 - $15,000	S
	406 E. Colorado, Urbana, IL House Sale	None	CAPITAL GAINS	$2,501 - $5,000	S

SCHEDULE IV - TRANSACTIONS

Name Timothy Vincent Johnson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Eaton Vance Tax Managed Growth	S	07-17-07	$50,001 - $100,000
	Fidelity Advisor Leveraged Company	P	01-16-07	$50,001 - $100,000
	Fidelity Advisor Equity Growth	S	01-16-07	$50,001 - $100,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and empl

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 12: 06

(Office Use Only)

HOUSE OF REPRESENTATIVES

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Stephanie Tubbs Jones
(Full Name)

(202) 225-7032
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives State: OH District: 11 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1921

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephanie Tubbs Jones

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Jihaad Filmworks Inc.	$1,001 - $15,000	NA	NONE	
New York Life Annuity	$100,001 - $250,000	INTEREST	$15,001 - $50,000	
Public Employees Retirement System	$100,001 - $250,000	NA	$50,001 - $100,000	

SCHEDULE V - LIABILITIES

Name Stephanie Tubbs Jones

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Wright Patman Congressional Federal Credit Union	Credit Card	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Stephanie Tubbs Jones

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Delta Sigma Theta Sorority, Inc.	January 14-15	Columbus, OH-Hartford, CT-Washington, DC	Y	Y	N	None
Kent State University	January 24-25	Washington, DC-Kent, OH-Cleveland, OH	N	Y	N	None
Xavier University	March 23-24	Washington, DC-Cincinnati, OH- Cleveland, OH	Y	Y	N	None
Odyssey Media	April 27-29	Washington, DC-Henderson, NV-Washington, DC	Y	Y	N	None
New Bethel Missionary Baptist Church	June 2-3	Cleveland, OH-Indianapolis, IN-Cleveland, OH	Y	Y	N	None
Community Clinic Association of Los Angeles County	July 20-22	Washington, DC-San Diego, CA-Washington, DC	Y	Y	N	None
Delta Sigma Theta Sorority, Inc.	July 27-29	Washington, DC-Milwaukee, WI-Cleveland, OH	Y	Y	N	None
CBC Institute	August 9-12	Cleveland, OH-Tunica, MS-Washington, DC	Y	Y	N	None
Carib News Foundation	November 8-12	Washington, DC-Antigua, WI-Washington, DC	Y	Y	Y	1 day

SCHEDULE VIII - POSITIONS

Name Stephanie Tubbs Jones

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Bethany Baptist Church Cleveland, Ohio
Trustee	Community Reentry Program Cleveland, Ohio
Trustee	University Circle, Incorporated

SCHEDULE IX - AGREEMENTS

Name Stephanie Tubbs Jones

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
January 2, 1999	Public Employees' Retirement System	Agreement permits member to continue participating in an existing pension plan from her former service as an employee of Cuyahoga County, Ohio.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 13 PM 2:21
(Office Use Only) MC

WALTER BEAMAN JONES 202-225-3415
(Full Name) (Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives — State: ____ District: ____	☐ Officer or Employee	Employing Office: ____
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date: ____

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒ No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

Name WALTER B. JONES | Page 2 of 5

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value *exceeding* $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For *all IRAs* and other retirement *plans (such as 401(k) plans) that are self directed (i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, *state the name of the business*, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: *Your personal residence(s)* (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None"

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, *indicate the type of income by checking the appropriate box below.* **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you *to choose specific investments*, you may write "NA" for income *For all other assets, **including all IRAs***, indicate the category of income by checking the appropriate box below **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

P, S, E

Block B — Value of Asset

	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000
SP	Examples: SP Mega Corp. Stock					X							
DC	Simon & Schuster		Indefinite										
JT	1st Bank of Paducah, KY Accounts						X						
JT	BB&T						X						
JT	NUCOR CORP			X									
JT	SOLARBRIDGE (FORMERLY HYDROFLOW)		X										
JT	INVESTORS TITLE				X								
JT	BIO-KEY		X										
JT	MEDCO HEALTH SOLUTIONS		X										

Block C — Type of Income

	Asset	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)
SP	Examples: SP Mega Corp. Stock		X			X		
DC	Simon & Schuster							Royalties
JT	1st Bank of Paducah, KY Accounts			X				
JT	BB&T		X					
JT	NUCOR CORP							
JT	SOLARBRIDGE (FORMERLY HYDROFLOW)							
JT	INVESTORS TITLE							
JT	BIO-KEY							
JT	MEDCO HEALTH SOLUTIONS							

Block D — Amount of Income; Block E — Transaction

	Asset	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E Transaction
SP	Examples: SP Mega Corp. Stock				X								S (partial)
DC	Simon & Schuster									X			
JT	1st Bank of Paducah, KY Accounts						X						
JT	BB&T						X						
JT	NUCOR CORP		X										
JT	SOLARBRIDGE (FORMERLY HYDROFLOW)	X											
JT	INVESTORS TITLE			X									
JT	BIO-KEY	X											
JT	MEDCO HEALTH SOLUTIONS	X											

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name WALTER B. JONES

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	AMERICAN FUNDS					X								X			X							X								P (PARTIAL)

This page may be copied if more space is required.

Name WALTER B. JONES | Page 4 of 5

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. *Include transactions that resulted in a loss.* Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
JT	PUTNAM NEW GROWTH		X		1/3/07		X								
JT	VISTEON		X		11/30/07	X									

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
BOARD of DIRECTORS	EAST CAROLINA VOCATIONAL CENTER

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 14 PM 2:56
HC
(Office Use Only)

James D. Jordan (Full Name)

937-484-5811 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: OH, District: 4	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name James D. Jordan

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Graham Local School District	Spouse Salary	$14,682
Ohio Public Employees Def. Compensation Plan	Deferred Compensation Distribution	$60,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James D. Jordan

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Citizens National Bank	$1,001 - $15,000	INTEREST	$1 - $200	
	Ohio Public Employees Def. Compensation Dodge & Cox Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Ohio Public Employees Def. Compensation Program Fidelit Contra Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Ohio Public Employees Retirement System Not Self Directed	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
	Ohio State Teachers Retirement System	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James D. Jordan

SP	Ohio State Teachers Retirement System	$1,001 - $15,000	INTEREST	$1 - $200	
	PRC Printing & Publishing	None	Other: (Please specify) ROYALTIES	$1 - $200	
SP	Universal Guaranty Life	$1,001 - $15,000	INTEREST	$1 - $200	
	Universal Guaranty Life	$1,001 - $15,000	INTEREST	$1 - $200	

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 5:16
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC (Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

Representative Steven L. Kagen (202) 225-5665
(Full Name) (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: WI District: 8	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

SCHEDULE I - EARNED INCOME

Name Rep. Steven L Kagen

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Kagen Allergy Clinics, Appleton, WI	Gross revenue from medical services rendered prior to House employment	$ 33,705
	Expenses related to above revenues	37,103
	Net income (loss)	($3,398)

SCHEDULE III -ASSETS AND "UNEARNED" INCOME

Name Rep. Steven L Kagen

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

SP, DC, JT — If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

A	B	C	D	E	F	G	H	I	J	K	L
None	$1-$1,000	$1,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$250,000	$250,001-$500,000	$500,001-$1,000,000	$1,000,001-$5,000,000	$5,000,001-$25,000,000	$25,000,001-$50,000,000	Over $50,000,000

BLOCK C — Type of Income

Check all columns that apply.

Leave blank if asset did not generate any income during the calendar year.

DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	ORIGINAL ISSUE DISCOUNT	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

I	II	III	IV	V	VI	VII	VIII	IX	X	XI
None	$1-$200	$201-$1,000	$1,001-$2,500	$2,501-$5,000	$5,001-$15,000	$15,001-$50,000	$50,001-$100,000	$100,001-$1,000,000	$1,000,001-$5,000,000	Over $5,000,000

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

P, S, E

1938

SCHEDULE 111 - ASSETS AND "UNEARNED" INCOME

Name Rep. Steven L Kagen | Page 4 of 12

	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset at close of reporting year. A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C Type of Income DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	ORIGINAL ISSUE DISCOUNT	Other Type of Income (Specify)	BLOCK D Amount of Income I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Akron OH Go Bonds, 4%, due 12/01/18					X										X								X							
	Allen Park MI Pub SD Go Bonds, 4%, due 5/01/19					X										X									X						P
	Anderson IN Sew Wks Rev Bonds, 4%, due 11/01/16					X										X							X								P
	Appleton WI area Sd Rdp Bonds, 4.750%, due 4/01/07	X														X		X								X					S
	Bank of America account			X												X					X										
	Baytree Natl Bk & Trust Bonds, 4%, due 6/12/07	X														X							X								S
	BLC Cmnty Bk Bonds, 4%, due 6/18/07	X														X							X								S
	Bolingbrook IL Spl Svc Bonds, 4%, due 3/01/19					X										X							X								P
	Camden Natl Bk Camden ME Bonds, 4.05%, due 11/13/07	X														X								X							S
	Capital One Bank FSB Bonds, 5.211%, due 3/13/09					X																									P
	Capital One Bk Glen Bonds, 4%, due 05/18/07	X																													S
	Centennial Bk Ogden UI Bonds, 4.05%, due 05/11/07	X														X							X								S
SP	Certificates of Accrual, 0%, due 8/15/07	X																													S
	Chic IL Tax Incrmnt Rv Bonds, 0%, due 12/01/07	X																X							X						S
	Chicago Il Brd Ed Go Bonds, 0%, due 12/01/12					X												X							X						
	Chicago IL Wtr Rev Bonds, 0%, due 12/01/12				X													X						X							
	Chicago IL Brd Ed Cap Bonds, 0%, due 12/01/12				X													X						X							
DC	Cisco Sys Inc Stock			X																											
	Community Shores Bk Bonds, 4%, due 06/11/07	X														X								X							S
	Cook & Du Page Cntys, 0%, due 12/01/14				X													X						X							
	Cook & Du Page Cntys Go, 0%, due 12/01/08				X													X						X							
DC	Dell, Inc. Stock			X																											
	Eau Claire WI Go Bonds, 4.3%, due 4/01/17					X										X								X							
	Eau Claire WI Waterworks, 3%, due 10/01/08				X											X							X								
	Eau Claire, WI Go Bonds, 4.125%, due 4/01/20					X																									P
	Ela Area IL Pub Library Go Bonds, 2.625%, due 12/30/08			X												X						X									
	Ela Area IL Pub Library Go Bonds, 2.450%, due 12/30/07	X														X						X									S
	Elkhart IN Cmnty Schs Bonds, 2.5%, due 08/15/08			X												X						X									
	Evanston, IL Go Bonds, 4%, due 1/01/19					X										X							X								P
	Evansville-Vanderburgh Go Bonds, 4%, due 7/10/18					X										X								X							P

SCHEDULE 111 - ASSETS AND "UNEARNED" INCOME

Name Rep. Steven L Kagen

	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset at close of reporting year. A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C Type of Income DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	ORIGINAL ISSUE DISCOUNT	Other (Type of Income/Specify)	BLOCK D Amount of Income I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Farmington, MN Isd Go Bonds, 4%, due 2/01/21						X									X								X							P
	Financing Corp Cpn Strips due 5/11/18						X																								
	Financing Corp Cpn Strips due 12/06/18				X																										
	First Cmrce Cmnty Bk Bonds, 4%, due 5/21/07	X														X							X								S
	First National Bk of Port Allegany PA CD, 4.20%, due 3/26/07	X														X						X									S
	Florida Real Estate, 1700 SE Hilmoor Dr., Port St Lucie, Florida 34952							X																							
	Freeborn Cnty MN Go Bonds, 3.875%, due 2/01/18						X									X								X							P
	Fridley MN SD #014 Go Bonds, 4%, due 2/01/14						X							X							X										P
DC	General Motors Corp Stock			X																											
	Govt Natl Mtg Assn, 12%, due 3/15/15		X																												
	Greensburg IN Sch Bldg, 4%, 7/15/14, due 7/15/13						X									X							X								P
	Greenwood In Sch Bldg, 4%, 7/15/19, due 7/15/19						X									X							X								P
	Guam Power Auth Rev Bonds, 5.25%, due 10/01/23						X									X								X							
	Hanover Multi School, 4%, due 7/15/19						X									X							X								P
	Hibernia National Bank of New Orleans LA CD, 4.2%, due 2/09/07	X														X							X								S
DC	Home Depot Inc.			X										X							X										
	Hudson WI Wtr Sys Rev Bonds, 2.45%, due 12/01/07	X														X								X							S
	JP Morgan Chase Bank Accounts, Appleton, WI					X																									
	Kane Cnty IL Sch Dist Go Bonds, 0%, due 6/01/08						X											X						X							
	Kenosha Cnty WI Go Bonds, 4%, due 12/01/17						X									X								X							
DC	Lake Cnty Il Cmnty Go FGIC Cap Appr HSD #127 Grayslake Ser B				X													X					X								
	Lake Cnty Ind Pk Dist, 4%, due 12/31/15						X									X								X							P
	Lawrenceburg IN Sch Bldg, 4.125%, due 7/15/22						X									X							X								P
	Liberty Bk Arkansas Bonds, 4%, due 5/18/07	X														X							X								S
	Little Bk Kinston NC Bonds, 4%, due 5/18/07	X														X							X								S
	Loan to Kagen 4 Congress							X																							
	Madison WI Met Sch Go Bonds, 4%, due 3/1/19					X																									P
	Mandan ND Go Bonds, 4%, due 5/01/21					X										X								X							P
	Mankato MN Go Bonds, 4%, due 2/01/16						X									X								X							P
	Maple Grove MN Go, 4%, due 2/01/19						X																								P

SCHEDULE 111 - ASSETS AND "UNEARNED" INCOME

Name Rep. Steven L Kagen | Page 6 of 12

	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset at close of reporting year. A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C Type of Income DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	ORIGINAL ISSUE DISCOUNT	Other Type of Income (Specify)	BLOCK D Amount of Income I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Marion Cnty IN Convtn Bonds, 5%, due 6/01/27						X									X								X							
	Marysville OH Wastewater, 4%, due12/01/13						X									X							X								P
	Mc Henry Cnty Il Cmnty Go HSD Apprec Bonds						X																								
	Menomonee Falls WI Go Bond, 4%, due 5/01/16						X									X								X							P
	Michigan St Go Bonds, 4.250%, due 9/28/07	X														X								X							P, S
DC	Microsoft Corporation Stock			X										X							X										
	Milwaukee Cnty Wi, 3.9%, due 8/01/17					X										X							X								P
	Milwaukee WI Redev Auth Bonds, 2%, due 3/01/07	X														X						X									S
	Milwaukee WI Redev Auth, 4%, due 8/01/23					X										X								X							P
	Milwaukee WI Redev Rev, 3%, due 8/01/10					X										X							X								
	Milwaukee WI Redev Auth Bonds, 4.125%, due 8/01/18						X									X								X							
	Milwaukee Wi Rv Bonds, 4.50% due 8/30/07	X														X								X							P, S
	Montgomery Bk MO CD, 4%, due 5/18/07	X														X							X								S
	Mounds View MN Go Isd, 5%, due 2/01/08						X									X							X								P
	Mukwonago WI Go Bonds, 4%, due 10/01/18						X									X								X							P
	New York NY Go Bonds, 3.625%, due 8/01/10						X									X									X						
	North Dakota St Bldg Bonds, 4%, due 12/01/18					X										X								X							
	Novi Mich Cmnty Sch Go, 4%, due 5/01/20					X										X							X								P
	Olmsted Cnty MN Go, 4.125%, due 02/01/24					X																									P
	Omni Natl Bk Fayetteville NC CD, 4%, due 5/16/07	X														X							X								S
	Oregon WI Sch dist Go Bonds, 4%, due 4/01/13						X									X							X								P
	Orono MN Hsg & Redev Bonds, 3.2%, due 2/01/15					X										X								X							P
	Osakis MN Isd #213 Go Bonds, 4%, due 02/01/17						X									X								X							
	Oshkosh WI Go Bonds, 4.5%, due 12/01/07	X														X								X							P, S
	Puerto Rico Commonwealth Go Bonds, 4.875%, due 7/01/23						X									X								X							
	Puerto Rico Indl Med Rv Bonds, 5.1%, due 12/01/18					X										X								X							
	Rochester MN Elec Util Bonds, 4.0%, due 12/01/07	X														X								X							P, S
	Series HH/H US Savings Bonds				X											X															
	Signature Bk of Arkansas Bonds, 4.250%, due 2/28/07	X														X							X								S
	Southeast WI Pro Baseball Bonds, 0%, due 12/15/13						X											X						X							

SCHEDULE 111 - ASSETS AND "UNEARNED" INCOME

Name Rep. Steven L Kagen | Page 7 of 12

	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset at close of reporting year. A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C Type of Income DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	ORIGINAL ISSUE DISCOUNT	Other Type of Income (Specify)	BLOCK D Amount of Income I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	St Joseph Cnty IN Econ Bonds, 4.250%, due 4/01/24					X										X							X								P
	St Paul MN Cap Improvement Go Bonds, 4.125%, due 10/01/20						X									X							X								P
	St. Francis MN Isd Go Bonds, 4%, due 2/01/21					X																									P
	Steven L Kagen M.D. Allergy Practice (Sold Jan 2, 2007 for $50,000)	X																													S
	Tamarack Tax Free Money Market									X						X									X						
	Tri-Creek 2002 High Bonds, 4.250%, due 01/15/23						X									X								X							P
	US Treasury Bond Sec, 0%, due 8/15/18					X																									
	US Treasury Bond Sec, 0%, due 11/15/16					X																									
	US Treasury Bond Sec, 0%, due 11/15/17					X																									
	US Treasury Bond Sec, 0%, due 2/15/19					X																									
	US Treasury Bond Sec, 0%, due 5/15/20					X																									
SP	UBS AG Bank			X																											
	Valparaiso IN Sewer Rev Bonds, 4.5%, due 8/01/24						X																								P
	Warsaw IN Multi-Sch Bldg Bonds, 4%, due 7/15/19					X										X						X									P
	Waterville, MN Go Bonds, 4%, due 12/01/13						X									X							X								P
	Waupun WI Sch Dist Go Bonds, 4.750%, due 4/01/18						X									X								X							
	Wayne Twp IN Marion Rev Bonds, 2.350%, due 7/15/08				X											X						X									
	Wisconsin St Health & Edl Fac Bonds, 4.5%, due 12/01/23						X																								
	Will & Kendall Cntys Go Bonds, 4.250%, due 01/01/23						X									X							X								P
	Will Cnty IL Cmnty USD Bonds, 0%, due 11/01/18						X											X							X						
	Wisconsin Ctr Dist WI Bonds, 0%, due 12/15/07	X														X									X						S
	WISCONSIN CTR DIST WI TAX REV CAP APPREC MBIA B/E 5.1%				X													X				X									
	WISCONSIN ST GO REF SER E O/Y 4.93% B/E UT					X										X								X							
	WISCONSIN ST GO REFUNDING MBIA B/E UNLTD TAX						X									X								X							
	Wisconsin St Go Bonds, 0%, due 5/01/08			X														X				X									

SCHEDULE 1V - TRANSACTIONS

Name Rep. Steven L Kagen

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
JT	Allen Park MI Pub SD Go Bonds, 4%, due 5/01/19	X			01/05/07				X						
	Anderson IN Sew Wks Rev Bonds, 4%, due 11/01/16	X			06/15/07				X						
	Bolingbrook IL Spl Svc Bonds, 4%, due 3/01/19	X			02/21/07			X							
	Eau Claire, WI Go Bonds, 4.125%, due 4/01/20	X			04/26/07				X						
	Evanston, IL Go Bonds, 4%, due 1/01/19	X			03/30/07				X						
	Evansville-Vandrburgh Go Bonds, 4%, due 7/10/18	X			01/12/07				X						
	Farmington, MN Isd Go Bonds, 4%, due 2/01/21	X			02/27/07				X						
	Freeborn Cnty MN Go Bonds, 3.875%, due 2/01/18	X			01/04/07				X						
	Fridley MN SD #014 Go Bonds, 4%, due 2/01/14	X			06/15/07				X						
	Greensburg IN Sch Bldg, 4%, 7/15/14, due 7/15/13	X			06/15/07				X						
	Greenwood In Sch Bldg, 4%, 7/15/19, due 7/15/19	X			05/24/07				X						
	Hanover Multi School, 4%, due 7/15/19	X			03/27/07				X						
	Lake Cnty Ind Pk Dist, 4%, due 12/31/15	X			05/08/07				X						
	Lawrenceburg IN Sch Bldg, 4.125%, due 7/15/22	X			04/03/07				X						
	Madison WI Met Sch Go Bonds, 4%, due 3/1/19	X			04/10/07			X							
	Mandan ND Go Bonds, 4%, due 5/01/21	X			03/21/07			X							
	Mankato MN Go Bonds, 4%, due 2/01/16	X			01/16/07				X						
	Maple Grove MN Go, 4%, due 2/01/19	X			07/18/07				X						
	Marysville OH Wastewater, 4%, due12/01/13	X			06/15/07				X						
	Menomonee Falls WI Go Bond, 4%, due 5/01/16	X			01/03/07				X						
	Milwaukee Cnty WI, 3.9%, due 8/01/17	X			04/20/07			X							
	Milwaukee WI Redev Auth, 4%, due 8/01/23	X			02/09/07			X							
	Mounds View MN Go Isd, 5%, due 2/01/08	X			02/21/07				X						
	Mukwonago WI Go Bonds, 4%, due 10/01/18	X			01/23/07				X						
	Novi Mich Cmnty Sch Go, 4%, due 5/01/20	X			03/27/07			X							
	Olmsted Cnty MN Go, 4.125%, due 02/01/24	X			03/21/07			X							
	Oregon WI Sch dist Go Bonds, 4%, due 4/01/13	X			06/15/07				X						
	Orono MN Hsg & Redev Bonds, 3.2%, due 2/01/15	X			01/23/07			X							

SCHEDULE 1V - TRANSACTIONS

Name Rep. Steven L Kagen

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC		Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	St. Francis MN Isd Go Bonds, 4%, due 2/01/21	X			03/21/07			X							
	St Joseph Cnty IN Econ Bonds, 4.250%, due 4/01/24	X			03/23/07			X							
	St Paul MN Cap Improvement Go Bonds, 4.125%, due 10/01/20	X			05/240/7				X						
	Tri-Creek 2002 High Bonds, 4.250%, due 01/15/23	X			04/11/07				X						
	Valparaiso IN Sewer Rev Bonds, 4.5%, due 8/01/24	X			08/02/07				X						
	Warsaw IN Multi-Sch Bldg Bonds, 4%, due 7/15/19	X			05/24/07			X							
	Waterville, MN Go Bonds, 4%, due 12/01/13	X			08/08/07				X						
	Will & Kendall Cntys Go Bonds, 4.250%, due 01/01/23	X			04/03/07				X						
	Capital One Bank FSB Bonds, 5.211%, due 3/13/09	X			11/29/07				X						
	Michigan St Go Bonds, 4.250%, due 9/28/07	X			03/09/07			X							
	Mitwaukee WI Rv Bonds, 4.50% due 8/30/07	X			03/09/07			X							
	Oshkosh Wi Go Bonds, 4.5%, due 12/01/07	X			04/10/07			X							
	Rochester MN Elec Util Bonds, 4.0%, due 12/01/07	X			03/09/07			X							
	Kagen Allergy Clinic, S.C.		X		01/02/07		X								
	Michigan St Go Bonds, 4.250%, due 9/28/07		X		09/28/07			X							
	Mitwaukee WI Rv Bonds, 4.50% due 8/30/07		X		08/30/07			X							
	Oshkosh Wi Go Bonds, 4.5%, due 12/01/07		X		12/03/07			X							
	Rochester MN Elec Util Bonds, 4.0%, due 12/01/07		X		12/03/07			X							
	Appleton WI area Sd Rdp Bonds, 4.750%, due 4/01/07		X		04/02/07						X				
	Baytree Natl Bk & Trust Bonds, 4%, due 6/12/07		X		06/12/07			X							
	BLC Cmnty Bk Bonds, 4%, due 6/18/07		X		06/180/7			X							
	Camden Natl Bk Camden ME Bonds, 4.05%, due 11/13/07		X		11/13/07			X							
	Capital One Bk Glen Bonds, 4%, due 05/18/07		X		05/18/07		X								
	Centennial Bk Ogden Ut Bonds, 4.05%, due 05/11/07		X		05/11/07			X							
	Chic IL Tax Incrmnt Rv Bonds, 0%, due 12/01/07		X		12/03/07					X					
	Community Shores Bk Bonds, 4%, due 06/11/07		X		06/110/7			X							
	Ela Area IL Pub Library Go Bonds, 2.450%, due 12/30/07		X		12/31/07		X								
	First Cmrce Cmnty Bk Bonds, 4%, due 5/21/07		X		05/210/07			X							
	First National Bk of Port Bonds, 4.20%, due 3/26/07		X		03/26/07			X							

SCHEDULE IV - TRANSACTIONS

Name Rep. Steven L. Kagen

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. SP, DC	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Hibernia National Bank, 4.2%, due 2/09/07		X		02/09/07			X							
Hudson WI Wtr Sys Rev Bonds, 2.45%, due 12/01/07		X		12/03/07				X						
Liberty Bk Arkansas Bonds, 4%, due 5/18/07		X		05/18/07			X							
Little Bk Kinston NC Bonds, 4%, due 5/18/07		X		05/18/07			X							
Milwaukee WI Redev Auth Bonds, 2%, due 3/01/07		X		03/01/07			X							
Montgomery Bk Bonds, 4%, due 5/18/07		X		05/18/07			X							
Omni Natl Bk Bonds, 4%, due 5/16/07		X		05/16/07			X							
Signature Bk of Arkansas Bonds, 4.250%, due 2/28/07		X		02/28/07			X							
Wisconsin Ctr Dist WI Bonds, 0%, due 12/15/07		X		12/17/07		X								
Certificates of Accrual, 0%, due 8/15/07		X		08/15/07	X									

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. Sec 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-Destination-Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Non-Profit Alliance for Health Reform & The Commonwealth Fund	Jan. 12-13, 2007	DC-Miami-Appleton	Y	N	N	None
American College of Allergy, Asthma & Immunology Annual Meeting	Nov. 10-13, 2007	DC-Dallas-DC	Y	Y	N	None

SCHEDULE IX - AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
January 2, 2007	Steven L Kagen & Kagen Allergy Clinic, S.C.	Steven L Kagen, M.D. may be employed by the Kagen Allergy Clinic, S.C. from time to time during official holidays while serving as a U.S. Representative, at no compensation, and any and all revenue generated from such activity shall be the sole property of Kagen Allergy Clinic, S.C. Compensation to Steven L. Kagen shall be restricted to (a) paying for his medical malpractice insurance coverage and (b) any ordinary overhead - related expenses for his service to Kagen Allergy Clinic, S.C. patients. Steven L. Kagen did not work for Kagen Allergy Clinic, S.C. in 2007.
		Steven L Kagen received pass-through payments of accounts receivable for services rendered prior to becoming a member of the U.S. Congress.

HAND DELIVERED

RESOURCE CENTER

2008 MAY 14 PM 1:14

MC

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

Paul E. Kanjorski (Full Name)

202-225-6511 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representative	State: PA District: 11	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

1948

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul E. Kanjorski

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief deacription in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	Cross Valley Credit Union	$50,001 - $100,000	INTEREST	$2,501 - $5,000	n/a
SP	IRA - Equi-Vest guaranteed interest account	$1 - $1,000	INTEREST	$1 - $200	n/a
SP	IRA - Wachovia Securities Van Kampen govt. SEC FD CL A	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	n/a
	IRA - Equi-Vest guaranteed interest account	$1,001 - $15,000	INTEREST	$201 - $1,000	n/a
	IRA - Wachovia Securities Van Kampen govt. SEC FD CL A	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	n/a
	Real property -- 20+ acres, 1/2 interest, Dallas Twp., Luzerne Co., PA	$500,001 - $1,000,000	None	NONE	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Paul E. Kanjorski

	Real property -- 90+ acres, 1/5 interest, Wright Twp., Luzerne Co., PA -- subject to letter of intent	$1,000,001 - $5,000,000	None	NONE	n/a
	Parents' estate, 1/5 interest: 2-4 W. Union St., Nanticoke, PA; 72 S. Prospect St., Nanticoke, PA; and 102 S. Market St., Nanticoke, PA.	$50,001 - $100,000	RENT	$1,001 - $2,500	n/a
	126 S. Franklin St., Wilkes-Barre, PA, 1/2 interest	$250,001 - $500,000	RENT	$2,501 - $5,000	n/a
	law office movable assets	$1,001 - $15,000	None	NONE	n/a
	Congressional Federal Credit Union	$15,001 - $50,000	INTEREST	$1 - $200	n/a

SCHEDULE V - LIABILITIES

Name Paul E. Kanjorski

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amou owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furnitur or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Omega Bank	Mortgage on 1/2 interest in 126 S. Franklin St., Wilkes-Barre, PA	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Paul E. Kanjorski

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Securities Industry and Financial Markets Assoc.	Sept. 30-Oct. 1	DC-New York City-DC	Y	Y	N	None

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

2008 MAY 14 PM 3:02

(Office Use Only)

Marcia (Marcy) C. Kaptur	419-259-7500
(Full Name)	(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: OH, District: 09	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Marcia (Marcy) C. Kaptur

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
University of Toledo Foundation, Maryse Mikhail Lecture Series	Speech	November 14, 2007	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Marcia (Marcy) C. Kaptur

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. *Exclude: Your personal residence(s) (unless there is rental income); any* debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	1837 Dority Rd., Toledo, OH 43615	$50,001 - $100,000	RENT	$5,001 - $15,000	
	1846 Rivard Rd., Toledo, OH 43615	$50,001 - $100,000	No renter or rental income for 2007	NONE	
	1854 Harlan Rd, Toledo, OH 43615	$50,001 - $100,000	RENT	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Marca (Marcy) C. Kaptur

	Congressional Federal Credit Union	$1 - $1,000	INTEREST-funds accrued in this separate account are from foregone congressional pay (raises) and are distributed annually to charitable causes	NONE	
	DFA Global Fixed Income 1 yr (Mutual Fund)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	DFA Global Fixed Income 2 yr (Mutual Fund)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	DFA Global Fixed Income 5 yr (Mutual Fund)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Key Bank	$1 - $1,000	INTEREST	$1 - $200	
	RBS Citizens Bank (formerly Charter One Bank)	$50,001 - $100,000	INTEREST on Certificates of Deposit	$2,501 - $5,000	
	Schwab Money Market	$1,001 - $15,000	INTEREST	$1 - $200	
	Suburban Federal Credit Union (formerly Little Flower Credit Union)	$1,001 - $15,000	INTEREST	$1 - $200	
	Transamerica Individual Retirement Annuity	$15,001 - $50,000	INTEREST	$201 - $1,000	not self direced
	U.S. Savings Bonds	$50,001 - $100,000	NA	NONE	
	Vanguard Inflation Protected Bonds (IPB)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Marcia (Marcy) C. Kaptur

	Vanguard Intermed-Term Treasury Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Marcia (Marcy) C. Kaptur

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Merrill Lynch Low Duration	S	12/13/07	$1,933
	Vanguard Intermed-Term Treasury Fund	S(part)	12/14/07	$3,000

SCHEDULE V - LIABILITIES

Name Marcia (Marcy) C. Kaptur

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Northern Ohio Investment Company	Mortgage on 1837 Dority Rd., Toledo, OH 43615 (rental)	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Marcia (Marcy) C. Kaptur

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	The Anastasia Fund, P.O. Box 2121, Toledo, OH 43603 [a public charity established in the names of Anastasia and Stephen Kaptur]

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
RECORDS CENTER
2008 MAY -1 PM 3:18
(Office Use Only)
HOUSE OF REPRESENTATIVES

MC

Richard Anthony Keller
(Full Name)

407-872-1962
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representative — State: FL District: 08 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

1961

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard Anthony Keller

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Congressional Federal Credit Union (checking and savings accounts)	$15,001 - $50,000	None	NONE	

1962

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 3:29

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Patrick J. Kennedy
(Full Name)

(202) 225-4911
(Daytime Telephone)

Filer Status ✓ Member of the U.S. House of Representative — State: RI — District: 01 — Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files *more than 30 days* late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes	No ✓	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ✓	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ✓	No
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes	No ✓	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes	No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes	No ✓		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ✓	No
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

1963

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick J. Kennedy

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Citizens Trust Company Accounts, Providence, RI	$1,001 - $15,000	INTEREST	$1 - $200	
MFB Northern U.S. Government Money Market Fund Chicago, IL	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
August 31, 1959 Trust, Edward M. Kennedy, Grantor, FBO Patrick J. Kennedy New York, NY		EXCEPTED TRUST	$100,001 - $1,000,000	
Park Holdings Group, LLC (owns oil & gas interests) New York, NY (formerly Arctic Royalty Limited Partnership)	$100,001 - $250,000	PARTNERSHIP INCOME	$15,001 - $50,000	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Patrick J. Kennedy

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Alliance for Health Reform, Washington, DC	Jan. 12-14	Washington DC - Miami, FL - Boston, MA	Y	Y	N	None

1965

SCHEDULE VIII - POSITIONS

Name Patrick J. Kennedy

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. *Exclude: Positions held in any religious, social, fraternal, or political entities;* **positions solely of an honorary nature; and positions listed on Schedule I.**

Position	Name of Organization
Associate Member	Battleship, Massachusetts
Corporate Member	Bradley Hospital
Honorary Member	Mental Health Associates of Rhode Island
Member, Advisory Board	Big Brothers of Rhode Island
Member, Advisory Board	Rhode Island Special Olympics
Member, Advisory Board	University of Michigan Depression Center
Associate Trustee	Joseph P. Kennedy, Jr. Foundation
Member	Park Holdings Group, LLC (formerly Arctic Royalty Limited Partnership)
Ex-Officio Trustee	Providence Performing Arts
Member, Honorary Board	Recycling for Rhode Island Education
Member, Ex-Officio Board of Trustees	Kennedy Center for Performing Arts
Member, Board of Directors	Martin Luther King, Jr. National Memorial Project Foundation, Inc.

SCHEDULE VIII - POSITIONS

Name Patrick J. Kennedy

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Advisory Board	Hispanic Family Literacy Institute
Honorary Member	American Sail Training Association
Honorary Member	Newport County Navy League of the United States
Honorary Member	Newport County Community Mental Health Center

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
FEDERAL CENTER
2008 MAY -8 AM 10: 21
U.S. HOUSE OF REPRESENTATIVES
MC
(Office Use Only)

Dale Edward Kildee
(Full Name)

202-225-3611
(Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State: ____ Distr.ct. ____	☐ Officer or Employee	Employing Office: ____
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Terminat on	Termination Date: ____

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒ No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

1968

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Michigan Legislative Retirement System	Legislative Pension	$22,828.00

For payments to charity in lieu of honoraria, use Schedule II.

1969

Name Dale Edward Kildee | Page 2 of 4

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. *For retirement plans that are not self-directed*, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For *retirement plans or accounts* that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

P
S
E

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	SP Mega Corp Stock					X									X			X						X								S (partial)
DC Examples:	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X									X							
JT	Wachovia Bank					X											X							X								
JT	Congressional Fed. C.U.			X													X					X										
SP	Wachovia Bank SEP-CD				X												X						X	(reinvested)								
SP	Wachovia Bank-IRA-CD			X													X						X	(reinvested)								
JT	Sun Trust Bank - CD			X													X						X	(reinvested)								
JT	Sun Trust Bank - C.D.				X												X							X	(reinvested)							

For additional assets and unearned income, use next page.

1970

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Dale Edward Kilder

Page 3 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction P, S, E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	Citi Smith Barney IRA	L	mandatory disbursement	VI	
	Comprised of:				
	• Citibank S. Dakota Bank Deposit	B	INTEREST	III	
	• Washington Mutual Bank NV - CD	D	na	I (anticipated interest)	1-18-08
	• GMAC Bank of Utah - CD	E	na	I (anticipated interest)	3-19-08
	• Capmark Bank of Utah - CD	E	na	I (anticipated interest)	4-18-08
	• Capital One FSB V4 - CD	A	INTEREST	IV	
	• Washington Mutual Bank NV CD	A	INTEREST	V	

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1-1-77	State of Michigan and self	life insurance and health insurance

Use additional sheets if more space is required.

1972

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 3:40

MC

U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Carolyn Cheeks Kilpatrick
(Full Name)

202-225-2261
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: MI — District: 13 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts– Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

1973

SCHEDULE I - EARNED INCOME

Name Carolyn Cheeks Kilpatrick

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Michigan	Legislative Pension	$51,440.64

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Carolyn Cheeks Kilpatrick

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed catagories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
National City Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	
Congressional Federal Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000	
Ariel Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Federal Home LNMTG CORP 4.00000%	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Fidelity Diversified International	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
Spartan 500 Index Investor Class	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

1975

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Carolyn Cheeks Kilpatrick

Fidelity Cash Reserves	$1,001 - $15,000	INTEREST	$1 - $200	
Fidelity MID CAP Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Fidelity Short Term Bond	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Fidelity Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Fidelity Aggresive Growth	$1,001 - $15,000	DIVIDENDS	NONE	
Fidelity Strategic Income	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Fidelity OTC Port	$1,001 - $15,000	DIVIDENDS	NONE	
Advantage Primary LIQ FD	$1,001 - $15,000	DIVIDENDS	$1 - $200	
International Game Tech	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Nokia Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Wells Fargo & CO NEW	$1,001 - $15,000	DIVIDENDS	$1 - $200	
EBAY, INC	$1 - $1,000	None	NONE	
Lord abbett INVT TR BAL Ser CL B	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE IV - TRANSACTIONS

Name Carolyn Cheeks Kilpatrick

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Lord abbett INVT TR BAL Ser CL B	P	09-10-2007	$1,001 - $15,000
	Fidelity Diversified International	P	various times through the year	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Carolyn Cheeks Kilpatrick

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member included? (Y/N)	Days not at sponsor's expense
Skinner Leadership Institute	April 27-28	DC-Miami, FL (one way ticket)	Y	Y	N	one day (4-26-08)
DaVita, INC.	May 3-4	DC-Orlando, FL-Detroit, MI	Y	Y	N	None
Women's Missionary Society of the African Methodist Episcopal Church	July 22-23	Detroit, MI-Philadelphia, PA-Washington, DC	Y	Y	N	None
Congressional Black Caucus Institute	Aug. 9-12	Detroit, MI-Memphis, TN-Detroit, MI	Y	Y	N	None
Congressional Black Caucus Institute	Oct. 12-14	Detroit, MI-Dallas , TX-DC	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 2:58
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Ronald James Kind
(Full Name)

202-225-5506
(Daytime Telephone)

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status	☑ Member of the U.S. House of Representatives — State: WI, District: 03	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

1979

SCHEDULE I - EARNED INCOME

Name Ronald James Kind

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Wisconsin	Spouse Salary	N/A
Self-Employed Court Reporter	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald James Kind

1981

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. *For rental property or land, provide a complete address.* Provide full names of stocks and mutual funds (do not use ticker symbols). *For all IRAs and other* retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even *if not exercised, to select the specific* investments), provide the value and income information on each asset *in the account that exceeds the reporting threshold.* For retirement plans that are not self-directed, name the institution holding the account *and its value at the end of the reporting period.* For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	219 Pearl Street LaCrosse, WI	$50,001 - $100,000	RENT	$15,001 - $50,000	
JT	5 Buckhotz Road, Ettrick, WI	$250,001 - $500,000	RENT	$5,001 - $15,000	
DC	Janus Gobal Tech Mutual Fund	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	
SP	Janus Growth and Income Fund	$1,001 - $15,000	DIVIDENDS/INTEREST	$1,001 - $2,500	P
	Janus Growth and Income Fund --IRA	$50,001 - $100,000	DIVIDENDS/INTEREST	$2,501 - $5,000	
DC	Janus Mercury Education IRA	$1 - $1,000	DIVIDENDS/INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald James Kind

	Janus Twenty Fund	$1,001 - $15,000	DIVIDENDS/Interest	$201 - $1,000	
DC	Janus Twenty Fund -- Education IRA	$1 - $1,000	DIVIDENDS/INTEREST	$1 - $200	
DC	Mass Investors 6-8 Year Fund -- Education Account	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	
DC	Mass Investors 6-8 Year Fund -- Education Account	$1 - $1,000	Dividents/Interest	$1 - $200	
JT	Oakmart Equity and Income fund	$15,001 - $50,000	DIVIDENDS/Interest	$2,501 - $5,000	P
	Oakmart Select Roth IRA	$1,001 - $15,000	DIVIDENDS/Interest	$1,001 - $2,500	P
SP	Putnam INU-IRA	$1,001 - $15,000	DIVIDENDS/Interest	$201 - $1,000	
DC	Putnam Mutual Fund	$1,001 - $15,000	DIVIDENDS/INTEREST	$201 - $1,000	
JT	Wells Fargo	$15,001 - $50,000	INTEREST	$201 - $1,000	
DC	WI EdVest 529 Plan -- Fidelity Aggressive Portfolio	$15,001 - $50,000	DIVIDENDS/Interest	$2,501 - $5,000	P
DC	WI EdVest 529 Plan-- Fidelity Aggressive Portfolio	$15,001 - $50,000	DIVIDENDS/Interest	$2,501 - $5,000	P
SP	Wisconsin Deferred Compensation Fund	$100,001 - $250,000	DIVIDENDS/Interest	~~$15,001 - $50,000~~ 5-15,000	P
	Wisconsin Deferred Compensation Program	$15,001 - $50,000	DIVIDENDS/Interest	$2,501 - $5,000	

SCHEDULE IV - TRANSACTIONS

Name Ronald James Kind

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Wisconsin Deferred Compensation Program -- Fidelity ContraFund	P	12 monthly payments	$1,001 - $15,000
SP	Janus Growth and Income Fund	P	12 monthly Payments	$1,001 - $15,000
DC	WI EdVest 529 Plan -- Fidelity Aggressive Portfolio	P	12 monthly payments	$1,001 - $15,000
DC	WI EdVest 529 Plan -- Fidelity Aggressive Portfolio	P	12 montly payments	$1,001 - $15,000

1983

SCHEDULE V - LIABILITIES

Name Ronald James Kind

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Wells Fargo Bank	Mortgage on Pearl Street, La Crosse, WI	$15,001 - $50,000
JT	Wells Fargo Bank	Mortgage on 5 Buckholtz Road, Ettrick, WI	$100,001 - $250,000

SCHEDULE VIII - POSITIONS

Name Ronald James Kind

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Greater La Crosse Boys and Girls Club

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:47
U.S. HOUSE OF REPRESENTATIVES

Peter T. King (Full Name)

202.225.7896 (Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State: NY District: 03	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

1986

Name Peter T. King | Page 2 of 4

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	New York State Retirement Pension	Spouse Pension	$9,000
	New York State Retirement Pension	Pension	$39,000

For payments to charity in lieu of honoraria, use Schedule II.

1987

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Peter T. King

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Chase Bank					X											X						X									
JT	Nassau County Credit Union			X													X						X									

This page may be copied if more space is required.

1988

Name Peter T. King

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, *held during the current calendar year* as an officer, director, trustee of an organization, partner, *proprietor, representative, employee*, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary *nature*.

Position	Name of Organization
Board Member	Notre Dame Law Association (Alumni Association of Notre Dame Law School)

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or *arrangement with respect* to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

1989

INSIDE MAIL

PETER T. KING
Member of Congress
Third District, New York

[illegible] Cannon House Office Building
Washington, DC 20515-3203
(202) 225-7896

1003 Park Boulevard
Massapequa Park, NY 11762
(516) 541-4225

For Suffolk County
(631) 541-4225

peter.king@mail.house.gov
www.peteking.house.gov

Committee on Homeland Security
Ranking Member

Financial Services Committee
Subcommittee on Capital Markets
Committee on Financial Institutions
Subcommittee on [illegible]

Congress of the United States
House of Representatives
Washington, DC 20515–3203

U.S. HOUSE OF REPRESENTATIVES

MC

2008 JUN -2 PM 12: 39

U.S. HOUSE OF REPRESENTATIVES
THE CLERK

[illegible] RESOURCE CENTER

May 28, 2008

Ms. Lorraine Miller
Clerk of the House
H-154 The Capitol
Washington, D.C. 20515

Dear Ms. Miller,

I am writing today in regards to an inadvertent error in the preliminary information of my 2007 Financial Disclosure Statement. The "yes" box is checked for question II and III and it should be "no" for both.

If you have any questions, please feel free to contact Michele Ingwersen in my office at 202-225-7896. Thank you.

Sincerely,

PETER T. KING
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

15 PM 3:41

(Office Use Only) ML

Steven Arnold King
(Full Name)

202-225-4426
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: Iowa District: 5th	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

1991

Name Steve King	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
No Reportable Earned Income!	N/A	—

For payments to charity in lieu of honoraria, use Schedule II.

1992

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	None	—	—	—

This page may be copied if more space is required.

1993

Name Steve King

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows (S) (partial) See below for example.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	SP Mega Corp. Stock					X									X			X						X								S (partial)
DC	Examples: Simon & Schuster			indefinite																Royalties								X				
JT	1st Bank of Paducah, KY Accounts						X									X										X						
	King Construction							X										X									X					
	Retirement Fund- IPERS				X												X						X									
	Retirement Fund- IPERS				X												X						X									

For additional assets and unearned income, use next page.

1994

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Steve King

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	None																															

This page may be copied if more space is required.

1995

Name Steve King

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	None															

This page may be copied if more space is required.

1996

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example. First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	None											

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Woodrow Wilson Intl Center	Aug 26-29	DC - Mexico City - DC	Y	Y	Y	None
	Heritage Foundation	Feb 1-3	DC - Baltimore - DC	Y	Y	N	None
	Franklin Center for Global Policy Exchange	Aug 12-17	DC Berlin - DC	Y	Y	Y	None

This page may be copied if more space is required.

1998

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
	none

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	none	

Use additional sheets if more space is required.

GPO: 2008 41-219 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 15 PM 12:22:24

MC

(Office Use Only)

Mark Steven Kirk	202-225-4835
(Full Name)	(Daytime Telephone)

Filer Status	✓ Member of the U.S. House of Representatives — State: IL District: 10	Officer Or Employee	Employing Office
Report Type	✓ Annual (May 15)	Amendment	Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ✓	No	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and *not otherwise* exempt)? **If yes, complete and attach Schedule VI.**	Yes	No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	No ✓	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ✓	No
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ✓	No	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ✓	No	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ✓	No		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and *certain other "excepted* trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

2000

SCHEDULE I - EARNED INCOME

Name Mark Steven Kirk

List th s urce, type, and amount of earned inc me from any source (other than th filer's curr nt mploym nt by th U.S. Gov rmment) totaling $200 or more during the pr c ding calendar year. F r a spous , list th source and amount of any honoraria; list only the source for other spouse earned inc me exceeding $1,000.

Source	Type	Amount
U.S. Government salary	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Steven Kirk

BLOCK A **Asset and/or Incom Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchas s (P), sales (S), r exchanges (E) exceeding $1,000 in reporting year.
SP	3292 Mt. Vernon Ave., Alexandria, VA	$250,001 - $500,000	RENT	$5,001 - $15,000	
	Fidelity Contrafund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	N/A	
	Fidelity Growth	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Kaufman Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	N/A	
	Met Life	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Newfield Bank	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	

2002

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Steven Kirk

	NW Mutual Life	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	N/A	
	Schwab Index Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Mark Steven Kirk

Rep rt any purchase, sale r xchange by y u, your spouse, or d pendent child during the reporting y ar of any real property, stocks, bonds, c mmoditi s futures, r ther securiti s wh n the amount of th transaction or series f transacti ns exce d d $1,000. Include transactions that resulted in a loss. Do n t report a transaction b tween you, your spouse, or your dep nd nt child, or th purchas or sale of your p rs nal resid nc , unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Schwab Index Fund	S(part)	07/17/07	$1,001 - $15,000
	Schwab Index Fund	P	Monthly	$1,001 - $15,000

2004

SCHEDULE V - LIABILITIES

Name Mark Steven Kirk

R port liabilities of ov r $10,000 wed to any one creditor at any tim during the reporting peri d by you, your spouse, or d pendent child. Mark the high at amount owed during the y ar. Exclud : Any mortgag on your personal resid nc (unl ss all r part f it is rented out); l ans s cured by aut mobiles, hous hold furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. R port "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	GMAC	Mortgage on 3292 Mt. Vernon Ave., Alexandria, VA	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Mark Steven Kirk

Identify the sourc and list trav l itin rary, dates, and nature f xpens s pr vided for trav l and travel-related expenses totaling m re than $305 receiv d by you, y ur spouse, r a dependent child during th r porting period. Indicate whether a family member accompanied th traveler at the sponsor's xpens , and th amount f tim , if any, that was not at the sp nsor's expense. Disclosure is required regardless of wh th r th expens s were reimbursed or paid directly by th sponsor. *Exclud : Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under* the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel pr vided t a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sp ns r's expen e
National Committee on U.S.-China Relations (product safety, counter-terrorism and anti-narcotics study mission)	Aug. 24-Sept. 2	Chicago-Beijing-Urumqi-Kashgar-Krygyzstan border region-Tuoyun Twshp-Shanghai-Chicago	Y	Y	N	None

2006

SCHEDULE VIII - POSITIONS

Name Mark Steven Kirk

R port all positions, compensated or unc mpensated, held during th current calendar year as an ffic r, director, trustee of an organization, partner, pr pri tor, representative, mployee, r c nsultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any lab r organization, or any educational or th r institution other than th United States. Exclude: Positions held in any religious, s cial, fraternal, r political entiti s; positons s lely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Population Resource Center (no compensation)

2007

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 9:53
RECEIVED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Ronald J. Klein
(Full Name)

561-995-9626
(Daytime Telephone)

(Office Use Only)

Filer Status: ☑ Member of the U.S. House of Representatives — State: FL — District: 22 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone wh files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2008

SCHEDULE I - EARNED INCOME

Name Ronald J. Klein

List th source, type, and amount of earned income from any s urce (other than the filer's current employment by the U.S. G vernment) totaling $200 or more during th preceding cal ndar year. F r a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
U.S. House of Representatives, Washington, DC 201515	Congressman	$147,606

2009

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald J. Klein

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount f Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	2090 US 1 Partners Address of Real Estate: 2090 NW US Hwy 1, Stuart, FL 34994 Ownership: 2.07%	None	Rent/ Int/ CapGain	$11350.50/ $10.00/$93,605	liquidated
	4345 Lincoln Way Address of Real Estate: 4345 Lincoln Way, Massiion, OH 44646 Ownership: 5%	$15,001 - $50,000	Rent	$5,001 - $15,000	
JT	Air Methods Corp New	$1,001 - $15,000	None	NONE	S
JT	Alesco Financial Inc	$15,001 - $50,000	None	NONE	P, S

2010

SP, JT	Alpine Equity Tr Rlty	$1,001 - $15,000	Dividends/ Capital Gains	$201 - $1,000	P, S
SP	Alpine International Real Estate Equity Fd	$1,001 - $15,000	Dividends/ Capital Gains	$1-$200/$1,001-$2,500	P, S
DC	AMCAP Fund - 529A	$1,001 - $15,000	Dividends / Capitals Gain	$1 - $200/ $2,501-$5000	reinvest
DC	American Balanced Fund	$1,001 - $15,000	Dividends/ Capital Gain	$201 - $1,000	reinvest
JT	Apple Inc	$15,001 - $50,000	Capital Gain	$1,001 - $2,500	P, S
JT	Bank United Financial Corp-CL A	$15,001 - $50,000	Capital Gain	$2,501 - $5,000	P, S
SP, DC	Baron Select Fds	$1,001 - $15,000	Capital Gain	$1 - $200	P, reinvest
JT	BE Aerospace Inc.	$1,001 - $15,000	short term loss	($21.96)	P, S
SP	Bear Stearns Munifund	$1 - $1,000	Dividends	$1 - $200	
JT	Bear Stearns Munifund/ BMPXX	$50,001 - $100,000	None	NONE	
DC	Bear Stearns Temp Fund	$1,001 - $15,000	None	NONE	
SP	Boca Ladies Inv. Society Investment Club - Ownership: 7.14% Assets include the following stocks valued at over $1,000.00: 20 INVESTMENTS STARTING WITH "BOCA LADIES" BELOW:	(Stan Simpson) breakdown:			

2011

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald J. Klein

SP	BOCA LADIES: Apple Inc	$1,001 - $15,000	None	NONE	P
SP	BOCA LADIES: Caterpillar Inc	$1 - $1,000	None	NONE	P
SP	BOCA LADIES: Cisco Systems Inc	$1 - $1,000	None	NONE	P
SP	BOCA LADIES: Freeport McMoran Copper	$1 - $1,000	None	NONE	P
SP	BOCA LADIES: General Electric Company	$1 - $1,000	None	NONE	P
SP	BOCA LADIES: Goldman Sachs Group Inc	$1,001 - $15,000	None	NONE	P
SP	BOCA LADIES: L-3 Communications Hldgs	$1 - $1,000	Dividends	$1 - $200	P
SP	BOCA LADIES: Newmont Mining Corp	$1 - $1,000	Dividends	$1 - $200	P
SP	BOCA LADIES: Patriot Coal Corp	$1 - $1,000	None	NONE	P
SP	BOCA LADIES: Peabody Energy Corp	$1,001 - $15,000	None	NONE	P
SP	BOCA LADIES: Research in Motion	$1 - $1,000	None	NONE	P
SP	BOCA LADIES: Schein Henry Inc	$1 - $1,000	None	NONE	P
SP	BOCA LADIES: Sector Spdr Engy Select	$1 - $1,000	None	NONE	P
SP	BOCA LADIES: Starwood Htls & Rsts New	$1 - $1,000	None	NONE	P

SP	BOCA LADIES: Target Corporation	$1 - $1,000	Dividends	$1 - $200	P
SP	BOCA LADIES: Teva Pharm Inds Ltd Adrf	$1 - $1,000	None	NONE	P
SP	BOCA LADIES: Vanguard Emerging Market	$1 - $1,000	Dividends	$1 - $200	P
SP	BOCA LADIES: Walgreen Company	$1 - $1,000	Dividends	$1 - $200	P
SP	BOCA LADIES: Allergan, Inc.	$1 - $1,000	None	NONE	P
SP	BOCA LADIES:Johnson & Johnson	$1,001 - $15,000	Dividends	$1 - $200	P
JT	BRT Realty Trust SBI-NEW	$15,001 - $50,000	short term gain	$201 - $1,000	P, S
DC	Capital Income Builder	$15,001 - $50,000	Dividends/ Capital Gains	$201 - $1,000	reinvest
DC	Capital World Bond Fund	$1,001 - $15,000	Dividends/ Capital Gains	$201 - $1,000	S, reinvest
DC, JT	Capital World Growth & Income Fund	$15,001 - $50,000	Dividends/ Capital Gains	$201-$1000/ $2501-$5000	P, reinvest
JT	Carnival Corp.	$1,001 - $15,000	Dividends Short Term Gain, Long Term Gain	$1-$200/ $1001-$2500	P, S
	Celia Sperling Trust Assets Held: 1. American Washington Mutual Investors	$1,001 - $15,000	Dividend/ Cap Gains	$1 - $200/ $5,001-$15,000	S, reinvest
	Celia Sperling Trust Assets Held: 2. Alliancebernstein Global Govt Income Trust	$1,001 - $15,000	Dividend/ Cap Gains	$1 - $200/ $5,001-$15,000	S, reinvest

2013

JT	Claymore Bny Bric E&F	$15,001 - $50,000	Divid nds	$1 - $200	P
DC	Columbia Young Investor Fd-Z	$1,001 - $15,000	Dividends/ Capital Gain	$1 - $200/ $1001-$2500	
JT	Cost-U-Less, Inc.	$1,001 - $15,000	long term gain	$201 - $1,000	S
JT	Countrywide Fin Corp	$1,001 - $15,000	short term gain	$1 - $200	S
JT	CRM Mid Cap Value	$1,001 - $15,000	Dividends/ Capital Gains	$1 - $200/ $201-$1000	
JT	Dennys Corporation	$1,001 - $15,000	short term loss	($1,474.56)	P, S
JT	Embraer-Empresa Brasileira	$1,001 - $15,000	Dividends, Short Term Loss	$1 - $200/ ($250.15)	P, S
JT	Esterline Tech Corp	$15,001 - $50,000	None	NONE	P
JT	Excelsior Funds Inc	$1,001 - $15,000	Dividends/ Capital Gains	$1 - $200	
JT	F5 Networks Inc	$15,001 - $50,000	short term gain, long term gain	$201-$1000/ $5001-$15000	P, S
JT	Fairholme Funds Inc	$1,001 - $15,000	Dividends/ Capital Gains	$1 - $200	
JT	Fed Ex Corp	$1,001 - $15,000	long term gain	$201 - $1,000	S
JT	Fed Farm Credit Bank	$1,001 - $15,000	Interest	$1 - $200	
JT	Fed Natl Mtg Assn	$1,001 - $15,000	Interest	$201 - $1,000	

2014

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald J. Klein

JT	Foxhollow Tech Inc	$1,001 - $15,000	long term gain	(2,793.89)	S
JT	Gartmore Mutual Funds	None	Dividends/ Capital Gains	NONE	S
JT, DC	Goldman Sachs Group Inc	$15,001 - $50,000	short term gain	$1 - $200	P, S
JT	Heico Corp New	$1,001 - $15,000	long term gain	$1,001 - $2,500	S
DC	Home Depot	None	Capital Gains	$201 - $1,000	S
JT	Honeywell Intl Inc	$15,001 - $50,000	Dividends, Long Term Gain	$201-$1000/ $2501-$5000	S
JT	Hornbeck Offshore Services Inc	$1,001 - $15,000	Short Term Gain	$1,001 - $2,500	P, S
JT	Hydril	$15,001 - $50,000	short term gain	$5,001 - $15,000	P, S
JT	Ingersoll Rand Co Ltd	$1,001 - $15,000	none	NONE	
JT	Intuitive Surgical Inc New	$15,001 - $50,000	None	NONE	P, S
JT, DC	Ishares Trust	$100,001 - $250,000	Dividends	$201 - $1,000	P, S
JT	Janus Orion Fund	$1,001 - $15,000	none	NONE	P
DC	Janus Overseas Fund	$1,001 - $15,000	none	NONE	P
JT	Jennison Nat Res Rd Inc	$1,001 - $15,000	none	NONE	P

JT, DC	Julius Baer Invt Fds	$1,001 - $15,000	Dividends/ Capital Gains	$201-$1000/ $1001-$2500	P
JT	Kansas City Southern	$1,001 - $15,000	none	NONE	P, S
JT	Kayne Anderson Energy	$15,001 - $50,000	Dividends/ Capital Gains	$201-$1000	S
JT	Kinder Morgan Mgmt LLC	$50,001 - $100,000	none	NONE	P, S
JT, DC	Kinetics Mutual Fds Inc.	$15,001 - $50,000	Dividends/ Capital Gains	$1 - $200	P
JT	Laudus Tr Rosenberg Intl	$15,001 - $50,000	Dividends/ Capital Gains	$201-$1000/ $2501-$5000	Reinvest
JT	Lennar Corp	$1,001 - $15,000	Dividends	$1 - $200	P, S
	Mahzel 1325 Partners Address of Real Estate: 1325 S. Congress Avenue, Boynton Beach, FL 33426 Ownership: 25%	$50,001 - $100,000	Rent	$2,501 - $5,000	
JT	Marsico 21st Century Fund	$15,001 - $50,000	Dividends/ Capital Gain	$1 - $200/ $201-/$1000	P
JT	Matthews China Fund	$15,001 - $50,000	none	NONE	P
JT	Matthews Pacific Tiger Fund	$15,001 - $50,000	Dividends/ Capital Gain	$201-$1000/ $1001-$2500	P

2016

	MDDL Associates Address of Real Estate: 4700 NW 2nd Avenue, Boca Raton, FL 33431 Ownership: 50% Sold in 2006. 2006 K1 was final. The final distribution in 2007 was included in 2006 K-1 Final.	None	None	NONE	
DC	Motorola Inc	None	Capital Gain	$1 - $200	S
SP,JT,DC	Nasdaq 100 Shares	None	Dividends/ Capital Gain	$1 - $200/ $1001-$2500	P, S
JT	Nationwide Small Cap Fund	$1,001 - $15,000	None	NONE	P, S
DC, JT	New Perspective Fund Inc	$15,001 - $50,000	Dividends / Capital Gains	$201-$1,000/ $1001-$2500	reinvest
	NLS Investment Holdings LLC Ownership of a high-tech accelerator company located in Boca Raton, Florida by the name of Cenetec Ventures LLC. Ownership: 2.5% (Still alive but not active. No Value)	None	None	NONE	
JT	Norfolk Southern Corp	$1,001 - $15,000	Dividends	$1 - $200	P, S
JT	Ocwen Financial Corporation	$1,001 - $15,000	None	NONE	P, S
	Pension Plan: American Funds Bond Fund Am A	$15,001 - $50,000	Capital Gain	$201 - $1,000	

2017

	Pension Plan: American Funds Cash Mgmt Tr A	$15,001 - $50,000	Capital Gain	$1,001 - $2,500	
	Pension Plan: American Funds Europacific A	$50,001 - $100,000	Capital Gain	$5,001 - $15,000	
	Pension Plan: American Funds Growth Fund A	$50,001 - $100,000	Capital Gain	$5,001 - $15,000	
	Pension Plan: American Funds Smallcap Wrld A	$50,001 - $100,000	Capital Gain	$5,001 - $15,000	
	Pension Plan: American Funds Wash Mutual A	None	Capital Gain	$1,001 - $2,500	transfer
	Pension Plan: Ev Large Cap Value A	$15,001 - $50,000	Capital Gain	$201 - $1,000	P, transfer
JT	Perkins Discovery Fund	$1,001 - $15,000	Capital Gain	$201 - $1,000	P
DC	Phoenix Mid Cap	$1,001 - $15,000	Capital Gain	$1,001 - $2,500	reinvest
SP, DC	Pimco Real Return Fund	None	Capital Gain	$2,501 - $5,000	S
JT,DC,SP	Power Shares QQQ Trust	$15,001 - $50,000	Dividends/ Long term gain	$1-$200/ $2501-$5000	P, S
JT	Powershares Global Water	None	None	NONE	P
JT, DC	Prof Managed Port Hodges	$1,001 - $15,000	Capital Gain	$1 - $200	
JT, DC	Proshares Trust	$50,001 - $100,000	short term gain	$201 - $1,000	P, S
JT	Putnam Convertible Income	$1,001 - $15,000	Dividends	$1 - $200	

2018

JT	Quantum Corp	$1,001 - $15,000	None	NONE	S
DC	Regional Bk Holders Tr	None	Long Term Gain	$1,001 - $2,500	S
JT	Research in Motion Ltd	$15,001 - $50,000	None	NONE	P
JT	Rockwell Med Tech Inc	$1,001 - $15,000	None	NONE	S
DC	Royce FD Special Equity Fd	$15,001 - $50,000	Capital Gains	$201 - $1,000	S
JT	Royce Fund	$50,001 - $100,000	Dividends/ Capital Gains	$201-$1000/ $2501-$5000	S
JT	RS Invt Tr	$15,001 - $50,000	Dividends/ Capital Gain	$1-$200/ $201-$1000	S
DC	S&P Depository Receipts	$15,001 - $50,000	long term gain/short term gain	$1001-$2500. $201-$1000	P, S
SP	S&P Depository Receipts	$1,001 - $15,000	Dividends	$1 - $200	
JT	S&P Depository Receipts	$15,001 - $50,000	Dividends	$201 - $1,000	
JT	Sears Holding Corp	$15,001 - $50,000	None	NONE	S
JT	Skyworks Solutions Inc	$1,001 - $15,000	None	NONE	S
JT	Streettracks DJ Wilshire Reit	$1,001 - $15,000	None	NONE	P, S
JT	T Rowe Price Intl	$15,001 - $50,000	Capital Gain	$2,501 - $5,000	P

2019

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ronald J. Klein

JT	T-3 Energy Services, Inc.	$15,001 - $50,000	None	NONE	P, S
DC	The Bond Fund of America	$1,001 - $15,000	Dividends	$201 - $1,000	S
DC	The Growth Fund of America	$15,001 - $50,000	Dividends / Capital Gains	$1-	reinvest
JT	Thornburg Mortgage Inc.	$1,001 - $15,000	None	NONE	P, S
JT	Tronox Inc	$1 - $1,000	None	NONE	S
SP, DC	Vanguard Intl Equity Index	$1,001 - $15,000	Dividends	$1 - $200	P, reinvest
DC	Vanguard Windsor Funds Inc.	$1,001 - $15,000	Dividend	$1 - $200	reinvest
DC	Walt Disney Co	None	Capital Gain	$201 - $1,000	S
JT	Wisdomtree Int'l Basic	$1,001 - $15,000	None	NONE	P, S
JT	Wisdomtree Trust Europe	$1,001 - $15,000	None	NONE	P
JT	Wisdomtree Trust Pacific	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
JT	Wisdomtree Trusts	$50,001 - $100,000	None	NONE	P, S

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

Report any purchas , sale or exchang by y u, your spouse, or depend nt child during the rep rting year f any real property, stocks, bonds, commodities futures, or other securiti s when the amount of the transaction or series of transactions exceeded $1,000. Includ transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sal of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Alesco Financial, Inc.	P, S	4-23-07, 5-30-07, 6-21-07, 7-2-07, 7-26-07, 9-13-07, 9-18-07	$15,001 - $50,000
JT	Bank United Financial Corp-CL A	P, S	10-18-07, 10-29-07, 11-8-07, 12-27-07	$15,001 - $50,000
SP,DC	Baron Select Fds	P,reinvest	10-9-07, 12-21-07	$1,001 - $15,000
JT	Claymore Bny Bric E&F	P	9-26-07, 10-8-07	$15,001 - $50,000
JT	Dennys Corporation	P, S	2-16-07, 9-11-07	$1001-$15,000/ ($1,474.56)
JT	Jennison Nat Res Rd Inc	P	12-28-07	$1,001 - $15,000
JT	Goldman Sachs Group Inc	P, S	8-10-07, 9-19-07	$15,001 - $50,000
DC	Goldman Sachs Group Inc	P	6-14-07, 8-10-07	$15,001 - $50,000
DC,JT	Julius Baer Invt Fds	P	5-29-07, 12-28-07	$1,001 - $15,000

2021

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

Report any purchase, sale or exchange by you, your spous , or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, r other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your depend nt child, r the purchas or sale of your personal resid nce, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Janus Orion Fund	P	10-31-07	$1,001 - $15,000
DC	Janus Overseas Fund	P	12-17-07	$1,001 - $15,000
JT,DC	Kinetics Mutual Fds Inc.	P	10-19-07, 12-20-07	$15,001 - $50,000
JT	Matthews China Fund	P	12-27-07	$15,001 - $50,000
JT	Marsico 21st Century Fund	P	5-7-07, 5-29-07	$1,001 - $15,000
JT	Kinder Morgan Mgmt LLC	P, S	5-16-07, 5-21-07	$50,001 - $100,000
JT	Nationwide Small Cap Fund	P, S	10-26-07	$1,001 - $15,000
JT	Ocwen Financial Corporation	P, S	8-10-07, 8-17-07	$1,001 - $15,000

2022

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

Report any purchase, sale r xchange by y u, your spouse, or dependent child during the reporting year of any real property, stocks, b nds, commodities futures, r other securities when th amount of the transaction or series f transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC,JT	Proshares Trust	P, S	9-4-07, 11-7-07, 11-9-07, 11-26-07, 8-10-07, 9-11-07, 9-19-07, 11-16-07 11-27-07 11-28-07 12-5-07 11-12-07 11-26-07	$100,001 - $250,000
SP,DC	Vanguard Intl Equity Index	P,reinvest	1-29-07, 12-24-07	$1,001 - $15,000
DC	Vanguard Windsor Funds Inc.	reinvest	12-18-07	$1,001 - $15,000
JT	T-3 Energy Services, Inc.	P, S	4-30-07, 5-1-07, 5-9-07, 5-31-07	$15,001 - $50,000
JT	Streettracks DJ Wilshire Reit	P, S	1-5-07, 5-29-07	$15,001 - $50,000
JT	Wisdomtree Int'l Basic	P, S	1-24-07, 6-13-07, 9-4-07	$1,001 - $15,000
JT	BE Aerospace Inc.	P, S	6-13-07, 9-11-07	$1,001 - $15,000

2023

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

Report any purchase, sal or exchang by you, your sp use, or dependent child during th reporting year of any real property, stocks, bonds, commodities futures, or ther securities when the amount f th transaction or seri s of transactions xceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your depend nt child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	BRT Realty Trust SBI-NEW	P, S	9-11-07, 9-18-07	$50,001 - $100,000
JT	Thornburg Mortgage Inc.	P, S	10-18-07, 11-16-07	$1,001 - $15,000
JT	Embraer-Empresa Brasileira	P, S	6-22-07, 11-19-07	$15,001 - $50,000/ (250.15)
JT	Wisdomtree Trust Europe	P	11-19-07	$1,001 - $15,000
JT	Air Methods Corp New	S	6-7-07	$1,001 - $15,000
JT	Carnival Corp.	P, S	1-8-07, 1-24-07, 8-10-07	$1,001 - $15,000
JT	Countrywide Fin Corp	S	5-7-07	$1,001 - $15,000
JT	Foxhollow Tech Inc.	S	4-13-07	$1,001 - $15,000/ ($2,794)
JT,DC	Ishares Trust	P, S	6-4-07, 6-6-07, 6-7-07, 6-13-07, 9-13-07, 9-26-07, 10-8-07, 10-12-07, 12-6-07, 12-27-07	$100,001 - $250,000

2024

Report any purchase, sale r exchange by you, your spouse, or d pend nt child during th reporting year of any real property, stocks, bonds, c mmodities futures, or other securities when the amount of the transaction or s ries of transactions exceeded $1,000. In lude transactions that resulted in a l ss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sal of your personal resid nce, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transacti n
JT	Hydril	P, S	2-12-07, 4-26-07	$15,001 - $50,000
JT	Intuitive Surgical Inc New	P, S	1-12-07, 2-2-07, 3-23-07, 9-19-07, 10-19-07	$50,001 - $100,000
JT	Heico Corp New	S	11-19-07	$1,001 - $15,000
JT	Kayne Anderson Energy	S	9-4-07, 9-11-07, 9-14-07	$15,001 - $50,000
JT	Lennar Corp	P, S	4-3-07, 9-13-07	$1,001 - $15,000
JT	Norfolk Southen Corp	P, S	4-16-07, 8-10-07	$1,001 - $15,000
JT	Skyworks Solutions Inc	S	5-9-07	$1,001 - $15,000
JT	Sears Holding Corp	S	6-7-07	$15,001 - $50,000
JT	Wisdomtree Trusts	P, S	1-14-07, 4-13-07, 5-15-07, 6-6-07, 6-7-07, 9-18-07, 10-24-07	$50,001 - $100,000

2025

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

Report any purchase, sale or exchange by you, your spouse, or dependent hild during th reporting year of any real prop rty, stock , b nds, commodities futures, or oth r securities when the amount of the transacti n or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, r the purchas or sal of your personal residence, unless it is rented out. Provid a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Laudus Tr Rosenberg Intl	Reinvest	12-18-07	$1,001 - $15,000
JT	Royce Fund	S	4-16-07, 5-31-07, 12-10-07	$15,001 - $50,000
JT	T Rowe Price Intl	P	10-31-07, 12-19-07	$1,001 - $15,000
JT	Apple Inc	P, S	5-2-07, 6-14-07, 9-19-07, 9-24-07	$15,001 - $50,000
JT	RS Invt Tr	S	7-23-07, 9-18-07, 12-21-07	$15,001 - $50,000
JT	F5 Networks Inc	P, S	4-26-07, 7-24-07, 8-7-07, 9-4-07, 11-19-07	$15,001 - $50,000
JT	Fed Ex Corp	S	1-19-07	$1,001 - $15,000
JT	Cost-U-Less, Inc.	S	6-7-07	$1,001 - $15,000
JT	Hornbeck Offshore Services Inc	P, S	1-12-07, 4-13-07	$15,001 - $50,000

2026

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

Report any purchase, sale or exchange by you, your spouse, or d pendent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transacti n or series of transactions exceeded $1,000. Include transacti ns that resulted in a lo s. Do not report a transaction between you, your spouse, or your dependent child, the purchas or sale f your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	Royce Fd Special Equity Fd	S	1-24-07, 1-29-07, 6-7-07	$15,001 - $50,000
JT,SP	Alpine Equity Tr Rlty	P, S	3-27-07, 3-27-07	$15,001 - $50,000
JT	Quantum Corp	S	5-9-07, 9-11-07	$1,001 - $15,000
JT	Tronox Inc	S	5-9-07	$1-$500
JT	Kansas City Southern	P, S	4-13-07, 5-25-07	$1,001 - $15,000
JT	Honeywell Intl Inc	S	6-7-07	$1,001 - $15,000
JTSP D	Powershares QQQ Trust	P, S	1-8-07, 6-7-07, 8-10-07, 9-18-07, 8-9-07, 6-7-07,	$15,001 - $50,000
JT	Rockwell Med Tech Inc	S	9-4-07	$1,001 - $15,000
JT	Wisdomtree Trust Pacific	P	4-25-07	$15,001 - $50,000

2027

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

Report any purchase, sale or exchange by you, y ur spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or ther securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your d pendent child, r the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT,DC	Capital World Growth & Income Fund	P,reinvest	3-16-07, 4-26-07, 5-29-07, 6-15-07, 9-21-07, 10-31-07, 12-17-07, 12-19-07	$15,001 - $50,000
JT	Esterline Tech Corp	P	10-10-07	$15,001 - $50,000
JT	Matthews Pacific Tiger Fund	P	10-31-07, 12-7-07	$1,001 - $15,000
JT	Nasdaq 100 Shares	P, S	1-8-07, 4-12-07	$15,001 - $50,000
SP	Nasdaq 100 Shares	S	4-12-07	$1,001 - $15,000
DC	Nasdaq 100 Shares	S	4-12-07, 4-12-07	$1,001 - $15,000
JT,DC	New Perspective Fund Inc	Reinvest	12-14-07, 12-12-07	$1,001 - $15,000
JT	Powershares Global Water	P	12-27-07	$1,001 - $15,000
JT	Perkins Discovery Fund	P	6-4-07	$1,001 - $15,000
JT	Research in Motion Ltd	P	11-15-07, 12-3-07	$1,001 - $15,000

2028

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

Report any purchase, sale or exchange by you, y ur spous , or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dep ndent child, or th purchase or sale f your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transacti n
SP	Alpine Realty Income & Growth (last year was called: Alpine Equity Tr Rlty Income & Growth Fd Cl Y and I only write 1st line: Alpine Equity Tr Rlty)	P, S	3-27-07, 12-24-07	$1,001 - $15,000
SP,DC	Pimco Real Return Fund	S	1-29-07	$1,001 - $15,000
DC	AMCAP Fund - 529A	Reinvest	6-15-07, 12-14-07	$1,001 - $15,000
DC	The Growth Fund of America	Reinvest	12-18-07	$1,001 - $15,000
DC	New Perspective Fund	Reinvest	12-12-07	$1,001 - $15,000
DC	Capital Income Builder	Reinvest	3-20-07, 6-20-07, 9-20-07, 12-20-07	$1,001 - $15,000
DC	American Balanced Fund	Reinvest	2-16-07, 5-18-07, 8-17-07, 12-24-07	$1,001 - $15,000
DC	The Bond Fund of America	S	1-27, 1-25, 2-23, 3-23, 4-25, 5-25, 6-25, 7-25, 8-24, 9-25, 10-25,11-23, 12-24	$1,001 - $15,000

2029

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when th am unt f the transaction or s ries f transactions exceeded $1,000. Includ transactions that resulted in a l ss. Do not report a transaction between you, your spouse, r your d pend nt child, or the purchase or sal of your personal residence, unless it is rented out. Provid a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	Capital World Bond Fund	S,reinvest	3-26, 6-5, 7-5, 7-20, 8-27, 9-24, 10-2, 12-6, 12-21	$15,001 - $50,000
DC	Home Depot	S	2-5-07	$1,001 - $15,000
DC	Motorola Inc	S	5-29-07	$1 - $1,000
DC	Regional Bk Holders Tr	S	1-30-07	$15,001 - $50,000
DC	S&P Depository Receipts	P, S	1-30-07, 7-18-07	$1,001 - $15,000
DC	Walt Disney Co	S	5-29-07	$1 - $1,000
JT	Gartmore Mutual Funds	S	5-7-07	$1,001 - $15,000
SP	2090 US 1 Partners Address of Real Estate: 2090 NW US Hwy 1, Stuart, FL 34994 Ownership: 2.07% (PARNERSHIP LIQUIDATED)	Liquidated	12-31-07	$50,001 - $100,000
SP	BOCA LADIES: Apple Inc	P	3/2/06, 5/2/07	under $1000, under $1K last yr

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

Report any purchas , sale or exchange by you, your spous , or dependent child during the r porting year of any real property, stocks, bonds, commodities futures, or oth r securities when th amount of th transaction or series of transactions xceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or y ur dependent child, or the purchase or sal of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	BOCA LADIES: Caterpillar Inc	P	9/8/05, 9/8/06	under $1000, under $1K last yr
SP	BOCA LADIES: Cisco Systems Inc	P	1/12/00, 4/5/00, 9/7/00, 10/4/01, 1/11/07	under $1000, under $1K last yr
SP	BOCA LADIES: Freeport McMoran Copper	P	3/20/07, 4/5/07	under $1000, under $1K last yr
SP	BOCA LADIES: General Electric Company	P	10/3/01, 7/3/02	under $1000, under $1K last yr
SP	BOCA LADIES: Goldman Sachs Group Inc	P	10/2/03, 6/12/06, 2/8/07, 4/5/07	under $1000, under $1K last yr
SP	BOCA LADIES: L-3 Communications Hldgs	P	10/2/02, 12/12/05	under $1000, under $1K last yr
SP	BOCA LADIES: Newmont Mining Corp	P	2/5/03, 8/2/06	under $1000, under $1K last yr
SP	BOCA LADIES: Patriot Coal Corp	P	9/8/05, 10/7/05, 12/12/05, 8/2/06	under $1000, under $1K last yr
SP	BOCA LADIES: Peabody Energy Corp	P	9/8/05, 10/7/05, 12/12/05, 8/2/06	under $1000, under $1K last yr

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

Report any purchase, sale or xchange by you, your spouse, r dependent child during th reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residenc , unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	BOCA LADIES: Research in Motion	P	7/11/07	under $1000, under $1K last yr
SP	BOCA LADIES: Schein Henry Inc	P	7/3/03, 5/6/04	under $1000, under $1K last yr
SP	BOCA LADIES: Sector Spdr Engy Select	P	8/4/05, 10/7/05, 6/12/06	under $1000, under $1K last yr
SP	BOCA LADIES: Starwood Htls & Rsts New	P	5/8/07, 10/4/07	under $1000, under $1K last yr
SP	BOCA LADIES: Target Corporation	P	6/7/07	under $1000, under $1K last yr
SP	BOCA LADIES: Teva Pharm Inds Ltd Adrf	P	9/3/03, 6/3/05, 4/5/07	under $1000, under $1K last yr
SP	BOCA LADIES: Vanguard Emerging Market	P	2/2/06, 6/12/06	under $1000, under $1K last yr
SP	BOCA LADIES: Walgreen Company	P	7/6/05, 2/8/07	under $1000, under $1K last yr
SP	BOCA LADIES: Allergan, Inc.	P	2/8/07	under $1000, under $1K last yr
SP	BOCA LADIES: Johnson & Johnson	P	6/5/96, 7/3/02	under $1000, under $1K last yr
	Celia Sperling Trust Assets Held: 1. American Washington Mutal Investors	S	12-31-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Ronald J. Klein

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the am unt of the transaction or series of transacti ns exceeded $1,000. Includ transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your depend nt child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Celia Sperling Trust Assets Held: 2. Alliancebernstein Global Govt Income Trust	S	12-31-07	$1,001 - $15,000
DC	Phoenix Mid Cap Value Fd	reinvest	12-21-07	under $1000
	Pension: American Funds Wash Mutual A Transferred to Pension: Ev Large Cap Value A	transfer	2007	$15,001 - $50,000
	Pension: Ev Large Cap Value A Transferred from Pension: American Funds Wash Mutual A	transfer	2007	$15,001 - $50,000

SCHEDULE IX - AGREEMENTS

Name Ronald J. Klein

Identify the date, parties t , and g n ral terms of any agreement or arrangement with r spect to: future employment; a leave of abs nce during the p riod of g vernment service; continuation r deferral of payments by a former r current employer ther than th U.S. Governm nt; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
4-1-2004	Shareholders, Directors of Sachs & Sax, P.A.	Buy Out - Monthly payment starting 1-1-2007 for a total of $100,000.00 a year for five (5) years.

UNITED STATES HOUSE OF REPRESENTATIVES

2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Y ar Reporting P riod

Form A
For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 14 PM 12: 00

MC

John Paul Kline Jr. (202) 225-2271

(Full Name) (Daytime Telephone)

(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: MN District: 02	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [✓]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [✓]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [✓]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [✓]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [✓]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [✓]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [✓]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [✓]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [✓]	**Each question in this part must be answered and th appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [✓]

2035

Name John Kline | Page 1 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SP U.S. GOVERNMENT (DFAS)	SPOUSE MILITARY RETIREMENT	NA

For payments to charity in lieu f honoraria, use Schedul II.

2036

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name John Kline

Page 2 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, *provide a complete address. Provide full* names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e., plans in which you have the power, even if not exercised,* to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the *reporting threshold. For retirement plans that are* not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet

Exclude: *Your personal residence(s)* (*unless* there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not *allow you to choose specific* investments, you may write "NA." For all other assets ***including all IRAs,*** indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in *reporting year.*

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
SP		SP	Mega Corp. Stock				X									X			X						X								S (partial)
DC	Examples:		Simon & Schuster		indefinite																Royalties								X				
JT			1st Bank of Paducah, KY Accounts					X									X									X							
JT	LPL Insured Cash Acct				X													X							X								E
JT	Dodge & Col Int'l				X														X					X									
JT	Franklin Value				X											X			X					X									
JT	John Hancock Classic Value				X											X			X					X									
JT	Third Avenue Value				X											X			X					X									
JT	Standard & Poors 500				X																	X											

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John Kline

Page 3-5

SP, DC, JT	BLOCK A Ass t and/or Income Source	BLOCK B Y ar-End Valu of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Typ of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Incom: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	Stellent	X															X			Merger				X								Sale
	ING Russia Fund			X											X			X					X									
SP	Growth Fund of America			X											X			X						X								
SP	Equitable Advantage Annuity					X											X								X							
SP	Jackson National Annuity					X											X							X								
SP	Lincoln Annuity				X												X							X								
	Jackson National Annuity				X																		X									
SP	Sheldon Family Farm (534 Acres, Houston, MN)						X									X							X									
	American Express Annuity				X												X						X									

This page may b copied if m re spac is r quired.

2038

Name John Kline

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Typ f Transaction		Dat	Amount of Transaction									
			SALE	(MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X	10-12-07		X								
JT	Stellent		X	1-16-07	X									
JT	Standard & Poors 500	X		1-22-08	X									

This page may be copied if more spac is r quired.

2039

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number f days not at sponsor's xpens
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Heritage Foundation	Feb. 1-3, 07	DC-Baltimore (Freway)	Y	Y	N	None

This pag may b copied if more space is r quir d.

2040

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 13 PM 3:16
(Office Use Only)

Joseph K. Knollenberg (Full Name)

202-225-5802 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: MI District: 9th	☐ Officer Or Employee — Employing Office:	**A $200 penalty shall be assessed against anyone who fil s more than 30 days late.**
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination — Termination Date:	

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appr priat schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2041

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Joseph K. Knollenberg

	BLOCK A **Asset and/or Incom Sourc** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	The PrivateBank Bloomfield Hills, MI	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	Congressional Federal CU Washington, DC	$15,001 - $50,000	Other: non-interest bearing account	NONE	
	Farm-thru Helen K. Knollenberg Trust Matton, IL	$15,001 - $50,000	Other: Farm Interest	$201 - $1,000	
	Research-Electro Optics Boulder, CO (7,680 sh)	$100,001 - $250,000	DIVIDENDS	NONE	

2042

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Joseph K. Knoll nberg

	Mutual Funds held through Fidelity Brokerage Services LLC, Boston, MA (see attached Y.E. Stmts) (Broker uses National Financial Services LLC to report activity/income)	$100,001 - $250,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$15,001 - $50,000	Other Additional purchases through dividend reinvestment.
	Securities held through UBS Financial Services Inc. Retirement Wilson Kemp & Assoc Inc. Investment Counsel Birmingham, MI (See attached Y.E. Stmts)	$1,000,001 - $5,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$15,001 - $50,000	PS(part)
	Lower Level Rental 304 N. Carolina Washington, DC	$50,001 - $100,000	RENT	$5,001 - $15,000	
SP	Securities held through Linsco/Private Ledger 9785 Towne Centre Drive San Diego, CA (See attached Y.E. Stmt)	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$5,001 - $15,000	Other Dividends are reinvested throughtout the year as dividends and capital gains are received.

SCHEDULE IV - TRANSACTIONS

Name Joseph K. Knollenberg

Rep rt any purchase, sale or xchang by you, your sp us , or d p ndent child during the r porting year of any r al pr perty, stocks, bonds, commoditi s futures, or other s curiti wh n th am unt f th transaction or s ries f transactions exceeded $1,000. Include transactions that resulted in a loss. D n t report a transacti n betw en you, your spous , or your dependent child, or th purchase or sal of y ur personal residenc , unless it is rented out. Pr vid a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Mutual Funds held through Fidelity Brokerage Services LLC, Boston, MA Dividends reinvested (See Y.E. Stmts)	PS(part)	dividends are reinvested through out the year as dividends and capital gains are received	$15,001 - $50,000
	Securities held through UBS Financial Services Inc. Retirement Wilson Kemp & Assoc Inc. Investment Counsel (See Y.E. Stmts)	P	various	$50,001 - $100,000
	Securities held through UBS Financial Services Inc. Retirement Wilson Kemp & Assoc Inc. Investment Counsel Birmingham, MI (See Y.E. Stmts)	S(part)	various	$100,001 - $250,000
SP	Securities held through Linsco/Private Ledger Dividends reinvested (See Y.E. Stmts)	P	dividends are reinvested through out the year as dividends and capital gains are received	$1,001 - $15,000

Fidelity INVESTMENTS

FIDELITY **PREFERRED RETIREMENT** SERVICES℠

Envelope [redacted]

JOSEPH K KNOLLENBERG
JOSEPH K KNOLLENBERG LVG TRUST
[redacted]

2007 Investment Report

January 1, 2007 - December 31, 2007

Online	Fidelity.com
FAST(sm)-Automated Telephone	800-544-5555
Preferred Retirement Services	800-544-6565

Your Asset Allocation on Dec 31, 2007

Stock	89%
Bond	11%
Short-term	0%

▶ This Investment Report summarizes activity in your Fidelity accounts for the past year. We hope you find it helpful, however, keep in mind, it is not intended for tax reporting purposes. To assist you with completing your tax returns, we mail a Tax Reporting Statement in late January. The supplemental information section of that Statement contains such items as realized gain/loss information, cost basis information, and tax-exempt income from Fidelity Funds. Your IRA summary statement and certain other tax forms, such as Form 1099-R and Form 5498, are mailed under separate cover.

Fidelity Account℠

JOSEPH K KNOLLENBERG LVG TRUST U/A 06/25/71 JOSEPH K KNOLLENBERG TRUSTEE

2007 Account Summary

Beginning value as of Jan 1	$206,576.46
Withdrawals	-19,932.58
Change in investment value	15,836.08
Ending value as of Dec 31	**$202,479.96**
Your commission schedule	Silver
Account eligible trades from Jan 2007 - Dec 2007	0

Income Summary

Taxable	
Ordinary Dividends	
Dividends	$3,727.03
St cap gain	2,197.47
Lt cap gain	9,478.67
Tax-exempt	
Tax-Exempt Income	995.28
Total	**$16,398.45**

[redacted]

2045

Fidelity Account℠

JOSEPH K KNOLLENBERG LVG TRUST U/A 06/25/71 JOSEPH K KNOLLENBERG TRUSTEE

Holdings (Symbol) as of 12/31	% of Holdings	Performance December 31, 2007	Income Earned	Quantity	Price per Unit	Total Cost Basis	Total Value
Stock Funds							
M FIDELITY EQUITY INCOME II (FEQTX)	27%		$5,165.51	2,404.157	$22.980	$56,865.03	$55,247.53
M FIDELITY FUND (FFIDX)	38%		3,715.73	1,952.204	39.850	53,633.33	77,795.33
VANGUARD WINDSOR II (VWNFX)	23%		5,733.58	1,514.091	31.260	38,918.95	47,330.48
Bond Funds							
M FIDELITY MICHIGAN MUNICIPAL INCOME (FMHTX)	11%	*30-day yield: 3.47%*	1,033.82	1,879.869	11.758	21,511.21	22,103.50
Core Account							
FIDELITY MI MUNI MONEY MARKET (FMIXX)	0%	*7-day yield: 2.91%*	16.12	3.120	1.000	not applicable	3.12
Total Market Value as of December 31, 2007							**$202,479.96**
Total income earned on positions no longer held			733.69				
2007 Income Earned			**$ 16,398.45**				

All positions held in cash account unless indicated otherwise

M - Position held in margin account

Transaction Details of Core Account

Core Account - *Fidelity MI Muni Money Market*

Description	Amount	Balance
Beginning		**$605.46**
Investment Activity		
Securities bought	-$16,382.33	
Securities sold	19,314.12	
Core account income	16.12	
Income	16,382.33	
Subtotal of Investment Activity	$19,330.24	
Cash Management Activity		
Other withdrawals	-19,932.58	
Subtotal of Cash Management Activity	- $19,932.58	
Ending		**$3.12**

[redacted] [redacted] [redacted]

2046

Wilson, Kemp & Associates Inc.

PORTFOLIO APPRAISAL

JOSEPH K. KNOLLENBERG. IRA ROLLOVER

December 31, 2007

Quantity	Security	Total Cost	Market Value	Annual Income
Common Stock				
Cyclical Industries				
1,000	Emerson Electric Company	24,927	56,660	1,200
450	Kimberly Clark Corp.	21,302	31,203	954
1,200	Marathon Oil Corp.	13,473	73,032	1,152
1,000	Rockwell Automation Inc	14,233	68,960	1,160
690	Spectra Energy Corp.	15,275	17,816	607
419	Transocean	14,106	59,980	0
		103,316	307,651	5,073
Finance				
350	Discover Financial	2,448	5,278	42
700	Morgan Stanley Group	11,945	37,177	560
		14,394	42,455	602
Science & Technology				
1,000	Intel Corporation	19,492	26,660	450
400	International Business Machines	38,484	43,240	640
600	Johnson & Johnson	20,755	40,020	996
500	Medtronic Inc.	26,060	25,135	250
500	Merck & Company	29,753	29,055	760
1,000	Microsoft Corporation	29,955	35,600	440
700	Schlumberger Ltd.	17,650	68,859	490
		182,148	268,569	4,026
Service & Consumer				
700	Cintas Corporation	28,179	23,534	273
700	Costco Corporation	20,042	48,832	406
1,000	Disney (Walt) Company	20,567	32,280	350
600	Home Depot Incorporated	22,117	16,164	540
650	Kraft Foods Inc.	21,174	21,209	702
1,125	Paychex Inc.	20,914	40,747	1,350
600	Pepsico, Incorporated	19,724	45,540	900

Wilson, Kemp & Associates Inc.
PORTFOLIO APPRAISAL
JOSEPH K. KNOLLENBERG. IRA ROLLOVER

December 31, 2007

Quantity	Security	Total Cost	Market Value	Annual Income
700	Vodafone Group PLC	25,388	26,124	992
		178,106	254,431	5,513
Utilities				
900	Dominion Resources	25,325	42,705	1,422
1,300	Duke Energy Corp.	20,183	26,221	1,144
600	Southern Company	22,249	23,250	966
		67,758	92,176	3,532
Total Common Stock		545,721	965,282	18,746
Government Bonds				
Bonds - Government				
50,000	Federal Home Loan Bank 4.625% Due 11-24-09	50,000	50,000	2,312
	Accrued Interest		238	
Total Government Bonds		50,000	50,238	2,312
Cash				
	Cash & Equivalents	270,461	270,461	13,361
TOTAL PORTFOLIO		**866,183**	**1,285,981**	**34,419**

Wilson, Kemp & Associates Inc.

PURCHASE AND SALE

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

From 12-31-06 To 12-31-07

Trade Date	Settle Date	Quantity	Security	Unit Price	Amount
PURCHASES					
05-14-07	05-17-07	208	Duke Energy Corp.	20.84	4,334
05-14-07	05-17-07	650	Kraft Foods Inc.	32.57	21,174
05-14-07	05-17-07	600	Southern Company	37.08	22,249
05-14-07	05-17-07	144	Spectra Energy Corp.	26.73	3,850
					51,606
SALES					
04-03-07	04-09-07	2,100	ServiceMaster Company	15.33	32,200
06-04-07	06-07-07	400	Marathon Oil Corp.	127.62	51,050
06-12-07	06-12-07	1	Citadel Broadcasting	5.90	5
09-28-07	09-28-07	50,000	Federal Home Loan Banks 3.060% Due 09-28-07	100.00	50,000
10-09-07	10-12-07	900	Plum Creek Timber Co. LP	43.24	38,920
11-02-07	11-07-07	68	Citadel Broadcasting	3.52	241
11-02-07	11-07-07	8	Citadel Broadcasting	3.52	27
11-27-07	11-27-07	1	Transocean	133.80	102
11-27-07	11-27-07	20	Transocean Inc.	109.95	2,213
11-27-07	11-27-07	160	Transocean Inc.	109.95	17,605
12-13-07	12-13-07	50,000	Federal Farm Cr Bks Cons 4.700% Due 10-06-08	100.00	50,000
					242,361

Wilson, Kemp & Associates Inc.

REALIZED GAINS AND LOSSES

JOSEPH K. KNOLLENBERG, IRA ROLLOVER

From 12-31-06 Through 12-31-07

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
07-21-03	04-03-07	2,100	ServiceMaster Company	20,690	32,200		11,510
10-18-02	06-04-07	400	Marathon Oil Corp.	8,982	51,050		42,067
11-27-01	06-12-07	1	Citadel Broadcasting	3	5		2
03-04-04	09-28-07	50,000	Federal Home Loan Banks 3.060% Due 09-28-07	50,000	50,000		0
12-09-02	10-09-07	900	Plum Creek Timber Co. LP	20,593	38,920		18,326
11-27-01	11-02-07	68	Citadel Broadcasting	249	241		-8
12-09-02	11-02-07	8	Citadel Broadcasting	23	27		4
12-21-99	11-27-07	20	Transocean Inc.	616	2,213		1,597
02-03-00	11-27-07	160	Transocean Inc.	5,451	17,605		12,154
12-21-99	11-27-07	1	Transocean	23	-102		78
05-12-05	12-13-07	50,000	Federal Farm Cr Bks Cons 4.700% Due 10-06-08	50,500	50,000		-500
TOTAL GAINS						0	85,739
TOTAL LOSSES						0	-508
				157,130	242,361	0	85,231
TOTAL REALIZED GAIN/LOSS		85,231					

2050

S curities Offer d Through
Linsco/Private Ledger
Member NASD/SIPC
[redacted]

1P1B 181B
SANDRA R KNOLLENBERG TTEE
SANDRA R KNOLLENBERG LIVING TR
U/A 4/19/00
[redacted]

COPY

WEALTH MANAGEMENT GROUP
located at
THE PRIVATE BANK

Inv stm nt Account Stat ment

Account Number:

Statement Period:
December 1, 2007 to December 31, 2007

Your Financial Representative:
Jason Sherman
[redacted]

Total Portfolio Holdings: $87,172.73

Portfolio Summary

	Current Period		Prior Period	
	Value	% of Assets	Value	% of Assets
Cash Balance				
Insured Cash Account*	11,918.05	13.67	11,877.72	13.30
Equities and Options	13,428.07	15.40	13,637.97	15.28
Mutual Funds	61,826.61	70.92	63,758.97	71.42
Corporate Bonds				
Government and Agency Bonds				
Municipal Bonds				
TOTAL PORTFOLIO HOLDINGS	$87,172.73	100.00%	$89,274.66	100.00%

Portfolio Activity

	Current Period	Year-to-Date
Securities Sold		
Securities Purchased		
Insured Cash Account Transfers*	(40.33)	(575.35)
Reinvestments	(7,689.07)	(9,214.31)
Deposits		
Withdrawals		
Dividends and Interest	146.42	958.56
Distributions	7,582.98	8,831.10
NET PORTFOLIO ACTIVITY	$0.00	$0.00

Financial Market Indicators

	Current Period	Prior Year End
Dow Jones Industrial Average	13,264.82	12,463.15
S&P 500 Index	1,468.36	1,418.30
NASDAQ Composite Index	2,652.28	2,415.29
3-Month US Treasury Bill	3.24%	5.01%
30-Year US Treasury Bond	4.45%	4.81%

*Linsco/Private Ledger ("LPL") is not a bank, savings and loan nor credit union. Securities bought and sold through LPL are not FDIC insured, not endorsed or guaranteed by LPL nor any other financial institution or affiliate of LPL, and involve investment risk including possible loss of principal. However, since Insured Cash Account balances are FDIC insured and are not an obligation of LPL or SIPC, they are not available for margin purposes. See the Disclosure Page for additional information.

2051

LPL FINANCIAL SERVICES

COPY

DESCRIPTIONS/DEFINITIONS

Portfolio Summary - Summary of your account holdings by investment type. Values are based on the prices of securities at the end of the current statement period and prior statement period.

Outside Investments Summary - Summary of selected investments where LPL is the broker/dealer of record but the assets are not held in your LPL account. Values for annuities will reflect pricing date three business days prior to statement date.

Portfolio Activity - Reflects all cash and margin transactions and other activity that impacted the account balance during the statement period.

Purchasing Power Summary - This figure represents the amount of credit LPL may extend to you based on the holdings in your margin account. The use of margin credit may result in a debit balance and represent money loaned to you by LPL for which interest is charged.

Insured Cash Account balances are not taken into account in calculating available margin.

Contribution and Distribution Summary (Applicable only for LPL retirement accounts) - Identifies all contributions to and distributions from a retirement account.

Portfolio Holdings - Details the holdings in your account by investment type.

Total Portfolio Holdings - Summary of market value and estimated annual income excluding outside investments. If this is a "cost basis" statement, this section also includes cost basis and unrealized gain or loss.

Outside Investments - Details, by investment type, of selected investments not held in your LPL account. Values are based on the market values of priced securities at the end of the current statement period. Values for annuities will reflect pricing date three business days prior to statement date. The type of account registration for the outside investment may not be the same as the account registration for the LPL account. For example, an outside investment account that has a joint registration may be reflected on the LPL account that may have an individual account registration.

Transaction Detail - Details of transactions in your account, displayed chronologically. Activity includes purchases and sales of investments, dividend, interest income, checks or dividends paid and received, and miscellaneous transactions.

Money Market Fund Detail - Details all money market fund transactions in your account chronologically. The 30-day yield for the fund is also reflected as of the statement date.

Insured Cash Accounts - Details all Insured Cash Account transactions chronologically, showing each FDIC-insured depository institution in which you have an Insured Cash Account. The APY for the Insured Cash Accounts is also reflected as of the statement date. Cash in Insured Cash Accounts is protected by the Federal Deposit Insurance Corporation (FDIC) up to $100,000 per depositor per depository institution, in accordance with FDIC rules. More information regarding FDIC insurance is available upon request, or by visiting the FDIC website at www.FDIC.gov. LPL is not a bank. Unless otherwise disclosed, securities and other investments obtained through LPL ARE NOT FDIC-INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE.

Open Orders - A listing of the open orders that are pending execution in your account. These are purchase and/or sale orders that you have placed, but have not executed prior to the close of the statement period.

Dividend, Interest and Distribution Summary - Summary of all dividends, interest and distributions credited to your account for the current period and year-to-date.

Accrued Interest Summary - Summary of all accrued interest on fixed income purchases and sales for the current period and year-to-date by investment type.

Premier Plus Summary (Applicable only for Premier Plus Accounts) - Detail of all debit and checking activity in your account for the current period. Information is listed in chronological order by processing date.

LPL is a member of the Securities Investor Protection Corporation (SIPC). SIPC provides protection for your account at LPL for up to $500,000, including $100,000 for free cash balances, in the unlikely event that LPL fails financially. The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. For example, if you have two accounts at LPL where you are the sole account holder and a third account where you are a joint account holder, the two accounts are protected under SIPC up to a combined $500,000 (not $500,000 each), and the joint account is protected under SIPC where you are the sole account holder and a third account where you are a joint account holder, the two accounts are protected under SIPC up to a combined $500,000 (not $500,000 each), and the joint account is protected under SIPC. Additionally, LPL accounts have excess SIPC coverage per customer, subject to a $750 million aggregate firm limit. For more information on SIPC, including obtaining a SIPC Brochure, please contact SIPC at (202) 371-8300 or www.sipc.org.

Realized Gains and Losses Year-to-Date (Not applicable for all accounts) Details on security positions, closed during the statement date calendar year.

Messages - LPL and your representative/advisor periodically furnish clients with important information that will appear in this section.

Copies of this Statement were sent to: - Lists all recipients, authorized to receive copies of account statements.

DISCLOSURES AND OTHER INFORMATION:

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. Where pricing sources are not readily available, particularly on certain debt instruments including, but not limited to, bills, notes, bonds, banker's acceptances, certificates of deposit, or commercial paper, estimated prices may be generated by a matrix system or market driven pricing model. Prices may not be the actual price you would receive in the event of a sale prior to the maturity of a certificate of deposit. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, values of such securities have not been included in the Portfolio Summary information on this statement.

Cost Basis - Transactions are automatically paired against holdings on a *First-In/First-Out* basis (unless manually adjusted). Designating liquidations as versus payment sale on a trade confirmation will not automatically adjust the cost basis information on your statement. For assets not purchased in the LPL account, you or the previous broker / dealer upon transfer may have provided the Date Acquired and Purchase Cost of the position. If no such data was submitted, N/A is listed as the Purchase Cost, and is treated as zero when calculating Gain or Loss totals. Since the cost basis on certain securities may have been provided by another source, the cost basis information on your statement may not reflect accurate data or correspond to data on your trade confirmations. This information should not be relied upon for tax reporting purposes. Please refer to your original trade confirmations and tax reporting statement, if applicable.

Reinvestment - The dollar amount of mutual fund distributions, money market fund income, or dividends on other securities shown on your statement may have been reinvested into additional shares. You will not receive confirmations for these reinvestment transactions. However, information pertaining to these transactions which would otherwise appear on confirmations, the time of execution, and/or the name of the person from whom your security was purchased will be furnished to you upon written request to LPL.

Payment for Order Flow - LPL receives compensation for directing order flow to equity securities and options. The source and nature of this compensation, if any, will be furnished upon your written request to LPL.

Margin Account - This is a combined statement of your margin account, if applicable, and of a special miscellaneous account maintained for you under Section 220.6 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection upon request. Customer free credit balances may be used by LPL subject to the limitations of 17 CFR Section 240.15c3-3 under the Securities Exchange Act of 1934. You have the right to receive from us in the course of normal business operations, upon demand, the delivery of any free credit balances to which you are entitled, any fully paid securities to which you are entitled, any securities purchased on margin upon full payment of any indebtedness to LPL.

Callable Securities - Call features for corporate or municipal bonds or preferred stock held in segregation may exist that could affect the yield; complete information will be provided upon written request. With respect to zero coupon issues, you will not receive periodic payments, and the securities may be callable at a price below maturity value. Furthermore, a zero coupon issue that is callable and in bearer form may be called without notice by mail to you unless the issue is registered. In the event of a partial call of corporate, municipal or zero coupon bonds, or preferred stock held in bulk segregation, the securities to be called will automatically be selected on a random basis, as is customary in the securities industry. The probability that your securities will be selected is proportional to the amount of your holdings relative to the total holdings. A detailed description of the random selection procedure is available upon request.

Asset Backed Securities - For a transaction in an asset-backed security (e.g. CMO, FNMA, FHLMC, or GNMA), the actual yield of the security may vary according to the rate at which the underlying assets or receivables are prepaid. Information concerning factors that affect yield, including estimated yield, weighted average life, and prepayment assumptions are available upon request.

Advisory Clients - LPL acts as an agent for your account on all LPL advisory account transactions.

Transaction Type - If LPL acted as agent in a transaction described in this statement, for you or as agent both for you and another person, the identity of the person from whom the securities were purchased or to whom they were sold and the source and amount of any remuneration received or to be received from a person other than you, will be furnished upon written request.

Sweep Option - Your account may have a daily sweep into an Insured Cash Account. If you have any questions about your sweep option including rates of the depository institutions currently participating in the sweep option, or you would like to change your sweep option, please contact your Financial Advisor.

Financial Statement - A financial statement of this firm is available for inspection at its office or a copy will be mailed to you upon written request.

Option Clients - Information on commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations of these transactions previously furnished to you. A summary of this information will be made available upon request. In accordance with the Option Agreement and Approval Form signed by you, we request that you promptly advise the firm of any material change in your investment objectives or financial situation.

For a change of address, please notify your representative/advisor or LPL in writing.

Please notify your financial advisor and LPL immediately of any discrepancies on your statement. If your financial advisor is affiliated with another broker/dealer, you must notify them as well. Please contact your financial advisor for the broker/dealer's contact information. Your financial advisor's address and telephone number can be found on the bottom of each page of this statement. LPL's telephone number is (800) 558-7567 and address can be found on the first page of this statement. Additionally, any verbal communications should be re-confirmed in writing to each of the above parties to further protect your rights, including rights under Securities Investor Protection Act (SIPA).

All transactions are subject to the constitution, rules, regulations, customs, usages, rulings, and interpretations of the exchange or market - and its clearinghouse, if any - where the transactions are executed, and of the Financial Industry Regulatory Authority (FINRA).

S curities Off red Through
Linsco/Private Ledg r
Member NASD/SIPC

COPY

Inv stment Account Stat m nt

Account Number:

Statement Period:
December 1, 2007 to December 31, 2007

Transaction Detail

Date	Activity Type	Description/ Security ID	Quantity	Price	Accrued Interest	Debit Amount	Credit Amount	Account Type
12/13/07	CASH DIVIDEND	MICROSOFT CORP 121307 70 MSFT					7.70	CASH
12/14/07	CASH DIVIDEND	DU PONT E.I.DE NEMOUR & COMPANY 121407 41 DD					16.81	CASH
12/14/07	ICA TRANSFER	INSURED CASH ACCOUNT				(7.70)		CASH
12/17/07	SHORT TERM CAP GAIN	FIDELITY ADV SER VII HLTH CARE FD CL A 121707 878.95100 FACDX					119.49	CASH
12/17/07	LONG TERM CAP GAIN	FIDELITY ADV SER VII HLTH CARE FD CL A 121707 878.95100 FACDX					318.03	CASH
12/17/07	LT CAP GAIN REINVEST	FIDELITY ADV SER VII HLTH CARE FD CL A REINVEST AT 22.580 FACDX	14.08500			(318.03)		CASH
12/17/07	ST CAP GAIN REINVEST	FIDELITY ADV SER VII HLTH CARE FD CL A REINVEST AT 22.580 FACDX	5.29200			(119.49)		CASH
12/17/07	SHORT TERM CAP GAIN	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A 121407 782.88000 FRBAX AS OF 12/14/07					273.20	CASH
12/17/07	LONG TERM CAP GAIN	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A 121407 782.88000 FRBAX AS OF 12/14/07					4,611.05	CASH

Please see important disclosures regarding your account statement on page 2.

Your Financial Representative: **Jason Sherman** [redacted]

Securities Offered Thr ugh
Lin co/Private Ledger
Member NASD/SIPC

COPY

Investment Account Statem nt

Account Number:

Statement Period:
December 1, 2007 to December 31, 2007

Transaction Detail *(continued)*

Date	Activity Type	Description/ Security ID	Quantity	Price	Accrued Interest	Debit Amount	Credit Amount	Account Type
12/17/07	CASH DIVIDEND	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A 121407 782.88000 FRBAX AS OF 12/14/07					106.09	CASH
12/17/07	LT CAP GAIN REINVEST	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A REINVEST AT 22.010 FRBAX	209.49800			(4,611.05)		CASH
12/17/07	ST CAP GAIN REINVEST	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A REINVEST AT 22.010 FRBAX	12.41300			(273.20)		CASH
12/17/07	DIVIDEND REINVEST	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A REINVEST AT 22.010 FRBAX	4.82000			(106.09)		CASH
12/17/07	ICA TRANSFER	INSURED CASH ACCOUNT				(18.81)		CASH
12/31/07	LONG TERM CAP GAIN	GABELLI VALUE FD INC CL A 122707 1,504.86800 GABVX AS OF 12/27/07					2,261.21	CASH
12/31/07	LT CAP GAIN REINVEST	GABELLI VALUE FD INC CL A REINVEST AT 16.820 GABVX	134.43600			(2,261.21)		CASH
12/31/07	INTEREST	INSURED CASH ACCOUNT 123107 11.918					15.82	CASH
12/31/07	ICA TRANSFER	INSURED CASH ACCOUNT				(15.82)		CASH
Total Transactions						($7,729.40)	$7,729.40	

Please see important disclosures regarding your account statement on page 2.

COPY

Securities Offer d Through
Linsco/Private Ledger
Member NASD/SIPC

Inv stment Account Stat ment

Account Number:

Statement Period:
December 1, 2007 to December 31, 2007

Income and Securities Not Yet Received

Dividends, Interest and Distributions

Record Date	Payable Date	Type	Description/ Security ID	Quantity Held	Rate	Amount of Payment
12/24/07	01/25/08	DIVIDEND	GENERAL ELECTRIC COMPANY GE	84	0.31000	26.04
12/14/07	01/02/08	DIVIDEND	WAL-MART STORES INC WMT	65	0.22000	14.30
Total Payment						$40.34

Portfolio Holdings

Cash and Equivalents

Description	Current Value
INSURED CASH ACCOUNT	11,918.05
Total Cash and Equivalents	**$11,918.05**

Insured Cash Account - Deposits by Bank

Description	Balance
Sovereign Bank	11,508.93
Countrywide Bank FSB	132.63
Discover Bank	66.68
First Tennessee Bank NA	47.45
Huntington Bank	44.60

Please see important disclosures regarding your account statement on page 2.

Your Financial Representative: Jason Sherman [redacted]

2055

Portfolio Holdings *(continued)*

Insured Cash Account - Deposits by Bank (continued)

Description	Balance
Amboy National Bank	11.20
Associated Bank NA	6.58
Total Insured Cash Account	**$11,918.05**
Interest Paid - Current Period Interest	**$15.82**

*Blended Rate** **1.577%**

**Blended rate reflects weighted average of interest rate paid on daily balances during the statement period.*

Equities and Options

Quantity	Description/ Security ID	Market Value	Price	Account Type	Dividends	Annual Income / Est. 30-Day Yield
96	CISCO SYSTEMS INC CSCO	2,598.71	27.0699	CASH	CASH	
41	DU PONT E.I.DE NEMOUR & COMPANY DD	1,807.69	44.09	CASH	CASH	67 3.72%
84	GENERAL ELECTRIC COMPANY GE	3,113.88	37.07	CASH	CASH	104 3.35%
70	MICROSOFT CORP MSFT	2,492.00	35.60	CASH	CASH	30 1.24%
18	SUN MICROSYSTEMS INC NEW JAVA	326.34	18.13	CASH	CASH	
65	WAL-MART STORES INC WMT	3,089.45	47.53	CASH	CASH	57 1.85%
Total Equities and Options		**$13,428.07**				**$258**

Please see important disclosures regarding your account statement on page 2

Securities Offer d Through
Linaco/Private Ledger
Member NASD/SIPC

COPY

Investment Account Statem nt

Account Number:
:

Statement Period:
December 1, 2007 to December 31, 2007

Portfolio Holdings (continued)

Mutual Funds

Quantity	Description/ Security ID	Market Value	Price	Account Type	Dividends/ Capital Gains	Annual Income / Est. 30-Day Yield
878.95100	FIDELITY ADV SER VII HLTH CARE FD CL A FACDX	19,829.13	22.56	CASH	REINVEST REINVEST	
1,504.66800	GABELLI VALUE FD INC CL A GABVX	25,251.68	16.78	CASH	REINVEST REINVEST	45 0.18%
782.88000	HANCOCK JOHN INVT TR REGIONAL BANK FUND CL A FRBAX	16,745.80	21.39	CASH	REINVEST REINVEST	535 3.20%
Total Mutual Funds		$61,826.61				$580

TOTAL PORTFOLIO HOLDINGS

Market Value:	$87,172.73
Estimated Annual Income:	$838.00

Insured Cash Account Detail

Date	Activity Type	Description	Debit Amount	Credit Amount	Balance
	OPENING BALANCE				11,877.72
12/14/07	DEPOSIT	INSURED CASH ACCOUNT		7.70	11,885.42
12/17/07	DEPOSIT	INSURED CASH ACCOUNT		16.81	11,902.23
12/31/07	INTEREST DEPOSIT	INSURED CASH ACCOUNT		15.82	11,918.05
	CLOSING BALANCE				11,918.05
Total Insured Cash Account					$11,918.05

Please see important disclosures regarding your account statement on page 2

Your Financial Representative: Jason Sherman [redacted]

COPY

Securities Offered Thr ugh
Linsco/Private Ledg r
Member NASD/SIPC

Investment Account Stat ment

Account Number:

Statement Period:
December 1, 2007 to December 31, 2007

Dividend, Interest and Distribution Summary

Dividends and Interest

Description	Current Period	Year-to-Date
Insured Cash Account	15.82	336.46
Equities	24.51	238.89
Mutual Funds	106.09	383.21
Total Dividends and Interest	**$146.42**	**$958.56**

Distributions

Description	Current Period	Year-to-Date
Long Term Capital Gains	7,190.29	8,208.88
Short Term Capital Gains	392.69	622.22
Total Distributions	**$7,582.98**	**$8,831.10**

Messages

Introducing our new name LPL Financial
We wanted to share with you that effective January 1, 2008 we are changing and streamlining our name from Linsco/Private Ledger to LPL Financial. Watch for January statements to begin reflecting our new name and logo.

Please be advised that the Priority Bank List for the Insured Cash Account Program has some important additions and/or deletions to the participating banks or the sequence of participating banks in the Insured Cash Account program. Please consult your Financial Advisor or our website periodically throughout the month for recent updates and information regarding how these changes may impact your assigned Priority Bank List rotation.

YEAR-END ACCOUNT STATEMENTS/MULTIPLE FORM 1099s
This statement reflects only business conducted with your financial advisor during the time LPL acted as broker/dealer. If you transferred an account to LPL from another broker/dealer during 2007, your previous firm(s) should send you one or more Form 1099s summarizing any reportable account activity that took place before your account was transferred to LPL. Please be sure to include all Form 1099s when completing your tax returns.

DECEMBER DIVIDENDS FOR CERTAIN MUTUAL FUNDS
This statement may not reflect dividends paid in late December 2007 on certain mutual fund shares that may be held in your LPL account. These dividends will be shown on your next month's statement. For tax purposes, these dividends will be reported on your annual 1099-DIV statement as income earned during 2007. You will not receive a 1099-DIV statement for any dividends paid to a retirement account because such income is tax-deferred.

Please see important disclosures regarding your account statement on page 2

2058

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Y ar R porting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 15 PM

MC
(Office Use Only)

DENNIS J. KUCINICH (202) 225-5871
(Full Name) (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: OHIO District: 10TH	☐ Officer or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	N ☐
IV. Did you, your spouse, or a dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

2059

Name DENNIS J. KUCINICH | Page 3 of 5

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Ass t and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value *at the end of the reporting period*. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Valu of Ass t

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Typ of Incom

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

	Block A		Block B												Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
SP	Examples	SP	Mega Corp. Stock				X									X			X						X								S (partial)
DC			Simon & Schuster	Indefinite																	Royalties									X			
JT			1st Bank of Paducah, KY Accounts					X									X									X							
			AMERICAN CROATIAN LODGE		✓											✓							✓										
			THIRD FEDERAL S&L			✓												✓						✓									
			CONG. FED. CREDIT UNION		✓																		✓										
			HANA HOLDING LLC (A)																			✓											
			DIGITAL NEWS REEL LLC (B)					✓														✓											

(A) HANA HOLDING IS INTELLECTUAL PROPERTY INCORPORATING AND LICENSING A TECHNOLOGY FOR WHICH NO FOR MARKET VALUE EXISTS.

(B) DIGITAL NEWS REEL IS A LICENSEE OF HANA HOLDING.

For additional assets and unearned income, use next page

2060

Name DENNIS J. KUCINICH Page 4 5

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage *on your personal residence (unless it is rented out)*; loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	AMERICAN EXPRESS	CREDIT CARD		✓								
	CONG. CREDIT UNION	LOAN		✓								
	THRIFT SAVINGS PROGRAM	LOAN		✓								

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child *from any source during the year.*

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. *Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.*

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
MEMBER	DIGITAL NEWS REEL, LLC
PARTNER	HANA HOLDING, LLC

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Cal ndar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 1:58
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

John R. Kuhl, Jr
(Full Name)

607-776-9142
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: New York District: 29th	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A $200 penalty shall be ass ssed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" respons .**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

2063

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NY State & Local Employees Retirement System	pension	$71,160.36

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e., plans in which you have the power, even if not exercised,* to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***Including all IRAs,*** indicate the type of income by checking the appropriate box below. ***Dividends and interest, even if reinvested, should be listed as income.*** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***Including all IRAs,*** indicate the category of income by checking the appropriate box below. ***Dividends and interest, even if reinvested, should be listed as income.*** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year. If *only* a portion of an asset is sold, please indicate as follows: (S) (partial). See below for example.

	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPT/ED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction (P, S, E)
SP	Mega Corp Stock					X									X			X						X								S (partial)
DC, Examples	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X										X						
JT	10 Gansevoort St. Bath						X									X								X								

For additional assets and unearned income, use next page.

2065

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your *personal residence* (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Five Star Bank	line of credit			X							
	EQUITABLE LIFE Insurance (AXA)	life ins. policy loans		X								
	Chase Bank	credit card loan		X								
	Chemung Canal Trust	car loan		X								

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. *Gifts with a value of $122* or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Valu
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
John Saunders, Daytona, Fla.	2 tickets - Daytona 500 (Ethics comm. approval - personal friend)	500.00

Use additi nal sheets if mor space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
President, Bd. of Directors	Reginald Ward Scout Memorial, Inc.

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: *future employment*; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	not applicable (none)	

Use additional sheets if mor space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 AM 11:08 MC

(Office Use Only)

Raymond H. LaHood
(Full Name)

202-225-6201
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: IL District: 18 ☐ Officer Or Employee — Employing Office:

Report Type (☑) Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone wh fil s more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ N ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ N ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ N ☑

2068

SCHEDULE I - EARNED INCOME

Name Raymond H LaHood

List the source, typ , and amount of earned inc me from any sourc (other than the filer's current employment by the U.S. Government) totaling $200 or more during th preceding cal ndar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Goodwill Industries of Central Illinois	Spouse Salary	N/A
Council of American Overseas Research Centers	Non Employee Compensation	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Raymond H. LaHood

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Archer-Daniels-Midland Co. Stock (100 shares)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	CEFCU Credit Union (Money Market Account)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Congressional Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
	Ford Motor Co. Del Com Par Stock (200 shares)	$1,001 - $15,000		NONE	P
SP	Goodwill Industries (401K)	$1,001 - $15,000	Deferred/Not self directed	N/A/Retirement	
SP	National City Retirement Plan	$15,001 - $50,000	Deferred/Not self directed	N/A/Retirement	

2070

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Raymond H. LaHood

SP	National City Savings & Investment Plan	$15,001 - $50,000	Deferred/Not self directed	N/A/Retirement
SP	TIAA-CREF Retirement Plan	$100,001 - $250,000	Deferred/Not self directed	N/A/Retirement

SCHEDULE IV - TRANSACTIONS

Name Raymond H. LaHood

Report any purchase, sale or exchange by you, your spous , or d pendent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or s ries of transactions exce ded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, y ur spouse, or your d pend nt child, or the purchase or sale of your personal residence, unless it is r nted out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Archer-Daniels-Midland Co. Stock (100 shares)	S	04/13/2007	$1,001 - $15,000
	Caterpillar Inc. Stock (100 shares)	S	04/13/2007	$1,001 - $15,000
	Caterpillar Inc. Stock (87 shares)	S	03/28/2007	$1,001 - $15,000
	General Electric Company Stock (50 shares)	S	10/01/2007	$1,001 - $15,000
	I2 Technologies (500 shares)	S	03/07/2007	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Raymond H. LaHood

Report liabilitl s of v r $10,000 ow d to any on creditor at any tim during th reporting p riod by you, y ur sp use, or dep nd nt child. Mark the high st amount owed during th year. Exclude: Any m rtgag on your personal residenc (unl ss all or part of it is rent d ut); loans s cur d by aut m bil s, household furniture, or appliances; and liabilities owed to a spous , or the child, parent, or sibling f you or your spou . R port "revolving charge acc unts" (i. ., credit cards) only if the balanc at th clo e f the preceding cal ndar year exceed d $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Direct Loans-U. S. Department of Education	Student Loan	$15,001 - $50,000
	First Bank, Peoria, IL (formerly Mid-American Bank)	Short Term/Misc. Loan	$15,001 - $50,000
SP	Heritage Bank, Peoria, IL	Personal Loan	$15,001 - $50,000
SP	National City Bank, Peoria	Credit Card	$10,001 - $15,000
	CEFCU, Peoria, IL	Short Term/Miscellaneous Loan	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Raymond H. LaHood

Identify the sourc and list travel itinerary, dates, and nature of xpenses provid d for trav l and travel-related expens s totaling more than $305 receiv d by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member acc mpanied the traveler at the ponsor's expense, and the amount f time, if any, that was not at the sponsor's expense. Disclosure is required regardle s of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related xpenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Aspen Institute Congressional Program	11/27/2007 - 12/02/2007	Peoria, IL-Atlanta, GA-Liberia-Costa Rica-Atlanta, GA-Peoria, IL	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Raymond H. LaHood

Report all positions, compensated or uncompensated, h ld during the current calendar year as an officer, dire tor, trust of an organization, partn r, proprietor, repr sentative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or oth r institution other than the United States. Exclude: Position held in any r ligious, social, fraternal, or political entities; positions sol ly of an honorary nature; and positions listed on Schedul I.

Position	Name of Organization
Trustee (Unpaid-Congressionally Mandated Position)	Gallaudet University

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 10: 34
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC
(Office Use Only)

Rep. Doug Lamborn
(Full Name)

202-225-4422
(Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives — State ____ District: ____	☐ Officer or Employee	Employing Office: ____
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date: ____

A $200 penalty shall b assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" resp nse.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS– Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	N ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

2076

Nam Rep. Doug Lamborn | Page 2 of 3

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Ass t and/or Income S urce

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), *provide the value and income information on* ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Valu f Ass t

Indicat value of asset at clos of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the report ng year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and Interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount f Incom

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and Interest, even if reinvested, should be listed as incom .** Check "None" if no income was received.

BLOCK F — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P
S
E

SP / DC / JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction
SP	Mega Corp Stock					X									X			X						X								S (partial)
DC (Examples)	Simon & Schuster			Indefinite																Royalties												
JT	1st Bank of Paducah, KY Accounts						X									X										X				X		
	Campaign loan - Lamborn for Congress				X												X				X											
	GMO U.S. Growth Fund			X														X					X									

For additional assets and unearned income, use next page.

2077

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Officer, Director	Douglas L. Lamborn, P.C. (law firm)

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 5:10
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

(Office Use Only)

Nicholas V. Lampson
(Full Name)

202-225-5951
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representative — State: TX District: 22 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ N ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ N ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

2079

SCHEDULE I - EARNED INCOME

Nam Nicholas V. Lampson

List the source, type, and amount of earned incom from any source (oth r than the fil r's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spous , list the source and amount of any honoraria; list only th s urce for other spouse earned inc me exceeding $1,000.

Source	Type	Amount
Beaumont Independent School District	Spouse Salary	N/A
Texas County and District Retirement System	Pension	$64,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nicholas V. Lampson

BLOCK A **Asset and/ r Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	1038 Parkmeadow, Beaumont, Texas	$50,001 - $100,000	RENT	$5,001 - $15,000	
JT	500 Independence, LLC	$1 - $1,000	None	NONE	
JT	7090 Prutzman, Beaumont, Texas	$15,001 - $50,000	RENT	$2,501 - $5,000	
SP	Calamos Global Growth & Income Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Congressional F C U Washington, DC	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Exelon Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nicholas V. Lampson

JT	Invisible Armor, Inc. Beaumont, Texas	$1,001 - $15,000	None	NONE
SP	John Hancock Classic Value Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200
SP	Lord Abbott	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
JT	Mid-County Teachers Credit Union, Nederland, Tx	$15,001 - $50,000	INTEREST	$201 - $1,000
JT	Neches Federal Credit Union, Beaumont, Tx	$1,001 - $15,000	INTEREST	$1 - $200
SP	O, G & E Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
SP	Smith Barney Money Market, Beaumont, Tx	$1,001 - $15,000	INTEREST	$1 - $200
JT	Texoga Technologies, Inc. The Woodlands, Tx	$50,001 - $100,000	None	NONE

SCHEDULE V - LIABILITIES

Name Nicholas V. Lampson

Rep rt liabilities f over $10,000 wed to any one creditor at any time during the reporting period by you, y ur spouse, or dep ndent child. Mark the highest amount wed during the y ar. Exclude: Any mortgage on your personal residenc (unless all or part of it is rented out); loans secured by automobiles, household furniture, or applianc s; and liabilities owed to a spouse, r the child, parent, or sibling of you or your spouse. Report "revolving charge acc unts" (i.e., credit cards) only if the balance at th clos of the preceding cal ndar year xceed d $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Walter Hall Estate, Dickinson, Texas	Personal Loan	$10,001 - $15,000
JT	GMAC Mortgage, Beaumont, Texas	Mortgage on 1038 Parkmeadow, Beaumont, Texas	$10,001 - $15,000
JT	Capital One Bank, Beaumont, Texas	Mortgage on 7090 Prutzman, Beaumont, Texas	$15,001 - $50,000
JT	Mid County Teachers Credit Unioin, Nederland, Texas	Personal Loan secured with savings	$15,001 - $50,000
JT	MBNA (NEA) MasterCard, Capital One Bank	Credit Card	$10,001 - $15,000
JT	VISA Card, Beaumont Area Educators F C U	Credit Card	$10,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:20
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

James R. Langevin
(Full Name)

(202) 225-2735
(Daytime Telephone)

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status ☑ Member of the U.S. House of Representative — State: RI District: 02 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ N ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ N ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ N ☑	

Each question in this part must be answered and the appr priate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions~	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2084

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James R. Langevin

BLOCK A **Asset and/ r Incom Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, *provide a complete address*. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Typ of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Am unt of Incom** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. *Dividends, even* if reinvested, should be listed as Income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sale (S), or exchanges (E) exceeding $1,000 in rep rting year.
1065 Frenchtown Road, East Greenwich, RI	None	None	NONE	S
14901 Park Lane Dr. #101, Ft. Meyers, FL	$100,001 - $250,000	None	NONE	
15 Jefferson St., Warwick, RI	$100,001 - $250,000	RENT	$15,001 - $50,000	
17 Scott Ave., Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
25 Scott Ave., Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
340 Shawmut Ave., Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	

2085

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James R. Langevin

65 Chesterfield, Warwick, RI	$100,001 - $250,000	RENT	$5,001 - $15,000	
9 Jefferson St., Warwick, RI	$100,001 - $250,000	RENT	$15,001 - $50,000	
Bank RI (savings)	$1,001 - $15,000	INTEREST	$201 - $1,000	
Blackrock Muni Enhanced Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	
Blackrock Munivest Fund II, Inc.	$15,001 - $50,000	DIVIDENDS/INTEREST	$1,001 - $2,500	
Bonnet Shores Beach Cabana	$15,001 - $50,000	RENT	$1,001 - $2,500	
Citizens Bank (savings)	$1,001 - $15,000	INTEREST	$201 - $1,000	
EAFE Select	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S(part)
Eaton Vance Managed Dividend Equity Fund	None	DIVIDENDS	$5,001 - $15,000	PS
Eaton Vance Nat'l Muni Fund	$100,001 - $250,000	DIVIDENDS/INTEREST	$5,001 - $15,000	
Investment Company of America	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S(part)
MS Charter Campbell (in Roth IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MS Charter Graham (in Roth IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MS Emerging Markets	$50,001 - $100,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James R. Langevin

	MS Value Fund A	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S(part)
	SunLife of Canada (insurance policy)	$500,001 - $1,000,000	INTEREST (from principal on premium)	$5,001 - $15,000	
	US Savings Bonds	$1,001 - $15,000	None	NONE	
	Van Kampen DJ Dividend Index	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S(part)
	Van Kampen Muni Invest SBI	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Washington Mutual	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	PS(part)
	Western Asset Managed Municipals Portfolio	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

SCHEDULE IV - TRANSACTIONS

Name James R. Langevin

Rep rt any purchas , sal or exchange by you, your spouse, r d pendent child during th reporting year of any real property, stocks, bonds, c mmodities futures, r oth r s curities when the am unt f the transaction r s ri s of transactions xceeded $1,000. Include transactions that resulted in a loss. Do n t rep rt a transaction b tween y u, your spouse, or your d p nd nt child, or th purchase or sai f your p rs nal residenc , unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	EAFE Select	S(part)	9-20-07	$50,001 - $100,000
	Investment Company of America	S(part)	sold monthly	(less than $1000 total)
	MS Value Fund A	S(part)	sold monthly	$1,001 - $15,000
	Van Kampen DJ Dividend Index	S(part)	9-5-07	$15,001 - $50,000
	Eaton Vance Tax Managed Dividend Equity Fund	PS	2-22-07 to 9-6-07	$50,001 - $100,000
	Eaton Vance Tax Managed Dividend Equity Fund	PS	10-10-07 to 12-18-07	$50,001 - $100,000
	Land 1065 Frenchtown Road, East Greenwich, RI	S	1-2-07	$250,001 - $500,000
	Washington Mutual	S(part)	sold monthly	$1,001 - $15,000
	Washington Mutual	P	3-23-07	$50,001 - $100,000
	Washington Mutual	P	2-27-07	$15,001 - $50,000
	MS Emerging Markets	P	12-18-07	$50,001 - $100,000

SCHEDULE V - LIABILITIES

Name James R. Langevin

Rep rt liabiliti s f ov r $10,000 w d to any one credit r at any tim during the rep rting period by you, y ur spouse, or d pendent child. Mark th highest am unt ow d during the year. Exclude: Any mortgage n your personal residenc (unl ss all or part of it is r nted ut); l ans secured by autom bil s, househ ld furniture, or appliances; and liabilities ow d t a spous , or th child, parent, r sibling f you r your sp use. Report "rev lving charge accounts" (i.e., credit cards) only if th balance at the cl se of the pr c ding calendar year xceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Washington Mutual Home Loans	Mortgage on 340 Shawmut, Warwick, RI	$250,001 - $500,000
	Washington Mutual Home Loans	Mortgage on 9 Jefferson, Warwick, RI	$100,001 - $250,000
	CitiMortgage	Mortgage on 25 Scott, Warwick, RI	$100,001 - $250,000
	Countrywide Home Loans	Mortgage on 17 Scott, Warwick, RI	$50,001 - $100,000
	Washington Trust (note: title is held by Dean Realty, with which I have no involvement - only the mortgage remains in my name. Therefore, the property is not listed on Schedule III.)	Mortgage on 170 Shannon, Warwick, RI	$50,001 - $100,000
	Washington Mutual Home Loans (note: title is held by Dean Realty, but mortgage is in my name - property is not listed on Schedule III)	Mortgage on 82 Elmdale, Warwick, RI	$100,001 - $250,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers and employees

HAND DELIVERED

2008 MAY 14 AM 9:39

MC (Office Use Only)

Richard Ray Larsen (Full Name)

202-225-2605 (Daytime Telephone)

Filer Status	✓ Member of the U.S. House of Representatives — State: WA District: 02	Officer Or Employee	Employing Office:
Report Type	✓ Annual (May 15)	Amendment	Termination — Termination Date:

A $200 penalty shall be assessed against anyone who fil s more than 30 days late

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓	No
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ✓
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No
IV.	Did you, your spouse, or dependant child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ✓	No
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes	No ✓
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ✓	No
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes	No ✓
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

2090

SCHEDULE I - EARNED INCOME

Name Richard Ray Larsen

List the source, type, and amount f earn d inc me from any source (ther than the filer's current employm nt by the U.S. Gov rnm nt) totaling $200 or more during the preceding calendar year. For a spous , list the source and amount f any h noraria; list only th source for other spouse arned income xceeding $1,000.

Source	Type	Amount
National Institutes of Health	Research Participation Fees	$1,080

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard Ray Larsen

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT).		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Incom** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount f Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Federal Congressional Credit Union/Savings	$1 - $1,000	INTEREST	$1 - $200	
JT	Federal Congressional Credit Union/Checking	$1,001 - $15,000	INTEREST	$1 - $200	
JT	AE ONE Account/Checking	$1,001 - $15,000	INTEREST	$1 - $200	
JT	AE High Yield Savings Account/Savings	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	Riversource Dividend Opportunity Fund A	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Riversource Diversfied Equity Income A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard Ray Larsen

JT	Riversource Small Cap Value Fund A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Self	Riversource Flexible Annuity/VP Large Cap Equity	$1,001 - $15,000	INTEREST	$1 - $200	
Self	Riversource Flexible Annuity/VP Balanced Fund	$1,001 - $15,000	INTEREST	$1 - $200	
Self	Riversource Variable Life Insurance/AIM V.I. Core Equity	$15,001 - $50,000	INTEREST	$201 - $1,000	P
Self	Riversource Variable Life Insurance/VP Large Cap Equity	$15,001 - $50,000	INTEREST	$201 - $1,000	P
Self	Richard R Larsen IRA/Riversource Diversified Equity Income Fund A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Self	Richard R Larsen IRA/Riversource Fundamental Value Fund A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	Other
Self	Richard R Larsen IRA/Riversource Fundamental Value Fund B: fund closed out in 07; converted to A shares fund	None	None	NONE	Other
Self	Richard R Larsen Roth IRA/Riversource Small Cap Value Fund A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	Other
Self	Richard R Larsen Roth IRA/Riversource Small Cap Value Fund B	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	Other
SP	Tiia Ingrid Karlen IRA/Riversource Large Cap Equity Fund A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Richard Ray Larsen

SP	Tiia Ingrid Karlen IRA/Riversource Large Cap Equity Fund B	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	Other
SP	Tiia Ingrid karlen TSCA/Riversource Fundamental Value Fund A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

Report any purchase, sale or exchang by y u, your spouse, r dep ndent child during the reporting year of any real pr perty, stocks, bonds, commodities futures, or other securiti s wh n the amount of the transaction or s ries of transactions exceed d $1.000. Includ transacti ns that resulted in a loss. Do not report a transaction b tween you, your spouse, or your dependent child, or the purchase or sal f your pers nal resid nce, unless it is r nted out. Provid a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
Self	Riversource Variable Life Insurance/AIM V.I. Core Equity/monthly purchase of life insurance	P	Monthly	$1,001 - $15,000
Self	Riversource Variable Life Insurance/VP Large Cap Equity/monthly purchase of life insurance	P	Monthly	$1,001 - $15,000
Self	Richard R Larsen IRA/Riversource Fundamental Value Fund A-conversion from B shares to A shares	Other	7-13-07	$1,001 - $15,000
Self	Richard R Larsen IRA/Riversource Fundamental Value Fund B-conversion to A shares from B shares	Other	7-13-07	$1,001 - $15,000
Self	Richard R Larsen Roth IRA/Riversource Small Cap Value Fund A-conversion from B shares to A shares	Other	7-13-07	$1,001 - $15,000
Self	Richard R Larsen Roth IRA/Riversource Small Cap Value Fund B-conversion to A shares from B shares	Other	7-13-07	$1,001 - $15,000
SP	Tiia Ingrid Karlen IRA/Riversource Large Cap Equity Fund A-conversion from B shares to A shares	Other	7-13-07	$1,001 - $15,000
SP	Tiia Ingrid Karlen IRA/Riversource Large Cap Equity Fund B-conversion to A shares from B shares	Other	7-13-07	$1,001 - $15,000

2095

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Richard Ray Larsen

Identify th source and list travel itin rary, dates, and nature f expens s provided for travel and travel-r lated xpens s totaling more than $305 re eived by you, your spouse, or a dep ndent child during the reporting period. Indicate whether a family memb r accompani d th trav l r at the sponsor's xp nse, and the amount of time, if any, that was not at the sponsor's exp nse. Disclosure is requir d regardless of whether th xpens s were reimburs d r paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to b separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
National Committee on US-China Relations	Aug 24-Sept 2	DC-Tokyo_Beijing	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 AM 9:51

MC

(Office use only)

John B. Larson (Full Name)	202-225-2265 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: CT District: 1st	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2097

SCHEDULE I - EARNED INCOME

Name John B. Larson

List the source, type, and amount of earn d income from any s urce (other than th fil r's current employment by th U.S. Government) totaling $200 or more during the preceding cal ndar year. For a spous , list the sourc and amount of any honoraria; list only the sourc for ther spouse earn d income exceeding $1,000.

Source	Type	Amount
Networking Concepts, LLC	Insurance Commissions for past services.	$21,040 Gross 16,549 Net
Aero-Med, LLC	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John B. Larson

	BLOCK A **Asset and/or Incom Sourc** Id ntify (a) each ass t held for investm nt or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirem nt plans r accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	American Eagle, F.C.U.	$1,001 - $15,000	INTEREST	$1 - $200	
	Congressional F.C.U.	$1,001 - $15,000	INTEREST	$1 - $200	
	Fidelity Investment SEP Not Self Directed	$100,001 - $250,000	DIVIDENDS INTEREST CAPITAL GAINS	$5,001 - $15,000	
	Fleet Bank CD (Matured and cashed out on 7/16/07)	None	INTEREST	$1 - $200	
JT	Fleet Bank Checking	$1,001 - $15,000	INTEREST	$1 - $200	
	Fleet Bank IRA CD	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John B. Larson

SP	Fleet Bank IRA CD	$1,001 - $15,000	INTEREST	$1 - $200	
	Networking Concepts, LLC	$1,001 - $15,000	Other: SEE SCHEDULE I	SEE SCHEDULE I	

SCHEDULE VIII - POSITIONS

Name John B. Larson

Report all positi ns, compensated or uncompensated, held during the current cal ndar year as an fficer, director, trustee of an organization, partner, proprietor, r presentative, mployee, r consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organizati n, any labor organization, or any ducational or other institution oth r than th United States. Exclude: Positions h ld in any religious, ocial, fraternal, r political ntities; positions solely f an honorary nature; and positions listed on Sch dule I.

Position	Name of Organization
Member	Network Concepts, LLC

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year R porting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 2:27
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Thomas P. Latham
(Full Name)

202-225-5476
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: Iowa District: 4	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall b assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	N [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and th appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

2102

SCHEDULE I—EARNED INCOME

List the source, typ , and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Latham Seed Co.	Salary	6,000

F r payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. ***Dividends and interest, even if reinvested, should be listed as income.*** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

	Block A	Block B: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	Block C: NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	Block D: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	Block E: If only a portion of an asset is sold, please indicate as follows (S) (partial) See below for example P S E
SP DC JT	Examples: Mega Corp. Stock			X						X								S (partial)
	Simon & Schuster		Indefinite								Royalties					X		
	1st Bank of Paducah, KY Accounts								X									
JT	Modderman Farm Alexander, IA 110.7 A				X				X						X			
JT	Latimer Farm Latimer, IA 93.7 A				X				X						X			
JT	Blom Farm Alexander, IA 76.5 A				X				X									

For additional assets and unearned income, use next page.

2104

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas P. Latham

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	401K Pension Plan American Funds								N/A				A	A				
	Individual Retirement Acct Smith Barney Fundamental Value Fund CL-C								N/A				A	A				
	First Citizens Nat'l Bank Clarion, Iowa			X									X					
	Green Circle Investments Inc. Common Stock - Bank Holding Co. For Peoples Trust + Savings Bank Adel, Iowa					X						X						
	Congressional Federal Credit Union																	
	Peoples Trust + Savings Bank Adel, Iowa											X						

This page may b copi d if more space is required.

2105

SCHEDULE III--ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas P. Latham

Pag 5 of 7

SP, DC, JT	BLOCK A Ass t and/or Incom Sourc	BLOCK B Y ar-End Valu of Asset: A None	C $1,001 - $15,000	E $50,001 - $100,000	G $250,001 - $500,000	I $1,000,001 - $5,000,000	K $25,000,001 - $50,000,000	BLOCK C Type of Income: NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 - $1,000	V $2,501 - $5,000	VII $15,001 - $50,000	IX $100,001 - $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Latham Seed Co. 1/3 Interest Common Stock, Seed Sales Alexander, Iowa							X				X						
	Farm Buildings Alexander, Iowa								X					X				
	DTB Farms, LLC Farm Operation Partnership Alexander, Iowa										Partnership							
	Latham Farms, LLC Farm Operation Partnership Alexander, Iowa				X						Partnership				X			
	Smith Barney Municipal Money Market FD-CL-A		X															

This pag may be copied if more space is required.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001-$50,000	E $100,001-$250,000	G $500,001-$1,000,000	I $5,000,001-$25,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del		X			
	Peoples Trust & Savings Bank Adel, Iowa	Unsecured					

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

Name Thomas P. Latham	Page 2 of 2

SCHEDULE VIII—POSITIONS

Report all positions, compensat d or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Consultant	Latham Seed Co., Alexander, Iowa

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Cal ndar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 2:42
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Steven C. LaTourette
(Full Name)

225-5731
(Daytime Telephone)

(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives — State: OHIO District: 14	☐ Officer or Employee — Employing Office:	***A $200 penalty shall be assessed against anyone who files more than 30 days late.***
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and th appropriate schedule attached for each "Yes" respons .**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

2109

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
Van Scoyoc and Associates		Spouse Salary	N/A

For payments to charity in lieu of h noraria, use Schedule II.

Name Steven LaFollette

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self-directed (*i.e., plans in which you have the power, even if not exercised,* to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***Including all IRAs,*** indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC Examples:	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X									X							
	Congressional FCU			X										X							X											
	" " (SP)						X										X						X									
	" " (JT)				X									X							X											

For additional assets and unearned income, use next page.

2111

HAND DELIVERED

RESOURCE CENTER

2008 MAY 12 PM 1:21

MC

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

Robert Edward Latta
(Full Name)

202-225-6405
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: OH District: 05 | Officer Or Employee | Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination | Termination Date:

A $200 penalty shall be assessed against anyone wh files more than 30 day late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ N ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appr priate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

2112

List th s urc , typ , and amount f earned income from any source (other than the filer's current mpl ym nt by the U.S. Government) totaling $200 or mor during the preceding calendar y ar. F r a spous , list the source and amount f any honoraria; list only the sourc f r oth r spous earned incom exc ding $1,000.

Source	Type	Amount
Ohio House of Representatives, Columbus, Ohio	Legislative Salary	$53,605.41
Robert E. Latta, Attorney at Law, Bowling Green, Ohio	Attorney fees	$5,571
Bowling Green State University, Bowling Green, Ohio	Salary-Spouse	n/a
Bowling Green State University *Foundation, Inc., Bowling Green, Ohio*	Salary-Spouse	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert Edward Latta

BLOCK A **Ass t and/or Incom Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Typ of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Incom** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if as et had purchas s (P), sales (S), or exchang s (E) exceeding $1,000 in reporting year.
JT	Fidelity Asset Manager 50%	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
SP	Fidelity Blue Chip Growth	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Fidelity Contrafund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	Fidelity Equity Income II	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
	Fidelity Equity Income II	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	Fidelity Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	

2114

JT	Fidelity Growth and Income	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
JT	Fidelity Low Priced Stock	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000
DC	Fidelity Puritan	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500
	Fidelity Puritan	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000
JT	Fidelity Puritan	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500
DC	Fidelity Puritan	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500
	Fidelity Value	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
SP	Fidelity VIP Equity Income Portfolio-Init	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
SP	Fidelity VIP Growth Portfolio-Init	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
	FirstMerit Bank, Wayne, Ohio	None	INTEREST	$201 - $1,000
	Huntington National Bank, fka Sky Bank, Bowling Green, Ohio	$100,001 - $250,000	INTEREST	$2,501 - $5,000
SP	ING MFS Total Return Portfolio-S	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200
SP	ING T Rowe Price Diver Mid Cap GR-1	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	NONE
SP	ING VP Index Plus MidCap Portfolio-1	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert Edward Latta

JT	Manor Care Inc., Toledo, Ohio	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Ohio Public Employees Retirement System-deferred benefit plan not self directed	$100,001 - $250,000	Other: Pension	n/a	
	Ohio Public Employees Retirement System-deferred benefits plan not self directed	$100,001 - $250,000	Other: Pension	n/a	
DC	Refcorp Zero	$15,001 - $50,000	INTEREST	$1 - $200	
DC	Resolution Funding Corporation	None	INTEREST	NONE	
DC	United States Treasury Bonds and Notes	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	Vanguard 500 Index Fund	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 1:09
MC
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Barbara Lee (Full Name)	(202) 225-2661 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: CA District: 9	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2117

'SCHEDULE I - EARNED INCOME

Name Barbara Lee

List the sourc , type, and amount of arned inc m from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the prec ding alendar year. For a spouse, list the sourc and amount of any h noraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Mills College, Oakland, CA	Approved Teaching Fee	$20,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Barbara Lee

BLOCK A **Ass t and/or Incom Sourc** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Allianz Small Cap Value Fund	$1,001 - $15,000	DIVIDENDS (reinvested)	$1 - $200	
California State Municipal Bonds (held in Merrill Lynch Cash Management Account)	None	INTEREST/Other: Gain from Sale	$5,001 - $15,000	
Charles Schwab Money Market Account	$1 - $1,000	INTEREST	$1 - $200	
Delaware Trend Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS *(reinvested)*	$201 - $1,000	
Mass. Investors Growth Fund	$1,001 - $15,000	None	NONE	
Merrill Lynch Cash Mangement Account	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Barbara Lee

	Savings Plus Socially Responsible Fund (held in State of CA Savings Plus Deferred Compensation Program)	$15,001 - $50,000	None	NONE	
	SPP Int'l Mg Fund (held in State of CA Savings Plus Deferred Compensation Program)	$15,001 - $50,000	None	NONE	
	SPP Mid Cap Mg Fund-Growth (held in State of CA Savings Plus Deferred Compensation Program)	$1,001 - $15,000	None	NONE	
	US Treasury Bills	None	Sale Proceeds	$5,001 - $15,000	PS
	Van Kamp Growth Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Vanguard Total Bond Market Index Fund (held in State of CA Savings Plus Deferred Compensation Program)	$15,001 - $50,000	Interest/Gain (Reinvested)	$1,001 - $2,500	
	Xerox Corporation Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

Report any purchase, sale or exchange by you, your spouse, or depend nt child during the reporting year of any real property, stocks, bonds, commoditi s futures, or other se unities when the amount of th transa tion r seri s of transactions exceeded $1,000. *Include transactions that resulted in a loss. Do not report a* transaction betwe n you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	US Treasury Bills	P	6-1-07	$1,001 - $15,000
	US Treasury Bills	S(part)	7-10-07	$1,001 - $15,000
	US Treasury Bills	S(part)	7-17-07	less than $1,000
	US Treasury Bills	S(part)	7-30-07	$1,001 - $15,000
	US Treasury Bills	S(part)	8-13-07	$1,001 - $15,000
	US Treasury Bills	S	9-4-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Barbara Lee

Rep rt liabiliti s of ov r $10,000 owed t any one creditor at any tim during the reporting period by you, your spous , or dep ndent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residenc (unless all or part of it is rented out); loans secured by automobiles, hous hold furniture, or applian s; and liabiliti s owed to a spouse, or the child, parent, or sibling of you or y ur spouse. Report "r volving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Wright-Patman Congressional Federal Credit Union	Personal Loan (paid off in January, 2008)	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Barbara Lee

Identify th source and list travel itin rary, dates, and nature of expens s provid d for travel and trav l-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of tim , if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the *Foreign Gifts and Decorations Act* (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expens
Congressional Black Caucus Institute-Participated in regional outreach event and panel.	Oct. 12-14	DC-Dallas, TX-DC	Y	Y	N	None
Congressional Black Caucus Foundation-Meetings with govt. Officials and attended World AIDS Day activities.	Nov. 26-Dec. 1	DC-Johannesberg, Pretoria, South Africa-DC	Y	Y	N	None
Central United Methodist Church-Accepted Maryanne Award for Peace & Justice	Dec. 2-3	DC-Detroit, MI-DC	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Barbara Lee

Report all positions, compensated or uncompensated, h ld during the current calendar year as an officer, dire tor, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business nterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, r political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	WILL/WAND (Nonprofit Public Benefit Corporation)
Board Member	Project Vote Smart (Nonprofit Public Benefit Corporation)
Trustee	Ghana Children's Fund (Nonprofit Public Benefit Corporation, a Project of the East Bay Community Foundation)
Honorary Chairman	The Global AIDS Alliance (Nonprofit Public Benefit Corporation)
Advisory Board Member	American Progressive Caucus Foundation (Nonprofit Public Benefit Corporation)
Board Member	Congressional Black Foundation, Inc.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 12: 25
(Office Use Only) MC

Sander M. Levin
(Full Name)

202-225-4961
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: MI District: 12 ☐ Officer Or Employee — Employing Office: HOUSE OF REPRESENTATIVES 1D

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone wh files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ N ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ N ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

2125

SCHEDULE I - EARNED INCOME

Name Sander M. Levin

List the source, type, and amount of earned income from any sourc (other than the filer's current employm nt by the U.S. G vernment) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount f any honoraria; list only the source for other spouse earned income xceeding $1,000.

Source	Type	Amount
Victoria Levin, National Institute of Health	Spouse Salary	$104,971.11

	BLOCK A **Ass t and/or Income S urc** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Typ of Incom** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Inc me** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchase (P), sales (S), or exchanges (E) exceeding $1,000 in reporting y ar.
	1/2 interest in 78 acres, Tyrone Township, Michigan	$283,600	N/A	NONE
JT	1/6 interest in 18 acres, Tyrone Township, Michigan	$25,433	N/A	NONE
	1/9 undivided interest in Levinson-Levin Properties, L.L.C., commercial real estate, West Maple, Birmingham, Michigan	$105,315	RENT	$5,001 - $15,000
	1/9 undivided interested in Levinson-Levin Properties. L.L.C., commercial real estate, West Maple, Birmingham, Michigan	$212,000	Rent	$15,001 - $50,000

2127

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sander M. Levin

	5.3% interest in LRS Co., a general partnership owning real estate in Romulus and Pontiac Townships, Michigan and accounts receivable	$1,001 - $15,000	N/A	NONE	
	5.3% interest in Michigan mineral rights previously held by LNS Co., a general partnership now liquidated (see attached)	$15,001 - $50,000	Royalties	$5,001 - $15,000	
SP	CMA Account: American Capital Income Builder CL C	$27,686.42	DIVIDENDS/CAPITAL GAINS	$27,070.14	S(part)
SP	CMA Account: American Income Fund of America CL C	$27,496.32	DIVIDENDS/CAPITAL GAINS	$25,086.15	S(part)
SP	CMA Account: American Mutual Fund Class C	$6,087.35	DIVIDENDS/CAPITAL GAINS	$771.58	S(part)
SP	CMA Account: American Tax Exempt Fund of Maryland CL C	$28,402.07	DIVIDENDS	$1,934.73	S(part)
JT	House, Lot 4, West Tisbury, Mass.	$1,141,007	RENT	$15,001 - $50,000	
SP	IRA: American Bond Fund of America Cl C	$6,237.31	DIVIDENDS	$255.49	
SP	IRA: American Capital Income Builder CL C	$8,348.06	DIVIDENDS/CAPITAL GAINS	$724.60	S(part)
SP	IRA: American Income Fund of America CL C	$7,429.33	DIVIDENDS/CAPITAL GAINS	$726.37	S(part)
	IRRA: American Bond Fund of America CL C	$19,867.14	DIVIDENDS	$884.83	
	IRRA: American Capital Income Builder CL C	$27,126.51	DIVIDENDS/CAPITAL GAINS	$2,321.28	S(part)/P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ATTACHMENT:

5.3% interest in Michigan mineral rights previously held by LNS Co., a general partnership now liquidated: *Quicksilver Pipeline LLC, assignee from previous lease - income -* $1,021; Dominion Midwest Energy, assignee from previous lease - income - $7,359; Merit Energy - $20 and Muskegon Development Co., an assignee from previous lease - income - $181. The year-end value of these interests are estimated herein based on latest available information and technical advice.

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Sander M. Levin

	IRRA: American Income Fund of America CL C	$21,384.85	DIVIDENDS/CAPITAL GAINS	$2,123.84	S(part)/P
JT	Loan: Clark and Bonnie Barnes, Victoria Rd., Tyrone Township, Livingston Co., Michigan	$1,001 - $15,000	N/A	NONE	
JT	Martha's Vineyard Cooperative Bank	$1,001 - $15,000	INTEREST	$1 - $200	
SP	ML Bank Deposit Program	$28,267.27	INTEREST	$2,156	
	Wachovia Bank	$1,001 - $15,000	INTEREST	$1 - $200	
	Wright Patman Federal Credit Union	$1,001 - $15,000	None	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Sander M. Levin

R port any purchas , sale r xchange by y u, y ur spouse, or d p nd nt child during th reporting y ar of any r al property, stocks, bonds, commodities futures, or oth r securities when th am unt of the transaction or series of transactions exc eded $1,000. Includ transactions that resulted in a loss. Do not report a transaction betw n you, your spous , or your d pend nt child, or th purchase or sale of your p rsonal resid nc , unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	American Tax Exempt Fund of Maryland CL C	S	09-20-07	$5,000
SP	American Tax Exempt Fund of Maryland CL C	S	09-27-07	$5,000
SP	American Tax Exempt Fund of Maryland CL C	S	10-09-07	$6,700.01
SP	American Tax Exempt Fund of Maryland CL C	S	10-24-07	$2,500
SP	American Tax Exempt Fund of Maryland CL C	S	10-30-07	$7,250
SP	American Tax Exempt Fund of Maryland CL C	S	11-02-07	$7,999.99
SP	American Tax Exempt Fund of Maryland CL C	S	12-10-07	$3,333
SP	American Income Fund of America CL C	S	1-09-07	$2,999.99
SP	American Income Fund of America CL C	S	1-12-07	$2,500
SP	American Income Fund of America CL C	S	4-10-07	$22,500
SP	American Income Fund of America CL C	S	7-16-07	$5,000
SP	American Income Fund of America CL C	S	9-20-07	$5,000

SCHEDULE IV - TRANSACTIONS

Name Sander M. Levin

R port any purchas , sale or exchange by you, your spouse, or d p ndent child during the reporting year of any real property, tocks, bonds, commodities futures, or oth r s curities when the amount of the transaction or series f transactions exceed d $1,000. Includ transacti ns that resulted in a loss. Do not report a transaction b tween you, your spouse, or your d pend nt child, or the purchas or sale of y ur p rsonal residence, unl ss it is rented ut. Provid a bri f

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	American Income Fund of America CL C	S	9-27-07	$5,000
SP	American Income Fund of America CL C	S	10-09-07	$6,700.01
SP	American Income Fund of America CL C	S	10-24-07	$1,500.01
SP	American Income Fund of America CL C	S	10-30-07	$7,250.01
SP	American Income Fund of America CL C	S	11-02-07	$8,000
SP	American Income Fund of America CL C	S	12-10-07	$3,333
SP	American Capital Income Builder CL C	S	1-09-07	$3,000.02
SP	American Capital Income Builder CL C	S	1-12-07	$2,500.01
SP	American Capital Income Builder CL C	S	4-10-07	$22,499.98
SP	American Capital Income Builder CL C	S	7-16-07	$5,000.02
SP	American Capital Income Builder CL C	S	9-20-07	$4,999.97
SP	American Capital Income Builder CL C	S	9-27-07	$5,000

SCHEDULE IV - TRANSACTIONS

Name Sander M. Levin

Report any purchase, sale or exchang by you, your spous , or dep nd nt child during the reporting year of any real prop rty, st cks, bonds, comm ditles futur s, or other securities when the amount f the transaction or seri s of transactions xceed d $1,000. Include transactions that resulted in a loss. *Do not report a* transaction betw en you, your spous , or your d pend nt child, r th purchas or sale of your personal resid nc , unless it is rented out. Provide a brl f

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	American Capital Income Builder CL C	S	10-09-07	$6,599.98
SP	American Capital Income Builder CL C	S	10-24-07	$999.99
SP	American Capital Income Builder CL C	S	10-30-07	$4,999.99
SP	American Capital Income Builder CL C	S	11-02-07	$8,000.03
SP	American Capital Income Builder CL C	S	12-10-07	$3,332.99
SP	American Mutual Fund Class C	S	10-30-07	$499.99
SP	American Mutual Fund Class C	S	11-02-07	$999.99
SP	IRA: American Income Fund of America CL C	S	01-12-07	$499.99
SP	IRA: American Capital Income Builder CL C	S	01-12-07	$500.02
	IRRA: American Income Fund of America CL C	S	01-12-07	$1,500
	IRRA: American Capital Income Builder CL C	S	01-12-07	$1,500
	IRRA: American Income Fund of America CL C	S	01-16-07	$34.73

SCHEDULE IV - TRANSACTIONS

Name Sander M. Levin

Report any purchase, sale or exchange by you, your spous , or dependent child during the rep rting year of any real pr perty, stocks, bonds, commodities futur s, or other securities when the amount f the transaction r s ri s f transactions exceeded $1,000. Includ transactions that resulted in a loss. Do not report a transaction between you, your sp us , r your dependent child, or the purchas or sale f your personal r sidenc , unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IRRA: American Capital Income Builder CL C	P	At various times throughout the year	$2,321.28
	IRRA: American Income Fund of America CL C	P	At various times throughout the year	$2,123.84

SCHEDULE V - LIABILITIES

Name Sander M Levin

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting p riod by you, y ur spouse, or dependent child. Mark the high st am unt owed during the year. Exclude: Any mortgage on your personal residenc (unless all or part f it is rented out); loans s cured by automobiles, househ ld furniture, or appliances; and liabiliti s owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charg accounts" (i. ., credit cards) only if the balance at the clos of th preceding calendar year xceed d $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Countrywide Home Loans	Mortgage, West Tisbury, Mass.	$250,001 - $500,000
JT	E-Trade	Mortgage, West Tisbury, Mass.	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Sander M. Levin

Identify the source and list trav l itinerary, dates, and nature of expenses provided for trav l and travel-related xpenses totaling more than $305 r ceived by you, your spouse, or a dep ndent child during the reporting period. *Indicat whether a family m mber* accompanied the traveler at th ponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardl ss of whether the xpenses w re reimbursed r paid directly by the sponsor. Exclude: Travel-related expenses provided by fed ral, state, and local governments, or by a foreign gov rnment required to be separat ly reported und r the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provid d to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's exp ns
The Aspen Institute Congressional Program	May 28-June 3, 2007	Detroit-Ljubljana, Slovenia-Wash., DC	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Sander M. Levin

Report all positions, compensated or uncomp nsated, h ld during th current calendar y ar as an offic r, director, trustee of an rganizati n, partner, propri tor, repr sentative, employee, or consultant of any corporation, firm, partnership, r any business enterpris , any nonprofit organization, any labor rganization, r any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entiti s; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	LRS Company
Member	Levinson-Levin Properties, L.L.C.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

HAND DELIVERED

Jerry Lewis
(Full Name)

(202)225-5861
(Daytime Telephone)

2008 MAY 13 PM 1:01 HC
(Office Use Only)

Filer Status ✓ Member of the U.S. House of Representative — State: CA District: 41 — ☐ Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone wh files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Disclosure Statement R. Spouse Gifted out

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ✓	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ✓	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ✓	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ✓	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ✓	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ✓	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ✓ No ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ✓

2138

SCHEDULE I - EARNED INCOME

Name Jerry Lewis

List the source, type, and amount of earned income from any source (th r than the filer's current employm nt by the U.S. Gov rnment) totaling $200 or m r during the preceding cal ndar year. For a spouse, list the source and amount of any h noraria; list only th sourc f r ther spouse earned inc m exce ding $1,000.

Source	Type	Amount
Legislators' Retirement System, State of CA	Legislative Pension	$4,176
Penn Mutual Life Insurance Agents' Retirement	Agents Retirement	$5,816.84

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jerry Lewis

BLOCK A **Asset and/or Incom Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans *in which you have the power, even if not exercised, to select the specific* investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans *that are not self-directed, name the institution holding the account and* its value at the end of the reporting period. For an active business that is *not publicly traded, state the name of the business, the nature of its* activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any *debt owed to you by your spouse, or by your or your spouse's child,* parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Incom** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: *Partnership Income or* Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be *listed as income. Check* "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases *(P)*, sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Arrowhead Credit Union	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
Chas. Schwab IRA distribution	$50,001 - $100,000	Distribution	$2,501 - $5,000	
Chas.Schwab Money Market Fund	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
Congressional Federal Credit Union	$50,001 - $100,000	INTEREST	$201 - $1,000	
Legislators Retirement System CA	$100,001 - $250,000	Distribution	$2,501 - $5,000	
Lewis Family Trust-1/4th Sh.	$15,001 - $50,000	Distribution	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jerry Lewis

Penn Mutual Life Insurance Co.--retirement	$100,001 - $250,000	Distribution	$5,001 - $15,000
Security Bank of California-- 2200 Shs. $15.90	$15,001 - $50,000	NONE	NONE
Security Bank of California-- Certificate of Deposit	$100,001 - $250,000	INTEREST	$2,501 - $5,000

SCHEDULE IX - AGREEMENTS

Name Jerry Lewis

Id ntify the date, parti s to, and gen ral terms of any agreement or arrang m nt with respect t : future employm nt; a leave f abs nce during th period of government service; continuati n or deferral f payments by a form r or current employer oth r than the U.S. Government; or continuing participati n in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1959	Penn Mutual Life Insurance Co	Agents Retirement Plan
1969	Legislative Retirement System	State of California

CONTINUATION OF EDWARD R. LEWIS AND ELAINE L. LEWIS TRUST

The undersigned, Richard J. Lewis, Edward R. Lewis, Jr., C. Jeremy Lewis, and John C. Lewis are the vested sole beneficiaries of the Edward R. Lewis and Elaine L. Lewis Trust executed June 15, 1983, a copy of which is attached hereto. Following the deaths of Edward R. Lewis and Elaine L. Lewis, outright distribution has been made of some of the assets of this Trust, but the assets listed on Schedule A attached hereto continue to be held by C. Jeremy Lewis as Trustee of said Trust for the benefit of all of the undersigned.

The undersigned hereby agree that said property shall continue to be held, administered, and distributed by Trustee of said Trust in accordance with the provisions of said Trust as modified by this instrument.

1. Purpose. The purpose of this Trust as hereby extended shall be for Trustee to manage the assets of this Trust, coll ct all payments on obligations owed, divide and distribute the proceeds equally between us, liquidate all tangible assets of this Trust, and make distribution of the net proceeds to us.

2. Term. The term of this Trust shall be for so long as necessary to sell the real property and obtain complete payment on all notes and contracts which are the subject of this Trust, or for 10 years from the date of this instrument, whichever first occurs. At the expiration of this Trust, outright distribution shall be made by Trustee to the undersigned in equal shares, if all of them living, or to the successor beneficiaries of each of us as designated on the beneficiary designation of each, if any of us is not living at the time a distribution is to be made.

3. Beneficiary Designations. For so long as each of us are living, all distributions shall be made in equal shares to each of us, with Trustee holding such reserves as Trustee may deem appropriate for the management of the Trust estate. Upon the death of any of us prior to the termination of this Trust, the share of this Trust allocable to such deceased person and all distributions being made for such person shall be delivered to the beneficiaries designated for such person in accordance with the Beneficiary Designation of such deceased person delivered to Trustee. If no Beneficiary Designation has been executed by any of us at the time of the death of any of us or Trustee has not received the original of such Beneficiary Designation when a distribution is to be made, distribution of such deceased person's share shall be made without the need for any probate administration to the beneficiaries of such deceased person's last Will, or if there is no Will, to such deceased beneficiary's intestate heirs according to California's laws of intestate succession. If Trustee has any doubt as to the appropriate distribution to be made, Trustee may, in Trustee's sole discretion, make distribution to the estate of the one of us as t

when the ambiguity exists or may petition the Court for instructions as to the appropriate distribution. Each of us may modify his Beneficiary Designation at any time by sending to Trustee a duly executed revision and, upon receipt of such change of Beneficiary Designation by Trustee, such change shall be effective.

3.1 Each of us shall be considered as the primary beneficiary of his share of this Trust, and the designated successor beneficiaries shall have no rights or powers concerning this Trust until the interests of such successor beneficiaries vest upon the death of one of us.

3.2 Notwithstanding anything set forth above, Trustee may, in Trustee's sole discretion, at any time elect to distribute all of the assets of this Trust in undivided shares equally between the four primary beneficiaries of the Trust; provided, however, if any of us is then deceased, the distribution of the share of such deceased one of us shall be made to the designated beneficiaries of such deceased person.

4. Additional modifications of Trust. This Trust may be amended at any time by written document executed by the four of us and may be revoked by written document executed by the four of us. It may not be amended or revoked in any other manner.

Executed effective December 1, 1989.

Richard J. Lewis

Edward R. Lewis, Jr.

C. Jeremy Lewis

John C. Lewis

Approved as to form:
GRESHAM, BARNES, SAVAGE, NOLAN & TILDEN

By: Philip H. Savage, III

All assets of the Edward R. and Elaine Lewis Trust dated June 15, 1983 remaining Following outright distributions after the death of Edward R. Lewis on May 13, 1988, Including but not limited to the following as of December 31, 2007

Lot 32 Area 43,608 sq. ft. in Block 265 as delineated on the map entitled Hawaiian Ocean View Estates which said map was filed in the Bureau of Conveyances of the State of Hawaii 4-5-62 as File Plan 787.

Real property in San Bernardino County, a., subject to contract for sale dated November 5, 1999 for $39,000 by David T. Kupfer.
Real property in San Bernardino County, Ca., subject to contract for sale dated 3, 1997, for $30,000 by Robert and Betty Lou Haisch.
Real property in San Bernardino County, Ca., subject to contract for sale dated February 22, 2005, for $40,000 by Helena Bongartz.
Real property in San Bernardino County, Ca. subject to contract for sale dated February 22, 2005, for $30,000 by Helena Bongartz.
Real property in San Bernardino County, Ca. subject to contract for sale dated February 1, 2005, for $24,000 by Cliff and Toni McDonald.
Real property in San Bernardino County, Ca. subject to contract for sale dated May 1, 2000 for $32,000 by Timothy and Florance Haisch.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Arlene M. Willis
(Full Name)

(202)225-5861
(Daytime Telephone)

(Office Use Only)

Filer Status: ☐ Member of the U.S. House of Representative — State: District: — ☑ Officer Or Employee — Employing Office: Jerry Lewis, M. C.

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who fil s more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Disclosure Statement & Spouse attached

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	No ☑

Each question in this part must be answered and the appropriat schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

SCHEDULE I - EARNED INCOME

Name Arlene M. Willis

List the sourc , typ , and amount f arned income fr m any source (oth r than the filer's curr nt mploym nt by the U.S. G vernment) totaling $200 r more during the preceding calendar year. For a spouse, list th source and amount f any hon raria; list only the source f r oth r spouse earned inc me xc eding $1,000.

Source	Type	Amount
Legislators' Retirement System, State of CA (Spouse)	Legislative Pension	$4,176
Penn Mutual Life Insurance Agents' Retirement (Spouse)	Agents Retirement	$5,816.84

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Arlene M. Willis

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Incom** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Arrowhead Credit Union	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
Chas. Schwab IRA distribution	$50,001 - $100,000	Distribution	$2,501 - $5,000	
Chas. Schwab Money Market Fund	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
Congressional Federal Credit Union	$50,001 - $100,000	INTEREST	$201 - $1,000	
Legislators Retirement System (CA)	$100,001 - $250,000	Distribution	$2,501 - $5,000	
Lewis Family Trust 1/4 sh.	$15,001 - $50,000	Distribution	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Arlene M. Willis

Penn Mutual Life Insurance Co.retirement	$100,001 - $250,000	Distribution	$5,001 - $15,000
Security Bank of California Certificate of Deposit	$100,001 - $250,000	INTEREST	$2,501 - $5,000
Security Bank of California-- 2200 Shs. $15.90	$15,001 - $50,000	None	NONE

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting P riod

Form A
For use by Members, officers, and employees

HAND DELIVERED

(Office Use Only)

Ronald Edward Lewis
(Full Name)

202-225-3501
(Daytime Telephone)

Filer Status	☑ Member of the U.S House of Representatives	State: Ky District: 2	☐ Officer or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	N ☑
Each question in this part must be answered and the appropriate schedule attached for each "Yes" r sponse.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

2150

Name	Ron Lewis	Page 1 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Bank of New York	Spouse Pension	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Ron Lewis

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a *fair market* value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For *retirement plans* that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business *that is not publicly traded*, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows. (S) (partial) See below for example.

			Block A	Block B											Block C							Block D											Block E
				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, or E
SP		SP	Mega Corp. Stock					X									X			X						X							S (partial)
DC	Examples		Simon & Schuster			Indefinite															Royalties									X			
JT			1st Bank of Paducah, KY Accounts						X									X									X						
SP			AK STEEL HLDG			X										X									X								
SP			CHESAPEAKE ENERGY				X									X							X										
SP			NORTEL NETWORKS CORP		X											X						X											
SP			BLACK ROCK EQUITY			X											X			X			X										
SP			HARTFORD CAPITAL APPR			X										X						X											
SP			LORD ABB. AFIL.			X											X						X										

For additional assets and unearned income, use next page.

2152

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Nam: Ron Lewis

Page 3 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Y ar-End Value of Ass t: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	F $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Typ of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	LORD ABBETT BOND M.			X										X									X									
SP	MUNDER FUTURE TECH			X										X							X											
SP	NEW ECONOMY (MF)			X											X			X				X										
SP	OPPENHEIMER MAIN ST FUND			X											X			X				X										
SP	OPPENHEEIMER GLOBAL			X											X			X					X									
SP	OPPENHEEIMER CHAMPION		X												X							X										
SP	HERITAGE MID CAP STOCK			X											X								X									P
SP	FRANKLIN RISING DIVIDEND			X											X			X					X									P

This page may be copied if more space is required.

Name RON LEWIS

Page 1 of 2

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
JT	HOUSE INHERITED FROM FATHER		X		11-21-07				X						
SP	AK STEEL HLDG CORP	X			3-12-07	X									
SP	ALLIANCE CAPITAL MANAGEMENT		X		2-9-06	X									
SP	VAN KAMPEN SELECT 10 (PS)		X		3-6-06	X									
SP	" " " "		X		7-6-06		X								
SP	TEMPLETON DEVELOPING MARKETS		X		9-11-06	X									

This page may be used if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 JUN 12 PM 1:38

HC

John Linder
(Full Name)

202-225-4272
(Daytime Telephone)

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representative — State: GA District: 07 ☐ Officer Or Employee — Employing Office

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTION

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐

If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑

If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐

If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐

If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐

If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Ye ☐ N ☑

If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Ye ☑ No ☐

If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☐ N ☑

If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ N ☑

If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTION

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name John Linder

List th s urce, typ , and am unt of arned inc me fr m any s urce (other than the filer's current mployment by th U.S. G vernment) totaling $200 r m re during the prec ding calendar year. F r a spouse, list the s urce and am unt of any hon raria; list only the sourc f r oth r sp use earn d inc me exc eding $1,000.

Source	Type	Amount
State of Georgia	Legislative Pension	$6,360

	BLOCK A **Asset and/or Inc m S urce** Identify (a) each asset held for investment or production of inc me with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., *plans in which you have the power*, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type f Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Incom** F r retirement plan or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transacti n** Indicate if asset had purchases (P), sales (S), r exchanges (E) exceeding $1,000 in reporting year.
SP	Cedarwild Resort	None	CAPITAL GAINS	$100,001 - $1,000,000	S
	Grayling Industries, Inc.	None	CAPITAL GAINS	Over $5,000,000	S
	HarperCollins	Indefinite	Royalties	$50,001 - $100,000	
	Note Receivable–Grayling Industries	$1,000,001 - $5,000,000	None	NONE	
SP	Schwab Money Market	$1,000,001 - $5,000,000	INTEREST	$15,001 - $50,000	
	Schwab Money Market	$1,000,001 - $5,000,000	INTEREST	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Lind r

JT	SunTrust Money Market	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
SP	The Fairholme Fund (IRA)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S(part)
	The Fairholme Fund (IRA)	$1,000,001 - $5,000,000	DIVIDENDS	$15,001 - $50,000	
JT	The Fairholme Fund (IRA)	$1,000,001 - $5,000,000	DIVIDENDS	$15,001 - $50,000	
SP	Turkey Deer Trace LLC (IRA)--consists of cash and ownership interest in Turkey Buzzard Timber Co. LLC	$100,001 - $250,000	None	NONE	P
SP	Turkey Buzzard Timber Co. LLC--timber land in MS (previously listed as "Farmland in Marshall, MS"	$500,001 - $1,000,000	None	NONE	
SP	Turkey Neck Farms LLC--timber land in MS	$100,001 - $250,000	None	NONE	P

SCHEDULE IV - TRANSACTIONS

Name John Linder

Report any purchase, sal or exchang by you, your spouse, r depend nt child during th reporting year of any real pr perty, stocks, bonds, c mm dities futures, or ther securities wh n the amount of the transaction or s ries of transacti ns exc eded $1,000. Include transactions that resulted in a loss. Do n t report a transaction between you, your sp use, r your dependent child, or th purchase or sale f y ur pers nal residence, unless it l rented ut. Provide a

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transactio
	Grayling Industries	S	August 2007	$5,000,001 - $25,000,000
SP	Cedarwild Resort	S	January 2007	$500,001 - $1,000,000
SP	Turkey Neck Farms LLC--timber land in MS	P	March 2007	$100,001 - $250,000
SP	Turkey Deer Trace LLC (IRA)--consists of cash and ownership interest in Turkey Buzzard Timber Co. LLC	P	March 2007	$100,001 - $250,000
SP	Fairholme Fund (IRA)	S(part)	March 2007	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name John Linder

Rep rt liabilities f over $10,000 owed t any one creditor at any time during the rep rting peri d by you, your spous , or depend nt child. Mark the high st amount owed during the year. Exclud : Any mortgage on your pers nal residenc (unle all or part f it is rented ut); loans secur d by autom biles, hou ehold furniture, r applianc s; and liabilities wed to a sp use, r the child, parent, r sibling f y u or y ur spouse. Report "revolving charg accounts" (i.e., credit cards) nly if th balance at th cl f th preceding cal ndar year exceed d $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Mississippi Land Bank	Mortgage on Turkey Neck timber land in MS	$100,001 - $250,000
SP	Mississippi Land Bank	Mortgage on Turkey Buzzard timber land in MS	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Linder

Identify th source and list travel itinerary, dates, and nature of xpens pr vided f r travel and trav l-related expenses totaling more than $305 received by you, your sp use, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at th spons r's expense, and the amount of tim , if any, that was not at th sponsor's expens . Disclosure is required regardl ss of wh ther the expenses wer reimbursed r paid directly by the spon r. Exclude: Travel-related expens s provided by f deral, state, and local g vernm nts, r by a foreign governm nt required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sp nsor's exp ns
Heritage Foundation	February 1-3	DC-Baltimore-DC	Y	Y	N	None
Yale Political Union	April 11-12	DC-Hartford-DC	Y	Y	N	None
Baker Tilly International	June 3-4	DC-Santa Fe-DC	Y	Y	N	None
Franklin Center for Global Policy Exchange	August 12-17	DC-Berlin-DC	Y	Y	N	None

FOOTNOTES

Name John Lind r

Numb r	S tion / Schedule	Footnot	This note r f rs to the f llowing it m
1	Schedule III	Turkey Deer Trace LLC purchased an interest in the property held by Turkey Buzzard Timber Company. As both Turkey Buzzard and Turkey Trace are both owned by SP, the sale/transfer is not reported.	

HAND DELIVE

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:50
MC
FILING ID (Office Use Only)

Daniel William Lipinski (Full Name)

2022255701 (Daytime Telephone)

Filer Status	☑ Member of the U.S House of Representative — State: IL District: 03	☐ Officer Or Employee — Employing Office	**A $200 penalty shall be assessed against anyone who fil s more than 30 days late.**
Report Type	☑ Annual (May 15) ☐ Amendment ☐ Termination	Termination Date:	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent ch ld receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2163

SCHEDULE I - EARNED INCOME

Name Daniel William Lipinski

List the source, type, and amount of earn d inc me from any source (oth r than the fil r's current employment by the U.S. Government) t taling $200 r more during the pr ceding cal ndar year. For a spouse, list the s urc and amount of any honoraria; list nly th source f r oth r spouse earned incom xceeding $1,000.

Source	Type	Amount
Coventry Health Care	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name *Daniel William Lipinski*

	BLOCK A **Ass t and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which *generated more* than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not *self-directed, name the institution holding the account* and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, *parent or sibling; any deposits totaling $5,000 or less in personal* savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other *than fair market value,* please specify the method used. If an asset was sold and is included only because It is generated Income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other *than one of the listed* categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. *Dividends, even* if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** *Indicate if asset* had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	401k American Fund Growth Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	401k Coventry Stock	$50,001 - $100,000	None	NONE	
SP	401k Equity Index Trust	$15,001 - $50,000	None	NONE	
	401k Fidelity Contrafund	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	401k Fidelity Low Price Stock Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	401k Fidelity Puritan Fund	$15,001 - $50,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Daniel William Lipinski

SP	401k Marsh & McLennan Stock Fund	$15,001 - $50,000	None	NONE	
SP	401k Neuberger Genesis Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
SP	401k PIMCO Total Return Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	401k Putnam S&P 500 Index Fund	$1,001 - $15,000	None	NONE	
SP	401k Summit Cash Reserve	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	401k Templeton Foreign Fund	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
SP	401k TRP Midcap	$15,001 - $50,000	None/DIVIDENDS	$2,501 - $5,000	
SP	401k Vanguard Growth & Income	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	401k Vanguard Midcap Stock Index	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	401k Vanguard Prime Cap	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Arbitrage Fds Class R	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	
SP	Caldwell & Orkin Market Opp Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
	Corus Bank	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
SP	Coventry Healthcare Stock	$15,001 - $50,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Daniel William Lipinski

SP	Diamond Hill Focus Long Short Fund	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$1,001 - $2,500	
SP	First Eagle Global Fund CLI	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$5,001 - $15,000	S
JT	First National Bank of LaGrange	$1,001 - $15,000	None	NONE	
SP	Hussman Invt. Tr. Strategic Growth	$15,001 - $50,000	DIVIDENDS CAPTIAL GAINS	$1,001 - $2,500	S
SP	IRA Arbitrage Funds Class R	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
SP	IRA Caldwell & Orkin Market Opp Fund	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	
SP	IRA Eaton Vance St. Floating Rate Inst.	None	DIVIDENDS CAPITAL GAINS	$1 - $200	
SP	IRA Highland Floating Rate	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	NONE	P, S
SP	IRA JP Morgan Chase & Co Sr	$1,001 - $15,000	None	NONE	P
SP	IRA JP Morgan Chase Bank Cd	$15,001 - $50,000	None	NONE	P
SP	IRA Met West Strategic Inc	None	DIVIDENDS	$1 - $200	S
SP	IRA Pimco Developing Local Markets	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
SP	IRA PIMCO Floating Income Inst	None	DIVIDENDS	$1 - $200	S
SP	IRA TD Bank Money Market	$28,877.26	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Daniel William Lipinski

	IRA Vanguard 500 Index Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Leuthold Core	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$5,001 - $15,000	S
SP	Marsh & McLennan Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Merger Fund SBI	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$1,001 - $2,500	
SP	MetLife Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Metlife TCA Money Market Account	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
SP	Metropolitan West Strategic Income	None	CAPITAL GAINS	$1 - $200	S
SP	PIMCO All Asset Fund Inst Class	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
	Retirement CRFF Bond Mkt	$1,001 - $15,000	None	NONE	
	Retirement CRFF Equity Index	$1,001 - $15,000	None	NONE	
	Retirement CRFF Growth	$1,001 - $15,000	None	NONE	
	Retirement CRFF Stock	$1,001 - $15,000	None	NONE	
	Retirement TIAA Traditional	$1,001 - $15,000	INTEREST	$1 - $200	
SP	TDAM Cash	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Daniel William Lipinski

SP	TDAM Money Market Portfolio	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Vanguard 500	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
SP	Wachovia Bank	$100,001 - $250,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Daniel William Lipinski

R port any purchase, sale or exchang by you, your spouse, or dependent child during the reporting year of any real property, st cks, b nds, commodities futures, or other s curities when the amount of the transaction r s ries of transactions xceeded $1,000. Include transactions that result d in a loss. Do not report a transaction b tween you, your spouse, or your dep ndent child, or the purchase or sale of your personal resid nce, unless it is rented ut. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	IRA Pimco Developing Local Markets	P	03/22/2007	$1,001 - $15,000
SP	IRA Highland Floating Rate	P	03/26/2007	$15,001 - $50,000
SP	IRA JP Morgan Chase Bank Cd	P	12/31/2007	$15,001 - $50,000
SP	IRA JP Morgan Chase & Co Sr	P	12/31/2007	$1,001 - $15,000
SP	Hussman Invt. Tr. Strategic Growth	S	03/22/2007	$1,001 - $15,000
SP	Metropolitan West Strategic Income	S	03/22/2007	$1,001 - $15,000
SP	European Investors Int'l Property	P	03/26/2007	$15,001 - $50,000
SP	European Investors Int'l Property	S	12/11/2007	$15,001 - $50,000
SP	IRA Pimco Developing Local Markets	P	03/26/2007	$1,001 - $15,000
SP	IRA Highland Floating Rate	S	09/17/2007	$15,001 - $50,000
SP	IRA Highland Floating Rate	S	12/17/2007	$1,001 - $15,000
SP	401k Coventry Stock	P	Bi-weekly contribution	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Daniel William Lipinski

Report any purchase, sale or xchang by you, your spous , or dependent child during the reporting year of any real pr perty, stocks, bonds, commodities futures, or other securities wh n th am unt of the transaction or seri s f transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your depend nt child, or the purchase or sale of your p rsonal resid nc , unl ss it is rent d out Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	IRA Eaton Vance St. Floating Rate Inst	S	01/23/2007	$15,001 - $50,000
SP	IRA Pimco Floating Income Inst	S	03/22/2007	$1,001 - $15,000
SP	IRA Pimco Floating Income Inst	S	08/20/20087	$15,001 - $50,000
SP	Caldwell & Orkin Market Opp Fund	S	03/22/2007	$1,001 - $15,000
SP	First Eagle Global Fund CLI	S	03/22/2007	$1,001 - $15,000
SP	Leuthold Core	S	03/22/2007	$1,001 - $15,000
SP	IRA Met West Strategic Inc	S	03/22/2007	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Daniel William Lipinski

Id ntify the sourc and list travel itinerary, dates, and nature of expens s provided for trav l and trav l-related exp nses totaling m re than $305 r celv d by you, your spous , or a depend nt child during th reporting p riod. Indicate whether a family member acc mpanied the travel r at the sponsor's expense, and the amount of time, if any, that was not at th spons r's expens . Discl sure is requir d regardless of whether the xpens s were reimbursed or paid directly by the sponsor. Exclud : Travel-r lated expenses provided by fed ral, state, and local governm nts, or by a foreign gov rnment required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Stanford University	May 29 - May 31, 2007	Chicago - Palo Alto, CA - Chicago	Y	Y	Y	None
Alliance for Healthcare Reform	Jan. 12 - 14, 2007	Chicago - Miami, FL - Chicago	Y	Y	Y	None

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A Page 1 of 8
For use by Members, officers, and employees

2008 MAY 13 PM 4:06

Frank A. LoBiondo (Full Name)

(202) 225-6572 (Daytime Telephone)

(Office Use Only) MC

Filer Status	☑ Member of the U.S. House of Representatives	State: NJ District: 02	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 day late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ N ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ N ☐	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ N ☑

2173

SCHEDULE I - EARNED INCOME

Name Frank A. LoBiondo

List the source, type, and am unt of earned income from any source (other than the fil r's current employment by the U.S. G vernment) totaling $200 r more during the preceding calendar year. For a spouse, list the s urce and amount of any honoraria; list only the source for other spouse earned income exce ding $1,000.

Source	Type	Amount
Borgata-Hotel, Casino & Spa	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frank A. LoBiondo

	BLOCK A **A set and/or Income Sourc** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a *valuation method* other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BL CK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the *calendar year. If other* than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Incom** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Commerce Bank Account	$1 - $1,000	INTEREST	$1 - $200	
	IRA Account: Franklin Growth Class I	$50,001 - $100,000	INTEREST	$1 - $200	
	LoBiondo Bros. Motor Express 401(k) Profit Sharing Plan American Fund Group (invested solely in Washington Mutual Investors Fund; self-directed	$100,001 - $250,000	DIVIDENDS/INTE REST	$15,001 - $50,000	
	LoBiondo Brothers Motor Express Inc Common Stk	$100,001 - $250,000	None	NONE	
JT	Residential R al Estate, 3100 Elmrock Place, Las Vegas, NV	$250,001 - $500,000	RENT	$1,001 - $2,500	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frank A. LoBiondo

SP	Silver State Schools Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000	
	TD Banknorth Account	$1 - $1,000	INTEREST	$1 - $200	
	Wachovia Bank Capital Management Account: Money Market Account	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	Wachovia Bank Capital Management Account: TD BankNorth Common Stock	None	CAPITAL GAINS	$100,001 - $1,000,000	S
	Wachovia Capital Mgmnt. Group IRA Account: Lehman 1-3 Yr Treasury Bond Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Wachovia Capital Mgmnt. Group IRA Account: Money Market Account	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Wachovia Capital Mgmnt. Group IRA Account: S&P Index Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Wachovia Capital Mgmnt. Group IRA Account: SPDR Trust Series I	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Wright Patman Federal Credit Union Account	$1,001 - $15,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Frank A. LoBiondo

Report any purchase, sale or exchange by you, your spouse, or d pendent child during the reporting year of any real property, stocks, bonds, commodities futures, or oth r securities wh n the amount of th transaction or series of transactions exc ed d $1,000. Include transactions that resulted in a loss. Do not report a transaction b tween you, your sp use, or your dependent child, or th purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Wachovia Bank Capital Management Account: TD BankNorth Common Stock	S	04-23-07	$100,001 - $250,000
JT	Residential Real Estate, 3100 Elmrock Place, Las Vegas, NV	Other-Inhe	09-28-07	$250,001 - $500,000

SCHEDULE VIII - POSITIONS

Name Frank A. LoBiondo

Report all positi ns, compensated or uncompensated, held during the current calendar year as an ffic r, director, trustee f an rganizati n, partn r, propri tor, repres ntative, employee, or c nsultant of any corporation, firm, partnership, r any business enterpris , any nonprofit organization, any labor organization, or any educational or other institution oth r than the United States. Exclude: Positions held in any relig us, social, fraternal, r political entities; p sitions sol ly of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member (Non-compensated)	Millville Army Air Field Museum

SCHEDULE IX - AGREEMENTS

Name Frank A. LoBiondo

Id ntify the dat , parti s to, and general t rms f any agreement or arrangem nt with respect to: future mpl yment; a l av of absence during the period f government servic ; c ntinuation or deferral of payments by a former or current empl yer other than the U.S. Governm nt; or c ntinuing participati n in an mployee welfare or benefit plan maintain d by a form r employer.

Date	Parties To	Terms of Agreement
10-14-1996	LoBiondo Brothers Motor Express, Inc.	Continuing interest in company 401(k) profit sharing plan (self-directed)

FOOTNOTES

Nam Frank A. LoBiondo

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule IV	Acquired by inheritance	Residential Real Estate, 3100 Elmrock Place, Las V

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:31 MC
(Office Use Only)

David Loebsack
(Full Name)

202-225-6576
(Daytime Telephone)

Filer Status: [x] Member of the U.S. House of Representative — State: IA — District: 02 — Officer Or Employee — Employing Office:

Report Type: [x] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes [x] No [ ]
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes [ ] No [x]
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes [x] No [ ]
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes [x] No [ ]
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes [ ] No [x]
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes [ ] No [x]
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes [x] N [ ]
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes [ ] No [x]
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes [ ] No [x]
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriat schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [x]

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] N [x]

2181

SCHEDULE I - EARNED INCOME

Name David Loebsack

List the sourc , type, and amount f earned income from any source (other than the filer's current employm nt by the U.S. Governm nt) totaling $200 or more during the preceding calendar year. For a spous , list the source and amount of any honoraria; list only th source for other spouse earn d incom exceeding $1,000.

Source	Type	Amount
US House of Representatives	Congressional Salary	$147,605
Iowa Public Employees Retirement Pension	Spouse Pension	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Loebsack

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of incom with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type f Inc m** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount f Income** For retirem nt plans r accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** indicate if asset had purchases (P), sales (S), r exchang s (E) exceeding $1,000 in reporting year.
SP	Vanguard Prime Money Market Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Vanguard Long-Term Bond Index	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Vanguard Short Term Investment-Grade Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SP	Vanguard Extended Market Index Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Vanguard Intermediate-Term Bond Index Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Vanguard VBS Stock Holdings	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Loebsack

	TIAA Traditional	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	CREF Stock	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	P
	CREF Growth	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	P
	CREF Equity Index	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	P
	CREF Global Equities	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
	TIAA Real Estate	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	P
	CREF Money Market	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	TC Lifecycle Fund 2020	$50,001 - $100,000	CAPITAL GAINS	$1,001 - $2,500	P
	TC Lifecycle Fund 2025	$15,001 - $50,000	None	NONE	
	CREF Bond Market	$1 - $1,000	CAPITAL GAINS	$1 - $200	
	CREF Inflation-Linked Bond	None	CAPITAL GAINS	$201 - $1,000	
SP	Vangaurd Prime Money Market	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S(part)
SP	Mount Vernon Bank Account	$100,001 - $250,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name David Loebsack

Report any purchas , sale or exchange by you, your spous , or dependent child during the reporting year of any real property, stock , bonds, commodities future , or other securities when the amount of the transaction or s ri s of transactions exce d d $1,000. Include transactions that resulted in a loss. Do not report a transa tion between you, your sp use, or your dep ndent child, r the purchas or sal of your personal resid nce, unl ss it is rented ut. Provide a bri f

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Vanguard Short Term Investment-Grade Fund	P	Various Times	$1,001 - $15,000
SP	Vanguard VBS Stock Holdings	P	Various Times	$1,001 - $15,000
	TIAA Traditional	P	Various Times	$1,001 - $15,000
	CREF Stock	P	Various Times	$1,001 - $15,000
	CREF Growth	P	Various Times	$1,001 - $15,000
	CREF Equity Index	P	Various Times	$1,001 - $15,000
	TIAA Real Estate	P	Various Times	$15,001 - $50,000
	TC Lifecycle Fund 2020	P	Various Times	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name David Loebsack

Identify th s urce and list travel itin rary, dates, and natur of exp nses provided for travel and trav l-related xpenses totaling more than $305 rec ived by you, your spous , r a dependent child during the rep rting p riod. Indicate wh ther a family m mber acc mpani d th traveler at th sponsor's expense, and th amount of time, if any, that wa not at the sponsor's xpens . Disclosur is required regardl ss f wh ther th expenses w re reimbursed r paid directly by th sponsor. Exclude: Travel-r lat d exp nses provid d by federal, tat , and local governm nts, or by a foreign gov rnment required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sp nsor's expens
Obama for America	Feb. 1-3	DC-Minneapolis-Cedar Rapids	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER 39
2008 MAY 15 PM 3:55
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MCV

NITA M. LOWEY
(Full Name)

202-225-6506
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: NY District: 18	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2187

SCHEDULE I - EARNED INCOME

Name NITA M. LOWEY

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during th preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Lowey Dannenberg Bemporad & Selinger, P.C. (now Lowey Dannenberg Cohen & Hart, P.C.)	Spouse Salary	N/A
NY State & Local Employees Retirement System	NML Pension (for employment prior to current House of Representatives membership)	$9,744.44

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Incom** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	3M Co*	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	3M or Minnesota Mining**	None	DIVIDENDS	$201 - $1,000	S
JT	Abbott Labs**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Acme Comm. Inc.*	$1,001 - $15,000	DIVIDENDS/Other: Cash liquidation distribution	$1,001 - $2,500	
JT	AIG** (see footnote)	$15,001 - $50,000	None	NONE	S, P
JT	American Express**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S - partial

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

JT	Amgen**	$15,001 - $50,000	None	NONE	S - partial
SP	Anheuser Busch*	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Apple**	$50,001 - $100,000	None	NONE	P
SP	Arbiter Partners	$1,000,001 - $5,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS/Other: Ordinary business income	$100,001 - $1,000,000	
SP	Avatar Holdings*	$1,001 - $15,000	None	NONE	P
	Bear Steams (muni bonds, attached)	$50,001 - $100,000	/INTEREST/DIVIDENDS	$5,001 - $15,000	S - partial
SP	Brownstone Catalyst Fund - IRA	$500,001 - $1,000,000	None	NONE	
SP	Cadigan Partners	$250,001 - $500,000	None	NONE	
JT	Canadian Natural Resources**	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	S - partial
JT	CBS Corp.**	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
JT	Chase Bank (checking)	$1,001 - $15,000	Interest	$1 - $200	
JT	Chattem Inc.** (see footnote)	None	CAPITAL GAINS	$5,001 - $15,000	P, S
JT	Cisco Systems**	$15,001 - $50,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

JT	Citibank Checking and Savings	$250,001 - $500,000	INTEREST	$5,001 - $15,000	
JT	Citigroup**	None	DIVIDENDS	$1,001 - $2,500	S
	Citigroup/ Smith Barney - IRA (previously "Smith Barney/Citigroup") - see attached	$100,001 - $250,000	DIVIDENDS/INTEREST	$5,001 - $15,000	
SP	Citizens Comm. Bancorp*	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Coca-Cola Co**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Costco**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Crowley Maritime*	None	None/CAPITAL GAINS	$15,001 - $50,000	S
SP	Diker Micro-Value Fund	$250,001 - $500,000	CAPITAL GAINS/DIVIDENDS/INTEREST	$50,001 - $100,000	
JT	Dresser Rand Group**	$50,001 - $100,000	None	NONE	
JT	Federal Home Discount Note % of 7/5/07** (see footnote)	None	Other: discount	$1 - $200	P, S
SP	Federated (mutual fund, formerly The Kaufmann Fund - IRA - AMENDED (First Amendment)	$1,000,001 - $5,000,000	CAPITAL GAINS reinvested/DIVIDENDS	$100,001 - $1,000,000	
JT	Fidelity	$1,000,001 - $5,000,000	INTEREST/DIVIDENDS	$5,001 - $15,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Nam NITA M. LOWEY

JT	First Data Corp**	None	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S
SP	Foster LB Co.*	None	CAPITAL GAINS	$2,501 - $5,000	S
JT	FPL Group**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
JT	General Electric**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Glickenhaus & Co.	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	Glickenhaus & Co. - Triad Fund	$1,000,001 - $5,000,000	DIVIDENDS/INTEREST	$15,001 - $50,000	
SP	Helmerich & Payne*	$15,001 - $50,000	DIVIDENDS	$1 - $200	
JT	IBM**	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
SP	Ingalls & Snyder Value Fund	$5,000,001 - $25,000,000	Interest/Capital gains/ DIVIDENDS	$100,001 - $1,000,000	
JT	Ingersoll Rand**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	International Speedway**	None	DIVIDENDS	$1 - $200	S
JT	JP Morgan Chase**	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
JT	Kohl's** (see footnote)	$15,001 - $50,000	None	NONE	P, S
JT	Lehmann Bros Instl Liquidity Fund** - see footnote	$1,001 - $15,000	INTEREST	$201 - $1,000	P, oth r (redemption)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

SP	Lowey Dann nberg Bemporad & Selinger Profit Sharing Plan	$1,000,001 - $5,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$50,001 - $100,000	
SP	Lowey Dannenberg Cohen & Hart, PC (formerly Lowey Dannenberg Bemporad & Selinger, P.C.)	$250,001 - $500,000	INTEREST	$50,001 - $100,000	
SP	Lowey Family Investment LLC (see footnote)	$1,000,001 - $5,000,000	Capital Gains,/Other: Ordinary income	$100,001 - $1,000,000	
JT	M.D. Sass Multistrategy	$100,001 - $250,000	CAPITAL GAINS/INTERES T	$15,001 - $50,000	
SP	M.D. Sass/Macquarie Financial Strategies (change of name)	$500,001 - $1,000,000	None/CAPITAL GAINS	$15,001 - $50,000	
SP	Microsoft*	$15,001 - $50,000	DIVIDENDS	$1 - $200	
JT	Microsoft**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	MMI Investments/ Millcap Advisors	$1,000,001 - $5,000,000	INTEREST/DIVIDENDS	$5,001 - $15,000	
SP	Newmont Mining*	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
JT	Novartis**	None	DIVIDENDS	$201 - $1,000	S
SP	NY Muni Cash Fund (formerly Alliance Muni Tr)* (see footnote)	$100,001 - $250,000	INTEREST	$2,501 - $5,000	P
	NYS Def. Compensation Plan/401K	$100,001 - $250,000	DIVIDENDS/INTEREST	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

JT	Occidental Pete**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Oppenheimer & Close Limited Partnership	$250,001 - $500,000	CAPITAL GAINS/INTERESTT/DIVIDENDS	$15,001 - $50,000	
SP	Oppenheimer & Spence Investment Pp.	$500,001 - $1,000,000	Dividends/Capital Gains/INTEREST	$15,001 - $50,000	
SP	P. Oppenheimer Investment Pp.	$1,000,001 - $5,000,000	Dividends/Capital Gains/INTEREST	$100,001 - $1,000,000	
SP	Pfizer*	$15,001 - $50,000	None	NONE	P
JT	Regeneron**	$1,001 - $15,000	None	NONE	P
JT	SAIC**	$15,001 - $50,000	None	NONE	P
SP	Santa Monica Ptnrs	$500,001 - $1,000,000	Capital Gains/DIVIDENDS/INTEREST	$15,001 - $50,000	
SP	Schelcher-Prince	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
SP	Seacor Holdings*	$50,001 - $100,000	None	NONE	
JT	Teppco Partners LP**	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	
JT	Time Warner**	None	DIVIDENDS	$1 - $200	S
SP	United States Treasury Bills*	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
JT	Walgreen**	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY

JT	Wells Fargo**	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Wellsford RI Ppty*	None	CAPITAL GAINS	$5,001 - $15,000	S

SCHEDULE IV - TRANSACTIONS

Name NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	3M or Minnesota Mining**	S	11/9/07	$15,001 - $50,000
JT	Abbott Labs**	P	8/15/07	$15,001 - $50,000
JT	AIG** (see footnote)	S	11/29/07	$15,001 - $50,000
JT	AIG** (see footnote)	P	12/31/07	$15,001 - $50,000
JT	NY Muni Cash Fund (formerly Alliance Muni Tr.)*	P	7 times on various dates throughout the year	$100,001 - $250,000
JT	American Express** (partial)	S(part)	11/05/07	$1,001 - $15,000
JT	Amgen**	S(part)	2/23/07	$15,001 - $50,000
JT	Apple**	P	2/27/07	$15,001 - $50,000
SP	Avatar Holdings*	P	11/2/07	$1,001 - $15,000
	Bear Stearns (muni bonds, attached) (partial)	S(part)	6 times on various dates throughout the year	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Canadian Natural Resources**	S(part)	5/21/07	$15,001 - $50,000
JT	CBS Corp.**	P	11/29/07	$15,001 - $50,000
JT	Chattem Inc.** (see footnote)	P, S	P 2/16/07 S 9/27/07	$15,001 - $50,000 each
JT	Cisco Systems**	P	8/9/07	$15,001 - $50,000
JT	Citigroup**	S	11/21/07	$15,001 - $50,000
SP	Crowley Maritime*	S	5/11/07	$15,001 - $50,000
JT	Fidelity	P	6/27/07	$1,000,001 - $5,000,000
JT	First Data**	S	9/25/07	$15,001 - $50,000
SP	Foster LB*	S	4/16/07 & 10/1/07	$15,001 - $50,000
JT	FPL Group**	P	10/10/07	$15,001 - $50,000
JT	IBM**	P	11/13/07	$15,001 - $50,000
JT	JP Morgan Chase**	P	11/29/07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name NITA M. LOWEY

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transacti n
JT	Lehmann Bros Inst'l Liquidity Fund** (see footnote)	P, redempt	7 times on various dates throughout the year	$50,001 - $100,000
JT	Novartis**	S	8/15/07	$15,001 - $50,000
SP	Pfizer*	P	11/13/07	$15,001 - $50,000
JT	Regeneron**	P	11/29/07	$1,001 - $15,000
JT	SAIC**	P	1011/07	$15,001 - $50,000
JT	Time Warner**	S	2/16/07; 11/09/07	$15,001 - $50,000
JT	Federal Home Loan Bank Discount Note % of 7/5/07 (see footnote)	P, S	P 6/29/07 S 7/05/07	$15,001 - $50,000
SP	Wellsford Real Ppty*	S	4/16/07; 5/1/07	$1,001 - $15,000
JT	Kohl's** (see footnote)	P, S	P 11/29/07 S 12/31/07	$15,001 - $50,000 each
JT	International Speedway**	S	4/30/07	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name NITA M. LOWEY

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Congressional Program of the Aspen Institute	Feb. 20-25	NY-San Juan PR-NY	Y	Y	Y	None
Saban Center for Middle East Policy at the Brookings Institution	Nov. 1-6	DC-Jerusalem-DC	Y	Y	N	None
Congressional Program of the Aspen Institute	Nov. 27 - Dec. 1	NY-Liberia, Costa Rica-NY	Y	Y	Y	None

FOOTNOTES

Nam NITA M. LOWEY

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedules III&IV	Explanation of asterisks: * SP Acct., Oppenheimer & Close ** JT Acct., Lehman Bros./Neuberger Berman	
2	Schedule III	SP owns an interest in Lowey Family Investment LLC which is invested in Brownstone Investment Group LLC, a privately owned broker-dealer firm.	Lowey Family Investment LLC
3	Schedule III	Acme* had dividend income, also received a cash liquidation distribution, representing a return of capital that lowers the cost basis, rather than an ordinary dividend.	Acme Comm. Inc.*
4	Schedule III	AIG position sold 11/27/07 generating capital loss, no gain; AIG position purchased 12/31/07 - unsettled trade as of Y/E 2007. No guidance in instructions. Showed this position in account as of 12/31/07 at value equal to purchase price.	AIG** (see footnote)
5	Schedule III	Cash fund into which sale proceeds and dividends are swept until reinvested. Alliance was the previous cash fund.	NY Muni Cash Fund (formerly Alliance Muni Tr.)*
6	Schedule IV	Chattem was purchased & sold in 2007 but had capital gain of more than $1,000 so is included on Schedule III	Chattem Inc.** (see footnote)
7	Schedule III	Cash fund into which sale proceeds and dividends are swept until reinvested.	Lehmann Bros Instl Liquidity Fund** - see footnote
8	Schedule IV	This note was purchased 6/29 and sold 7/5/07; the income was the discount; it was a $40,000 note when sold, purchased for $39,967.67, and the income was the difference.	Federal Home Loan Bank Discount Note % 7/05/07**
9	Schedule IV	Two lots of 600 shares each were purchased 9/28/07 and 11/29/07 respectively. The 9/28 lot was sold on 12/31/07 (trade date); settled in Jan. 2008. unsettled trade as of Y/E 2007. Instructions are silent as to this situation. Showed th 2007 purchase and 12/31 sal , showed th remaining position in account as of 12/31/07 at value equal to purchase price.	Kohl's**

FOOTNOTES

Name NITA M. LOWEY

Number	Section / Schedul	Footnote	This note refers to the following item
10	Schedule III	Deleted this line as a duplication of information on Schedule I. Instructions for Schedule I direct: Pension and retirement payments must be disclosed here (except US Govt and SS benefits).	NYS Retirement Plan

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

[redacted]

Page 1

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOEKY

Telephone Number: [redacted]

[redacted]

Copy B for Recipient
Original 12/31/07 ☐ 2nd TIN Notice

PLEASE READ THE ENCLOSED TAX GUIDE FOR A COMPLETE EXPLANATION OF THE TAX INFORMATION ON YOUR 2007 CONSOLIDATED 1099. WHILE WE VERIFY THE INFORMATION REPORTED, RECLASSIFICATION OF INCOME BY AN ISSUER SUCH AS A MUTUAL FUND MAY REQUIRE A CORRECTED CONSOLIDATED FORM 1099. KINDLY CONTACT YOUR ACCOUNT EXECUTIVE WITH QUESTIONS CONCERNING THE COST BASIS OR ANY OTHER INFORMATION.

[redacted]

(BOX)	(AMOUNT)
1. Interest Income not Included in Box 3	0.00
2. Early Withdrawal Penalty	0.00
3. Interest on US Savings Bonds & Treas. Obligations	0.00
4. Federal Income Tax Withheld	0.00
5. Investment Expenses.....	0.00
6. Foreign Tax Paid	0.00
8. Tax Exempt Interest.....	12,995.83
9. Specified Private Activity Bond Interest.....	6.40

[redacted]

(BOX)	(AMOUNT)
1a. Total Ordinary Dividends	1,939.79
1b. Qualified Dividends	0.00
2a. Total Capital Gain Distributions	0.00
2b. Unrecaptured Section 1250 Gain	0.00
2c. Section 1202 Gain	0.00
2d. Collectibles (28%) Gain	0.00
3. Nondividend Distributions	0.00
4. Federal Income Tax Withheld	0.00
5. Investment Expenses	0.00
6. Foreign Tax Paid	0.00
8. Cash Liquidation Distributions	0.00
9. Noncash Liquidation Distributions	0.00

[redacted]

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Telephone Number: [redacted]

[redacted]

75M

[redacted]

Page 2

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOREY

Copy B for Recipient
Original 12/31/07 ☐ 2nd TIN Notice

(Box 1a) DATE OF SALE OR EXCH	(Box 7) DESCRIPTION	(Box 1b) CUSIP NO.	(Box 2) STOCKS, BONDS, ETC. (See note on back)	(Box 4) FEDERAL INC. TAX WITHHELD	
02/01	NEW YORK ST DORM AUTH REVS DUE 02/01/2015 05.250% QUANTITY: 35,000	649836TZ6	35,700.00	0.00	REDEMPTION
04/02	METROPOLITAN TRANSN AUTH N Y DUE 04/01/2007 05.000% QUANTITY: 25,000	59259NAL7	25,000.00	0.00	REDEMPTION
07/02	PUERTO RICO ELEC PWR AUTH PWR DUE 07/01/2007 05.200% QUANTITY: 30,000	745268TM4	30,000.00	0.00	REDEMPTION
09/04	NEW YORK N Y G/O UNREFUNDED DUE 08/01/2009 05.300% QUANTITY: 20,000	64966DB40	20,150.00	0.00	REDEMPTION
11/15	DORMITORY AUTHORITY OF NY DUE 01/15/2030 03.250% QUANTITY: 75,000	64982PSJ2	75,000.00	0.00	
11/16	NEW YORK ST URBAN DEV CORP REV DUE 01/01/2030 03.600% QUANTITY: 50,000	650034VU2	50,000.00	0.00	
	6 ITEMS - Total		235,850.00	0.00	

*** NO REPORTABLE 2007 FORM 1099-OID INCOME ***

*** NO REPORTABLE 2007 FORM 1099-MISC INCOME ***

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

[redacted]
Page 1

RECIPIENT'S Name, Street Address, City, State, and Zip Code
WITA LOGET

Telephone Number: [redacted]

[redacted]	
	75M

Original 12/31/07 ☐ 2nd TIN Notice

THIS STATEMENT INCLUDES TAXABLE, TAX EXEMPT AND NON REPORTABLE 2007 INCOME. KINDLY CONTACT YOUR ACCOUNT EXECUTIVE WITH ANY QUESTIONS.

[redacted]

	DIVIDENDS		
01/31	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS	DIVIDEND, NON-QUAL	15.45
02/28	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS	DIVIDEND, NON-QUAL	149.62
03/30	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS	DIVIDEND, NON-QUAL	164.91
04/30	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS	DIVIDEND, NON-QUAL	263.22
05/31	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS	DIVIDEND, NON-QUAL	274.41
06/29	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS	DIVIDEND, NON-QUAL	262.02
07/31	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS	DIVIDEND, NON-QUAL	149.93
08/31	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS	DIVIDEND, NON-QUAL	138.55
09/28	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS	DIVIDEND, NON-QUAL	183.77
10/31	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS	DIVIDEND, NON-QUAL	221.01
11/30	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS	DIVIDEND, NON-QUAL	114.20
12/31	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS	DIVIDEND, NON-QUAL	2.54
12/31	BEAR STEARNS FEDFUND PRIVATE CLIENT SBS DIV CREDIT TO TAXYEAR 2007	DIVIDEND, NON-QUAL	0.16

TOTAL DIVIDENDS	
TAXABLE NON-QUALIFIED DIVIDENDS	1,939.79
TOTAL TAXABLE DIVIDENDS	1,939.79

[redacted]

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Page 2

RECIPIENT'S Name, Street Address, City, State, and Zip Code
RITA LOHEY

Telephone Number: [redacted]

[redacted]

75M

Original 12/31/07 ☐ 2nd TIN Notice

[redacted]

TAX EXEMPT INTEREST/DIVIDENDS DETAILS

01/31	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND/AMT FUND CL A1	0.54
01/31	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND FUND CL A1	1.63
02/28	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND/AMT FUND CL A1	0.49
02/28	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND FUND CL A1	1.48
03/30	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND/AMT FUND CL A1	0.54
03/30	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND FUND CL A1	1.63
04/30	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND/AMT FUND CL A1	0.52
04/30	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND FUND CL A1	1.58
05/31	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND/AMT FUND CL A1	0.54
05/31	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND FUND CL A1	1.63
06/29	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND/AMT FUND CL A1	0.52
06/29	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND FUND CL A1	1.58
07/31	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND/AMT FUND CL A1	0.54
07/31	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND FUND CL A1	1.65
08/31	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND/AMT FUND CL A1	0.55
08/31	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND FUND CL A1	1.65
09/28	**BLACKROCK NEW YORK MUNICIPAL DIVIDEND/AMT FUND CL A1	0.53

[redacted]

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

[redacted]

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

[redacted]

Page 3

RECIPIENT'S Name, Street Address, City, State, and Zip Code
RITA LONEY

Telephone Number: [redacted]

[redacted]

7SH

Original 12/31/07 ☐ 2nd TIN Notice

[redacted]

09/28	**BLACKROCK NEW YORK MUNICIPAL FUND CL A1	DIVIDEND	1.62
10/31	**BLACKROCK NEW YORK MUNICIPAL FUND CL A1	DIVIDEND/ANT	0.55
10/31	**BLACKROCK NEW YORK MUNICIPAL FUND CL A1	DIVIDEND	1.65
11/30	**BLACKROCK NEW YORK MUNICIPAL FUND CL A1	DIVIDEND/ANT	0.53
11/30	**BLACKROCK NEW YORK MUNICIPAL FUND CL A1	DIVIDEND	1.60
12/31	**BLACKROCK NEW YORK MUNICIPAL FUND CL A1	DIVIDEND/ANT	0.55
12/31	**BLACKROCK NEW YORK MUNICIPAL FUND CL A1	DIVIDEND	1.67
04/02	METROPOLITAN TRANSN AUTH N Y DUE 04/01/2007 AT 5.000%	INTEREST	625.00
02/01	NEW YORK N Y G/O UNREFUNDED DUE 08/01/2009 AT 5.300%	INTEREST	530.00
08/01	NEW YORK N Y G/O UNREFUNDED DUE 08/01/2009 AT 5.300%	INTEREST	530.00
09/04	NEW YORK N Y G/O UNREFUNDED DUE 08/01/2009 AT .485%	INTEREST	97.17
02/01	NEW YORK N Y G/O SER A DUE 08/01/2010 AT 5.250%	INTEREST	1,181.25
08/01	NEW YORK N Y G/O SER A DUE 08/01/2010 AT 5.250%	INTEREST	1,181.25
01/05	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.150%	INTEREST	45.94
01/12	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.250%	INTEREST	45.21

[redacted]

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

[redacted]

BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Page 4

RECIPIENT'S Name, Street Address, City, State, and Zip Code
RITA LOWRY

Telephone Number: [redacted]

[redacted]	
	75N

Original 12/31/07 ☐ 2nd TIN Notice

01/19	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.250%	INTEREST	47.40
01/26	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.300%	INTEREST	46.67
02/02	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.300%	INTEREST	48.13
02/09	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.150%	INTEREST	43.75
02/16	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.150%	INTEREST	45.94
02/23	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.000%	INTEREST	44.48
03/02	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.000%	INTEREST	43.75
03/09	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.200%	INTEREST	44.63
03/16	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.200%	INTEREST	46.67
03/23	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.300%	INTEREST	44.48
03/30	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.300%	INTEREST	48.13
04/09	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.100%	INTEREST	64.58
04/13	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.350%	INTEREST	27.92
04/20	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.400%	INTEREST	49.58
04/27	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.400%	INTEREST	49.58
05/04	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.600%	INTEREST	45.21
05/11	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.600%	INTEREST	52.50

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

[redacted]

Page 5

RECIPIENT'S Name, Street Address, City, State, and Zip Code
WITH LUNDT

Telephone Number: [redacted]

[redacted]

75M

Original 12/31/07 ☐ 2nd TIN Notice

[redacted]

05/18	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.400%	INTEREST	51.05
05/25	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.400%	INTEREST	49.58
06/01	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.600%	INTEREST	48.08
06/08	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.600%	INTEREST	52.50
06/15	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.500%	INTEREST	48.85
06/22	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.500%	INTEREST	51.04
06/29	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.300%	INTEREST	51.04
07/06	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.300%	INTEREST	48.13
07/13	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.200%	INTEREST	48.13
07/20	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.200%	INTEREST	46.67
07/27	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.400%	INTEREST	48.13
08/03	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.400%	INTEREST	49.58
08/10	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.350%	INTEREST	48.13
08/17	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.350%	INTEREST	48.85
08/24	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.650%	INTEREST	51.04
08/31	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.650%	INTEREST	53.23
09/07	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.500%	INTEREST	54.69

[redacted]

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

[redacted]

[redacted]
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

[redacted]
Page 6

RECIPIENT'S Name, Street Address, City, State, and Zip Code
[redacted]

Telephone Number: [redacted]

[redacted]

Original 12/31/07 ☐ 2nd TIN Notice

[redacted]

09/14	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.500%	INTEREST	51.04
09/21	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.650%	INTEREST	53.96
09/28	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.650%	INTEREST	53.23
10/05	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.350%	INTEREST	49.58
10/12	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.350%	INTEREST	48.85
10/19	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.400%	INTEREST	48.13
10/26	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.400%	INTEREST	49.58
11/02	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.100%	INTEREST	45.79
11/09	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.100%	INTEREST	45.21
11/16	DORMITORY AUTHORITY OF NY DUE 01/15/2030 AT 3.250%	INTEREST	49.04
02/01	NEW YORK ST DORM AUTH REV8 DUE 02/01/2015 AT 5.250%	INTEREST	918.75
06/15	NEW YORK ST ENVIRONMENTAL FACS DUE 12/15/2008 AT 5.100%	INTEREST	1,275.00
12/17	NEW YORK ST ENVIRONMENTAL FACS DUE 12/15/2008 AT 5.100%	INTEREST	1,275.00
01/02	NEW YORK ST URBAN DEV CORP REV DUE 01/01/2030 AT 3.550%	INTEREST	34.51
01/08	NEW YORK ST URBAN DEV CORP REV DUE 01/01/2030 AT 3.100%	INTEREST	29.58
01/16	NEW YORK ST URBAN DEV CORP REV DUE 01/01/2030 AT 3.170%	INTEREST	34.44

[redacted]

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

[redacted]

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

[redacted]
Page 7

RECIPIENT'S Name, Street Address, City, State, and Zip Code
[redacted] LOREY

Telephone Number: [redacted]

[redacted]
[redacted] 75M

Original 12/31/07 ☐ 2nd TIN Notic

[redacted]

01/22	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.150%	26.42
01/29	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.150%	30.63
02/05	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.150%	30.63
02/12	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.100%	30.63
02/20	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.150%	34.44
02/26	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.100%	26.25
03/05	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.150%	30.14
03/12	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.150%	30.63
03/19	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.150%	30.63
03/26	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.050%	30.14
04/02	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.000%	29.65
04/09	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.150%	29.17
04/16	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.150%	30.63
04/23	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.400%	31.60
04/30	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.400%	33.06
05/07	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.550%	34.03
05/14	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.500%	34.51

[redacted]

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

[redacted]

BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

[redacted]
Page 8

RECIPIENT'S Name, Street Address, City, State, and Zip Code
RITA LOSKY

Telephon Number: [redacted]

[redacted]

Original 12/31/07 ☐ 2nd TIN Notice

[redacted]

05/21	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.400%	34.03
05/29	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.400%	37.78
06/04	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.300%	28.33
06/11	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.300%	32.08
06/18	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.250%	32.08
06/25	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.350%	31.60
07/02	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.350%	32.57
07/09	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.350%	32.57
07/16	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.200%	31.60
07/23	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.200%	31.11
07/30	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.250%	31.11
08/06	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.200%	31.60
08/13	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.400%	31.11
08/20	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.750%	33.06
08/27	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.750%	36.46
09/04	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.700%	40.00
09/10	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.650%	30.83

[redacted]

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

[redacted]

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

[redacted]

Page 9

RECIPIENT'S Name, Street Address, City, State, and Zip Code
KITT LOREY

Telephone Number: [redacted]

[redacted]

75M

Original 12/31/07 ☐ 2nd TIN Notice

[redacted]

09/17	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.750%	35.49
09/24	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.750%	36.46
10/01	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.650%	35.49
10/09	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.450%	40.56
10/15	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.250%	28.75
10/22	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.250%	31.60
10/29	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.050%	31.60
11/05	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.900%	29.65
11/13	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 2.900%	32.22
11/19	NEW YORK ST URBAN DEV CORP REV INTEREST DUE 01/01/2030 AT 3.600%	27.50
01/02	PUERTO RICO ELEC PWR AUTH PWR INTEREST DUE 07/01/2007 AT 5.200%	780.00
07/02	PUERTO RICO ELEC PWR AUTH PWR INTEREST DUE 07/01/2007 AT 5.200%	780.00

TOTAL TAX EXEMPT INCOME
TAX EXEMPT INTEREST/DIVIDENDS 12,929.43
INCOME SUBJECT TO AMT 6.40

[redacted]

INVESTMENT ACTIVITY DETAILS

01/02	**BLACKROCK NEW YORK MUNICIPAL REINVEST FUND CL A1 REINVEST PRICE $ 11.13 QUANTITY: 0.195	09253A813	2.17

[redacted]

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

[redacted]

[redacted]
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

Page 10

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NITA LOUEY

Telephone Number: [redacted]

[redacted]
75M

Original 12/31/07 ☐ 2nd TIN Notice

02/01	**BLACKROCK NEW YORK MUNICIPAL REINVEST FUND CL A1 REINVEST PRICE $ 11.08 QUANTITY: 0.196	09253A813	2.17
03/01	**BLACKROCK NEW YORK MUNICIPAL REINVEST FUND CL A1 REINVEST PRICE $ 11.16 QUANTITY: 0.177	09253A813	1.97
04/02	**BLACKROCK NEW YORK MUNICIPAL REINVEST FUND CL A1 REINVEST PRICE $ 11.10 QUANTITY: 0.195	09253A813	2.17
05/01	**BLACKROCK NEW YORK MUNICIPAL REINVEST FUND CL A1 REINVEST PRICE $ 11.09 QUANTITY: 0.189	09253A813	2.10
06/01	**BLACKROCK NEW YORK MUNICIPAL REINVEST FUND CL A1 REINVEST PRICE $ 11.02 QUANTITY: 0.197	09253A813	2.17
07/02	**BLACKROCK NEW YORK MUNICIPAL REINVEST FUND CL A1 REINVEST PRICE $ 10.92 QUANTITY: 0.192	09253A813	2.10
08/01	**BLACKROCK NEW YORK MUNICIPAL REINVEST FUND CL A1 REINVEST PRICE $ 10.91 QUANTITY: 0.201	09253A813	2.19
09/04	**BLACKROCK NEW YORK MUNICIPAL REINVEST FUND CL A1 REINVEST PRICE $ 10.75 QUANTITY: 0.205	09253A813	2.20
10/01	**BLACKROCK NEW YORK MUNICIPAL REINVEST FUND CL A1 REINVEST PRICE $ 10.85 QUANTITY: 0.198	09253A813	2.15
11/01	**BLACKROCK NEW YORK MUNICIPAL REINVEST FUND CL A1 REINVEST PRICE $ 10.84 QUANTITY: 0.203	09253A813	2.20

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

[redacted]

PAYER'S Name, Street, City, State, Zip Code, and Telephone Number
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN NEW YORK 11201-3859

[redacted]
Page 11

RECIPIENT'S Name, Street Address, City, State, and Zip Code
[redacted]

Telephone Number: [redacted]

[redacted]

Original 12/31/07 ☐ 2nd TIN Notice

[redacted]

12/03	**BLACKROCK NEW YORK MUNICIPAL REINVEST FUND CL A1 REINVEST PRICE $ 10.80 QUANTITY: 0.197	09253A813	2.13

[redacted]

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY

[redacted]

[redacted]

[redacted]

AT SMITH BARNEY

Individual Retirement Account
Nov mber 1 - Decemb r 31, 2007

L07000005884 397385AD01 PTDFD001A
NITA LOWEY
CGM IRA CUSTODIAN

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
CYNTHIA GREEN COLIN
[redacted]

Account number

Branch Phone: [redacted]

Citigroup Global Markets Inc., member all principal exchanges, and the Securities Investor Protection Corporation (SIPC). Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. Citi and Citi with Arc Design are trademarks and service marks of Citigroup Inc. and its affiliates, and are used and registered throughout the world. Working Wealth℠ is a service mark of Citigroup Global Markets Inc.

Account value

	Last period	This period	%
Bank Deposit Program℠-principal	$ 76,027.71	$ 76,162.97	46.60
Common stocks & options	65,055.98	49,629.42	30.26
Mutual funds	10,295.72	10,943.69	6.33
International bonds	19,006.80	19,334.10	11.84
Corporate bonds	7,947.54	8,114.67	4.97
[redacted]			
Fair Market Value 12/31/07		$ 163,419.12	

Earnings summary

	This period	This year
Other dividends	$ 73.91	$ 4,343.71
Bank Deposit Program℠	125.26	954.94
Total	$ 199.17	$ 5,298.65

Gain/loss summary

	This period	This year
Unrealized gain or (loss) to date	$ 8,761.66	

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 76,027.71	
Securities bought and other subtractions	(73.91)	
Securities sold and other additions	0.00	
Deposits	0.00	136.84
Withdrawals	0.00	(40.00)
Dividends credited	73.91	
Bank Deposit Program℠ interest reinvested	125.26	
Closing balance	$ 76,162.97	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 178,393.76	$ 174,850.65
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	96.94
Beginning value net of deposits/withdrawals	178,393.76	174,747.59
Total value as of 12/31/2007 (excl. accr. int.)	$ 163,419.12	$ 163,419.12
Total return	($ 14,974.63)	($ 11,328.47)

citi smith barney

2215

Individual Retirement Account
November 1 - Dec mber 31, 2007

NITA LOWEY

Account number [redacted]

[redacted]

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since Inception*
Contributions			
Total contributions	$ 0.00	$ 0.00	$ 0.00

*Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.

[redacted]

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
JACQUELINE LOWEY	

[redacted]

Your holdings are valued using the most current prices available to us. In most cases, these values are as of 12/31/07, but in some cases our sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Bank Deposit Program℠

The Federal Deposit Insurance Corporation (FDIC) provides insurance on deposits up to $100,000 per bank for most non-retirement accounts. The FDIC provides retirement accounts with coverage up to $250,000 per bank. However, the Smith Barney Bank Deposit Program (BDP) applies certain limits to the maximum deposit amount permitted in each bank which in most instances is below the maximum FDIC insurance limits for each type of account. In those instances where an account type exceeds the maximum FDIC insurance limits, those deposits will be uninsured. For complete details please refer to the "Bank Deposit Program Disclosure Statement."

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
76,015.61	CITIBANK NA BANK DEPOSIT PROGRAM	$ 76,015.61		.95%	$ 722.14
137.33	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 137.33		.95%	$ 1.30

[redacted]

2216

Individual Retirement Account
November 1 - Dec mber 31, 2007

NITA LOWEY

Account number

Common stocks & options

Citi Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citi Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citi Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citi Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citi Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	AMERICAN CAPITAL STRATEGIES Rating: Citigroup : 2H Argus : 1 S&P : 1	ACAS	03/26/03	$ 22,600.00	$ 22.60	$ 32.93	$ 32,930.00	$ 10,330.00 LT		
361.7713	Reinvestments to date			11,520.93	31.845	32.93	11,923.95	403.05 LT		
119.8131	Reinvestments to date			5,057.42	42.21	32.93	3,946.04	(1,108.38) ST		
1,481.5844				39,178.35	26.444		48,803.99	9,625.67	12.123	5,928.34
60	TERADYNE INC Rating: Citigroup : 1H Morningstar : 2 S&P : 1	TER	02/07/89	3,893.78	64.895	10.34	620.40	(3,273.38) LT		

[redacted]

2217

[redacted]

AT SMITH BARNEY

Individual Retirement Account
November 1 - December 31, 2007

NITA LOWEY

Account number

Mutual funds

Certain mutual funds may not be transferable to other broker-dealers. For example, clients can purchase and hold shares of Legg Mason mutual funds in Smith Barney accounts but (with few exceptions) cannot transfer these positions to other firms. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds not sponsored by us are based upon information provided by an outside vendor and are not verified by us. "Tax-Based Cost vs. Current Value" is being provided for information purposes only. "Cash Distributions (since inception)" when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 1/1/83, asset transfers, recent activity and certain adjustments made in your account. "Total Purchases vs. Current Value" is provided to assist you in comparing your "Total purchases" excluding reinvested distributions, with the current value of the fund's shares in your account. "Fund Value Increase/Decrease" reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
277.4503	LEGG MASON PARTNERS CORE PLUS BOND FUND CLASS A			Please provide		$ 12.06	$ 3,351.60	Not available			
277.4503	**Total Purchases**			0.00	0.00	12.06	3,351.60	Not available			
536.8187	Reinvestments to date			6,715.07	12.482	12.06	6,509.93	(203.14) LT			
40.019	Reinvestments to date			484.64	12.11	12.06	483.43	(1.21) ST			
853.283	**Tax-based Cost vs. Current Value**			7,199.71	12.433		10,343.93	(337.35)**	4.22		433.83
	Total Purchases vs. Current Value			0.00			10,343.93	Not available			
	Fund Value Increase/Decrease							Not available			

[redacted]

2218

Individual Retirement Account
November 1 - December 31, 2007

NITA LOWEY

Account number

Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount.

Call features shown indicate the next regularly scheduled call date and price. Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The research rating for Standard & Poor's and Moody's Investors Service may be shown for certain fixed income securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting research ratings.

International bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(Loss) Original/ Adjusted		Current % Yield/ Anticip. Income (annualized)	Ordinary income/ Capital gain/(loss)
10,000	INTL BANK FOR RECON&DEV ZERO CPN-REG INT: 00.000% MATY: 06/15/2008 EXCHANGE RATE: 1.0000000 Amount denominated in: U.S. dollars Exchange: NYSE Rating: AAA/AAA	06/23/00 459056GH4	$ 5,742.90 $ 8,883.70	$ 57.379 $ 95.837 ##	97.846	$ 9,784.60	$ 4,041.70 $ 200.60	LT LT		$ 0.00 $ 200.60
10,000	SWEDEN KINGDOM EURO #7772 ZERO CPN INT: 00.000% MATY: 04/01/2009 EXCHANGE RATE: 1.0000000 Amount denominated in: U.S. dollars Rating: AAA/AAA	08/23/00 87020GBU5	5,409.80 7,843.30	54.048 78.432	95.695	9,828.60	4,150.70 1,828.30	LT LT		0.00 1,828.30

[redacted]

Please note: Amounts are denominated in the currency of the issue. Price is a function of exchange rate and market price.
Market value is denominated in U.S. dollars. Changes in exchange rate will affect the "face value in U.S. dollars" and market value.

Individual Retirement Account
Novemb r 1 - December 31, 2007

HITA LOWEY

Account number

Corporate bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued interest	Current value	Unrealized Gain/(loss) Original/ Adjusted		Current % Yield/ Anticip. income (annualized)	Ordinary income/ Capital gain/(loss)
8,000	PEPSICO CAP RES INC GTD DEB REG INT: 00.000% MATY: 04/01/2010 Rating: AA2/A+	06/23/00 713448AZ8	$ 4,413.02 $ 7,834.16	$ 46.978 $ 84.824 ##	90.183	$ 8,114.67	$ 3,701.65 $ 480.51	LT LT		$ 0.00 $ 480.51

[redacted]

**Unrealized Gain/Loss is only calculated when an original cost basis is available.
Original Issue Discount

[redacted]

Investment activity

Date	Activity	Description	Quantity	Price	Amount
11/30/07	Reinvest	LEGG MASON PARTNERS CORE PLUS BOND FUND CLASS A FOR PERIOD 11/01/07-12/02/07 32 DAYS AVERAGE YIELD 4.22 %.	3.143	$ 12.13	$ -38.13
12/31/07	Reinvest	LEGG MASON PARTNERS CORE PLUS BOND FUND CLASS A FOR PERIOD 12/03/07-01/01/08 30 DAYS AVERAGE YIELD 4.22 %.	2.902	12.08	-35.78
Total securities bought and other subtractions					$ -73.91
Total securities sold and other additions					$ 0.00

[redacted]

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
11/30/07	LEGG MASON PARTNERS CORE PLUS BOND FUND CLASS A	CASH DIV	$ 38.13		$ 38.13
12/31/07	LEGG MASON PARTNERS CORE PLUS BOND FUND CLASS A	CASH DIV	35.78		35.78

[redacted]

2220

NITA LOWEY

Account number

Bank Deposit Program℠ Interest

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
11/30/07	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 11/01/07-12/02/07 32 DAYS AVERAGE YIELD .99 %.	$ 65.88		$ 65.88
11/30/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 11/01/07-12/02/07 32 DAYS AVERAGE YIELD .99 %.	.07		.07
12/31/07	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 12/03/07-01/01/08 30 DAYS AVERAGE YIELD .95 %.	59.25		59.25
12/31/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 12/03/07-01/01/08 30 DAYS AVERAGE YIELD .95 %.	.06		.06

2221

NITA LOWEY

Account number

Guide to Investment Ratings

Stock Ratings

Investment ratings are based upon Citi Investment Research's expectation of total return (forecast price appreciation plus dividend yield within the next 12 months) and risk rating.

Developed Markets (US, UK, Europe, Japan, and Australia/New Zealand)

Code	Rating	Expected Total Return
1	Buy	10% or more for Low-Risk stocks, 15% or more for Medium-Risk stocks, 20% or more for High-Risk stocks, and 35% or more for Speculative stocks
2	Hold	0-10% for Low-Risk stocks, 0-15% for Medium-Risk stocks, 0-20% for High-Risk stocks, and 0-35% for Speculative stocks
3	Sell	Negative total return

Stock Risk

Takes into account price volatility and a select list of fundamental criteria.

Code	Rating	Definitions
L	Low	High predictability of financial results and low volatility
M	Medium	Moderate predictability of financial results and volatility
H	High	Low predictability of financial results and high volatility
S	Speculative	Exceptionally low financial predictability, highest risk and volatility

Independent, third-party research on certain companies covered by Citi Investment Research is available to clients of the firm at no cost. Clients can access this research at www.smithbarney.com or call 1-866-636-9542 to request that a copy of this research be sent to them.

Message: Please note that Smith Barney is required by law to report the December 31, 2007, Fair Market Value of this account to the Internal Revenue Service. Smith Barney will forward this information to the IRS on or before May 31, 2008, on IRS Form 5498.

Information regarding commissions and charges will be made available to you promptly upon request. Please advise us of any material change in your financial objectives or financial situation. All checks written and deposited to your account must be made payable to Smith Barney. A financial statement of Citigroup Global Markets Inc. is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request. **If you believe your statement is not correct, you must contact us within ten (10) days. Complaints and incorrect statement issues may be directed to the Manager of the branch servicing your account (see page 1 of statement for address and phone number).** To protect your rights, including any rights you may have under the Securities Investor Protection Act (SIPA), you must reconfirm all communication in writing to Citigroup Global Markets Inc., Attention: Early Dispute Resolution Group, 485 Lexington Avenue, 14th Floor, New York, NY 10017.

2222

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 13 PM 12: 07

(Office Use Only)

Frank D. Lucas (Full Name)	202-225-5565 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: OK District: 03	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who fil s more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ N ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☐ N ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2223

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frank D Lucas

	BLOCK A **Asset and/or Incom Sourc** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Typ f Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Incom** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting y ar.
	Panhandle Oil & Gas Inc	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	Other,Company name change.
JT	Security State Bank, Cheyenne, OK	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Western Farm Bureau IRA (not self directed)	$1,001 - $15,000	INTEREST	$1 - $200	
	103 acres - Mineral Rights, Roger Mills Co., Oklahoma	$250,001 - $500,000	See below individual companies	See below companies	
	Apache Corporation	None	Royalty	$1,001 - $2,500	
	Kerr McGee Oil & Gas	None	Royalty	$201 - $1,000	

	St Mary Operating Co.	None	Royalty	$1,001 - $2,500
	BP America Production Co.	None	Royalty	$15,001 - $50,000
	Cimarex Energy Co.	None	Royalty	$1,001 - $2,500
	Plains Marketing LP	None	Royalty	$1 - $200
	480 acres - Farmland,House,Equip.&Cattle, Cheyenne, OK	$250,001 - $500,000	Farm Income	$50,001 - $100,000, Gross
JT	508 acres - Farmland, Roger Mills Co., OK	$250,001 - $500,000	Farm Income	See above income
JT	320 acres - Farmland, Roger Mills Co., OK	$100,001 - $250,000	Farm Income	see above income
SP	Oklahoma Teacher Retirement (not self directed)	$1,001 - $15,000	None	NONE
	Public Employee Retirement (not self directed)	$15,001 - $50,000	None	NONE
SP	Texas Teacher Retirement (not self directerd)	$1,001 - $15,000	None	NONE
DC	Security State Bank, Cheyenne, OK	$1,001 - $15,000	INTEREST	$1 - $200

SCHEDULE V - LIABILITIES

Name Frank D. Lucas

Report liabilities of ver $10,000 owed t any ne cr ditor at any time during the reporting peri d by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclud : Any mortgage on your pers nal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or applian s; and liabilities w d to a spouse, or the child, parent, or sibling of you or your spouse. R port "revolving charge accounts" (i. ., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Security State Bank, Cheyenne, OK	Mortgage on 508 acres Farmland, Roger Mills Co., OK	$100,001 - $250,000
JT	Security State Bank, Cheyenne, OK	Note on JD 4020 tractor	$10,001 - $15,000
JT	Security State Bank, Cheyenne, OK	Note on JD 6615 tractor	$50,001 - $100,000
JT	Security State Bank, Cheyenne, OK	Operating Note (farm operating loan)	$15,001 - $50,000
SP	Bank of America	Revolving Credit Account	$15,001 - $50,000
	Chase Bank USA	Revolving Credit Account	$15,001 - $50,000
	American Express	Revolving Credit Account	$15,001 - $50,000

2226

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Frank D. Lucas

Identify the source and list travel itinerary, dat s, and nature of exp ns s provid d for travel and travel-related expens s totaling m re than $305 rec ived by you, your spouse, or a dep ndent child during the reporting p riod. Indicate whether a family member acc mpani d the traveler at the sponsor's xpens , and the amount of tim , if any, that was not at the sponsor's expens . Disclosure is required regardless of wh th r the xpenses were reimbursed r paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expens
Franklin Center for Global Policy Exchange	Aug. 12-17	DC-Berlin-DC	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 2:34 MC
(Office Use Only)
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

DANIEL E. LUNGREN
(Full Name)

202-225-5716
(Daytime Telephone)

Filer Status ✓ Member of the U.S. House of Representatives — State: CA — District: 03 — Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ✓	No
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	No ✓
III.	Did you, your spouse, or a dependant child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ✓	No
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ✓	No
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes	No ✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ✓	N
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ✓	N
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	No ✓

Each question in this part must be answered and the appropriat schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

2228

SCHEDULE I - EARNED INCOME

Name DANIEL E LUNGREN

List the source, type, and amount of earned income fr m any sourc (other than the filer's current employment by th U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the sourc and amount f any h noraria; list nly the s urce for oth r spous am d income exceeding $1,000.

Source	Type	Amount
California Legislators Retirement System	Constitutional Officer Pension	$52,175
California Public Employees Retirement System	Spouse Pension	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DANIEL E. LUNGREN

	BLOCK A **Asset and/or Inc me Sourc** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Incom** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Am unt of Incom** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sal s (S), or exchanges (E) exceeding $1,000 in rep rting y ar.
SP	BB&T bank accounts	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Congressional FCU accounts	$1,001 - $15,000	INTEREST	$1 - $200	
JT	First Horizon Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	Golden One Credit Union, Sacramento, CA	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Schwab - Barklays Global Investors LP 2020 (Venable Retirement Plan)	None	DIVIDENDS/CAPI TAL GAINS	$1 - $200	S

2230

	Schwab - PNC Growth & Income - formerly Mercantile Growth & Income I (Venable Retirement Plan)	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	Schwab - AllianceBernstein True Value Adv (Venable Retirement Plan)	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	Schwab - PIMCO Total Return Instl (Venable Retirement Plan)	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	Schwab S&P 500 SEL (Venable Retirement Plan)	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	Schwab Stable Value (Venable Retirement Plan)	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
	Stoneyford Ranch, LLC, Glenn Co., CA	$15,001 - $50,000	Ranch	NONE	
	UBS Financial Services -Money Market Fund	$50,001 - $100,000	INTEREST	NONE	P
SP	UBS Financial Servces - Legg Mason Partners Agressive Growth Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	UBS Financial Services - Legg Mason Partners Appreciation Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	UBS Financial Services - Legg Mason Partners Fundamental Value Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P

SCHEDULE IV - TRANSACTIONS

Name DANIEL E. LUNGREN

R port any purchase, sale or exchang by you, y ur spous , or d pend nt child during th rep rting year of any r al property, st cks, b nds, commodities futures, or other s curities when the am unt of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your sp us , or your dependent child, or the purchas or sale of your pers nal resid nc , unl ss it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Schwab - AllianceBernstein True Value Adv (Venable Retirement Plan)	S	12-08-07	$15,001 - $50,000
	Schwab - Barklays Global Investors LP 2020 (Venable Retirement Plan)	S	12-08-07	$1,001 - $15,000
	Schwab - PNC Growth & Income - formerly Mercantile Growth & Income I (Venable Retirement Plan)	S	12-08-07	$1,001 - $15,000
	Schwab - PIMCO Total Return Instl (Venable Retirement Plan)	S	12-08-07	$15,001 - $50,000
	Schwab S&P 500 SEL (Venable Retirement Plan)	S	12-08-07	$1,001 - $15,000
	UBS Financial Services - Money Market Fund	P	12-08-07	$50,001 - $100,000
SP	UBS Financial Servces - Legg Mason Partners Agressive Growth Fund	P	11-08-07	$1,001 - $15,000
SP	UBS Financial Services - Legg Mason Partners Appreciation Fund	P	11-08-07	$1,001 - $15,000
SP	UBS Financial Services - Legg Mason Partners Fundamental Value Fund	P	11-08-07	$1,001 - $15,000
	Schwab - Stable Value (Venable Retirement Plan)	S	12-08-07	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name DANIEL E. LUNGREN

Report liabilities of over $10,000 ow d to any one creditor at any time during the reporting period by you, your spouse, r dependent child. Mark th high st amount owed during th year. Exclud : Any mortgage on your personal residence (unless all or part f it is rent d ut); loans s cured by autom biles, hous hold furniture, or appliances; and liabiliti s ow d t a sp use, or the child, parent, or sibling of you or your spous . Report "revolving charg accounts" (i. ., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	VISA	Credit Card	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name DANIEL E. LUNGREN

Identify the source and list travel itinerary, dates, and nature of xpenses provid d for travel and trav l-related xpens s totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicat whether a family m mb r accompanl d the trav l r at the sponsor's xp nse, and th amount of time, if any, that was not at th sponsor's expense. Disclosure is r quired regardless of wheth r the expenses were reimbursed or paid directly by th sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported und r the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provid d to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's xp ns
Lincoln Club of Northern California	Mar. 23 - 25	Sacramento - Pebble Beach, CA - Sacramento	Y	Y	Y	None
Notre Dame Alumni Association	Nov. 8 - 11	Washington, DC - Chicago - Notre Dame, IN - Chicage - Washington, DC	Y	Y	Y	1 day
Fletcher Jones Foundation	Sep. 13 - 14	Washington, DC - Los Angeles - Washington, DC	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name DANIEL E. LUNGREN

Report all positions, comp nsated r uncompensated, held during the curr nt calendar year as an officer, director, trustee of an organization, partn r, proprietor, representative, mployee, or consultant of any c rp ratl n, firm, partn rship, or any bu in ss nterprls , any nonprofit organizati n, any labor rganization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, frat rnal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Fletcher Jones Foundation

HAND DELIVER

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 1:59
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Stephen F. Lynch
(Full Name)

202-225-8273
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representative — State: MA — District: 09 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ N ☑

2236

SCHEDULE I - EARNED INCOME

Name Stephen F. Lynch

List th s urce, type, and am unt of arned income from any sourc (th r than th filer's current mpl yment by the U.S. Government) totaling $200 r m re during the preceding cal ndar year. For a spouse, list the source and am unt f any honoraria; list only th source for oth r spous arn d incom xceeding $1,000.

Source	Type	Amount
South Boston Community Health Center	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen F. Lynch

BLOCK A **Ass t and/or Incom Sourc** Identify (a) each asset held for investment or producti n f inc me with *a fair market value exceeding $1,000 at the end of the reporting period*, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Y ar-End Valu of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Typ of Incom** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans r accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sal s (S), or exchange (E) exceeding $1,000 in reporting year.
JT	3 Jason Terrace, South Boston, Ma. 02127	$250,001 - $500,000	RENT	$5,001 - $15,000	
JT	55 G Street, South Boston, Ma. 02127	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
JT	Bank Of America	$1 - $1,000	INTEREST	$1 - $200	
JT	Cisco Systems	$1,001 - $15,000	None	NONE	
JT	EMC Corporation	$1,001 - $15,000	None	NONE	
JT	Intel Corporation	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Stephen F. Lynch

JT	Morgan Stanley Money Market	$1 - $1,000	INTEREST	$1 - $200
JT	MS Focus Growth Mutual Fund	$1,001 - $15,000	None	NONE
JT	Pioneer Equity Income Fund	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000
JT	Pioneer Mid-Cap Growth Fund	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000

SCHEDULE V - LIABILITIES

Name Stephen F. Lynch

R port liabilti s of v r $10,000 owed to any on credit r at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclud : Any m rtgag on your pers nal residence (unl ss all r part of it is rent d out); loans secured by autom biles, h usehold furniture, or applianc s; and liabilities wed to a spous , or th child, parent, or sibling f you or your sp us . Report "revolving charg acc unts" (i.e., cr dit cards) only if the balance at th cl s f the prec ding cal ndar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Mt. Washington Bank, South Boston, Ma.	Mortgage on 55 G Street, South Boston Ma. 02127	$250,001 - $500,000
JT	Mt. Washington Bank, South Boston, Ma	Mortgage on 33 Jason Terrace, South Boston, Ma. 02127	$100,001 - $250,000

2240

SCHEDULE VIII - POSITIONS

Name Stephen F. Lynch

Rep rt all positions, compensated or uncompensat d, h ld during the current calendar year as an fficer, direct r, trustee of an organization, partner, pr prietor, representative, ompl yee, or consultant of any c rporation, firm, partn rship, r any business enterprise, any nonprofit organization, any labor organizati n, r any educational or th r institution other than the United Stat s. Exclude: P sitl ns held in any religious, social, fraternal, or political ntities; positl ns solely f an hon rary nature; and positions listed on Schedul l.

Position	Name of Organization
Board Member	Colonel Daniel Marr Boys & Girls Club
Board Member	Friends for Children
Board Member	South Boston Boys & Girls Club

HAND DELIVERED

2008 MAY 15 PM 3:04

MC

2242

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Carolyn B. Maloney
(Full Name)

202-225-7944
(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative	State: NY District: 14	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ N ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ N ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ N ☑

SCHEDULE I - EARNED INCOME

Name Carolyn B. Maloney

List the source, type, and amount of arned income from any source (oth r than the filer's current mploym nt by th U.S. Government) totaling $200 r more during the preceding calendar year. For a spouse, list the source and amount of any h noraria; list only the source for other spouse earned Inc m ex eeding $1,000.

Source	Type	Amount
Chromium Industries, inc. Chicago, IL	Spouse	N/A
CHW Maloney & Co.,Incorporated New York, NY	Spouse	N\A
The Wall Street Fund New York, NY	Spouse	N/A
Interpool, Inc. Princeton, NJ Exercise of Options	Spouse	N\A
Beechtree Park, Inc. Virginia Beach, VA A Family owned business	Management Fees	$20,000
Vicon Industries, Inc Hauptauge, NY	Spouse	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Carolyn B. Maloney

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), r exchanges (E) exceeding $1,000 in reporting year.
	Certificate of Deposit Coastal Bank Virginia Beach, VA	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Citibank N.A. Checking	$15,001 - $50,000	INTEREST	$1 - $200	
	Alliance Capital Reserve - Money Market Fund	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Dreyfus Money Market Fund	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Stock - Chromium Industries, Inc. Chicago, IL	$100,001 - $250,000	None	NONE	
	Fleet Bank - checking	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Carolyn B Maloney

	Chase Manhattan Bank - checking	$1,001 - $15,000	None	NONE	
	Citibank Checking	$1,001 - $15,000	INTEREST	$1 - $200	
	Citibank Money Rate Plus - money market fund	$100,001 - $250,000	RENT/INTEREST	$1,001 - $2,500	
	Chromium Industries, Inc. Loan	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
	Rental property & residence - 49 East 92nd Street, New York, NY	$5,000,001 - $25,000,000	RENT	$15,001 - $50,000	
	Rental Property - single family, 279 West Road, New Canaan, CT	$1,000,001 - $5,000,000	RENT	$15,001 - $50,000	
	Albright & Maloney Partnership, 10 High Street, Boston MA	$100,001 - $250,000	RENT	NONE	
	Stock - Aldo Farms of North Carolina, Inc., Virginia Beach, VA	$1 - $1,000	DIVIDENDS	NONE	
	Stock - The Wall Street Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Stock - Roll Finishing, Mt. Vernon, IN - providing services in refinishing rollers	$50,001 - $100,000	BUSINESS INCOME	$1,001 - $2,500	
	Stock - JTP, Inc. Land & rental Investment, Jonestown Road, Chesapeake, Va	$50,001 - $100,000	RENT	$5,001 - $15,000	
	Stock - Beechtree Park, Inc, land investments, Virginia Beach and Chesapeake, VA - See attached schedule 1	$500,001 - $1,000,000	RENT/INTEREST /CAPITAL GAINS/S-Corp income	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Carolyn B. Maloney

	Stock - CHW Maloney & Co., Inc. New York, NY - Provides financial services and management	$1,001 - $15,000	Sub S income	$1,001 - $2,500	
	Stock - Holiday Village, Inc. ,Real estate investment & Commercial rentals, Virginia Beach, VA	$250,001 - $500,000	RENT	$5,001 - $15,000	
	R.G.Bosher Irrevocable Life Ins Trust, Insurance and investments	$250,001 - $500,000	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	
	Bosher Family Partnership L. P. Real estate investments Virginia, Florida and North Carolina - see attached schedule 2	$100,001 - $250,000	INTEREST/DIVIDENDS/partnership income	$1 - $200	
SP	Bosher Family Partnership, L. P., Real estate investments in Virginia, Florida and North Carolina - see attached schedule 2	$50,001 - $100,000	INTEREST/RENT /CAPITAL GAINS/Partnership income	$1 - $200	
DC	Bosher Family Partnership, L. P. , Real estate investments in Virginia, Florida and North Carolina - see attached schedule 2	$50,001 - $100,000	INTEREST/DIVIDENDS/CAPITAL GAINS/Partnership income	$1 - $200	
	The Columns LLC, 1/4 interest in real estate - Rose Hall, Jamaica	$250,001 - $500,000	RENT/CAPITAL GAINS/Partnership income	$2,501 - $5,000	P
	Stock - Birdneck Country Club Apartments, Inc. Land investment , Virginia Beach, VA	$50,001 - $100,000	RENT	$2,501 - $5,000	

	Stock - Sea Bay Development Corp, Residental and Commerical real estate, Virginia Beach, VA - see attached schedule 3	$50,001 - $100,000	RENT/INTEREST /Sub-S income	$1,001 - $2,500	
SP	Stock - Sea Bay Development Corp, Residential and Commercial real estate, Virginia Beach, VA - see attached schedule 3	$50,001 - $100,000	RENT/INTEREST /Sub-S income	$1,001 - $2,500	
DC	Stock - Sea Bay Development Corp., Residential and Commercial real estate, Virginia Beach, VA - see attached schedule 3	$50,001 - $100,000	RENT/INTEREST Sub-S income	$1,001 - $2,500	
	GreenSea Farms, LLC - 1/4 interest, Real estate, Chesapeake, VA	$250,001 - $500,000	RENT/CAPITAL GAINS/PARTNERSHIP INCOME	$5,001 - $15,000	
	Minnewawa Assoc. L. P. Real estate, Blue Mountain Lake, NY	$250,001 - $500,000	RENT	$2,501 - $5,000	
	HPB Enterprises, T/A Albemarle Plantation, Real estate and commercial ventures, Hertford, N.C.	$1,000,001 - $5,000,000	RENT/INTEREST /CAPITAL GAINS/partnership income	$100,001 - $1,000,000	PS&E PARTIAL INTEREST
SP	HPB Enterprises, T/A Albemarle Plantation, Real estate and commercial ventures, Hertford, N.C.	None	RENT/INTEREST /CAPITAL GAINS/partnership income	NONE	PS&E
DC	HPB Enterprises, T/A Albemarle Plantation, Real estate and commercial ventures, Hertford, N. C.	None	RENT/INTEREST /CAPITAL GAINS/partnership income	NONE	PS&E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Carolyn B. Maloney

	Bosh r Family LLC, partner in HPB Enterprises, Hertford, N.C. See schedule 4	$5,000,001 - $25,000,000	RENT/INTEREST /CAPITAL GAINS/partnership income	$100,001 - $1,000,000	PS&E
SP	Bosher Familly LLC, partner in HPB Enterprises, Hertford, N.C. See schedule 4	$100,001 - $250,000	RENT/INTEREST /CAPITAL GAINS/partnerhip income	$5,001 - $15,000	PS&E
DC	Bosher Family LLC, partner in HPB Enterprises, Hertford, N. C. See schedule 4	$250,001 - $500,000	RENT/INTEREST /CAPITAL GAINS/partnership income	$15,001 - $50,000	PS&E
	Jefferson Investors, LLC, real estate, residential and commercial rentals, Virginia Beach, VA	$500,001 - $1,000,000	RENT/CAPITAL GAINS/partnership income	NONE	
	Undivided interest in 96 acres-route 64, Southhampton County VA	$15,001 - $50,000	CAPITAL GAINS	NONE	
	House -206 D Street, SE, Washington, D.C.	$1,000,001 - $5,000,000	RENT	$50,001 - $100,000	
	Condo Unit 210, The Monroe Condominiums, Arlington, VA	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
	Undivided 1/4 interest in real estate - Cole Tract, Hertford, N.C.	$50,001 - $100,000	CAPITAL GAINS	NONE	
	Interpool, Inc. Stock Options, Princeton, N.J.	$250,001 - $500,000	Options	$5,001 - $15,000	
	A T&T	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Allstate Corp	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Carolyn B. Maloney

	Boeing	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	BP PLC	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Bristol Meyers	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	Colgate-Palmolive	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	Colonial Properties Trust	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Comcast	$1,001 - $15,000	DIVIDENDS	NONE	
	CSX Corp	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Del Monte Foods	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Donnelley RR & Sons	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Duke Energy	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Eaton Vance Tax Advantages G1 Dividends	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	S(part)
	Eaton Vance Tax Managed	$1,000,001 - $5,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$5,001 - $15,000	
	El Paso Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Exxon Mobile	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Carolyn B. Mal ney

	Fortune Brands	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Gabelli Dividend Income Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Gallaher CRP PLC	$50,001 - $100,000	DIVIDENDS/CAPI TAL GAINS	$100,001 - $1,000,000	S(part)
	General Electric	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Getty Realty Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Heinz H. J. Co	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	Honeywell Int'l	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
	HRPT Property Trust	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	IBM	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Idearc, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Interpool, Inc. Subordinated Notes	None	INTEREST	$1,001 - $2,500	PS&E
	Interpool, Inc. Common stock	None	CAPITAL GAINS	$2,501 - $5,000	S
	I-Star Financial	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Mack-Cali Realty Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Carolyn B. Maloney

	Medco H alth Solutions	$15,001 - $50,000	DIVIDENDS	NONE	
	Merck & Co.	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
	Monmouth Real Estate Investment Trust	$15,001 - $50,000	DIVIDENDS	NONE	P
	Morgan Stanley	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Nuveen Tax Advantage Total Return	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Pepsico	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	Pitney Bowes	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Plum Creek Timber Co	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
	PNC Financial Services	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	Public Service Enterprises	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	R. Dutch Shell	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Realty income Trust	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Sears	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Surburban Propan	$15,001 - $50,000	DIVIDENDS/CAPI TAL GAINS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Carolyn B. Mal ney

	Time Warner	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	U. S. Treasury Notes	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Union Pacific	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Verizon	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	Wachovia Money Market Fund	$500,001 - $1,000,000	INTEREST	$1,001 - $2,500	
	Washington Real Estate Investment Trust	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	W. M. Wrigley	$15,001 - $50,000	DIVIDENDS	NONE	
	Acco Brands	$1,001 - $15,000	DIVIDENDS	NONE	
	Vicon Industries, Inc.	$1,001 - $15,000	options	NONE	
	Caterpillar	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	DHB Industries, Inc.	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$50,001 - $100,000	PS(part)
	Eaton Vance Dividend Income	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	P
	Lexington Realty Trust	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P
	United Technologies	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name *Carolyn B. Maloney*

	Washington Real Estate Investment Trust	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Discover Financial Service received in spin off from Morgan Stanley	$1,001 - $15,000	DIVIDENDS	$1 - $200	spin off
	Spectra Energy Corp received as spin off from Duke Energy	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	spin off

SCHEDULE IV - TRANSACTIONS

Name Carolyn B. Mal ney

Report any purchas , sale or exchange by you, y ur spou e, r dependent child during the reporting year of any real property, stocks, bonds, mmoditi s futures, or other securities when the amount of th transaction or series of transactions exceeded $1,000. Include transa tions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	The Columns LLC, Additional 5% interest in real estate - Rose Hall, Jamaica	P	01-01-07	$15,001 - $50,000
	Bosher Family LLC,transfer of individual partner interest in HPB Enterprises to Bosher Family LLC	Other	01-01-07	$5,000,001 - $25,000,000
SP	Bosher Familly LLC, transfer of individual parner interest in HPB Enterprises to Bosher Family LLC	Other	01-01-07	$100,001 - $250,000
DC	Bosher Family LLC, transfer of individual partner interest in HPB Enterprises to Bosher Family LLC	Other	01-01-07	$250,001 - $500,000
	Caterpillar	P	08-20-07	$1,001 - $15,000
	Deere & Co	P	08-06-07	$1,001 - $15,000
	Eaton Vance Dividend Income	P	09-24-07	$15,001 - $50,000
	Lexington Realty Trust	P	06-22-07	$15,001 - $50,000
	United Technologies	P	08-15-07	$1,001 - $15,000
	Lexington Realty Trust	P	08-01-07	$1,001 - $15,000
	Washington Real Estate Investm nt Trust	P	12-31-07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Carolyn B. Maloney

R p rt any purchase, sal r xchang by you, your spous , or dependent child during the reporting y ar of any real property, stocks, bonds, commodities futures, r oth r s curities when the am unt of the transaction r series of transactions exce ded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transacti n
	Eaton Vance Tax Advantages G1 Dividends	S(part)	11-15-07	$50,001 - $100,000
	Gallaher CRP PLC	S(part)	05-04-07	$100,001 - $250,000
	I-Star Financial	P	Various	$100,001 - $250,000
	Monmouth Real Estate Investment Trust	P	Various	$15,001 - $50,000
	HRPT Property Trust	P	Various	$15,001 - $50,000
	DHB Industries, inc.	P	Various	$50,001 - $100,000
	DHB Industries, inc.	S(part)	Various	$50,001 - $100,000
	Interpool Subordinated Debts exchanged for Interpool Inc. Common stock followed by sale of stock	S	08-01-07	$50,001 - $100,000
	Discover Financial Services received from spin off from Morgan Stanley	spin off	07-02-07	none
	Spectra Energy Corp received from spin off from Duke Energy	spin offr	01-03-07	none

SCHEDULE V - LIABILITIES

Name Carolyn B. Mal ney

Rep rt liabilities of ver $10,000 owed to any one creditor at any time during the rep rting period by you, your spous , r dependent child. Mark th highest am unt owed during the year. Exclude: Any m rtgag n y ur pers nal residence (uni ss all or part of it is rented out); loans secured by automobiles, household *furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse.* R port "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Citibank, N.A.	Mortgage on 49 East 92nd St. New York, NY	$250,001 - $500,000
	Wells Fargo Home Mortgage	Mortgage on 279 West Road New Canaan	$250,001 - $500,000
	ABN AMRO Mortgage Group	Mortgage on 206D St. SE Washington, D.C.	$500,001 - $1,000,000
	George Mason Mortgage LLC	Mortgage on 210 The Monroe Condo Arlington, VA	$250,001 - $500,000
	Estate of Ralph G. Bosher	Real Estate Loan	$250,001 - $500,000
	RGB, inc R.G. Bosher Irrevocable life ins. Trust	Real Estate and acquisition loan	$500,001 - $1,000,000

2256

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Carolyn B. Maloney

Identify the source and list trav l itinerary, date , and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family m mber accompanl d the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expens
The Aspen Institute Congressioal Program	Aug. 13-19	DC-Berlin, Germany-DC	Y	Y	Y	0
Qatar Mutual Educational Cultural Exchange	MAR 30 - APRIL 2	DC-QATAR-DC	Y	Y	Y	0

SCHEDULE VIII - POSITIONS

Name Carolyn B. Mal ney

Report all positions, compensated or uncompensated, held during the current cal ndar year as an officer, director, trustee of an rganizati n, partner, proprietor, representative, mployee, or consultant of any corporation, firm, partnership, or any business enterprise, any n nprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Bosher Family Partnership, LP Virginia Beach, VA
Member	Greensea Farms, LLC Virginia Beach, VA
Manager	Beechtree Park, Inc. Virginia Beach, VA
Member	Bosher Family LLC Virginia Beach, VA
Member	Jefferson Investors, LLC Virginia Beach, VA

FOOTNOTES

Name Carolyn B. Maloney

Number	Section / Schedule	Footnote	Thi n te refers to the following item
1	Schedule VII	Family member paid their travel expenses	Qatar Mutual Educational Cultural Exchange

Carolyn B. Maloney
[redacted]

Schedule 1

ATTACHMENT TO SCHEDULE III, PAGE 4 OF 18
FINANCIAL DISCLOSURE STATEMENT
FOR THE YEAR DECEMBER 31, 2007

BEECHTREE PARK, INC.

Beechtree Park, Inc. as noted on Schedule III, page 4 of the 2008 financial disclosure represents a family owned closely held S-corporation located in Virginia Beach, Virginia. The corporation invests in real estate to be developed into commercial and residential sites. All of the properties are located in Virginia Beach and Chesapeake, Virginia.

Carolyn B. Maloney

[redacted]

Schedule 2

ATTACHMENT TO SCHEDULE III, PAGES 5 OF 18
FINANCIAL DISCLOSURE STATEMENT
FOR THE YEAR DECEMBER 31, 2007

The following is a listing of the real estate holdings of the Bosher Family Partnership as of December 31, 2007:

1. Parcel #[redacted] located in Palatka, Florida.
2. Two residential lots in Edenton, North Carolina.
3. 76.00 acres of land in Chowan County, North Carolina.
4. 107.00 acres of land in Elizabeth City, North Carolina.
5. 26 acres of land in Elizabeth City, North Carolina.

Carolyn B. Maloney
[redacted]

Schedule 3

ATTACHMENT TO SCHEDULE III, PAGES 5&6 OF 18
FINANCIAL DISCLOSURE STATEMENT
FOR THE YEAR DECEMBER 31, 2007

SEA BAY DEVELOPMENT CORP.

Sea Bay Development Corp as noted on Schedule III, pages 5 & 6 of the 2008 financial disclosure represents a family closely held S-corporation located in Virginia Beach, Virginia. The corporation invests in rental real estate both commercial and residential and land for future development. All of the properties are located in Virginia Beach and Norfolk, Virginia.

Carolyn B. Maloney

[redacted]

Schedule 4

ATTACHMENT TO SCHEDULE III, PAGE 6&7OF 18
FINANCIAL DISCLOSURE STATEMENT
FOR THE YEAR DECEMBER 31, 2007

BOSHER FAMILY LLC

Bosher Family LLC was formed to hold the family partnership interest in HPB Enterprises T/A Albemarle Plantation, a real estate development company located in Hertford, North Carolina. The disclosure statement reflects this transfer of the partnership interest into the LLC, as an exchange for Bosher Family LLC on schedule IV.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Y ar Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 10: 12
MC
U.S. HOUSE OF REPRESENTATIVES

DONALD A MANZULLO (Full Name)

2022255676 (Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State: IL District: 16	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒ N ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	N ☒

2264

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. *For an active business that is not publicly* traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of *reporting year*. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. ***Dividends and interest, even if reinvested, should be listed as income.*** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

SP/DC/JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp Stock					X									X			X														S (partial)
DC Examples	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X									X							
JT	OFFICE BLD 400 SP WASH. ST OREGON, FL						X									X											X					
	FARM EGAN IL					X										X				OPERATION Related						X						
*	DONALD MANZULLO (PENSION CONVERTED) CRA							X							X		X	X									X					

* SEE ATTACHMENT

For additional assets and unearned income, use next page.

2265

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Donald Manzullo

Page 3 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
*	Donald Manzullo IRAs			X											X		X	X					X									
*	Freda Manzullo IRAs				X										X		X	X						X								
*	SP Stocks, Funds, Cash					X									X		X	X									X					
*	DC Katie Manzullo				X									X																		

* SEE ATTACHMENT

This page may be copied if more space is required.

2266

Name DONALD MANZULLO

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Typ of Transaction: PURCHASE	SALE	EXCHANGE	Dat (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
*	SEE ATTACHMENT TO ALL TRANSACTIONS AND AMOUNTS														

This page may be copied if more space is required.

2267

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	PNC BANK - CO liability on SON NEIL MANZULLO STUDENT LOAN	STUDENT LOAN		X								
	PNC BANK - CO liability on SON NOEL'S LOAN	STUDENT LOAN	X									

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Us additional sheets if more space is required.

Addenda to Schedules III and IV 2007 Financial Disclosure Statement

Donald A. Manzullo "Pension Conversion IRA

(The pension plan from my old law firm was dissolved in August of 2006 and converted to a traditional IRA. For purposes of identification, I've called it the "Pension Conversion IRA")

The IRA was held at Wachovia until March of 2007, at which time it was liquidated and the assets transferred to Savant

Wachovia held IRA as of 1/1/07

Value of asset: $250,001 to $500,000.
Type of income: dividends, interest, capital gains
Amount of Income: $15,001 to $50,000

Each mutual fund at Wachovia valued at between $15,001 and $50,000, with the exception of Julius Baer and Ing, which are between $50,001 and $100,000

FMI Focus Fund
ING Mayflower Funds Int'l
Janus Invt Fund (Mid cap)
Julius Baer Invt Fds Int'l
Kinetics Paradigm
Legg Mason Value Trust
Royce Premier Fd
TCW Galileo Fds Inc
T Rowe Price Mid-Cap

On March 30, 2007, these funds were liquidated and the assets were transferred to

Savant held IRA

On April 27, 2007 Savant took the cash from the liquidation and purchased the following funds, whose values are listed next to each:

DFA Enhanced US Large Co Portfolio Fund ($1001 to $15,000)
DFA Int'l Small Co Portfolio Fund ($15,001 to $50,000)
DFA US Micro Cap Portfolio Fund ($50,001 to $100,000)
DFA US Small Cap Value Portfolio Fund ($50,001 to $100,000)
DFA US Large Cap Value Fund Portfolio III ($50,001 to $100,000)
DFA Int'l Value Portfolio III Fund ($15,001 to $50,000)
DFA Int'l Small Cap Value Portfolio Fund ($15,001 to $50,000)
Eaton Vance Tax Managed Emerging Mkt ($5,001 to $15,000)
I Shares FTSE XN ETF ($1,001 to $5,000)
Barclays Bk PLC IP MSCI Ind 36 ETF Stock (1,001 to $5,000)
PIMCO Commodity Real Return Strategy ($5001 to $15,000)
PIMCO Pacific Investment Stocks Plus Inst. ($15,001 to $50,000)
Vanguard REIT Index Fund Instl. ($15,001 to $50,000)
Vanguard Inst Index Fund ($15,001 to $50,000)
Vanguard Value Index ($15,001 to $50,000)

(note the total was less than $500,000)

Purchased 8/07 SPDR Index SHS DJWS Intl Real ETF ($5001 to $15,000)

Donald A. and Freda J. Manzullo Jt Acct at Savant

On 1/1/07 A jt acct at Bernstein Global Wealth Management held
Alliance Bernstein Tax Mgd Fund ($50,001 to $100,000)

That account was liquidated on April 18, 2007, and the assets transferred to Savant, which in April of 2007 purchased the following funds:

DFA Int'l Small Co Portfolio ($5,001 to $15,000)
Eaton Vance Tax Mgd Emerging Mrkts ($15,001 to $50,000)
Vanguard Euro Stock Index Fund Inst ($15,001 to $50,000)
Vanguard Pacific Stock Index Fund ($5,001 to $15,000)
Vanguard Total Stock Market Index Fd ($15,001 to $50,000)

Stillman Valley Bank checking and savings $1,001 to $15,000
Wright Patman Fed Credit Union checking
And savings $5,001 to $15,000

Donald A. Manzullo Roth IRA

In June, 2007, Bernstein Roth Ira was liquidated. It held
Alliance B Wealth App Strategy Mut Fund ($5,001 to $15,000)
The money was sent to Scottrade, which shortly thereafter purchased:

BLDRS Emerging Mrkts 50 ADR Index Fund	($1,001 to $5,000)
ISHARES Tr MSCI EAFE INDEX Fund	($1,001 to $5,000)
Precision Castparts Corp	($1,001 to $5,000)

Freda J. Manzullo Roth IRA

In June, 2007, Bernstein Roth Ira was liquidated. It held
Alliance B Wealth Appr Strategy Mut Fund ($5,001 to $15,000)
The money was sent to Scottrade, which shortly thereafter purchased

BLDRS Emerging Mrkts 50 ADR Index Fund	($1,001 to $5,000)
ISHARES Tr MSCI EAFE INDEX Fund	($1,001 to $5,000)
Precision Castparts Corp	($1,001 to $5,000)

Freda J. Manzullo IRA

In June, 2007, Bernstein Roth Ira was liquidated. It held
Alliance B Wealth Appr Strategy Mut Fund ($5,001 to $15,000)
The money was sent to Scottrade, which shortly thereafter purchased

BLDRS Emerging Mrkts 50 ADR Index Fund	($1,001 to $5,000)
ISHARES Tr MSCI EAFE INDEX Fund	($1,001 to $5,000)
Precision Castparts Corp	($1,001 to $5,000)

Katie Manzullo

College Illinois – prepaid tuition $15,001 to $50,000

HAND DELIVERED

2008 MAY 15 PM 5:12

MC

2272

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

Edward J. Markey
(Full Name)

202-225-2836
(Daytime Telephone)

(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representative — State: MA District: 07 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ N ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ N ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Edward J Markey

List th source, type, and am unt of earned income from any source (ther than the fil r's current mployment by the U.S. Government) totaling $200 r more during the preceding calendar year. For a spouse, list th s urce and amount of any hon raria; list nly the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
US Public Health Service, Department of Health and Human Services	Spouse - Pension	N/A
Private Practice of Medicine	Spouse - Medical Fees	N/A
Global Health Institute, LLC	Spouse - Salary	N/A
International Institute of Health Research	Spouse - Lecture Fees	$10,000
American Heart Association - San Jose California Chapter	Spouse - Lecture Fees	$4,000
Eastern Connecticut Health Network	Spouse - Lecture Fees	$5,625
Project Women's Coalition	Spouse - Lecture Fees	$6,000
Center for Strategic and International Studies (CSIS)	Spouse - Lecture Fees	$500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward J. Markey

	BLOCK A **Ass t and/or Incom Source** Identify (a) each asset held for investm nt or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Typ of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Incom** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), r exchang s (E) exceeding $1,000 in reporting year.
	Cong. Federal Credit Union Accts	$15,001 - $50,000	INTEREST	$1 - $200	
	Rydex OTC Investor Class Fund	$15,001 - $50,000	None	NONE	
	Janus Global Technology Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Salomon Smith Barney, IRA-NASDAQ 100 Trust (QQQ)	$1,001 - $15,000	None	NONE	
	Firsthand Technology Value Fund	$1,001 - $15,000	None	NONE	
JT	Wachovia Bank Acct	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward J. Markey

SP	Wachovia Bank Accts	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
SP	Morgan Stanley Dean Witter IRA - S+P Depository Receipts	$1,001 - $15,000	DIVIDENDS	$1 - $200	Reinvested
SP	Morgan Stanley Dean Witter IRA - Liquid Asset Fund	$1 - $1,000	INTEREST	$1 - $200	Reinvested
SP	Janus Orion Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	Reinvested
SP	Fidelity SEP - Spartan Ext Market Index Invester Class	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	Reinvested
SP	Janus Global Technology Fund	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	Reinvested
SP	Old Mutual Columbus Circle Technology & Communications Fund	$1,001 - $15,000	NONE	NONE	
SP	Firsthand Technology Value Fund	$100,001 - $250,000	NONE	NONE	
SP	Morgan Stanley Dean Witter IRA - Power Shares QQQ Trust (Renamed 04-13-07 from NASDAQ 100 Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	Reinvested
SP	Fidelity Municipal Money Market	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	Reinvested
SP	Fidelity SEP - Fidelity Cash Reserve	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	Reinvested
SP	Rydex OTC Fund	$100,001 - $250,000	None	NONE	
SP	TIAA-CREF Traditional Retirement Annuity	$100,001 - $250,000	INTEREST	$5,001 - $15,000	Reinvested

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward J. Markey

SP	TIAA-CREF Retirement Annuity CREF Equity Index	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	Reinvested
SP	R.S. Emerging Growth Fund	$50,001 - $100,000	None	NONE	
SP	Global Health Institute, LLC, Chevy Chase, MD (Nature of Business: Health Education and Services)	$15,001 - $50,000	Interest, Salary See Schedule I	$201 - $1,000, See Schedule I	

SCHEDULE IV - TRANSACTIONS

Name Edward J. Markey

R port any purchase, sale or exchange by y u, your spous , or dep ndent child during the reporting year of any real property, stocks, bonds, commodities futures, or ther securities wh n the amount of th transaction or s ries of transactions exceeded $1,000. Includ transactions that resulted in a loss. Do not report a transacti n between you, y ur spouse, or y ur dependent child, or th purchase r sal of your personal resid nce, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Fidelity SEP - Fidelity Cash Reserve	P	02-28-07	$1,001 - $15,000
SP	Fidelity SEP - Fidelity Cash Reserve	P	04-10-07	$1,001 - $15,000
SP	Fidelity SEP - Spartan Ext Market Index Invester Class	P	04-28-07	$1,001 - $15,000
SP	Fidelity Municipal Money Market	P	Monthly dividend reinvestment	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name Edward J. Markey

Report all positions, compensated or uncompensated, h ld during the curr nt calendar year as an officer, director, trustee of an organization, partn r, pr prietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organizati n, or any educational or oth r institution other than th United States. Exclude: Positi ns held in any religious, social, fraternal, or political entities; positi ns solely of an honorary nature; and positions listed n Sch dul I.

Position	Name of Organization
Board Member (Associate)	Boston College Law School Board of Advisors (uncompensated)

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 2:41

U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

Jim Marshall (Full Name)

4787420085 (Daytime Telephone)

Filer Status	✓ Member of the U.S. House of Representatives — State: GA District: 3	Officer Or Employee	Employing Office:
Report Type	✓ Annual (May 15)	Amendment	Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ✓ No	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes No ✓	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ✓ No	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ✓ No	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes No ✓	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes N ✓	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes No ✓	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ✓ No	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes No ✓	

Each question in this part must be answered and the appr priate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

2279

SCHEDULE I - EARNED INCOME

Name Jim Marshall

List th s urc , typ , and amount of earned Incom fr m any sourc (other than th filer's curr nt mploym nt by the U.S. Government) t taling $200 r more during the preceding cal ndar year. For a spouse, list th s urc and amount of any hon rarla; list only th s urce for other spouse arned Income exceeding $1,000.

Source	Type	Amount
Office of the Chapter 13 Trustee	Spouse Salary	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Marshall

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transacti n** Indicat if ass t had purchas s (P), sales (S), or exchang s (E) exceeding $1,000 in reporting year.
	12.5 % of 46.5 acres in Wilkinsons County	$1,001 - $15,000	CAPITAL GAINS	NONE	
	7.5% Of Minerals 125 acres, Wilkinson County, GA	$1,001 - $15,000	CAPITAL GAINS	NONE	
SP	Alabama Power Co	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	Other
SP	Allianz	$1,001 - $15,000	INTEREST	$201 - $1,000	Other
SP	Am Cent Ultra IC	$1,001 - $15,000	CAPITAL GAINS	NONE	
SP	American Euro Pacific	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Marshall

SP	American Growth Fund	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	Other
SP	Ameritrade	$1 - $1,000	INTEREST	$1 - $200	
SP	BHP Billiton	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
JT	Black Rock bond fund, formerly know as Merrill Lynch Municipal Bond Fund/	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	Branch Banking and Trust	$50,001 - $100,000	Interest	$201 - $1,000	
SP	Cardinal Health	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	
JT	Cisco Systems	$1,001 - $15,000	CAPITAL GAINS	NONE	
SP	Coca Cola	$50,001 - $100,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	Other
	CREF Bond Market	$1,001 - $15,000	DIVIDENDS	NONE	
	CREF Global Equities	$1,001 - $15,000	CAPITAL GAINS	NONE	
	CREF Growth	$1,001 - $15,000	CAPITAL GAINS	NONE	
	CREF Stock (Retirement)	$250,001 - $500,000	CAPITAL GAINS	NONE	
SP	Davis NY Venture	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Marshall

SP	Davis Opportunity Fund	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	Other
SP	Dryden National Muncipals	$15,001 - $50,000	INTEREST	$201 - $1,000	Other
	Ecopolis I Ltd	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	
	Ecopolis I Ltd	$1,001 - $15,000	INTEREST	$1,001 - $2,500	
SP	Fedex	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	
SP	Fidelity Magellan	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	Other
	Fideltiy Contrafund	$1,001 - $15,000	CAPITAL GAINS	NONE	
SP	Jennison Utility Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	Other
	Jennison Utility Fund formerly Prudential Utilities Fund	$15,001 - $50,000	CAPITAL GAINS	NONE	
SP	Jennison Value Fd	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	Other
	McGinnis Capital LP	$250,001 - $500,000	CAPITAL GAINS	$50,001 - $100,000	
JT	Merril Lynch Institutional Funds	$100,001 - $250,000	INTEREST	$5,001 - $15,000	
JT	Merrill Lynch Cash Management Account	$15,001 - $50,000	Dividends	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Marshall

SP	Merrill Lynch Consults IXIS-CDP-C	$250,001 - $500,000	Capital Gains	NONE	
	Merrill LYNCH CONSULTS-Allianz (formerly managed byAIM INVESCO)	$250,001 - $500,000	CAPITAL GAINS/DIVIDENDS	NONE	
SP	Merrill Lynch Institutional Fund	$100,001 - $250,000	INTEREST	$5,001 - $15,000	Other
SP	Met Life Affiliates	$1 - $1,000	INTEREST	$1 - $200	
	Nation wide money market, formerlyGartmore Money Mkt Fd Ps	$1,001 - $15,000	INTEREST	NONE	
	Nationwide Fund D(formerly gartmore)	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Nationwide LGCAP GR formerly American Century Ultra	$1,001 - $15,000	CAPITAL GAINS	NONE	
SP	Oracle	$1,001 - $15,000	CAPITAL GAINS	NONE	
SP	Pfizer	None	CAPITAL GAINS	NONE	S
SP	Professional Women's Investment Group	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$201 - $1,000	
SP	Prudential Stock	$15,001 - $50,000	Dividends	$201 - $1,000	
SP	RVS Disciplined Equity Fund CL A	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	Other
SP	RVS Large Cap Equity Fund	$15,001 - $50,000	CAPITAL GAINS	NONE	Other

SP	RVS Tax-exempt High Income Fund	$1,001 - $15,000	INTEREST	$201 - $1,000	Other
SP	Symetra Life Retirment Services	$15,001 - $50,000	INTEREST	$1,001 - $2,500	Other
JT	T. Rowe Price Science and Technology Fund	$1 - $1,000	CAPITAL GAINS	NONE	
JT	T. Rowe Price Tax Exempt Money Market	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	T. Rowe Price Tax Free Short Intermediate	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
	Temp Foreign FND Cls A	$1 - $1,000	CAPITAL GAINS	NONE	
SP	Templeton Growth	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	Other
	TIAA Real Estate	$1,001 - $15,000	CAPITAL GAINS	NONE	
	Tiaa Traditonal(Retirement)	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
	TIAA-Cref International Equty	$1,001 - $15,000	CAPITAL GAINS	NONE	P
	TIAA-Cref Lifecycle Fund 2015	$15,001 - $50,000	CAPITAL GAINS	NONE	P
	TIAA-Cref Midcap Value	$1,001 - $15,000	CAPITAL GAINS	NONE	P
	TIAA-Cref Small Cap Value	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	NONE	P
SP	United States Savings Bonds	$50,001 - $100,000	INTEREST	$201 - $1,000	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jim Marshall

SP	Vanguard 500 Index Fund	$15,001 - $50,000	INTEREST/CAPITAL GAINS	$1,001 - $2,500	Other
SP	Walgreen	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Jim Marshall

Rep rt any purchas ,'sale r exchang by you, your sp us , r dependent child during the reporting year of any real property, stock , bonds, commodities futur , or ther securities when the am unt f the transaction r seri s f transactions exc eded $1,000. Include transactions that resulted in a l ss. Do not report a transaction b tween you, your pouse, or your depend nt child, or the purchase r sale of your p rs nal resid nce, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	BHP Billiton	P	08/21/2007	$1,001 - $15,000
	TIAA-Cref Midcap Value	P	01/23/2007	$1,001 - $15,000
	TIAA-Cref Small Cap Value	P	01/23/2007	$1,001 - $15,000
	TIAA-Cref International Equty	P	01/23/2007	$1,001 - $15,000
	TIAA-Cref Lifecycle Fund 2015	P	01/23/2007	$1,001 - $15,000
SP	Fidelity Magellan	Other	07/01/2007	$1,001 - $15,000
SP	RVS Disciplined Equity Fund CL A	Other	07/01/2007	$1,001 - $15,000
SP	RVS Tax-exempt High Income Fund	Other	07/01/2007	$1,001 - $15,000
SP	RVS Large Cap Equity Fund	Other	07/01/2007	$1,001 - $15,000
SP	Dryden National Muncipals	Other	07/01/2007	$1,001 - $15,000
SP	Vanguard 500 Index Fund	Other	07/01/2007	$15,001 - $50,000
SP	Symetra Life Retirment Services	Other	07/01/2007	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Jim Marshall

Report any purchase, sale or exchang by you, your spouse, or d p nd nt child during the rep rting year f any real property, stocks, b nds, comm dities futur s, or oth r securities when the amount f the transaction or seri s of transacti ns exc eded $1,000. Includ transactions that result d in a loss. D not report a transaction between y u, your sp use, r your dependent child, or the purchase or sal f your personal residenc , unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	United States Savings Bonds	Other	07/01/2007	$50,001 - $100,000
SP	Merrill Lynch Institutional Fund	Other	07/01/2007	$100,001 - $250,000
SP	Alabama Power Co	Other	07/01/2007	$1,001 - $15,000
SP	Coca Cola	Other	07/01/2007	$50,001 - $100,000
SP	American Growth Fund	Other	07/01/2007	$1,001 - $15,000
SP	American Euro Pacific	Other	07/01/2007	$1,001 - $15,000
SP	Davis NY Venture	Other	07/01/2007	$1,001 - $15,000
SP	Davis Opportunity Fund	Other	07/01/2007	$1,001 - $15,000
SP	Davis Opportunity Fund	Other	07/01/2007	$1,001 - $15,000
SP	Jennison Utility Fund	Other	07/01/2007	$15,001 - $50,000
SP	Jennison Value Fd	Other	07/01/2007	$15,001 - $50,000
SP	Templeton Growth	Other	07/01/2007	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Jim Marshall

Report any purchase, sal or exchange by you, your sp use, r d p nd nt child during th rep rting year f any real prop rty, st cks, b nds, c mmodities futures, or ther s curiti s wh n the amount of th transaction r series of tran acti ns xceeded $1,000. Include transactions that result d in a l ss. Do not report a transacti n between you, your spouse, or your d pendent child, or the purchas r sal of your personal resid nc , unless it is rented out. Provide a bri f

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Allianz	Other	07/01/2007	$1,001 - $15,000
SP	Pfizer	S	12/27/2007	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name Jim Marshall

Report all p siti ns, c mpensat d or uncompensated, h ld during the curr nt calendar year as an officer, direct r, trustee of an rganization, partner, propriet r, repres ntativ , employee, r consultant of any corporation, firm, partn rship, r any busin ss nt rprise, any n npr fit organizati n, any labor rganization, r any educational r ther institutl n ther than the United Stat s. Exclude: P sitions held in any religi us, s cial, fraternal, or p litical entities; positions sol ly of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Pro Bono Counsel	Better Business Bureau
Board Member	Education First/Community in Schools
President	Macon Heritage Housing Foundaton

FOOTNOTES

Number	Section / Sch dul	Footnote	This note ref rs to the following item
1	Schedule III	All of the assets shown identified with the transaction term "other" were inherited by the spouse when her mother died and the estate was distributed to the heirs.	

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 2:08
MC
(Office Use Only)
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

James David Matheson
(Full Name)

202-225-3011
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: UT District: 02	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ N ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ N ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2292

SCHEDULE I - EARNED INCOME

Name James David Matheson

List th sourc , type, and am unt of earned incom from any s urce (other than the filer's current employment by the U.S. G vernm nt) totaling $200 r more during the preceding cal ndar year. F r a spouse, list the source and amount of any honoraria; list only the ource for oth r spouse earned income exceeding $1,000.

Source	Type	Amount
University of Utah	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James David Matheson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Incom** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Am unt of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Alpine Dynamic Dividend Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	P
Baron Partners Mutual Fund	$1,001 - $15,000	DIVIDENDS CAPITAL GAINS	$201 - $1,000	S(part)
Coin Collection	$1,001 - $15,000	None	NONE	
Equitable Variable Life Insurance Mutual Fund: AXA Moderate Allocation	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$1,001 - $2,500	P
Equitable Variable Life Insurance Mutual Fund: AXA Moderate Plus Allocation	$50,001 - $100,000	DIVIDENDS CAPITAL GAINS	$1,001 - $2,500	PS(part)
Fidelity Asset Manager 50 %	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$2,501 - $5,000	PS(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Nam James David Math son

	Fid lity Balanced Fund	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$2,501 - $5,000	PS(part)
	Fidelity Cash Reserves	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Fidelity Export and Multinational Fund	$50,001 - $100,000	DIVIDENDS CAPITAL GAINS	$1,001 - $2,500	P
	Fidelity Freedom Fund 2035	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	Fidelity Low Priced Stock Fund	$15,001 - $50,000	DIVIDENDS CAPITAL GAINS	$2,501 - $5,000	P
	Fidelity Municipal Income Fund (formerly Spartan Muni Income Fund)	$1,001 - $15,000	DIVIDENDS CAPITAL GAINS	$201 - $1,000	S(part)
	Fidelity Spartan US Equity Fund	$50,001 - $100,000	DIVIDENDS CAPITAL GAINS	$1,001 - $2,500	PS(part)
	Fidelity UNIQUE 2015 Portfolio	$50,001 - $100,000	None	NONE	
	Fidelity Value Discovery Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	Garmin Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Northeast Investors Trust	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	P
	Qualcomm Stock	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	T. Rowe Price Small Cap Stock Fund	$1,001 - $15,000	DIVIDENDS CAPITAL GAINS	$1,001 - $2,500	P
	TIAA CREF Lifecycle 2035	$50,001 - $100,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James David Math son

	TIAA CREF Real Estate	$15,001 - $50,000	None	NONE	P
	Vanguard Emerging Markets Index	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S(part)
	Vanguard Small Cap Stock Index	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Vanguard Total Stock Market Index	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Vanguard Wellesley Income Fund	$1,001 - $15,000	DIVIDENDS CAPITAL GAINS	$201 - $1,000	
	Wasatch Micro Cap Fund	$50,001 - $100,000	DIVIDENDS CAPITAL GAINS	$15,001 - $50,000	PS(part)
	Zions Bank Checking Account	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Jam s David Matheson

Report any purchase, sale r exchange by you, your spous , or dependent child during th reporting y ar of any real property, stocks, bonds, commodities futures, or other curities when the amount of th transaction r series of transactions exceeded $1,000. Includ transactions that resulted in a loss. Do not report a transaction between you, y ur spous , or your depend nt child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Alpine Dynamic Dividend Fund	P	Various Dividend Reinvestments	$1,001 - $15,000
	Baron Partners Mutual Fund	S(part)	12/3/07	$1,001 - $15,000
	Equitable Variable Life Insurance Mutual Fund: AXA Moderate Allocation	P	Various Dividend Reinvestment	$1,001 - $15,000
	Equitable Variable Life Insurance Mutual Fund: AXA Moderate Plus Allocation	P	Various Dividend Reinvestment	$1,001 - $15,000
	Fidelity Balanced Fund	P	Various Dividend Reinvestment	$1,001 - $15,000
	Fidelity Asset Manager 50%	P	Semi-monthly purchases plus dividend reinvestment	$1,001 - $15,000
	Equitable Variable Life Insurance Mutual Fund: AXA Moderate Plus Allocation	S(part)	12/3/07	$1,001 - $15,000
	Fideltiy Export and Multinational Fund	P	Semi-monthly purchases, dividend reinvestment, one time additonal purchase 12/3/07	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name James David Math son

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities future , or oth r securities when the amount of the transaction or series of transactions exceeded $1,000. Includ transactions that resulted in a loss. Do not report a transaction between you, your sp use, or your dependent child, or the purchase or sal of your personal resid nce, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fidelity Freedom Fund 2035	P	Semi-monthly purchases, dividend reinvestment, one time purchase 12/3/07	$15,001 - $50,000
	Fidelity Low Priced Stock Fund	P	Various Dividend Reinvestment	$1,001 - $15,000
	Fidelity Municipal Income Fund (formerly Spartan Muni Income Fund)	S(part)	12/3/07	$1,001 - $15,000
	Fidelity Spartan US Equity Fund	P	Semi-monthly purchases and dividend reinvestment	$1,001 - $15,000
	Garmin Stock	P	1/25/07 plus dividend reinvestment	$15,001 - $50,000
	Northeast Investors Trust	P	Various Dividend Reinvestment	$1,001 - $15,000
	T. Rowe Price Small Cap Stock Fund	P	Various Dividend Reinvestment	$1,001 - $15,000
	Vanguard Emerging Markets Index	S(part)	12/10/07	$1,001 - $15,000
	Wasatch Micro Cap Fund	P	Monthly Plus Various Dividend R investm nt	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name James David Matheson

Report any purchase, sal or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commoditi s futures, r other securiti s when the am unt of the transaction or series of transactions xceeded $1,000. Include transactions that resulted in a loss. Do not report a transacti n between you, your spouse, or your depend nt child, or th purchase r sale of your pers nal residenc , unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Tran action
	Equitable Variable Life Insurance Mutual Fund: AXA Moderate Allocation	P	12/3/07	$1,001 - $15,000
	Fidelity Balanced Fund	S(part)	12/3/07	$1,001 - $15,000
	Fidelity Asset Manager 50%	S(part)	12/3/07	$1,001 - $15,000
	Fidelity Spartan US Equity Fund	S(part)	12/3/07	$1,001 - $15,000
	TIAA CREF Lifecycle 2035	P	Semi-monthly	$1,001 - $15,000
	TIAA CREF Real Estate	P	Semi-monthly	$1,001 - $15,000
	Fidelity Value Discovery Fund	P	Semi-monthly plus dividend reinvestment	$1,001 - $15,000
	Wasatch Micro Cap Fund	S(part)	12/3/07	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James David Matheson

Identify the source and list travel itinerary, dates, and nature f expenses provided for trav l and travel-related xpenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family m mber accompanied the traveler at the sp nsor's expense, and the amount of time, if any, that was not at the ponsor's xpense. Disclosure is required regardless f wh ther the xpenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provid d to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expen e
National Federation of Independent Business	Oct. 14-15	Salt Lake City-Phoenix-Salt Lake City	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

RECEIVED FILES

2008 MAY 14 AM 9:01

MC

(Office Use Only) REPRESENTATIVES

Doris O. Matsui
(Full Name)

(202) 225-7163
(Daytime Telephone)

Filer Status: [x] Member of the U.S. House of Representative — State: CA District: 05 — [ ] Officer Or Employee — Employing Office:

Report Type: [x] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes [ ] No [x]
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes [ ] No [x]
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes [x] No [ ]
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes [ ] No [x]
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes [ ] No [x]
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes [ ] No [x]
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes [ ] N [x]
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes [x] No [ ]
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes [ ] N [x]
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appr priat schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [x]

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [x]

2301

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Doris O. Matsui

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investm nt or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and *its value at the end of the reporting period. For an active business that is* not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any *debt owed to you by your spouse, or by your or your spouse's child,* parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other *than fair market value,* please specify the method used. If an asset was sold and is included only because it is generated income, *the value should be* "None."	BLOCK C **Typ f Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other *than one of the listed* categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Am unt of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all *other assets, indicate* the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check *"None" if no income was* earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Cash Management Account - Merrill Lynch	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Cash Maximizer Acct. - Bank of America, Dinuba, CA (50% interest)	$1,001 - $15,000	None	NONE	
Checking Acct. - Bank of America, Dinuba, CA	$50,001 - $100,000	INTEREST	$201 - $1,000	
MetLife & Affiliates - C/O PFPC, Money Market	$250,001 - $500,000	INTEREST	$5,001 - $15,000	
Natl. Financial Services LLC/Sun Trust Investment Services, Inc./Money Market Account	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	

2302

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Doris O. Matsui

	Sun Financial Group Bank Acct.	$1,001 - $15,000	INTEREST	$201 - $1,000
	Westmed Holding Company, Englewood, CA Stock in privately held medical development technology company	$1,001 - $15,000	None	NONE
	White House Fed. C.U.	$500,001 - $1,000,000	INTEREST	$5,001 - $15,000
	Wright Patman Congress. Federal Credit Union	$100,001 - $250,000	INTEREST	$1,001 - $2,500

SCHEDULE VIII - POSITIONS

Name Doris O. Matsui

Report all positions, compensated or unc mpensat d, held during the current calendar year as an offic r, dir ctor, trust of an rganization, partn r, propriet r, repr s ntative, employee, or consultant f any corporation, firm, partnership, or any business nt rpris , any nonprofit rganizati n, any labor organization, r any educational or oth r institution oth r than the United Stat s. Exclud : P sitions held in any religious, social, fraternal, or p litical entities; positions s lely of an hon rary nature; and positions listed on Sch dul I.

Position	Name of Organization
Regent	Smithsonian Institution Board of Regents

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Cal ndar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER
2008 MAY -8 PM 2:47
(Office Use Only)
HOUSE OF REPRESENTATIVES

Carolyn McCarthy (Full Name)

516-873-9087 (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: New York District: 4th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] N [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" respons .**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

2305

Name Carolyn McCarthy	Pag 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earn d income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
None			

For payments to charity in lieu of honoraria, use Schedule II.

2306

Name Carolyn McCarthy	Page 1 of 1

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Am unt
Examples: Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
XYZ Magazine	Article	Aug. 13, 2007	$500
None			

This page may be copied if more space is required.

2307

Name Carolyn McCarthy | Page 1 of 11

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

Block A	Block B: None	A: $1,001 – $15,000	C: $50,001 – $100,000	E: $250,001 – $500,000	G: $1,000,001 – $5,000,000	I: $25,000,001 – $50,000,000	Block C: NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	III: $201 – $1,000	V: $2,501 – $5,000	VII: $15,001 – $50,000	IX: $100,001 – $1,000,000	XI: Over $5,000,000	Block E
SP, DC, JT *Examples:* SP Mega Corp. Stock				X					X								S (partial)
SP Simon & Schuster		Indefinite								Royalties					X		
SP 1st Bank of Paducah, KY Accounts								X									
See attached brokerage statements																	

For additional assets and unearned income, use next page.

2308

[redacted]

HON CAROLYN MCCARTHY

Account Number:

Net Portfolio Value: *$426,933.20*

Your Financial Advisor:
COSTELLO/MACINA GROUP
[redacted]

MGR

Your Consults® Investment Manager is ALLIANZ CDP MULTI VI

December 01, 2007 - Decemb r 31, 2007

ASSETS

	December 31	*November 30*
Cash/Money Accounts	21,149.83	14,943.47
Fixed Income	-	-
Equities	405,783.37	411,287.08
Mutual Funds	-	-
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	***426,933.20***	*426,230.55*
TOTAL ASSETS	**$426,933.20**	$426,230.55

LIABILITIES

Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$426,933.20**	$426,230.55

CASH FLOW

	This Statement	*Year to Date*
Opening Cash/Money Accounts	**$14,943.47**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	110.28	937.77
Subtotal	***110.28***	*937.77*
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	(10.43)	(103,651.26)
Visa Purchases *(debits)*	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Subtotal	***(10.43)***	*(103,651.26)*
Net Cash Flow	**$99.85**	($102,713.49)
Dividends/Interest Income	1,017.57	13,237.89
Security Purchases/Debits	(25,052.15)	(480,005.39)
Security Sales/Credits	30,141.09	565,626.37
Closing Cash/Money Accounts	**$21,149.83**	-
Securities You Transferred In/Out	-	(199,355.32)

2309

MERRILL LYNCH CONSULTS SERVICE

December 01, 2007 - December 31, 2007

YOUR INVESTMENT MANAGER - ALLIANZ CDP MULTI VI

We encourage you to contact your Financial Advisor whenever there are changes in your particular situation or objectives. These changes can then be discussed directly with your Investment Manager. We also encourage you to discuss your portfolio at least annually with your Investment Manager. Your Financial Advisor would be pleased to arrange such discussions.

YOUR CMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Merrill Lynch Bank USA	14,885	16,252	4.73	63.96	19,226
Merrill Lynch Bk & Tr FSB	8	8	4.73	0.03	8
TOTAL *ML Bank Deposit Program*	14,893			63.99	19,234

YOUR CMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	1,915.83	1,915		**1,915.83**		
ML Bank Deposit Program	19,234.00	19,234	1.0000	**19,234.00**	909	4.73
TOTAL		21,149		**21,149.83**	909	4.73

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ABB LTD SPON ADR	ABB	11/12/07	65	28.8709	1,876	28.8000	1,872.00	(4)	10	.56
		11/13/07	15	28.0580	420	28.8000	432.00	11	2	.56
Subtotal			*80*		*2,297*		***2,304.00***	*7*	***13***	*.56*
ABBOTT LABS	ABT	05/01/06	26	42.5353	1,105	56.1500	1,459.90	353	33	2.31
		12/01/06	5	46.6960	233	56.1500	280.75	47	6	2.31
		03/15/07	30	53.5300	1,605	56.1500	1,684.50	78	39	2.31
Subtotal			*61*		*2,945*		***3,425.15***	*478*	***79***	*2.31*
ACTIVISION INC COM NEW	ATVI	12/04/07	46	26.2308	1,206	29.7000	**1,366.20**	159		

2310

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ADOBE SYS DEL PV$ 0.001	ADBE	03/22/07	21	42.9523	902	42.7300	897.33	(4)		
		03/30/07	15	41.6293	624	42.7300	640.95	16		
		04/02/07	10	41.4110	414	42.7300	427.30	13		
		04/18/07	20	42.9680	859	42.7300	854.60	(4)		
Subtotal			*66*		*2,799*		***2,820.18***	*21*		
AEON COMPANY LTD ADR	AONNY	04/13/06	17	24.6794	419	14.7000	249.90	(169)	1	.71
		08/02/06	12	23.8650	286	14.7000	176.40	(109)	1	.71
		12/19/06	7	21.3742	149	14.7000	102.90	(46)		.71
		03/15/07	18	19.3000	347	14.7000	264.60	(82)	1	.71
		10/12/07	7	16.2914	114	14.7000	102.90	(11)		.71
		12/06/07	6	16.1216	96	14.7000	88.20	(8)		.71
Subtotal			*67*		*1,413*		***984.90***	*(425)*	*7*	*.71*
AIRGAS INC COM	ARG	03/15/07	35	41.2800	1,444	52.1100	1,823.85	379	12	.69
		06/05/07	5	42.4500	212	52.1100	260.55	48	1	.69
Subtotal			*40*		*1,657*		***2,084.40***	*427*	*14*	*.69*
ALCOA INC	AA	09/20/07	35	37.1457	1,300	36.5500	1,278.25	(20)	23	1.86
		09/24/07	40	37.2547	1,490	36.5500	1,462.00	(28)	27	1.86
Subtotal			*75*		*2,790*		***2,741.25***	*(48)*	*51*	*1.86*
ALLSTATE CORP DEL COM	ALL	03/24/06	29	53.1903	1,542	52.2300	1,514.67	(27)	44	2.91
		03/15/07	17	59.5200	1,011	52.2300	887.91	(123)	25	2.91
Subtotal			*46*		*2,554*		***2,402.58***	*(150)*	*69*	*2.91*
ALTRIA GROUP INC	MO	03/24/06	21	55.5509	1,166	75.5800	1,587.18	420	63	3.96
		03/15/07	40	64.5150	2,580	75.5800	3,023.20	442	120	3.96
		04/25/07	10	70.0830	700	75.5800	755.80	54	30	3.96
		08/15/07	5	67.1700	335	75.5800	377.90	42	15	3.96
		08/31/07	10	69.3570	693	75.5800	755.80	62	30	3.96
Subtotal			*86*		*5,477*		***6,499.88***	*1,020*	***258***	*3.96*

2311

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AMEREN CORP	AEE	09/11/07	5	50.5860	252	54.2100	271.05	18	12	4.68
		09/12/07	10	51.3220	513	54.2100	542.10	28	25	4.68
		09/14/07	10	51.9460	519	54.2100	542.10	22	25	4.68
		09/26/07	10	52.9430	529	54.2100	542.10	12	25	4.68
		09/27/07	15	53.0693	796	54.2100	813.15	17	38	4.68
Subtotal			*50*		*2,611*		***2,710.50***	*97*	***127***	*4.68*
AMERICAN TOWER CORP CL A	AMT	07/18/07	30	43.4443	1,303	42.6000	1,278.00	(25)		
		07/19/07	25	44.6064	1,115	42.6000	1,065.00	(50)		
		09/25/07	20	42.2905	845	42.6000	852.00	6		
Subtotal			*75*		*3,264*		***3,195.00***	*(69)*		
AMPHENOL CORP CL A NEW	APH	03/15/07	46	32.0750	1,475	46.3700	**2,133.02**	657	2	.12
ANADARKO PETE CORP	APC	03/24/06	21	50.2604	1,055	65.6900	1,379.49	324	7	.54
		03/15/07	38	39.9400	1,517	65.6900	2,496.22	978	13	.54
Subtotal			*59*		*2,573*		***3,875.71***	*1,302*	***21***	*.54*
ANHEUSR BUSCH COS INC	BUD	03/24/06	39	42.9202	1,673	52.3400	2,041.26	367	51	2.52
		03/15/07	17	50.0300	850	52.3400	889.78	39	22	2.52
Subtotal			*56*		*2,524*		***2,931.04***	*406*	***73***	*2.52*
APOLLO GROUP INC CL A	APOL	08/06/07	22	60.2013	1,324	70.1500	**1,543.30**	218		
APPLE INC	AAPL	03/24/06	1	59.7700	59	198.0800	198.08	138		
		03/31/06	5	63.0400	315	198.0800	990.40	675		
		09/26/06	5	77.2040	386	198.0800	990.40	604		
		03/15/07	13	89.6100	1,164	198.0800	2,575.04	1,410		
Subtotal			*24*		*1,925*		***4,753.92***	*2,827*		
ASAHI KASEI CORP ADR	AHKSY	07/09/07	14	68.9207	964	65.5000	917.00	(47)	13	1.50
		08/31/07	3	74.1166	222	65.5000	196.50	(25)	2	1.50
Subtotal			*17*		*1,187*		***1,113.50***	*(72)*	***16***	*1.50*
AT& T INC	T	03/24/06	59	27.3700	1,614	41.5600	2,452.04	837	94	3.84
		03/15/07	15	36.9500	554	41.5600	623.40	69	24	3.84
Subtotal			*74*		*2,169*		***3,075.44***	*906*	***118***	*3.84*

2312

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AXA ADR	AXA	03/24/06	10	33.8180	338	39.7100	397.10	58	12	3.04
		03/15/07	12	39.8700	478	39.7100	476.52	(1)	14	3.04
		10/03/07	7	45.4557	318	39.7100	277.97	(40)	8	3.04
Subtotal			*29*		*1,134*		***1,151.59***	*17*	***35***	*3.04*
BANCO SANTANDER SA ADR	STD	10/29/07	44	21.1938	932	21.5400	**947.76**	15	**27**	2.87
BANK OF AMERICA CORP	BAC	03/24/06	15	46.9700	704	41.2600	618.90	(85)	38	6.20
		08/15/06	25	52.1192	1,302	41.2600	1,031.50	(271)	64	6.20
		03/15/07	22	49.9500	1,098	41.2600	907.72	(191)	56	6.20
Subtotal			*62*		*3,106*		***2,558.12***	*(547)*	***158***	*6.20*
BAXTER INTERNTL INC	BAX	07/14/06	26	37.8869	985	58.0500	1,509.30	524	22	1.49
		12/01/06	10	44.7660	447	58.0500	580.50	132	8	1.49
		03/15/07	30	51.2900	1,538	58.0500	1,741.50	202	26	1.49
Subtotal			*66*		*2,971*		***3,831.30***	*858*	***57***	*1.49*
BAYER AG SP ADR	BAYRY	06/11/07	15	70.3426	1,055	91.1882	1,367.82	312	16	1.18
		08/09/07	5	71.8380	359	91.1882	455.94	96	5	1.18
Subtotal			*20*		*1,414*		***1,823.76***	*408*	***21***	*1.18*
BECTON DICKINSON CO	BDX	03/24/06	21	63.0500	1,324	83.5800	1,755.18	431	23	1.36
		03/15/07	11	74.5400	819	83.5800	919.38	99	12	1.36
		08/31/07	5	77.0700	385	83.5800	417.90	32	5	1.36
Subtotal			*37*		*2,529*		***3,092.46***	*562*	***42***	*1.36*
BLACK AND DECKER CRP COM	BDK	07/30/07	10	85.9310	859	69.6500	696.50	(162)	16	2.41
		07/31/07	5	87.4520	437	69.6500	348.25	(89)	8	2.41
		08/02/07	10	88.9570	889	69.6500	696.50	(193)	16	2.41
		08/03/07	5	89.0140	445	69.6500	348.25	(96)	8	2.41
Subtotal			*30*		*2,631*		***2,089.50***	*(540)*	***50***	*2.41*
BNP PARIBAS SPONSORD ADR	BNPQY	03/24/06	5	45.6520	228	54.1500	270.75	42	7	2.88
		11/21/06	4	53.9425	215	54.1500	216.60		6	2.88
		03/15/07	13	50.8500	661	54.1500	703.95	42	20	2.88
		12/06/07	2	56.3500	112	54.1500	108.30	(4)	3	2.88
Subtotal			*24*		*1,217*		***1,299.60***	*80*	***37***	*2.88*

2313

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
BP PLC SPON ADR	BP	05/04/07	10	68.5980	685	73.1700	731.70	45	25	3.46
		05/07/07	15	68.3893	1,025	73.1700	1,097.55	71	38	3.46
		05/11/07	6	66.4066	398	73.1700	439.02	40	15	3.46
		06/26/07	3	70.6666	212	73.1700	219.51	7	7	3.46
		11/02/07	4	78.3425	313	73.1700	292.68	(20)	10	3.46
Subtotal			*38*		*2,635*		**2,780.46**	**143**	**96**	*3.46*
BURGER KING HLDGS INC	BKC	12/11/07	55	27.9603	1,537	28.5100	**1,568.05**	30	**13**	.87
@ CAMERON INTL CORP	CAM	N/A	14	N/A	N/A	48.1300	673.82	N/A		
DIVIDEND PENDING 01/04		11/05/07	14	98.0135	1,372	48.1300	673.82	N/A		
Subtotal			*28*		*1,372*		***1,347.64***	*N/A*		
CANADIAN NATURAL RES LTD	CNQ	03/24/06	3	56.4933	169	73.1400	219.42	49	1	.48
		09/26/06	5	45.7060	228	73.1400	365.70	137	1	.48
		10/13/06	5	46.3180	231	73.1400	365.70	134	1	.48
		03/15/07	41	51.3600	2,105	73.1400	2,998.74	892	14	.48
Subtotal			*54*		*2,735*		***3,949.56***	*1,212*	**19**	*.48*
CANON INC ADR REP5SH	CAJ	03/24/06	18	43.8205	788	45.8300	824.94	36	14	1.76
		03/15/07	5	52.8900	264	45.8300	229.15	(35)	4	1.76
Subtotal			*23*		*1,053*		***1,054.09***	*1*	***18***	*1.76*
CATERPILLAR INC DEL	CAT	12/03/07	20	72.4605	1,449	72.5600	1,451.20	1	28	1.98
		12/06/07	5	74.2620	371	72.5600	362.80	(8)	7	1.98
		12/07/07	10	74.1510	741	72.5600	725.60	(15)	14	1.98
Subtotal			*35*		*2,562*		***2,539.60***	*(22)*	***50***	*1.98*
CBS CORP NEW CL B	CBS	06/08/06	24	26.7858	642	27.2500	654.00	11	24	3.66
		06/27/06	15	26.4706	397	27.2500	408.75	11	15	3.66
		06/28/06	25	26.5312	663	27.2500	681.25	17	25	3.66
		03/15/07	31	30.0300	930	27.2500	844.75	(86)	31	3.66
Subtotal			*95*		*2,634*		***2,588.75***	*(47)*	***95***	*3.66*
CELANESE CORP DEL SER A	CE	03/15/07	44	30.0500	1,322	42.3200	**1,862.08**	539	**7**	.37

2314

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CENTRICA PLC	CPYYY	05/16/07	12	78.3258	939	72.0500	864.60	(75)	26	3.09
SPR ADR NEW		08/17/07	6	69.7716	418	72.0500	432.30	13	13	3.09
		10/24/07	4	77.6250	310	72.0500	288.20	(22)	8	3.09
Subtotal			*22*		*1,669*		***1,585.10***	*(84)*	***49***	*3.09*
CHEVRON CORP	CVX	03/24/06	27	57.6000	1,555	93.3300	2,519.91	964	62	2.48
		03/15/07	10	69.0100	690	93.3300	933.30	243	23	2.48
Subtotal			*37*		*2,245*		***3,453.21***	*1,207*	***85***	*2.48*
CIENA CORP	CIEN	11/19/07	5	40.5220	202	34.1100	170.55	(32)		
		11/20/07	20	41.5920	831	34.1100	682.20	(149)		
Subtotal			*25*		*1,034*		***852.75***	*(181)*		
CISCO SYSTEMS INC COM	CSCO	03/24/06	16	21.3400	341	27.0699	433.11	91		
		06/16/06	15	19.9460	299	27.0699	406.04	106		
		01/11/07	15	28.7740	431	27.0699	406.04	(25)		
		03/15/07	69	25.7900	1,779	27.0699	1,867.82	88		
		04/23/07	55	26.5758	1,461	27.0699	1,488.84	27		
Subtotal			*170*		*4,313*		***4,601.85***	*287*		
COACH INC	COH	03/15/07	28	49.0003	1,372	30.5800	856.24	(515)		
		11/05/07	7	33.9557	237	30.5800	214.06	(23)		
Subtotal			*35*		*1,609*		***1,070.30***	*(538)*		
COCA COLA COM	KO	06/30/06	7	43.2657	302	61.3700	429.59	126	9	2.21
		03/15/07	49	46.8700	2,296	61.3700	3,007.13	710	66	2.21
		10/19/07	30	59.3293	1,779	61.3700	1,841.10	61	40	2.21
		10/22/07	35	58.9320	2,062	61.3700	2,147.95	85	47	2.21
Subtotal			*121*		*6,441*		***7,425.77***	*982*	***164***	*2.21*
COLGATE PALMOLIVE	CL	12/04/06	14	65.9707	923	77.9600	1,091.44	167	20	1.84
		02/06/07	10	67.1780	671	77.9600	779.60	107	14	1.84
		03/15/07	19	65.1800	1,238	77.9600	1,481.24	242	27	1.84
		08/02/07	10	67.2160	672	77.9600	779.60	107	14	1.84
		09/25/07	10	70.7690	707	77.9600	779.60	71	14	1.84
Subtotal			*63*		*4,213*		***4,911.48***	*694*	***90***	*1.84*

2315

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CONOCOPHILLIPS	COP	03/24/06	22	61.8304	1,360	88.3000	1,942.60	582	36	1.85
		03/15/07	16	67.0400	1,072	88.3000	1,412.80	340	26	1.85
Subtotal			*38*		*2,432*		***3,355.40***	*922*	*62*	*1.85*
CONTINENTAL AG SPNRD ADR	CTTAY	02/22/07	6	133.6016	801	128.2500	769.50	(32)	18	2.43
		03/15/07	2	122.5000	245	128.2500	256.50	11	6	2.43
		10/11/07	3	141.6600	424	128.2500	384.75	(40)	9	2.43
Subtotal			*11*		*1,471*		***1,410.75***	*(61)*	*34*	*2.43*
DANAHER CORP DEL COM	DHR	03/24/06	11	64.4100	708	87.7400	965.14	256	1	.13
		03/15/07	12	70.9100	850	87.7400	1,052.88	201	1	.13
		03/30/07	5	71.4500	357	87.7400	438.70	81		.13
		04/02/07	5	71.3120	356	87.7400	438.70	82		.13
		04/12/07	5	70.5480	352	87.7400	438.70	85		.13
Subtotal			*38*		*2,625*		***3,334.12***	*705*	*4*	*.13*
DEUTSCHE TELE AG SPN ADR	DT	11/19/07	10	22.2330	222	21.6700	216.70	(5)	7	3.56
		11/20/07	42	22.5826	948	21.6700	910.14	(38)	32	3.56
		12/13/07	9	22.0922	198	21.6700	195.03	(3)	6	3.56
Subtotal			*61*		*1,369*		***1,321.87***	*(46)*	*47*	*3.56*
DIAMOND OFFSHORE DRLNG	DO	12/26/07	10	145.8420	1,458	142.0000	1,420.00	(38)	5	.35
DOW CHEMICAL CO	DOW	03/24/06	38	42.2700	1,606	39.4200	1,497.96	(108)	63	4.26
		02/15/07	30	43.3706	1,301	39.4200	1,182.60	(118)	50	4.26
		03/15/07	64	45.8200	2,932	39.4200	2,522.88	(409)	107	4.26
Subtotal			*132*		*5,839*		***5,203.44***	*(635)*	*221*	*4.26*
DPL INC	DPL	11/19/07	5	29.0820	145	29.6500	148.25	2	5	3.50
		11/20/07	33	29.3621	968	29.6500	978.45	9	34	3.50
Subtotal			*38*		*1,114*		***1,126.70***	*11*	*39*	*3.50*
DUKE REALTY CORP NEW REIT	DRE	03/24/06	50	37.4600	1,873	26.0800	1,304.00	(569)	96	7.36
		03/15/07	23	43.3400	996	26.0800	599.84	(396)	44	7.36
Subtotal			*73*		*2,869*		***1,903.84***	*(965)*	*140*	*7.36*
E M C CORPORATION MASS	EMC	03/15/07	57	12.9500	738	18.5300	1,056.21	318		
		03/30/07	80	13.8877	1,111	18.5300	1,482.40	371		
Subtotal			*137*		*1,849*		***2,538.61***	*689*		

2316

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
EMERSON ELEC CO	EMR	03/24/06	29	42.6451	1,236	56.6600	1,643.14	406	34	2.11
		03/15/07	21	42.3500	889	56.6600	1,189.86	300	25	2.11
		03/30/07	20	43.0950	861	56.6600	1,133.20	271	24	2.11
Subtotal			*70*		*2,987*		**3,966.20**	*977*	***84***	*2.11*
EXELON CORPORATION	EXC	03/24/06	23	54.9404	1,263	81.6400	1,877.72	614	46	2.44
		03/15/07	24	65.9300	1,582	81.6400	1,959.36	377	48	2.44
Subtotal			*47*		*2,845*		***3,837.08***	*991*	***94***	*2.44*
EXPRESS SCRIPTS INC COM	ESRX	05/01/07	17	47.1788	802	73.0000	**1,241.00**	438		
FACTSET RESH SYS INC	FDS	12/12/07	10	65.2110	652	55.7000	557.00	(95)	4	.86
		12/13/07	12	65.1775	782	55.7000	668.40	(113)	5	.86
Subtotal			*22*		*1,434*		***1,225.40***	*(208)*	***10***	*.86*
FAIR ISAAC CORPORATION	FIC	11/20/07	28	38.6528	1,082	32.1500	900.20	(182)	2	.24
		12/04/07	5	36.3700	181	32.1500	160.75	(21)		.24
		12/05/07	6	36.5433	219	32.1500	192.90	(26)		.24
Subtotal			*39*		*1,483*		***1,253.85***	*(229)*	***3***	*.24*
FIAT S P A NEW SPND ADR	FIATY	10/24/07	27	33.2640	898	25.8783	**698.71**	(199)	4	.58
FIRST SOLAR INC	FSLR	11/16/07	6	197.3600	1,184	267.1400	**1,602.84**	418		
FLOWSERVE CORP	FLS	12/14/07	15	100.5786	1,508	96.2000	**1,443.00**	(65)	9	.62
FMC TECHS INC COM	FTI	11/05/07	19	59.7905	1,136	56.7000	1,077.30	(58)		
		11/16/07	8	54.8400	438	56.7000	453.60	14		
Subtotal			*27*		*1,574*		***1,530.90***	*(44)*		
FOSTERS GROUP LTD ADR	FBRWY	11/09/07	70	5.8098	406	5.7500	402.50	(4)	12	3.07
		11/12/07	35	5.5791	195	5.7500	201.25	5	6	3.07
		11/13/07	35	5.6171	196	5.7500	201.25	4	6	3.07
		11/14/07	30	5.7683	173	5.7500	172.50		5	3.07
		11/15/07	35	5.7631	201	5.7500	201.25		6	3.07
Subtotal			*205*		*1,173*		***1,178.75***	*5*	***36***	*3.07*
FPL GROUP INC	FPL	10/15/07	15	63.0760	946	67.7800	1,016.70	70	24	2.41
		10/16/07	20	63.3930	1,267	67.7800	1,355.60	87	32	2.41
		10/17/07	15	63.4313	951	67.7800	1,016.70	65	24	2.41
Subtotal			*50*		*3,165*		***3,389.00***	*222*	***82***	*2.41*

2317

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
GANNETT CO	GCI	05/22/07	5	58.4720	292	39.0000	195.00	(97)	8	4.10
		05/23/07	10	58.4450	584	39.0000	390.00	(194)	16	4.10
		05/24/07	10	58.3440	583	39.0000	390.00	(193)	16	4.10
		06/06/07	15	58.7673	881	39.0000	585.00	(296)	24	4.10
		06/07/07	10	58.0980	580	39.0000	390.00	(190)	16	4.10
Subtotal			*50*		*2,922*		***1,950.00***	*(970)*	***80***	*4.10*
GLAXOSMITHKLINE PLC ADR	GSK	03/24/06	30	53.6003	1,608	50.3900	1,511.70	(96)	61	4.08
		03/15/07	22	54.7900	1,205	50.3900	1,108.58	(96)	45	4.08
		06/05/07	35	51.7982	1,812	50.3900	1,763.65	(49)	72	4.08
		06/11/07	30	52.2656	1,567	50.3900	1,511.70	(56)	61	4.08
Subtotal			*117*		*6,194*		***5,895.63***	*(297)*	***240***	*4.08*
GLOBAL INDS LTD	GLBL	11/05/07	20	26.5150	530	21.4200	428.40	(101)		
		11/06/07	24	26.4616	635	21.4200	514.08	(121)		
		11/16/07	19	23.4952	446	21.4200	406.98	(39)		
Subtotal			*63*		*1,611*		***1,349.46***	*(261)*		
GOLDCORP INC	GG	06/08/07	60	23.6086	1,416	33.9300	2,035.80	619	10	.53
		06/11/07	35	24.0314	841	33.9300	1,187.55	346	6	.53
Subtotal			*95*		*2,257*		***3,223.35***	*965*	***17***	*.53*
GOOGLE INC CL A	GOOG	09/15/06	4	408.3000	1,633	691.4800	2,765.92	1,132		
		09/26/06	1	406.6900	406	691.4800	691.48	284		
		03/15/07	2	446.5100	893	691.4800	1,382.96	489		
Subtotal			*7*		*2,932*		***4,840.36***	*1,905*		
GRUPO TELEVISA SA ADR	TV	11/27/06	2	24.9750	49	23.7700	47.54	(2)		.68
		11/28/06	21	25.0338	525	23.7700	499.17	(26)	3	.68
		01/23/07	6	29.0283	174	23.7700	142.62	(31)		.68
		03/15/07	12	27.9400	335	23.7700	285.24	(50)	1	.68
		10/03/07	8	24.4925	195	23.7700	190.16	(5)	1	.68
Subtotal			*49*		*1,281*		***1,164.73***	*(114)*	***7***	*.68*

2318

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
HEWLETT PACKARD CO DEL	HPQ	03/05/07	27	38.9633	1,052	50.4800	1,362.96	310	8	.63
		03/15/07	27	39.5300	1,067	50.4800	1,362.96	295	8	.63
		08/06/07	10	47.6600	476	50.4800	504.80	28	3	.63
Subtotal			*64*		*2,595*		***3,230.72***	*633*	***20***	*.63*
HOME DEPOT INC	HD	11/14/07	45	29.4366	1,324	26.9400	1,212.30	(112)	40	3.34
		11/30/07	50	28.7918	1,439	26.9400	1,347.00	(92)	45	3.34
		12/12/07	10	28.8300	288	26.9400	269.40	(18)	9	3.34
Subtotal			*105*		*3,052*		***2,828.70***	*(222)*	***94***	*3.34*
INTEL CORP	INTC	04/25/07	170	22.0943	3,756	26.6600	4,532.20	776	76	1.68
INTESA SANPAOLO SPON ADR	ISNPY	09/14/06	6	41.8866	251	47.1600	282.96	31	7	2.74
		09/21/06	13	38.8207	504	47.1600	613.08	108	16	2.74
		03/15/07	8	42.5000	340	47.1600	377.28	37	10	2.74
		06/12/07	7	44.0557	308	47.1600	330.12	21	9	2.74
		08/16/07	4	43.6500	174	47.1600	188.64	14	5	2.74
Subtotal			*38*		*1,578*		***1,792.08***	*211*	***49***	*2.74*
INVITROGEN COM	IVGN	08/06/07	20	78.5950	1,571	93.4100	1,868.20	296		
JACOBS ENGN GRP INC DELA	JEC	04/11/07	15	49.2813	739	95.6100	1,434.15	694		
JANUS CAPITAL GROUP INC	JNS	12/05/07	45	34.0773	1,533	32.8500	1,478.25	(55)	1	.12
JOHNSON AND JOHNSON COM	JNJ	03/24/06	23	60.4104	1,389	66.7000	1,534.10	144	38	2.48
		06/20/06	5	61.6160	308	66.7000	333.50	25	8	2.48
		03/15/07	22	60.5400	1,331	66.7000	1,467.40	135	36	2.48
Subtotal			*50*		*3,029*		***3,335.00***	*304*	***83***	*2.48*
JPMORGAN CHASE & CO	JPM	03/24/06	37	42.1600	1,559	43.6500	1,615.05	55	56	3.48
		03/15/07	20	47.6700	953	43.6500	873.00	(80)	30	3.48
		11/14/07	40	45.2075	1,808	43.6500	1,746.00	(62)	60	3.48
		11/15/07	15	44.6100	669	43.6500	654.75	(14)	22	3.48
Subtotal			*112*		*4,990*		***4,888.80***	*(101)*	***170***	*3.48*
KEYCORP NEW COM	KEY	03/24/06	55	37.3165	2,052	23.4500	1,289.75	(762)	82	6.39
		03/15/07	32	36.8400	1,178	23.4500	750.40	(428)	48	6.39
Subtotal			*87*		*3,231*		***2,040.15***	*(1,190)*	***130***	*6.39*

2319

MGR

Account Number.

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
KIMBERLY CLARK	KMB	03/24/06	29	59.1903	1,716	69.3400	2,010.86	294	61	3.05
		03/15/07	12	67.0100	804	69.3400	832.08	27	25	3.05
Subtotal			*41*		*2,520*		***2,842.94***	*321*	***86***	*3.05*
KRAFT FOODS INC VA CL A	KFT	04/12/07	14	32.6150	456	32.6300	456.82		15	3.30
		05/01/07	50	33.4858	1,674	32.6300	1,631.50	(42)	54	3.30
		05/09/07	20	32.7420	654	32.6300	652.60	(2)	21	3.30
		05/10/07	10	32.7910	327	32.6300	326.30	(1)	10	3.30
Subtotal			*94*		*3,113*		***3,067.22***	*(45)*	***101***	*3.30*
L-3 COMMNCTNS HLDGS	LLL	06/07/06	7	77.2357	540	105.9400	741.58	200	7	.94
		06/30/06	5	75.4920	377	105.9400	529.70	152	5	.94
		03/15/07	13	83.0700	1,079	105.9400	1,377.22	297	13	.94
Subtotal			*25*		*1,998*		***2,648.50***	*649*	***25***	*.94*
LAFARGE ADR NEW	LFRGY	03/31/06	21	28.3590	595	45.5064	955.63	360	15	1.65
		08/10/06	6	30.7016	184	45.5064	273.03	88	4	1.65
		01/24/07	19	38.1152	724	45.5064	864.62	140	14	1.65
		03/15/07	20	37.1600	743	45.5064	910.12	166	15	1.65
Subtotal			*66*		*2,247*		***3,003.40***	*754*	***49***	*1.65*
LINCOLN NTL CORP IND NPV	LNC	03/15/07	40	66.1300	2,645	58.2200	**2,328.80**	(316)	**66**	2.85
LOCKHEED MARTIN CORP	LMT	08/27/07	20	101.1290	2,022	105.2600	2,105.20	82	33	1.59
		10/09/07	10	111.4680	1,114	105.2600	1,052.60	(62)	16	1.59
Subtotal			*30*		*3,137*		***3,157.80***	*20*	***50***	*1.59*
MARATHON OIL CORP	MRO	03/24/06	16	38.7256	619	60.8600	973.76	354	15	1.57
		03/15/07	32	47.0700	1,506	60.8600	1,947.52	441	30	1.57
Subtotal			*48*		*2,125*		***2,921.28***	*795*	***46***	*1.57*
MATTEL INC COM	MAT	03/24/06	101	18.0100	1,819	19.0400	**1,923.04**	104	**75**	3.93
MCAFEE INC	MFE	12/12/07	10	38.1120	381	37.5000	375.00	(6)		
		12/13/07	20	38.0830	761	37.5000	750.00	(11)		
Subtotal			*30*		*1,142*		***1,125.00***	*(17)*		
MCDONALDS CORP COM	MCD	03/24/06	48	34.7300	1,667	58.9100	2,827.68	1,160	72	2.54
		03/15/07	35	43.4700	1,521	58.9100	2,061.85	540	52	2.54
Subtotal			*83*		*3,188*		***4,889.53***	*1,700*	***124***	*2.54*

2320

+

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
MEMC ELECTR MATLS INC	WFR	07/12/07	16	62.1756	994	88.4900	1,415.84	421		
MERCK&CO INC	MRK	10/04/07	45	52.9284	2,381	58.1100	2,614.95	233	68	2.61
		10/17/07	15	53.0513	795	58.1100	871.65	75	22	2.61
Subtotal			*60*		*3,177*		***3,486.60***	*308*	***91***	*2.61*
MICROSOFT CORP	MSFT	10/13/06	13	28.4892	370	35.6000	462.80	92	5	1.23
		03/15/07	75	27.2700	2,045	35.6000	2,670.00	624	33	1.23
		08/08/07	20	29.8960	597	35.6000	712.00	114	8	1.23
		10/17/07	30	31.1933	935	35.6000	1,068.00	132	13	1.23
Subtotal			*138*		*3,949*		***4,912.80***	*962*	***60***	*1.23*
MILLENNIUM PHARMS INC	MLNM	12/04/07	77	15.0849	1,161	14.9800	**1,153.46**	(8)		
MIRANT CORP NEW	MIR	03/15/07	35	38.4400	1,345	38.9800	**1,364.30**	18		
MITSUI CO ADR	MITSY	09/25/07	1	482.1700	482	428.9000	428.90	(53)	6	1.49
		10/19/07	1	509.3900	509	428.9000	428.90	(80)	6	1.49
Subtotal			*2*		*991*		***857.80***	*(133)*	***12***	*1.49*
MONSANTO CO NEW DEL COM	MON	11/15/06	10	46.8740	468	111.6900	1,116.90	648	7	.62
		03/15/07	28	52.5500	1,471	111.6900	3,127.32	1,655	19	.62
Subtotal			*38*		*1,940*		***4,244.22***	*2,303*	***26***	*.62*
NASDAQ STOCK MARKET INC	NDAQ	11/05/07	15	47.4513	711	49.4900	742.35	30		
		11/06/07	16	49.4775	791	49.4900	791.84			
Subtotal			*31*		*1,503*		***1,534.19***	*30*		
NATIONAL-OILWELL VARCO INC	NOV	03/01/07	10	34.9440	349	73.4600	734.60	385		
		03/15/07	30	36.6500	1,099	73.4600	2,203.80	1,104		
		10/02/07	5	71.1800	355	73.4600	367.30	11		
		10/04/07	15	74.0426	1,110	73.4600	1,101.90	(8)		
Subtotal			*60*		*2,915*		***4,407.60***	*1,492*		
NATL BK GREECE SA SPNADR	NBG	03/20/07	10	10.8580	108	13.7900	137.90	29	2	1.92
		03/21/07	86	10.8760	935	13.7900	1,185.94	250	22	1.92
		12/06/07	12	13.4808	161	13.7900	165.48	3	3	1.92
Subtotal			*108*		*1,205*		***1,489.32***	*282*	***28***	*1.92*

2321

YOUR CMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
NCR CORP NEW	NCR	03/15/07	33	22.3390	737	25.1000	828.30	91		
		11/16/07	14	25.3942	355	25.1000	351.40	(4)		
		12/12/07	14	25.0007	350	25.1000	351.40	1		
Subtotal			*61*		*1,442*		***1,531.10***	*88*		
NESTLE S A REP RG SH ADR	NSRGY	08/14/06	3	82.3833	247	114.5000	343.50	96	4	1.20
		02/22/07	3	95.7800	287	114.5000	343.50	56	4	1.20
		03/15/07	5	95.3500	476	114.5000	572.50	95	6	1.20
Subtotal			*11*		*1,011*		***1,259.50***	*247*	***15***	*1.20*
NETWORK APPLIANCE INC CA	NTAP	11/19/07	43	25.4481	1,094	24.9600	1,073.28	(20)		
		12/04/07	15	24.6733	370	24.9600	374.40	4		
Subtotal			*58*		*1,464*		***1,447.68***	*(16)*		
NEUSTAR INC CL A	NSR	11/16/07	25	33.3624	834	28.6800	717.00	(117)		
		11/19/07	8	33.7475	269	28.6800	229.44	(40)		
		12/04/07	15	29.9900	449	28.6800	430.20	(19)		
Subtotal			*48*		*1,553*		***1,376.64***	*(176)*		
NEWS CORP CL A	NWSA	09/21/07	105	22.1880	2,329	20.4900	2,151.45	(178)	12	.58
		10/11/07	35	23.4685	821	20.4900	717.15	(104)	4	.58
		11/09/07	30	21.5606	646	20.4900	614.70	(32)	3	.58
Subtotal			*170*		*3,797*		***3,483.30***	*(314)*	***20***	*.58*
NIKE INC CL B	NKE	10/09/07	25	61.7216	1,543	64.2400	1,606.00	62	23	1.43
		10/10/07	15	61.9573	929	64.2400	963.60	34	13	1.43
Subtotal			*40*		*2,472*		***2,569.60***	*96*	***36***	*1.43*
NINTENDO LTD ADR	NTDOY	07/20/07	19	53.4873	1,016	74.0500	1,406.95	390	13	.93
		08/09/07	4	59.7700	239	74.0500	296.20	57	2	.93
Subtotal			*23*		*1,255*		***1,703.15***	*447*	***15***	*.93*
NOBLE CORPORATION	NE	07/06/07	28	50.8557	1,423	56.5100	**1,582.28**	158	**4**	.28
NOKIA CORP SPON ADR	NOK	12/21/07	85	38.8091	3,298	38.3900	**3,263.15**	(35)	**35**	1.08
NORFOLK SOUTHERN CORP	NSC	03/23/07	55	51.1050	2,810	50.4400	***2,774.20***	(36)	**57**	2.06
NORTHN TRUST CORP	NTRS	10/08/07	15	70.9460	1,064	76.5800	1,148.70	84	16	1.46
		10/10/07	20	72.1795	1,443	76.5800	1,531.60	88	22	1.46
Subtotal			*35*		*2,507*		***2,680.30***	*172*	***39***	*1.46*

2322

MGR

Account Number:

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
NSK LTD ADR	NPSKY	08/04/06	7	79.1257	553	104.0000	728.00	174	9	1.32
		08/18/06	2	81.9500	163	104.0000	208.00	44	2	1.32
		12/11/06	4	91.6100	366	104.0000	416.00	49	5	1.32
		03/15/07	4	89.5000	358	104.0000	416.00	58	5	1.32
		08/09/07	3	84.3000	252	104.0000	312.00	59	4	1.32
		08/31/07	3	85.0066	255	104.0000	312.00	56	4	1.32
Subtotal			*23*		*1,950*		***2,392.00***	*440*	***31***	*1.32*
OCCIDENTAL PETE CORP CAL	OXY	03/24/06	24	46.9550	1,126	76.9900	1,847.76	720	24	1.29
		03/15/07	28	46.0000	1,288	76.9900	2,155.72	867	28	1.29
		09/18/07	10	63.8800	638	76.9900	769.90	131	10	1.29
		09/19/07	25	65.3832	1,634	76.9900	1,924.75	290	25	1.29
Subtotal			*87*		*4,688*		***6,698.13***	*2,008*	***87***	*1.29*
OMNICOM GROUP COM	OMC	10/17/07	20	51.9145	1,038	47.5300	950.60	(87)	12	1.26
		10/18/07	25	52.4100	1,310	47.5300	1,188.25	(122)	15	1.26
Subtotal			*45*		*2,348*		***2,138.85***	*(209)*	***27***	*1.26*
ORACLE CORP $0.01 DEL	ORCL	08/24/07	115	19.9002	2,288	22.5800	2,596.70	308		
		10/19/07	50	21.1336	1,056	22.5800	1,129.00	72		
Subtotal			*165*		*3,345*		***3,725.70***	*380*		
ORIX CORPORATION SP ADR	IX	04/20/07	6	135.5450	813	84.7900	508.74	(304)	2	.58
		10/04/07	3	120.1533	360	84.7900	254.37	(106)	1	.58
Subtotal			*9*		*1,173*		***763.11***	*(410)*	***4***	*.58*
OSHKOSH TRUCK CORP CL B	OSK	11/06/07	22	53.9209	1,186	47.2600	1,039.72	(146)	8	.84
		11/16/07	8	49.1587	393	47.2600	378.08	(15)	3	.84
Subtotal			*30*		*1,579*		***1,417.80***	*(161)*	***12***	*.84*
PARTNERRE LTD	PRE	11/06/07	10	82.2060	822	82.5300	825.30	3	17	2.08
		11/07/07	7	82.1314	574	82.5300	577.71	2	12	2.08
Subtotal			*17*		*1,396*		***1,403.01***	*5*	***29***	*2.08*
PEPSI BOTTLING GROUP INC	PBG	09/04/07	15	35.3473	530	39.4600	591.90	61	8	1.41
		09/05/07	18	35.0144	630	39.4600	710.28	80	10	1.41
Subtotal			*33*		*1,160*		***1,302.18***	*141*	***18***	*1.41*

2323

+

012

9835

MGR

Account Number:

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
PEPSICO INC	PEP	03/24/06	12	59.3833	712	75.9000	910.80	198	18	1.97
		12/01/06	5	61.5940	307	75.9000	379.50	71	7	1.97
		03/15/07	25	62.8300	1,570	75.9000	1,897.50	326	37	1.97
		09/25/07	10	71.6100	716	75.9000	759.00	42	15	1.97
Subtotal			*52*		*3,307*		***3,946.80***	*637*	***78***	*1.97*
PETRLEO BRAS VTG SPD ADR	PBR	04/04/07	4	50.0725	200	115.2400	460.96	260	1	.32
		04/12/07	30	51.7903	1,553	115.2400	3,457.20	1,903	11	.32
		07/03/07	15	63.7580	956	115.2400	1,728.60	772	5	.32
		07/05/07	20	64.7880	1,295	115.2400	2,304.80	1,009	7	.32
		07/12/07	10	66.9500	669	115.2400	1,152.40	482	3	.32
		11/05/07	2	89.5650	179	115.2400	230.48	51		.32
Subtotal			*81*		*4,854*		***9,334.44***	*4,477*	***29***	*.32*
PFIZER INC DEL PV$0.05	PFE	03/24/06	26	26.0200	676	22.7300	590.98	(85)	33	5.63
		04/25/06	5	24.7480	123	22.7300	113.65	(10)	6	5.63
		04/26/06	40	24.9690	998	22.7300	909.20	(89)	51	5.63
		04/28/06	35	25.1485	880	22.7300	795.55	(84)	44	5.63
		03/15/07	117	24.8800	2,910	22.7300	2,659.41	(251)	149	5.63
Subtotal			*223*		*5,590*		***5,068.79***	*(519)*	***285***	*5.63*
PRECISION CASTPARTS	PCP	01/08/07	10	82.2440	822	138.7000	1,387.00	564	1	.08
		03/15/07	28	99.5900	2,788	138.7000	3,883.60	1,095	3	.08
Subtotal			*38*		*3,610*		***5,270.60***	*1,659*	***4***	*.08*
PROCTER & GAMBLE CO	PG	03/24/06	14	58.8407	823	73.4200	1,027.88	204	19	1.90
		06/20/06	10	55.5650	555	73.4200	734.20	178	14	1.90
		03/15/07	19	61.9400	1,176	73.4200	1,394.98	218	26	1.90
		11/09/07	5	70.7360	353	73.4200	367.10	13	7	1.90
Subtotal			*48*		*2,909*		***3,524.16***	*613*	***67***	*1.90*
R R DONNELLEY SONS	RRD	03/24/06	11	31.9109	351	37.7400	415.14	64	11	2.75
		05/08/06	15	33.4486	501	37.7400	566.10	64	15	2.75
		05/19/06	15	33.5900	503	37.7400	566.10	62	15	2.75
		03/15/07	29	36.0300	1,044	37.7400	1,094.46	49	30	2.75
Subtotal			*70*		*2,401*		***2,641.80***	*239*	***72***	*2.75*

2324

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
REGIONS FINL CORP	RF	03/24/06	93	35.8543	3,334	23.6500	2,199.45	(1,135)	141	6.42
		03/15/07	70	34.4600	2,412	23.6500	1,655.50	(756)	106	6.42
Subtotal			*163*		*5,746*		***3,854.95***	*(1,891)*	***247***	*6.42*
REPUBLIC SERVICES INC	RSG	03/15/07	53	27.2281	1,443	31.3500	**1,661.55**	218	**36**	2.16
REYNOLDS AMERICAN INC	RAI	03/24/06	24	53.4400	1,282	65.9600	1,583.04	300	81	5.15
		03/16/07	22	60.0500	1,321	65.9600	1,451.12	130	74	5.15
Subtotal			*46*		*2,603*		***3,034.16***	*430*	***156***	*5.15*
ROCHE HLDG LTD SPN ADR	RHHBY	03/24/06	7	75.1014	525	85.4000	597.80	72	8	1.35
		03/15/07	6	86.6500	519	85.4000	512.40	(7)	6	1.35
Subtotal			*13*		*1,045*		***1,110.20***	*65*	***14***	*1.35*
ROYAL DUTCH SHELL PLC SPONS ADR A	RDSA	09/25/07	5	83.0360	415	84.2000	421.00	5	12	2.90
		09/26/07	10	83.0260	830	84.2000	842.00	11	24	2.90
		10/02/07	20	81.8770	1,637	84.2000	1,684.00	46	48	2.90
Subtotal			*35*		*2,882*		***2,947.00***	*62*	***85***	*2.90*
ROYAL KPN N V SP ADR	KPN	03/15/07	16	14.9737	239	18.1500	290.40	50	9	3.28
		04/18/07	49	17.1942	842	18.1500	889.35	46	29	3.28
Subtotal			*65*		*1,082*		***1,179.75***	*96*	***38***	*3.28*
RWE AG SPONSORED ADR	RWEOY	03/24/06	5	85.7520	428	140.4000	702.00	273	18	2.65
		08/09/06	7	89.5000	626	140.4000	982.80	356	26	2.65
		03/15/07	4	100.2500	401	140.4000	561.60	160	14	2.65
		08/15/07	2	109.5700	219	140.4000	280.80	61	7	2.65
Subtotal			*18*		*1,675*		***2,527.20***	*850*	***67***	*2.65*
SAGE GROUP PLC UNSP ADR	SGPYY	07/05/06	4	17.5100	70	18.6500	74.60	4	2	2.90
		10/16/06	25	19.0848	477	18.6500	466.25	(10)	13	2.90
		03/15/07	24	20.3000	487	18.6500	447.60	(39)	12	2.90
Subtotal			*53*		*1,034*		***988.45***	*(45)*	***28***	*2.90*
SANDVIK AB ADR	SDVKY	03/24/06	48	11.5500	554	17.0500	818.40	264	15	1.90
		08/18/06	39	11.3946	444	17.0500	664.95	220	12	1.90
		03/15/07	23	16.5500	380	17.0500	392.15	11	7	1.90
Subtotal			*110*		*1,379*		***1,875.50***	*495*	***35***	*1.90*

2325

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimat d Annual Income	Current Yield%
SCHLUMBERGER LTD	SLB	03/24/06	8	61.0062	488	98.3700	786.96	298	5	.71
		02/06/07	5	65.0800	325	98.3700	491.85	166	3	.71
		03/15/07	23	64.2300	1,477	98.3700	2,262.51	785	16	.71
Subtotal			*36*		*2,290*		***3,541.32***	*1,249*	***25***	*.71*
SEAGATE TECHNOLOGY	STX	03/24/06	80	24.2400	1,939	25.5000	2,040.00	100	32	1.56
		03/15/07	46	24.6100	1,132	25.5000	1,173.00	40	18	1.56
Subtotal			*126*		*3,071*		***3,213.00***	*140*	***50***	*1.56*
SEMPRA ENERGY	SRE	03/24/06	35	47.0400	1,646	61.8800	2,165.80	519	43	2.00
		03/15/07	12	60.3800	724	61.8800	742.56	18	14	2.00
Subtotal			*47*		*2,370*		***2,908.36***	*537*	***58***	*2.00*
SIEMENS AG ADR	SI	03/24/06	6	90.4050	542	157.3600	944.16	401	11	1.16
		03/15/07	3	103.8400	311	157.3600	472.08	160	5	1.16
Subtotal			*9*		*853*		***1,416.24***	*561*	***16***	*1.16*
SKF AB SPNSR KR12.50 ADR	SKFRY	03/24/06	37	15.8002	584	16.9421	626.85	42	17	2.74
		03/15/07	33	19.1739	632	16.9421	559.08	(73)	15	2.74
Subtotal			*70*		*1,217*		***1,185.93***	*(31)*	***32***	*2.74*
SOCIETE GENERAL SPN ADR	SCGLY	04/27/07	37	42.1683	1,560	29.0500	1,074.85	(485)	44	4.10
		06/12/07	3	37.6733	113	29.0500	87.15	(25)	3	4.10
Subtotal			*40*		*1,673*		***1,162.00***	*(510)*	***47***	*4.10*
STATE STREET CORP	STT	04/25/07	30	69.3083	2,079	81.2000	2,436.00	356	27	1.13
		04/26/07	20	69.0600	1,381	81.2000	1,624.00	242	18	1.13
Subtotal			*50*		*3,460*		***4,060.00***	*598*	***46***	*1.13*
TELEFONICA SA SPAIN ADR	TEF	10/26/07	12	97.1966	1,166	97.5900	1,171.08	4	26	2.25
		11/02/07	3	101.1433	303	97.5900	292.77	(10)	6	2.25
		12/13/07	3	99.3533	298	97.5900	292.77	(5)	6	2.25
Subtotal			*18*		*1,767*		***1,756.62***	*(11)*	***39***	*2.25*
TELSTRA CORP ADR	TLSYY	12/06/07	57	20.5071	1,168	20.5902	1,173.64	4	66	5.68
TENARIS S A ADR	TS	08/08/07	5	50.5220	252	44.7300	223.65	(28)	4	1.92
		08/09/07	20	49.1140	982	44.7300	894.60	(87)	17	1.92
Subtotal			*25*		*1,234*		***1,118.25***	*(115)*	***21***	*1.92*

2326

TOTAL MERRILL

MGR

Account Number:

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued)

Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
TESCO PLC SPNRD ADR	TSCDY	07/05/07	9	25.5322	229	28.0500	252.45	22	5	2.01
		08/09/07	20	26.2435	524	28.0500	561.00	36	11	2.01
Subtotal			*29*		*754*		***813.45***	*58*	***16***	*2.01*
TEVA PHARMACTCL INDS ADR	TEVA	03/23/07	18	37.3727	672	46.4800	836.64	163	5	.70
		04/10/07	8	37.9350	303	46.4800	371.84	68	2	.70
Subtotal			*26*		*976*		***1,208.48***	*231*	***8***	*.70*
TEXTRON INC	TXT	07/06/07	30	57.4656	1,723	71.3000	**2,139.00**	415	**27**	1.29
THERMO FISHER SCIENTIFIC INC	TMO	05/22/06	21	36.6747	770	57.6800	1,211.28	441		
		01/11/07	10	47.8290	478	57.6800	576.80	98		
		03/15/07	31	44.9300	1,392	57.6800	1,788.08	395		
Subtotal			*62*		*2,641*		***3,576.16***	*934*		
TJX COS INC NEW	TJX	03/15/07	48	26.0902	1,252	28.7300	**1,379.04**	126	**17**	1.25
TOYOTA MOTOR CORP ADR	TM	03/24/06	7	107.9614	755	106.1700	743.19	(12)	15	2.03
		03/15/07	2	130.2900	260	106.1700	212.34	(48)	4	2.03
		06/15/07	1	125.2000	125	106.1700	106.17	(19)	2	2.03
		11/01/07	4	114.6525	458	106.1700	424.68	(33)	8	2.03
Subtotal			*14*		*1,600*		***1,486.38***	*(112)*	***30***	*2.03*
TRAVELERS COS INC	TRV	03/24/06	29	41.5100	1,203	53.8000	1,560.20	356	33	2.15
		03/15/07	23	49.7300	1,143	53.8000	1,237.40	93	26	2.15
Subtotal			*52*		*2,347*		***2,797.60***	*449*	***60***	*2.15*
UNILEVER PLC NEW ADR	UL	06/14/07	33	30.5060	1,006	37.4200	1,234.86	228	32	2.64
		06/27/07	24	32.1837	772	37.4200	898.08	125	23	2.64
		10/10/07	5	32.3260	161	37.4200	187.10	25	4	2.64
		10/11/07	5	33.0740	165	37.4200	187.10	21	4	2.64
		11/07/07	6	35.7366	214	37.4200	224.52	10	5	2.64
Subtotal			*73*		*2,320*		***2,731.66***	*409*	***72***	*2.64*
UNITED TECHS CORP COM	UTX	03/24/06	28	59.2857	1,660	76.5400	2,143.12	483	35	1.67
		03/15/07	18	64.2100	1,155	76.5400	1,377.72	221	23	1.67
Subtotal			*46*		*2,815*		***3,520.84***	*704*	***58***	*1.67*

2327

MGR

Account Number:

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
V F CORPORATION	VFC	03/24/06	20	55.8800	1,117	68.6600	1,373.20	255	46	3.37
		03/15/07	13	81.1300	1,054	68.6600	892.58	(162)	30	3.37
Subtotal			*33*		*2,172*		***2,265.78***	*93*	***76***	*3.37*
VCA ANTECH INC COM	WOOF	03/15/07	42	36.0700	1,514	44.2300	1,857.66	342		
VERIZON COMMUNICATNS COM	VZ	03/24/06	40	33.5242	1,340	43.6900	1,747.60	406	68	3.93
		03/15/07	31	36.1500	1,120	43.6900	1,354.39	233	53	3.93
Subtotal			*71*		*2,461*		***3,101.99***	*639*	***122***	*3.93*
VODAFONE GROUP PLC NEW	VOD	10/30/06	2	25.5500	51	37.3200	74.64	23	2	3.79
		11/21/06	22	25.7286	566	37.3200	821.04	255	31	3.79
		03/15/07	11	26.6000	292	37.3200	410.52	117	15	3.79
Subtotal			*35*		*909*		***1,306.20***	*395*	***49***	*3.79*
WACHOVIA CORP NEW	WB	10/24/06	1	55.8800	55	38.0300	38.03	(17)	2	6.73
		10/25/06	15	55.9326	838	38.0300	570.45	(268)	38	6.73
		11/01/06	15	55.3600	830	38.0300	570.45	(259)	38	6.73
		03/15/07	23	54.9900	1,264	38.0300	874.69	(390)	58	6.73
Subtotal			*54*		*2,990*		***2,053.62***	*(934)*	***138***	6.73
WATERS CORP	WAT	03/15/07	26	56.1000	1,458	79.0700	2,055.82	597		
WHIRLPOOL CORP	WHR	12/12/07	15	83.6413	1,254	81.6300	1,224.45	(30)	25	2.10
		12/17/07	5	80.7140	403	81.6300	408.15	4	8	2.10
		12/19/07	5	80.8360	404	81.6300	408.15	3	8	2.10
		12/20/07	10	80.9430	809	81.6300	816.30	6	17	2.10
Subtotal			*35*		*2,871*		***2,857.05***	*(17)*	***60***	*2.10*
WINDSTREAM CORP	WIN	08/23/06	10	12.7710	127	13.0200	130.20	2	10	7.68
		10/16/06	45	13.7753	619	13.0200	585.90	(33)	45	7.68
		10/17/06	20	13.6735	273	13.0200	260.40	(13)	20	7.68
		03/15/07	130	14.3500	1,865	13.0200	1,692.60	(172)	130	7.68
Subtotal			*205*		*2,886*		***2,669.10***	*(216)*	***205***	*7.68*
WYETH	WYE	11/09/07	30	45.7300	1,371	44.1900	1,325.70	(46)	33	2.53
		11/13/07	25	46.6984	1,167	44.1900	1,104.75	(62)	27	2.53
Subtotal			*55*		*2,539*		***2,430.45***	*(108)*	***61***	*2.53*

2328

+

012

9835

MGR

Account Number:

TOTAL MERRILL

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
YUM BRANDS INC	YUM	07/05/07	41	33.8080	1,386	38.2700	1,569.07	182	24	1.56
TOTAL					360,991		405,783.37	44,759	7,786	1.92

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	382,141	426,933.20	44,759		8,696	2.04

TOTAL MERRILL

[redacted]

HON CAROLYN MCCARTHY

Account Number:

24-Hour Assistance: (800) MERRILL

Net Portfolio Value: *$426,447.79*

Your Financial Advisor:
COSTELLO/MACINA GROUP
[redacted]

MOM MAIN

December 01, 2007 - December 31, 2007

ASSETS

	December 31	*November 30*
Cash/Money Accounts	**16,488.32**	25,653.39
Fixed Income	**215,873.06**	216,004.60
Equities	**59,018.56**	59,464.60
Mutual Funds	**132,781.66**	135,587.80
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	***424,161.60***	*436,710.39*
Estimated Accrued Interest	**2,286.19**	1,528.83
TOTAL ASSETS	**$426,447.79**	$438,239.22

LIABILITIES

Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$426,447.79**	$438,239.22

CASH FLOW

	This Statement	*Year to Date*
Opening Cash/Money Accounts	**$25,653.39**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	95,977.33
Subtotal	-	*95,977.33*
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	-	(14,600.66)
Visa Purchases *(debits)*	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	**(10,678.84)**	(86,627.50)
Subtotal	***(10,678.84)***	*(101,228.16)*
Net Cash Flow	**($10,678.84)**	($5,250.83)
Dividends/Interest Income	**1,513.77**	17,559.20
Security Purchases/Debits	-	(135,582.35)
Security Sales/Credits	-	130,398.34
Closing Cash/Money Accounts	**$16,488.32**	
Securities You Transferred In/Out	-	199,355.32

2330

YOUR CMA BANK DEPOSIT INTEREST SUMMARY

December 01, 2007 - December 31, 2007

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Merrill Lynch Bank USA	25,255	23,430	2.90	57.22	15,523
TOTAL *ML Bank Deposit Program*	25,255			57.22	15,523

ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
US TREAS INFLATION NOTE	Maturing	01/15/08			

YOUR CMA ASSETS

CASH/MONEY ACCOUNTS

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	965.32	965		**965.32**		
ML Bank Deposit Program	15,523.00	15,523	1.0000	**15,523.00**	**450**	2.90
TOTAL		16,488		**16,488.32**	**450**	2.90

GOVERNMENT AND AGENCY SECURITIES [1]

Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ US TREAS INFLATION NOTE *03.625% JAN 15 2008 INFL ADJ PRIN 21984* *ORIGINAL UNIT COST: 126.25*	03/24/06	17,000	21,999	100.1280	22,012.36	13	283.01	796	3.62
Δ US TREAS INFLATION NOTE *03.625% JAN 15 2008 INFL ADJ PRIN 20691* *ORIGINAL UNIT COST: 126.49*	10/16/06	16,000	20,694	100.1280	20,717.52	23	266.36	750	3.62
Subtotal		*33,000*	*42,693*		***42,729.88***	*36*	*549.37*	***1,546***	*3.62*
Δ FEDERAL NATL MTGE ASSN *NOTES 06.000% MAY 15 2008* *ORIGINAL UNIT COST: 102.04*	03/24/06	14,000	14,048	100.5000	**14,070.00**	21	107.33	**839**	5.97

+

2331

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

GOVERNMENT AND AGENCY SECURITIES [1] (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ FEDERAL HOME LN MTG CORP NOTES 05.125% OCT 15 2008 ORIGINAL UNIT COST: 100.37	03/24/06	18,000	18,019	100.8130	**18,146.34**	126	194.75	**922**	5.08
0 U.S. TRSY INFLATION NOTE 0.875% APR 15 2010 INFL ADJ PRIN 25364 ORIGINAL UNIT COST: 100.83	01/16/07	23,000	24,077	99.6640	**25,279.40**	1,202	42.34	**221**	.87
Δ U.S. TRSY INFLATION NOTE 3.00% JUL 15 2012 INFL ADJ PRIN 22077 ORIGINAL UNIT COST: 115.85	03/24/06	19,000	22,874	108.3050	**23,910.96**	1,036	261.77	**662**	2.76
Δ U.S. TREASURY BOND 10.625% AUG 15 2015 ORIGINAL UNIT COST: 144.38	03/24/06	18,000	24,260	144.3670	**25,986.06**	1,725	717.19	**1,912**	7.35
Δ U.S. TREASURY BOND 09.000% NOV 15 2018 ORIGINAL UNIT COST: 139.23	03/24/06	7,000	9,307	141.3910	**9,897.37**	590	79.62	**630**	6.36
TOTAL		132,000	155,281		**160,020.01**	4,736	1,952.37	**6,736**	4.21

MUNICIPAL BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ NEW YORK NYC TRANSN FIN AT REV FUTURE TAX B OID JAN98 04.750%NOV15 17 MOODY'S: AA1 S&P: AAA ORIGINAL UNIT COST: 100.00	01/29/02	55,000	55,001	101.5510	**55,853.05**	851	333.82	**2,612**	4.67
TOTAL		55,000	55,001		**55,853.05**	851	333.82	**2,612**	4.68

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
BLDRS EMER MKTS 50 ADR INDEX	ADRE	05/16/07	140	43.5152	6,092	55.0400	**7,705.60**	1,613	**88**	1.14
ISHARES MSCI BRAZIL FREE INDEX FUND	EWZ	05/16/07	105	57.4971	6,037	80.7000	**8,473.50**	2,436	**252**	2.98

2332

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Incom	Current Yield%
MARKET VECTORS ETF TR *ENVIRONMENTAL SVCS*	EVX	05/16/07	125	49.4628	6,182	51.8800	6,485.00	302	67	1.04
MARKET VECTORS GLOBAL *ALT*	GEX	05/16/07	150	40.4090	6,061	59.9600	8,994.00	2,932		
MARKET VECTORS RUSSIA	RSX	05/16/07	160	38.7159	6,194	50.9400	8,150.40	1,955	17	.21
WISDOMTREE INTL COMM *SECTO*	DGG	05/16/07	195	31.3238	6,108	35.0600	6,836.70	728	192	2.80
WISDOMTREE INTL IND SEC	DDI	05/16/07	180	34.2275	6,160	32.2826	5,810.86	(350)	85	1.47
WISDOMTREE TR INTL BASIC *MATERIALS SECTO*	DBN	05/16/07	175	34.9862	6,122	37.5000	6,562.50	439	45	.69
TOTAL					48,959		59,018.56	10,055	749	1.27

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Incom	Current Yield%
EATON VANCE TAX MAN GLB *BUY WRT OPP FD* *SYMBOL: ETW Initial Purchase: 06/12/07*	500	10,048	(1,368)	10,048	17.3600	8,680.00	(1,368)	900	10.36
NFJ DIV INT PREM STRAT *AND PREMIUM STRATEGY FD* *SYMBOL: NFJ Initial Purchase: 06/12/07*	500	12,860	(1,225)	12,860	23.2700	11,635.00	(1,225)	1,050	9.02
NUVEEN EQTY PREM OPP *SYMBOL: JSN Initial Purchase: 06/12/07*	500	9,498	(1,328)	9,498	16.3400	8,170.00	(1,328)	887	10.86
Subtotal (Equity Funds)			*(3,921)*	*32,407*		*28,485.00*	*(3,921)*	*2,837*	*9.96*
AMERN SELECT PORTFOLIO *SYMBOL: SLA Initial Purchase: 06/12/07*	500	6,311	(571)	6,311	11.4800	5,740.00	(571)	390	6.79
EATON VANCE INS NY MUN *MUNICIPAL BOND FUND*	1,800	27,005	(2,489)	27,005	13.6200	24,516.00	(2,489)	1,269	5.17

2333

TOTAL MERRILL

MOM MAIN

Account Number:

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SYMBOL: ENX Initial Purchase: 08/27/02									
J HANCOCK PREF INCOME 3 INCOME FUND III SYMBOL: HPS Initial Purchase: 06/12/07	500	10,705	(1,685)	10,705	18.0400	**9,020.00**	(1,685)	**792**	8.78
PIMCO CORPORATE OPPTY FD SYMBOL: PTY Initial Purchase: 06/12/07	500	7,550	(825)	7,550	13.4500	**6,725.00**	(825)	**690**	10.26
ROCHESTER MUNICIPAL FDS CL B SYMBOL: RMUBX Initial Purchase: 06/10/03	3,301	49,984	8,310	57,451	17.6600	**58,295.66**	843	**2,310**	3.96
Subtotal (Fixed Income Funds)			2,740	109,024		**104,296.66**	(4,727)	**5,451**	5.23
TOTAL			(1,181)	141,431		**132,781.66**	(8,648)	**8,289**	6.24

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

2334

MOM MAIN

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2007 - December 31, 2007

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	417,162	424,161.60	6,994	2,286.19	18,838	4.44

Δ Debt Instruments purchased at a premium show amortization

Θ Debt Instruments purchased at a discount show accretion

[1] Some agency securities are not backed by the full faith and credit of the United States government.

TOTAL MERRILL

[redacted]

Account Number:

24-Hour Assistance: (800) MERRILL

MLPF& S CUST FPO
HON CAROLYN MCCARTHY IRA
FBO HON CAROLYN MCCARTHY

Net Portfolio Value: *$127,518.40*

Your Financial Advisor:
COSTELLO/MACINA GROUP
[redacted]

MOM IRA

December 01, 2007 - December 31, 2007

ASSETS

	December 31	*November 30*
Cash/Money Accounts	3,032.44	2,830.35
Fixed Income	-	-
Equities	53,239.12	54,318.00
Mutual Funds	71,246.84	70,248.51
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	*127,518.40*	*127,396.86*
TOTAL ASSETS	**$127,518.40**	$127,396.86

LIABILITIES

Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	**$127,518.40**	$127,396.86

CASH FLOW

	This Statement	*Year to Date*
Opening Cash/Money Accounts	**$2,830.35**	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	10,000.00
Subtotal	-	*10,000.00*
DEBITS		
Electronic Transfers	-	-
Other Debits	-	-
Subtotal	-	-
Net Cash Flow	-	$10,000.00
Dividends/Interest Income	2,085.70	6,687.52
Dividend Reinvestments	(1,883.61)	(3,416.16)
Security Purchases/Debits	-	(97,697.94)
Security Sales/Credits	-	*81,875.08*
Closing Cash/Money Accounts	**$3,032.44**	
Securities You Transferred In/Out	246.26	432.14

2336

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2007 - December 31, 2007

CASH/MONEY ACCOUNTS

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	37.99	37		**37.99**		
ML BANK USA RASP	2,994.45	2,994	1.0000	**2,994.45**	**86**	2.90
TOTAL		3,032		**3,032.44**	**86**	2.90

EQUITIES

Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
GENERAL ELECTRIC	GE	01/17/95	200	8.7339	1,746	37.0700	**7,414.00**	5,667	**248**	3.34
GREAT PLAINS ENERGY INC	GXP	06/20/07	300	29.6445	8,893	29.3200	**8,796.00**	(97)	**498**	5.66
MOTOROLA INC COM	MOT	05/11/07	200	18.5067	3,701	16.0400	**3,208.00**	(493)	**40**	1.24
PROCTER & GAMBLE CO	PG	01/17/95	336	15.6283	5,251	73.4200	**24,669.12**	19,418	**470**	1.90
THOMSON SPN ADR	TMS	06/20/07	200	19.0267	3,805	14.0200	**2,804.00**	(1,001)	**88**	3.15
US BANCORP (NEW)	USB	04/27/07	200	34.8367	6,967	31.7400	**6,348.00**	(619)	**340**	5.35
TOTAL					30,365		**53,239.12**	22,875	**1,684**	3.16

RESEARCH RATINGS

Security	Symbol	ML Rating	Independent Research Provider	Independent Research Provider	Jaywalk Consensus Rating (SELL HOLD BUY)
GENERAL ELECTRIC	GE	B-2-7	Buy -Morningstar	Buy -Argus Fundamental Research	1.61
GREAT PLAINS ENERGY INC	GXP	B-2-7	Hold -Morningstar	Hold -Channel Trend Inc.	1.91
MOTOROLA INC COM	MOT	C-2-7	Buy -Morningstar	Sell -Rochdale Securities	2.31
PROCTER & GAMBLE CO	PG	A-1-7	Hold -Morningstar	Buy -Argus Fundamental Research	1.67
THOMSON SPN ADR	TMS	B-1-7	Buy -Morningstar	Buy -Columbine Capital Services, Inc	2.18
US BANCORP (NEW)	USB	A-2-7	Buy -Morningstar	Buy -Argus Fundamental Research	1.67

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO YOUR MERRILL LYNCH AND INDEPENDENT RESEARCH RATINGS.

2337

TOTAL MERRILL

MOM IRA

Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2007 - December 31, 2007

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
FID ADV LATIN AMER B	98	3,925	1,529	4,039	55.6600	5,454.88	1,414		
(.5470 FRACTIONAL SHARE)				N/A	55.6600	30.44	N/A		
SYMBOL: FLTBX Initial Purchase: 03/27/07									
FIDELITY ADV LEVERAGED	118	3,956	416	4,029	37.0600	4,373.08	343		
COMPANY STOCK FD CL B				N/A	37.0600	7.81	N/A		
(.2110 FRACTIONAL SHARE)									
SYMBOL: FLCBX Initial Purchase: 03/27/07									
FIDELITY ADV STRATEGIC	313	3,959	(49)	4,161	12.4900	3,909.37	(252)	33	.84
DIV & INCM FD CL B				N/A	12.4900	1.34	N/A		.84
(.1080 FRACTIONAL SHARE)									
SYMBOL: FBSDX Initial Purchase: 03/27/07									
FIDELITY ADVISOR ENERGY	103	3,928	1,397	4,260	51.7100	5,326.13	1,065		
FD CL B				N/A	51.7100	20.42	N/A		
(.3950 FRACTIONAL SHARE)									
SYMBOL: FANRX Initial Purchase: 03/27/07									
FIDELITY ADV HEALTH CARE	431	8,786	260	9,543	20.9900	9,046.69	(497)		
FD CL B				N/A	20.9900	10.22	N/A		
(.4870 FRACTIONAL SHARE)									
SYMBOL: FAHTX Initial Purchase: 05/16/07									
FIDELITY ADV SMALL CAP	190	4,309	(32)	4,485	22.5100	4,276.90	(209)		
FD CL B				N/A	22.5100	4.54	N/A		
(.2020 FRACTIONAL SHARE)									
SYMBOL: FSCBX Initial Purchase: 06/18/07									
NFJ DIV INT PREM STRAT	505	12,625	(873)	12,625	23.2700	11,751.35	(873)	1,060	9.02
AND PREMIUM STRATEGY FD									

+

2338

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2007 - December 31, 2007

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SYMBOL: NFJ Initial Purchase: 02/23/05									
PIONEER CLASSIC BALANCED	926	9,040	(113)	10,158	9.6400	**8,928.64**	(1,232)	**248**	2.78
FUND CL B									
(.5530 FRACTIONAL SHARE)				*N/A*	*9.6400*	***5.33***	*N/A*		*2.78*
SYMBOL: ASBBX Initial Purchase: 06/18/07									
PIONEER EQUITY INCOME	311	9,895	(845)	10,550	29.1000	**9,050.10**	(1,500)	**142**	1.57
FD CL B									
(.1520 FRACTIONAL SHARE)				*N/A*	*29.1000*	***4.42***	*N/A*		*1.57*
SYMBOL: PBEQX Initial Purchase: 05/16/07									
Subtotal (Equity Funds)			*1,690*	*63,855*		***62,199.46***	*(1,741)*	***1,484***	*2.39*
PIONEER HIGH YIELD	858	4,601	4,424	9,918	10.5200	**9,026.16**	(892)	**368**	4.08
FUND CL B									
(.1180 FRACTIONAL SHARE)				*N/A*	*10.5200*	***1.24***	*N/A*		*4.08*
SYMBOL: TBHYX Initial Purchase: 06/10/03									
PIONEER BOND FUND	2	N/A	N/A	N/A	9.2200	**18.44**	N/A		4.51
(.1680 FRACTIONAL SHARE)				*N/A*	*9.2200*	***1.54***	*N/A*		*4.51*
SYMBOL: PIOBX Initial Purchase: N/A									
Subtotal (Fixed Income Funds)			*4,424*	*9,918*		***9,047.38***	*(892)*	***369***	*4.09*
TOTAL			6,114	73,774		**71,246.84**	(2,633)	**1,854**	2.60

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not *applicable to retirement accounts.*
Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

2339

Merrill Lynch

Account No.

Taxpayer No.

CORRECTED 1099 04/05/08

HON CAROLYN MCCARTHY

Your Financial Advisor [redacted]
COSTELLO/MACINA GROU
[redacted]

Payer:
Merrill Lynch, Pierce, Fenner & Smith Inc
1800 Merrill Lynch Drive, MSC 0803
Pennington, NJ 08534-4129
Federal Identification Number [redacted]

2007 TAX REPORTING STATEMENT

1099-DIV	2007 Dividends and Distributions	(OMB NO. 1545-0110)
		Amount
1a	Total Ordinary Dividends	9,831.40
1b	Qualified Dividends	8,192.27
2a	Total Capital Gain Distributions	52.43
2b	Unrecap. Sec. 1250 Gain	24.32
2c	Section 1202 Gain	0.00
2d	Collectibles (28%) Gain	0.00
3	Nondividend Distributions	109.44
4	**Federal Income Tax Withheld**	**0.00**
5	Investment Expenses	0.00
6	Foreign Tax Paid	265.73
7	Foreign Country or U.S. Possession	Various
8	Liquidation Distributions Cash	0.00
9	Liquidation Distributions Non-Cash (Fair Market Value)	0.00

1099-INT	2007 Interest Income	(OMB NO. 1545-0112)
		Amount
1	Interest Income	767.16
2	Early Withdrawal Penalty	0.00
3	Interest On U.S. Savings Bonds and Treasury Obligations	2,387.68
4	**Federal Income Tax Withheld**	**0.00**
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	
8	Tax Exempt Interest	0.00
9	Specified Private Activity Bond Interest	0.00

Summary of 2007 Original Issue Discount Items

		Amount
1	Original Issue Discount	0.00**
6	Original Issue Discount on US Treasury Obligations	0.00**
	Total Original Issue Discount is the Sum of Line 1 and 6	0.00**

** Amounts of Original Issue Discount are individually reported to the IRS. Refer to the 2007 Original Issue Discount detail section of this statement.

Summary of 2007 Proceeds from Broker & Barter Exchange Transactions

		Amount
2	Gross Proceeds Less Commissions	565,970.66
4	**Federal Income Tax Withheld**	**0.00**

Gross Proceeds from each of your 2007 securities trades are individually reported to the IRS. Refer to the 2007 Gross Proceeds detail section of this statement.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty *or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.*

Please refer to Page 5 of this statement highlighting modifications that should be brought to the attention of your tax advisor.

You may reach a Tax Representative at 1-800-637-6326

2340

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

1099-MISC	2007 Miscellaneous Income	(OMB NO. 1545-0115)
		Amount
2	Royalties	0.00
3	Other Income	0.00
4	**Federal Income Tax Withheld**	**0.00**
8	Substitute Payments in Lieu of Dividends or Interest	19.50

See Instructions Below for an Explanation

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

INSTRUCTIONS FOR RECIPIENT OF FORMS 1099 - READ CAREFULLY

The amounts listed on Forms 1099-DIV, 1099-INT, 1099-OID, 1099-MISC and 1099-B represent interest, dividends, miscellaneous income, and/or gross proceeds payments made or credited to your account during the calendar year indicated on these forms, as well as any original issue discount (OID) accrued during the calendar year.

Real Estate Mortgage Investment Conduits (REMIC's) and other Collateralized Debt Obligations (CDO's) must report interest income or OID paid or accrued during the tax year to a regular interest holder. If you are a holder of a REMIC and/or CDO obligation, such interest income or OID will not be reflected on the Form 1099-INT or 1099-OID appearing on this Tax Reporting Statement because this information is unavailable to Merrill Lynch prior to publication. Such information will be sent to you separately for inclusion on your income tax return.

If you are an investor in a limited partnership, information concerning your distributive share of the partnership's taxable income or loss (Form 1065, Schedule K-1) will not appear on the Tax Reporting Statement. Such information will be sent to you directly from the limited partnership, for inclusion on your income tax return.

Nominees - If your Federal Taxpayer Identification Number is shown on this form and includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV, 1099-INT, 1099-OID, 1099-MISC or 1099-B, as applicable, with the IRS, for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV, 1099-INT, 1099-OID, 1099-MISC or 1099-B to each owner. A husband or wife is not required to file a nominee return to show amounts owned by the other. **See the Instructions for Forms 1099, 1098, 5498, and W-2G.**

Foreign Tax Paid - You may be able to claim this foreign tax as a deduction or a credit on Form 1040. See your Form 1040 instructions.

Backup Withholding - Persons not furnishing their taxpayer identification number to the payer become subject to backup withholding on certain payments at a rate of 28%, including dividends, interest, and gross proceeds from dispositions of securities. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. **Include this amount on your income tax return as tax withheld.**

1099-MISC - Miscellaneous Income (OMB No. 1545-0115)
In addition to the Form 1099-MISC we provide, each royalty trust is required to provide their shareholders with detailed information regarding items of trust income and deductions, which will help shareholders in preparing their tax return. Generally, shareholders report this information on Schedule E of Form 1040. Because the information reported to shareholders by the royalty trust includes the information we report to you on Form 1099-MISC, you should be careful not to report this income on your tax return twice. The royalty trusts generally make this tax information available in March, and we will forward it to you upon receipt. Accordingly, you should be aware of your tax filing deadline and determine if an extension of time to file will be necessary.
Line 2 - Shows the royalty trust income paid to your account during the tax year.
Line 3 - Generally, report this amount on the "Other Income" line of Form 1040 and identify the payment. The amount shown may be payments you received as the beneficiary of a deceased employee, prizes, awards, taxable damages, or other taxable income. See **Pub 525, Taxable and Nontaxable Income.**
Line 8 - Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf after transfer of your securities for use in a short sale. Report on the "Other Income" line of Form 1040.

2341

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and the preparation of your tax return. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

Data from Forms 1099 (reportable Dividend and Interest) is also repeated in this summary for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
US TSY INFLATION NOTE	17000.0000	03/24/06	01/18/07	(31.04)(A)	38.47(A)	21,638.45	21,638.44(C)	.01
APOLLO GROUP INC CL A	29.0000	03/15/07	06/05/07			1,365.57	1,327.91	37.66
	9.0000	08/06/07	11/19/07			662.86	541.81	121.05
ALLEGHENY ENERGY INC COM	30.0000	03/15/07	04/11/07			1,497.60	1,428.30	69.30
	19.0000	03/15/07	04/12/07			937.40	904.59	32.81
AMERICAN FINL GRP HLDGS	7.0000	08/16/06	03/15/07			233.44	227.87	5.57
	15.0000	08/17/06	03/15/07			500.23	460.68	39.55
	7.0000	08/18/06	03/15/07			233.44	231.81	1.63
	20.0000	08/21/06	03/15/07			666.98	604.03	62.95
AMDOCS LIMITED	5.0000	03/24/06	01/17/07			172.03	169.99	2.04
ALLTEL CORP DEL	5.0000	03/24/06	02/16/07			301.51	262.36	39.15
	5.0000	11/07/06	07/13/07			337.14	281.41	55.73
	16.0000	03/15/07	07/13/07			1,078.87	981.44	97.43
ALLEGHENY TECH INC	2.0000	03/15/07	05/11/07			229.85	205.62	24.23

2342

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ASSURANT INC	24.0000	03/15/07	05/01/07			1,377.60	1,267.20	110.40
ADIDAS AG SPONSORED ADR	13.0000	03/15/07	09/21/07			408.40	315.90	92.50
ACERGY S A SPON ADR	30.0000	03/27/07	07/18/07			814.84	611.67	203.17
	23.0000	03/27/07	07/19/07			635.38	468.95	166.43
AMER EXPRESS COMPANY	29.0000	03/15/07	10/16/07			1,745.23	1,623.42	121.81
AMER INTL GROUP INC	5.0000	10/05/06	06/08/07			355.16	335.95	19.21
	21.0000	03/15/07	06/08/07			1,491.70	1,406.79	84.91
APPLE INC	5.0000	03/24/06	02/05/07			422.09	298.80	123.29
AUTOMATIC DATA PROC	30.0000	05/19/06	03/01/07			1,483.56	1,352.37	131.19
BURLNGTN N SNTA FE$0.01	5.0000	05/03/07	05/11/07			449.39	447.42	1.97
BOEHLER-UDDEHOLM SPN ADR	24.0000	03/24/06	03/19/07			568.73	298.70	270.03
	5.0000	06/27/06	06/08/07			120.09	60.94	59.15
	10.0000	06/28/06	06/08/07			240.20	122.04	118.16
	4.0000	06/30/06	06/08/07			96.09	54.80	41.29
	1.0000	06/30/06	06/12/07			24.00	13.70	10.30
	17.0000	07/05/06	06/12/07			408.11	237.93	170.18
	24.0000	03/15/07	06/12/07			576.16	501.60	74.56
BIOGEN IDEC INC	16.0000	03/15/07	04/02/07			707.56	701.12	6.44
BARCLAYS PLC ADR	6.0000	03/15/07	03/20/07			330.05	321.60	8.45
BARD C R INC	3.0000	03/15/07	05/11/07			246.56	238.29	8.27
BANK OF AMERICA CORP	25.0000	03/24/06	02/06/07			1,324.87	1,174.25	150.62

Merrill Lynch

Acc unt No.

Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BT GROUP PLC ADR	16.0000	08/29/06	04/18/07			1,001.52	745.77	255.75
	5.0000	03/15/07	04/18/07			312.98	287.60	25.38
BAXTER INTERNTL INC	9.0000	07/14/06	05/11/07			510.38	340.98	169.40
CBL & ASSOC PPTYS INC	35.0000	03/24/06	03/15/07			1,595.95	1,497.30	98.65
CABOT OIL & GAS CORP	30.0000	03/24/06	03/15/07			2,002.73	1,433.76	568.97
CADENCE DESIGN SYS INC	14.0000	03/15/07	05/11/07			309.81	283.77	26.04
CREDIT SUISSE GP SP ADR	5.0000	03/24/06	03/15/07			345.98	274.10	71.88
CB RICHARD ELLIS GR INC	7.0000	03/15/07	05/11/07			262.07	228.75	33.32
CEMEX SAB DE CV SPND ADR	11.0000	12/20/06	05/11/07			378.94	362.78	16.16
	13.0000	12/20/06	06/26/07			486.85	428.75	58.10
	5.0000	01/23/07	06/26/07			187.24	177.97	9.27
	2.0000	01/24/07	06/26/07			74.91	71.98	2.93
	4.0000	01/24/07	06/27/07			146.42	143.99	2.43
	15.0000	03/15/07	06/27/07			549.11	497.70	51.41
CIA VALE DO RIO DOCE AD	10.0000	08/31/07	11/16/07			356.80	248.34	108.46
	24.0000	08/31/07	11/19/07			807.45	596.03	211.42
CELANESE CORP DEL SER A	6.0000	03/15/07	06/11/07			223.49	180.30	43.19
CBS CORP NEW CL B	10.0000	06/07/06	05/11/07			317.59	267.12	50.47
	1.0000	06/08/06	05/11/07			31.76	26.78	4.98
COCA COLA COM	3.0000	06/30/06	05/11/07			157.95	129.79	28.16
COLGATE PALMOLIVE	5.0000	12/01/06	05/11/07			334.59	325.81	8.78
	1.0000	12/04/06	05/11/07			66.92	65.97	.95

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
COMMERCIAL METALS CO COM	10.0000	05/01/06	03/15/07			280.89	278.44	2.45
CURTISS WRIGHT	50.0000	03/24/06	03/15/07			1,856.94	1,629.75	227.19
DTE ENERGY COMPANY	5.0000	03/24/06	01/24/07			232.93	207.19	25.74
	15.0000	03/24/06	01/30/07			694.17	621.60	72.57
	5.0000	03/24/06	02/08/07			236.40	207.20	29.20
	10.0000	03/24/06	02/12/07			469.93	414.40	55.53
	10.0000	03/24/06	02/14/07			483.54	414.41	69.13
DASSAULT SYS SA SPN ADR	5.0000	03/15/07	04/27/07			284.88	256.40	28.48
DIAGEO PLC SPSD ADR NEW	3.0000	12/13/06	05/11/07			251.99	235.89	16.10
	5.0000	12/13/06	07/05/07			417.50	393.15	24.35
	2.0000	12/13/06	07/06/07			165.74	157.27	8.47
	4.0000	03/15/07	07/06/07			331.51	303.24	28.27
DRS TECHNOLOGIES INC	5.0000	08/18/06	03/15/07			261.94	201.56	60.38
	14.0000	08/21/06	03/15/07			733.43	555.66	177.77
	10.0000	09/06/06	03/15/07			523.88	422.19	101.69
	8.0000	09/07/06	03/15/07			419.12	333.02	86.10
	10.0000	04/10/07	10/02/07			554.44	509.39	45.05
	5.0000	04/11/07	10/03/07			274.89	256.27	18.62
	11.0000	04/11/07	10/05/07			603.44	563.80	39.64
	5.0000	06/05/07	10/05/07			274.30	264.50	9.80
DAIMLER AG	3.0000	06/20/07	11/01/07			323.92	276.59	47.33
DAIMLER A	10.0000	06/20/07	11/08/07			1,063.63	922.01	141.62
DEUTSCHE BK AG REG SHS	4.0000	03/15/07	09/25/07			503.18	493.28	9.90

2345

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
DEERE CO	4.0000	08/31/06	05/11/07			479.35	308.86	170.49
	10.0000	08/31/06	06/05/07			1,209.57	772.16	437.41
	1.0000	08/31/06	06/11/07			116.49	77.22	39.27
	10.0000	10/23/06	06/11/07			1,164.97	857.07	307.90
	4.0000	03/15/07	06/11/07			466.00	444.08	21.92
E M C CORPORATION MASS	1.0000	03/15/07	11/09/07			19.65	12.95	6.70
EXPRESS SCRIPTS INC COM	14.0000	05/01/07	11/16/07			909.29	660.49	248.80
	15.0000	05/01/07	12/04/07			1,061.69	707.67	354.02
EQUITY ONE	65.0000	03/24/06	03/15/07			1,666.54	1,562.15	104.39
EATON VANCE CORP NVT	25.0000	03/15/07	09/04/07			953.69	855.50	98.19
	16.0000	03/15/07	09/05/07			607.77	547.52	60.25
EDWARDS A G INC	3.0000	03/15/07	05/11/07			224.78	189.84	34.94
	21.0000	03/15/07	06/05/07			1,828.57	1,328.88	499.69
ERICSSON LM TEL CL B ADR	10.0000	01/11/07	10/11/07			411.81	402.90	8.91
	20.0000	01/12/07	10/11/07			823.65	814.03	9.62
	5.0000	01/12/07	10/12/07			203.83	203.51	.32
	36.0000	03/15/07	10/12/07			1,467.61	1,265.76	201.85
ENERGEN CRP COM PV 1CENT	35.0000	03/24/06	03/15/07			1,663.49	1,186.16	477.33
FORTIS (NL)-SPONS ADR	5.0000	03/24/06	01/17/07			209.41	178.75	30.66
GRUPO TELEVISA SA ADR	8.0000	11/27/06	05/11/07			232.23	199.79	32.44
GLOBALSANTAFE CORP	25.0000	05/11/07	11/27/07			2,033.52	1,641.71	391.81
	20.0000	05/18/07	11/27/07			1,787.40	1,352.39	435.01

Merrill Lynch

Acc unt No.

Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
GRANT PRIDECO INC COM	7.0000	03/15/07	05/11/07			380.51	319.97	60.54
	7.0000	03/15/07	06/05/07			399.59	319.97	79.62
	25.0000	03/15/07	11/05/07			1,236.26	1,142.74	93.52
	11.0000	03/15/07	11/06/07			547.41	502.82	44.59
GENERAL ELECTRIC	15.0000	06/30/06	04/24/07			520.68	499.37	21.31
	47.0000	03/15/07	04/24/07			1,631.47	1,623.38	8.09
GOOGLE INC CL A	1.0000	09/15/06	05/11/07			466.79	408.30	58.49
HSBC HLDG PLC SP ADR	9.0000	01/05/07	04/27/07			832.28	822.79	9.49
	4.0000	03/08/07	04/27/07			369.90	353.56	16.34
	5.0000	03/15/07	04/27/07			462.39	429.95	32.44
HEINZ H J CO PV 25CT	5.0000	11/07/06	05/01/07			233.34	210.97	22.37
	20.0000	11/08/06	05/01/07			933.40	861.98	71.42
	10.0000	11/27/06	05/01/07			466.70	438.94	27.76
	5.0000	11/27/06	05/09/07			233.63	219.47	14.16
	5.0000	11/27/06	05/11/07			228.75	219.47	9.28
	10.0000	03/15/07	05/14/07			458.45	458.00	.45
	5.0000	03/15/07	05/15/07			230.47	228.99	1.48
	10.0000	03/15/07	05/16/07			459.14	458.01	1.13
HEWLETT PACKARD CO DEL	8.0000	03/05/07	05/11/07			361.75	311.70	50.05
HOLLY CORP COM $0 01	40.0000	03/24/06	03/15/07			2,276.33	1,424.00	852.33
HOME DEPOT INC	6.0000	05/01/07	05/11/07			232.37	228.56	3.81
INTEL CORP	15.0000	04/25/07	05/11/07			333.29	331.41	1.88

2347

Merrill Lynch

Account No. Taxpayer No

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
INTL BUSINESS MACHINES	15.0000	08/30/06	03/02/07			1,368.11	1,219.27	148.84
	5.0000	09/15/06	03/02/07			456.04	416.63	39.41
	5.0000	09/15/06	05/11/07			528.84	416.64	112.20
	15.0000	12/07/06	09/20/07			1,753.32	1,412.99	340.33
	5.0000	12/14/06	09/24/07			584.83	476.81	108.02
	9.0000	03/15/07	09/24/07			1,052.71	839.61	213.10
INTESA SANPAOLO SPON ADR	10.0000	09/08/06	01/17/07			447.34	427.36	19.98
	2.0000	09/14/06	01/17/07			89.47	83.76	5.71
	5.0000	09/14/06	05/11/07			247.74	209.42	38.32
JACOBS ENGN GRP INC DELA	4.0000	04/11/07	06/11/07			226.19	197.12	29.07
	11.0000	04/11/07	12/12/07			1,038.99	542.09	496.90
JOHNSON CONTROLS INC	4.0000	03/05/07	05/11/07			438.75	382.04	56.71
	33.0000	03/05/07	12/21/07			1,182.55	1,050.63	131.92
	33.0000	03/15/07	12/21/07			1,182.56	1,038.29	144.27
KEYSPAN CORP	10.0000	03/15/07	08/06/07			417.78	409.39	8.39
	16.0000	03/15/07	08/07/07			669.66	655.05	14.61
KRAFT FOODS INC VA CL A	5.0000	03/15/07	05/11/07			162.55	149.59	12.96
	22.0000	03/15/07	06/19/07			763.92	658.20	105.72
	3.0000	04/12/07	06/19/07			104.18	97.84	6.34
	63.0000	04/12/07	10/19/07			2,075.47	2,054.73	20.74
KOOKMIN BANK NEW SPN ADR	6.0000	01/18/07	04/17/07			555.23	481.27	73.96
	4.0000	01/18/07	05/14/07			360.63	320.86	39.77
	3.0000	02/01/07	05/14/07			270.48	254.37	16.11
	4.0000	03/15/07	05/14/07			360.64	352.28	8.36
KELLWOOD CO	26.0000	03/24/06	02/14/07			845.30	774.80	70.50
	24.0000	03/24/06	03/15/07			757.89	715.20	42.69
KENNAMETAL INC	25.0000	03/24/06	03/15/07			1,591.70	1,512.25	79.45

2348

Merrill Lynch

Account No. Taxpay r N .

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
KROGER CO	9.0000	03/15/07	05/11/07			259.55	240.02	19.53
	59.0000	03/15/07	07/05/07			1,646.17	1,573.54	72.63
L-3 COMMNCTNS HLDGS	3.0000	06/07/06	05/11/07			283.85	231.70	52.15
LINCOLN ELEC HLDGS INC	30.0000	03/24/06	03/15/07			1,805.94	1,548.80	257.14
LADBROKES PLC SPON ADR	15.0000	08/24/06	01/12/07			128.71	111.47	17.24
	10.0000	08/24/06	01/16/07			86.15	74.31	11.84
	106.0000	08/24/06	02/01/07			926.84	787.77	139.07
LINCOLN NTL CORP IND NPV	10.0000	03/24/06	02/02/07			682.75	554.37	128.38
LUBRIZOL CORP	35.0000	03/24/06	03/15/07			1,787.04	1,507.80	279.24
LYONDELL CHEMICAL PV $1	10.0000	03/24/06	02/15/07			312.84	200.20	112.64
	20.0000	03/24/06	02/16/07			630.80	400.40	230.40
	15.0000	03/24/06	02/21/07			484.29	300.30	183.99
	35.0000	03/24/06	03/15/07			1,056.61	700.70	355.91
MEMC ELECTR MATLS INC	5.0000	04/10/07	05/11/07			303.79	300.64	3.15
	5.0000	07/12/07	11/16/07			356.36	310.87	45.49
	13.0000	07/12/07	12/04/07			978.10	808.27	169.83
MORGAN STANLEY	2.0000	03/15/07	06/26/07			169.84	149.78	20.06
	5.0000	03/15/07	06/28/07			425.36	374.44	50.92
	10.0000	03/15/07	07/02/07			851.27	748.91	102.36
MARRIOTT INTL INC NEW A	40.0000	03/24/06	01/08/07			1,826.40	1,375.00	451.40
MONSANTO CO NEW DEL COM	10.0000	10/26/06	05/11/07			583.69	437.66	146.03
	15.0000	10/26/06	08/15/07			971.29	656.50	314.79
	10.0000	10/26/06	10/02/07			838.72	437.67	401.05
MIRANT CORP NEW	5.0000	03/15/07	05/11/07			227.34	192.20	35.14

2349

Merrill Lynch

Account No. Taxpayer N

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MERCK&CO INC	6.0000	03/15/07	11/08/07			328.09	257.51	70.58
	23.0000	03/15/07	11/13/07			1,307.21	987.17	320.04
METHANEX CORP COM	75.0000	03/24/06	03/15/07			1,873.45	1,556.18	317.27
MICROSOFT CORP	60.0000	05/22/06	04/23/07			1,732.34	1,371.50	360.84
	12.0000	08/03/06	05/11/07			370.43	292.17	78.26
	2.0000	10/13/06	09/25/07			58.86	56.97	1.89
NSK LTD ADR	3.0000	08/04/06	05/11/07			301.49	237.37	64.12
NATIONAL-OILWELL VARCO	5.0000	03/01/07	05/11/07			456.99	349.43	107.56
	10.0000	03/01/07	08/17/07			1,055.90	698.87	357.03
NOVARTIS ADR	10.0000	03/24/06	02/20/07			587.37	557.69	29.68
NCR CORP NEW	5.0000	03/15/07	05/11/07			258.04	238.20	19.84
NEWELL RUBBERMAID INC	8.0000	03/15/07	05/11/07			250.07	243.39	6.68
NAT FUEL GAS CO NJ $1	5.0000	05/24/06	03/15/07			207.39	171.12	36.27
	5.0000	05/25/06	03/15/07			207.39	175.41	31.98
	20.0000	05/31/06	03/15/07			829.57	711.09	118.48
	11.0000	06/01/06	03/15/07			456.27	398.17	58.10
NESTLE S A REP RG SH ADR	4.0000	03/24/06	01/03/07			354.27	295.20	59.07
NORFOLK SOUTHERN CORP	10.0000	03/23/07	05/11/07			551.49	511.05	40.44
NORTHN TRUST CORP	10.0000	03/24/06	01/11/07			615.08	530.59	84.49
	15.0000	03/24/06	01/12/07			922.34	795.89	126.45
OWENS &MINOR INC NEW COM	50.0000	03/24/06	03/15/07			1,699.99	1,598.00	101.99
PETROCHINA CO LTD SP ADR	8.0000	03/15/07	09/12/07			1,165.46	892.80	272.66

2350

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
PRUDENTIAL FINANCIAL INC	10.0000	10/12/06	03/22/07			908.45	776.23	132.22
	10.0000	10/13/06	04/23/07			940.39	778.94	161.45
	10.0000	10/13/06	04/24/07			937.94	778.94	159.00
	20.0000	03/15/07	04/24/07			1,875.90	1,778.80	97.10
PETRLEO BRAS VTG SPD ADR	3.0000	12/01/06	06/11/07			337.14	279.91	57.23
	2.0000	12/01/06	06/26/07			238.05	186.61	51.44
	10.0000	03/15/07	12/24/07			1,154.59	437.15	717.44
	11.0000	04/04/07	12/24/07			1,270.06	550.76	719.30
	15.0000	04/04/07	12/27/07			1,710.60	751.04	959.56
PEOPLES ENERGY CORP	5.0000	03/24/06	01/26/07			216.85	180.60	36.25
	5.0000	03/24/06	01/29/07			217.49	180.59	36.90
	5.0000	03/24/06	01/30/07			218.45	180.60	37.85
	5.0000	03/24/06	01/31/07			218.56	180.60	37.96
	14.0000	03/24/06	02/01/07			617.19	505.68	111.51
	6.0000	03/24/06	02/05/07			265.54	216.73	48.81
PHELPS DODGEPV6 25	10.0000	05/24/06	01/05/07			1,161.73	829.85	331.88
PRECISION CASTPARTS	5.0000	01/08/07	05/11/07			554.14	411.21	142.93
	5.0000	01/08/07	06/14/07			589.10	411.21	177.89
	5.0000	01/08/07	07/20/07			665.28	411.21	254.07
ROYAL KPN N V SP ADR	47.0000	03/08/07	12/06/07			849.40	703.92	145.48
	5.0000	03/08/07	12/14/07			87.45	74.89	12.56
	24.0000	03/15/07	12/14/07			419.79	359.36	60.43
REPUBLIC SERVICES INC	8.0000	03/15/07	05/11/07			229.11	217.82	11.29
RADIOSHACK CORP	47.0000	03/15/07	05/01/07			1,348.56	1,217.13	131.43
SENSIENT TECHNOLGY CORP	67.0000	03/24/06	03/15/07			1,649.48	1,187.91	461.57

2351

Merrill Lynch

Account No.

Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SPX CORP COM	3.0000	03/15/07	05/11/07			240.02	208.65	31.37
	22.0000	03/15/07	07/05/07			1,974.34	1,530.10	444.24
STEELCASE INC 'A'	25.0000	03/15/07	04/10/07			494.49	484.00	10.49
	72.0000	03/15/07	04/11/07			1,410.35	1,393.92	16.43
SIEMENS AG ADR	4.0000	03/24/06	01/04/07			393.23	361.61	31.62
SEPRACOR INC COM	28.0000	03/15/07	06/05/07			1,357.17	1,351.28	5.89
SHIP FIN INTL LTD	4.0000	03/22/07	05/11/07			123.19	106.22	16.97
SWEDBANK A B ADR	10.0000	07/03/06	02/22/07			381.48	265.67	115.81
	18.0000	07/03/06	05/11/07			674.98	478.22	196.76
	17.0000	08/10/06	05/11/07			637.49	474.94	162.55
SNAP ON INC COM	15.0000	03/15/07	07/05/07			773.99	726.14	47.85
	19.0000	03/15/07	07/06/07			969.75	919.80	49.95
STAPLES INC	15.0000	06/16/06	05/03/07			374.87	372.46	2.41
SUPERVALU INC DEL COM	20.0000	06/01/06	05/11/07			932.18	591.65	340.53
	10.0000	06/02/06	05/21/07			465.44	292.79	172.65
	5.0000	06/09/06	05/21/07			232.73	146.84	85.89
	10.0000	06/12/06	05/23/07			470.32	294.72	175.60
	10.0000	06/13/06	05/23/07			470.32	294.98	175.34
	10.0000	06/13/06	06/04/07			483.00	294.99	188.01
	5.0000	03/15/07	06/04/07			241.51	189.15	52.36
	10.0000	03/15/07	06/05/07			483.12	378.30	104.82
	5.0000	03/15/07	06/06/07			239.79	189.15	50.64
SUN MICROSYSTEMS INC COM	195.0000	08/04/06	05/03/07			1,001.38	875.41	125.97
	25.0000	08/04/06	05/11/07			128.74	112.24	16.50
	27.0000	08/07/06	05/11/07			139.05	121.72	17.33

2352

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
TJX COS INC NEW	8.0000	03/15/07	05/11/07			225.27	208.71	16.56
TESCO PLC SPNRD ADR	4.0000	07/05/07	12/06/07			116.55	102.12	14.43
TEVA PHARMACTCL INDS ADR	5.0000	03/19/07	11/02/07			218.77	182.44	36.33
	5.0000	03/21/07	11/02/07			218.77	182.41	36.36
TESORO CORP	2.0000	03/15/07	05/11/07			238.83	195.46	43.37
	34.0000	03/15/07	11/05/07			1,888.78	1,661.41	227.37
TEXAS INSTRUMENTS	18.0000	12/12/06	05/11/07			658.96	534.53	124.43
	30.0000	12/12/06	09/25/07			1,092 79	890.90	201.89
	2.0000	12/12/06	10/09/07			72.36	59.40	12.96
	15.0000	02/06/07	10/09/07			542.72	467.69	75.03
	3.0000	02/23/07	10/09/07			108.55	96.72	11.83
	7.0000	02/23/07	10/12/07			247.31	225.69	21.62
	18.0000	03/15/07	10/12/07			635.95	569.69	66.26
	39.0000	03/15/07	10/15/07			1,362.72	1,234.36	128.36
TAIWAN S MANUFCTRING ADR	78.0000	03/24/06	01/04/07			848.43	730.28	118.15
TEEKAY SHIPPING CORP(MH)	40.0000	03/24/06	03/15/07			2,053.13	1,480.00	573.13
TARGET CORP COM	15.0000	03/15/07	09/27/07			927.53	892.95	34.58
TXU CORP	6.0000	01/25/07	05/11/07			398.51	328.57	69.94
	14.0000	01/25/07	08/30/07			936.76	766.67	170.09
	6.0000	02/06/07	08/30/07			401.47	338.28	63.19
	4.0000	02/06/07	08/31/07			269.49	225.52	43.97
	10.0000	02/07/07	08/31/07			673.73	563.94	109.79
	11.0000	03/15/07	08/31/07			741.11	693.00	48.11
TRANSOCEAN INC	10.0000	05/11/07	12/19/07			1,350.99	1,338.20	12.79
	1.0000	05/11/07	12/26/07			145.30	133.82	11.48
	10.0000	05/18/07	12/26/07			1,453.10	1,338.20	114.90

2353

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
THERMO FISHER SCIENTIFIC	10.0000	05/22/06	02/27/07			458.42	366.74	91.68
	9.0000	05/22/06	05/11/07			483.20	330.07	153.13
UGI CORP NEW	70.0000	03/24/06	03/15/07			1,783.54	1,506.40	277.14
UNITEDHEALTH GROUP INC	8.0000	10/06/06	05/11/07			427.83	411.48	16.35
	17.0000	10/06/06	07/19/07			882.61	874.40	8.21
	8.0000	02/06/07	07/19/07			415.35	409.88	5.47
UNIVERSAL CORP VA	40.0000	03/24/06	03/15/07			2,258.73	1,456.00	802.73
VERIFONE HOLDINGS INC	7.0000	03/15/07	05/11/07			262.56	250.95	11.61
	11.0000	03/15/07	11/19/07			481.82	394.35	87.47
VODAFONE GROUP PLC NEW	11.0000	10/13/06	05/11/07			311.95	268.00	43.95
	9.0000	10/13/06	06/12/07			281.87	219.29	62.58
WD 40 COMPANY DELAWARE	40.0000	03/24/06	03/15/07			1,265.16	1,231.60	33.56
WINDSTREAM CORP	30.0000	08/22/06	04/12/07			438.90	384.78	54.12
	5.0000	08/09/06	04/13/07			72.70	63.66	9.04
	20.0000	08/10/06	04/13/07			290.82	253.84	36.98
	20.0000	08/11/06	04/13/07			290.82	251.10	39.72
	10.0000	08/18/06	04/13/07			145.41	129.00	16.41
	8.0000	08/22/06	04/13/07			116.34	102.61	13.73
	2.0000	08/22/06	04/16/07			29.32	25.66	3.66
	1.0000	08/23/06	04/16/07			14.67	12.77	1.90
	19.0000	08/23/06	05/11/07			278.72	242.64	36.08
WAL-MART STORES INC	30.0000	03/06/07	07/12/07			1,462.35	1,440.83	21.52
	28.0000	03/15/07	07/12/07			1,364.86	1,280.72	84.14
WEIS MARKETS INC	35.0000	03/24/06	03/15/07			1,527.35	1,526.20	1.15

2354

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
WHIRLPOOL CORP	10.0000	03/15/07	05/22/07			1,130.71	842.99	287.72
	7.0000	03/15/07	05/23/07			792.63	590.11	202.52
WACHOVIA CORP NEW	4.0000	10/24/06	05/11/07			224.39	223.48	.91
PIMCO FXD INC SHS SER C	6055.0000	03/24/06	03/16/07			70,116.90	69,450.85	666.05
PIMCO FXD INC SHS SER M	6280.0000	03/24/06	03/16/07			72,408.40	70,147.61	2,260.79
			Subtotal			362,587.82	327,305.18	35,282.64
SHORT TERM CAPITAL LOSSES								
ADOBE SYS DEL PV$ 0.001	9.0000	03/22/07	05/11/07			375.20	386.56	(11.36)
	30.0000	03/22/07	11/14/07			1,247.24	1,288.56	(41.32)
AMDOCS LIMITED	25.0000	03/24/06	01/18/07			841.81	850.00	(8.19)
	30.0000	03/15/07	10/29/07			1,014.88	1,054.50	(39.62)
ALLEGHENY TECH INC	15.0000	03/15/07	11/05/07			1,461.75	1,542.15	(80.40)
ADIDAS AG SPONSORED ADR	16.0000	03/24/06	02/21/07			392.76	398.00	(5.24)
ANNTAYLOR STRS CORP	15.0000	04/10/07	08/06/07			431.23	583.01	(151.78)
	19.0000	04/11/07	08/06/07			546.23	745.68	(199.45)
	29.0000	05/01/07	08/06/07			833.73	1,094.73	(261.00)
AUTODESK INC DEL PV$0.01	15.0000	07/13/07	08/02/07			629.85	702.98	(73.13)
	35.0000	07/16/07	08/03/07			1,443.20	1,655.75	(212.55)
BURLNGTN N SNTA FE$0.01	25.0000	05/03/07	10/19/07			2,074.68	2,237.15	(162.47)
BIOGEN IDEC INC	10.0000	06/14/06	03/30/07			444.11	462.75	(18.64)
	5.0000	06/14/06	04/02/07			221.11	231.38	(10.27)
	15.0000	06/15/06	04/02/07			663.33	707.31	(43.98)

Merrill Lynch

Account No.

Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BANTA CORP	30.0000	03/24/06	01/11/07			1,095.00	1,534.70	(439.70)
BARCLAYS PLC ADR	5.0000	01/03/07	03/20/07			275.04	297.31	(22.27)
	9.0000	01/04/07	03/20/07			495.07	535.65	(40.58)
BARD C R INC	19.0000	03/15/07	08/06/07			1,507.75	1,509.17	(1.42)
BANCORPSOUTH INC	65.0000	03/24/06	03/15/07			1,575.80	1,578.20	(2.40)
CNA FINANCIAL CORP DEL	15.0000	03/15/07	11/06/07			542.97	630.29	(87.32)
	19.0000	03/15/07	11/07/07			671.00	798.39	(127.39)
	5.0000	08/06/07	11/07/07			176.59	199.22	(22.63)
CADENCE DESIGN SYS INC	70.0000	03/15/07	12/06/07			1,184.47	1,418.90	(234.43)
	25.0000	03/15/07	12/07/07			425.74	506.75	(81.01)
CANADIAN NATL RAILWAY CO	5.0000	03/24/06	01/19/07			224.49	238.20	(13.71)
	13.0000	03/24/06	01/22/07			570.80	619.32	(48.52)
CIT GROUP INC NEW	5.0000	02/05/07	02/27/07			283.31	300.86	(17.55)
	10.0000	02/05/07	03/05/07			527.59	601.75	(74.16)
	15.0000	02/06/07	03/05/07			791.39	917.22	(125.83)
CITIGROUP INC	60.0000	08/08/07	08/30/07			2,781.26	2,969.93	(188.67)
CONVERGYS CORP	50.0000	03/15/07	07/05/07			1,192.72	1,249.49	(56.77)
	10.0000	03/15/07	07/06/07			236.20	249.91	(13.71)
CB RICHARD ELLIS GR INC	44.0000	03/15/07	11/05/07			930.16	1,437.93	(507.77)
CEMEX SAB DE CV SPND ADR	1.0000	06/19/07	07/09/07			37.13	47.12	(9.99)
CITIZENS COMMNCTNS CO	108.0000	06/05/07	08/06/07			1,380.23	1,691.05	(310.82)
COACH INC	5.0000	03/15/07	05/11/07			238.84	244.99	(6.15)

2356

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
C.H. ROBINSON WORLDWIDE,	10.0000	04/10/07	11/16/07			463.78	485.52	(21.74)
	17.0000	04/11/07	11/16/07			788.43	829.40	(40.97)
	3.0000	11/05/07	11/16/07			139.14	140.27	(1.13)
COMMERCIAL METALS CO COM	20.0000	05/02/06	03/15/07			561.78	572.04	(10.26)
	24.0000	05/03/06	03/15/07			674.14	689.60	(15.46)
CORNING INC	20.0000	03/24/06	01/17/07			388.67	553.20	(164.53)
	45.0000	03/24/06	01/18/07			870.82	1,244.70	(373.88)
DARDEN RESTAURANTS INC	20.0000	06/05/07	07/05/07			887.83	935.02	(47.19)
	5.0000	06/05/07	07/06/07			220.65	233.76	(13.11)
	23.0000	06/06/07	07/06/07			1,015.00	1,068.79	(53.79)
DEUTSCHE BK AG REG SHS	1.0000	12/08/06	09/25/07			125.79	131.06	(5.27)
EXXON MOBIL CORP COM	25.0000	12/14/06	03/01/07			1,783.89	1,968.31	(184.42)
EOG RESOURCES INC	20.0000	03/24/06	01/11/07			1,219.14	1,438.68	(219.54)
	5.0000	06/16/06	01/11/07			304.79	310.35	(5.56)
ERICSSON LM TEL CL B ADR	10.0000	01/11/07	05/11/07			375.39	402.90	(27.51)
	5.0000	07/06/07	10/16/07			154.13	207.56	(53.43)
	17.0000	07/10/07	10/16/07			524.05	707.49	(183.44)
ENNIS INC	5.0000	03/06/07	05/11/07			116.14	128.10	(11.96)
	5.0000	03/07/07	05/11/07			116.15	129.21	(13.06)
ERIE INDEMINITY CO CL A	5.0000	09/04/07	12/10/07			257.40	290.47	(33.07)
	5.0000	09/04/07	12/11/07			259.05	290.47	(31.42)
	5.0000	09/04/07	12/12/07			254.43	290.47	(36.04)
	5.0000	09/04/07	12/13/07			255.01	290.48	(35.47)
	5.0000	11/07/07	12/13/07			255.01	275.30	(20.29)
	3.0000	11/08/07	12/13/07			153.01	166.77	(13.76)

2357

Merrill Lynch

Account No.

Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FEDRTD DPT STORS DEL NEW	15.0000	09/14/06	01/05/07			553.80	624.52	(70.72)
	20.0000	10/12/06	01/05/07			738.42	892.61	(154.19)
FREDDIE MAC	2.0000	03/15/07	12/03/07			67.25	121.70	(54.45)
	19.0000	03/15/07	12/06/07			680.57	1,156.15	(475.58)
FRONTLINE LTD	45.0000	03/24/06	03/15/07			1,487.20	1,569.15	(81.95)
FOREST LABS INC	32.0000	03/15/07	09/04/07			1,220.10	1,613.76	(393.66)
GENERAL ELECTRIC	10.0000	10/13/06	04/24/07			347.12	357.63	(10.51)
	25.0000	01/11/07	04/24/07			867.80	939.51	(71.71)
HEALTHCARE REALTY TR	40.0000	03/24/06	03/15/07			1,437.15	1,499.76	(62.61)
HOME DEPOT INC	59.0000	05/01/07	08/07/07			2,151.64	2,247.57	(95.93)
HONDA MOTOR ADR NEW	7.0000	12/18/06	06/13/07			241.09	257.99	(16.90)
	15.0000	03/15/07	06/13/07			516.65	532.95	(16.30)
IAMGOLD CORP COM	20.0000	12/28/06	03/15/07			150.99	177.32	(26.33)
	10.0000	12/29/06	03/15/07			75.49	88.13	(12.64)
	15.0000	01/03/07	03/15/07			113.24	130.79	(17.55)
	10.0000	01/04/07	03/15/07			75.49	84.28	(8.79)
	15.0000	01/05/07	03/15/07			113.24	123.57	(10.33)
	15.0000	01/08/07	03/15/07			113.25	125.92	(12.67)
	15.0000	01/09/07	03/15/07			113.25	126.01	(12.76)
	10.0000	01/10/07	03/15/07			75.50	83.44	(7.94)
	15.0000	01/11/07	03/15/07			113.24	125.40	(12.16)
	20.0000	01/12/07	03/15/07			151.00	171.48	(20.48)
	15.0000	01/16/07	03/15/07			113.25	129.54	(16.29)
	19.0000	01/17/07	03/15/07			143.46	165.28	(21.82)
INTL BUSINESS MACHINES	20.0000	07/19/07	10/04/07			2,316.13	2,324.32	(8.19)

2358

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
INTL GAME TECHNOLOGY	38.0000	03/15/07	05/01/07			1,445.44	1,481.24	(35.80)
INTL RECTIFIER CORP	40.0000	03/15/07	09/04/07			1,383.92	1,636.40	(252.48)
	8.0000	06/05/07	09/05/07			273.37	283.96	(10.59)
IPSCO INC	10.0000	04/11/06	01/22/07			903.52	1,051.26	(147.74)
LEGG MASON INC	16.0000	03/15/07	09/04/07			1,384.02	1,545.44	(161.42)
LINCOLN NTL CORP IND NPV	24.0000	03/15/07	07/27/07			1,484.16	1,587.12	(102.96)
MEMC ELECTR MATLS INC	10.0000	04/10/07	10/19/07			598.67	601.30	(2.63)
	10.0000	04/11/07	10/19/07			598.67	608.90	(10.23)
	14.0000	04/11/07	10/22/07			812.74	852.47	(39.73)
	6.0000	07/12/07	10/22/07			348.32	373.04	(24.72)
MITSUBISHI UFJ FINL GRP	24.0000	03/24/06	01/12/07			303.08	347.76	(44.68)
	86.0000	03/24/06	03/08/07			1,026.30	1,246.15	(219.85)
MASCO CORP	30.0000	03/24/06	03/23/07			843.39	963.60	(120.21)
MEDTRONIC INC COM	8.0000	12/14/06	05/11/07			427.03	431.44	(4.41)
	2.0000	12/14/06	11/16/07			91.40	107.87	(16.47)
	15.0000	12/15/06	11/16/07			685.57	813.63	(128.06)
	15.0000	01/11/07	11/16/07			685.57	810.29	(124.72)
	8.0000	03/15/07	11/16/07			365.64	393.84	(28.20)
	19.0000	03/15/07	11/19/07			861.20	935.37	(74.17)
NBTY INC	10.0000	07/06/07	12/04/07			282.64	440.07	(157.43)
	20.0000	07/06/07	12/05/07			558.87	880.15	(321.28)
	2.0000	07/06/07	12/06/07			56.15	88.02	(31.87)
	20.0000	08/06/07	12/06/07			561.53	814.99	(253.46)
NOVARTIS ADR	23.0000	03/15/07	07/18/07			1,228.57	1,296.28	(67.71)

2359

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
NEWELL RUBBERMAID INC	52.0000	03/15/07	12/11/07			1,362.80	1,582.11	(219.31)
NUTRI SYSTEM INC NEW COM	23.0000	05/01/07	11/05/07			604.16	1,399.27	(795.11)
	4.0000	08/06/07	11/05/07			105.07	211.56	(106.49)
	6.0000	10/02/07	11/05/07			157.62	288.74	(131.12)
NOMURA HLDGS INC ADR	80.0000	03/24/06	03/20/07			1,709.93	1,756.00	(46.07)
NATL SEMICONDUCTOR	58.0000	07/06/07	11/16/07			1,298.22	1,664.83	(366.61)
ORIX CORPORATION SP ADR	4.0000	04/20/07	11/08/07			353.14	542.17	(189.03)
POLO RALPH LAUREN CORP A	17.0000	03/15/07	10/03/07			1,299.84	1,434.46	(134.62)
	2.0000	09/17/07	10/03/07			152.93	157.45	(4.52)
RADIOSHACK CORP	48.0000	03/15/07	12/11/07			913.71	1,243.04	(329.33)
	8.0000	08/06/07	12/11/07			152.28	184.93	(32.65)
	5.0000	10/02/07	12/11/07			95.17	108.79	(13.62)
	10.0000	10/04/07	12/11/07			190.37	219.20	(28.83)
ST MARY LD & EXPL CO	40.0000	03/24/06	03/15/07			1,385.95	1,630.88	(244.93)
SEGA SAMMY HOLDINGS INC	60.0000	10/30/06	05/15/07			280.60	380.81	(100.21)
	41.0000	03/15/07	05/15/07			191.76	256.25	(64.49)
SONY CORP ADR NEW	14.0000	03/16/07	08/08/07			692.53	718.17	(25.64)
	5.0000	03/16/07	10/11/07			243.40	256.49	(13.09)
	1.0000	03/16/07	10/12/07			47.11	51.31	(4.20)
	9.0000	04/16/07	10/12/07			424.02	494.18	(70.16)
	3.0000	05/04/07	10/12/07			141.35	161.98	(20.63)
STAPLES INC	15.0000	02/06/07	05/03/07			374.87	391.09	(16.22)
	62.0000	03/15/07	05/03/07			1,549.48	1,579.75	(30.27)

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
STANLEY WORKS	3.0000	03/15/07	12/04/07			152.30	162.51	(10.21)
	14.0000	03/15/07	12/05/07			706.00	758.38	(52.38)
SUN MICROSYSTEMS INC COM	300.0000	03/15/07	08/24/07			1,501.63	1,865.91	(364.28)
SUSQUEHANNA BANCSHRS INC	60.0000	03/24/06	03/15/07			1,365.02	1,529.75	(164.73)
TERADATA CORP DEL	33.0000	03/15/07	11/16/07			779.14	834.92	(55.78)
TIDEWATER INC COM NEW	22.0000	05/01/07	11/05/07			1,169.29	1,388.81	(219.52)
	3.0000	10/02/07	11/05/07			159.45	188.89	(29.44)
TARGET CORP COM	5.0000	02/20/07	05/11/07			291.49	320.70	(29.21)
	20.0000	02/20/07	09/27/07			1,236.70	1,282.83	(46.13)
TIME WARNER INC NEW	19.0000	01/05/07	05/11/07			406.21	423.38	(17.17)
	41.0000	01/05/07	09/21/07			743.27	913.62	(170.35)
	25.0000	02/06/07	09/21/07			453.21	541.22	(88.01)
	61.0000	03/15/07	09/21/07			1,105.86	1,182.79	(76.93)
UNITEDHEALTH GROUP INC	2.0000	02/06/07	07/20/07			102.37	102.48	(.11)
	26.0000	03/15/07	07/20/07			1,330.92	1,400.62	(69.70)
U S CELLULAR CORP	5.0000	09/05/07	11/16/07			408.03	500.86	(92.83)
	7.0000	09/06/07	11/19/07			552.76	713.79	(161.03)
	4.0000	10/02/07	11/19/07			315.87	411.36	(95.49)
VERIFONE HOLDINGS INC	38.0000	03/15/07	12/04/07			953.41	1,362.30	(408.89)
WASHINGTON MUTUAL INC	64.0000	03/15/07	12/12/07			1,055.59	2,579.84	(1,524.25)
WASHINGTON FEDL INC	60.0000	03/24/06	03/15/07			1,396.76	1,454.39	(57.63)
WORLD FUEL SERVICES CRP	10.0000	03/02/07	05/11/07			398.48	410.95	(12.47)
	7.0000	03/05/07	05/11/07			278.95	292.39	(13.44)

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
WAL-MART STORES INC	10.0000	03/06/07	05/11/07			476.69	480.27	(3.58)
WELLS FARGO & CO NEW DEL	40.0000	07/31/06	03/15/07			1,363.15	1,446.65	(83.50)
WEBMD HEALTH CORP	10.0000	09/05/07	11/16/07			418.09	552.05	(133.96)
	5.0000	09/07/07	11/19/07			210.73	274.94	(64.21)
	5.0000	09/07/07	11/20/07			216.27	274.94	(58.67)
	3.0000	09/07/07	11/23/07			125.03	164.97	(39.94)
	5.0000	11/06/07	11/23/07			208.39	224.11	(15.72)
	5.0000	11/07/07	11/23/07			208.39	224.66	(16.27)
			Subtotal			**106,930.13**	**123,534.89**	**(16,604.76)**
NET SHORT TERM CAPITAL GAIN (LOSS)								**18,677.88**
LONG TERM CAPITAL GAINS								
ABBOTT LABS	9.0000	05/01/06	05/11/07			519.02	382.81	136.21
ALLSTATE CORP DEL COM	6.0000	03/24/06	05/11/07			380.63	319.13	61.50
ALTRIA GROUP INC	4.0000	03/24/06	06/11/07			280.95	222.20	58.75
AXA ADR	9.0000	03/24/06	05/11/07			410.93	304.35	106.58
	20.0000	03/24/06	08/15/07			760.65	676.35	84.30
AMDOCS LIMITED	8.0000	03/24/06	05/11/07			292.07	272.00	20.07
ALLTEL CORP DEL	5.0000	03/24/06	05/11/07			329.14	262.36	66.78
	10.0000	03/24/06	07/12/07			673.26	524.72	148.54
	10.0000	03/24/06	07/13/07			674.29	524.73	149.56
ADIDAS AG SPONSORED ADR	27.0000	03/24/06	08/09/07			797.50	671.62	125.88
	17.0000	03/24/06	09/21/07			534.06	422.88	111.18
AT& T INC	11.0000	03/24/06	05/11/07			434.60	301.07	133.53

2362

Merrill Lynch

Account No.

Taxpayer No. [redacted]

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
AMER EXPRESS COMPANY	8.0000	03/24/06	05/11/07			502.31	425.36	76.95
	25.0000	03/24/06	08/30/07			1,433.62	1,329.25	104.37
	2.0000	03/24/06	10/16/07			120.36	106.34	14.02
	5.0000	09/26/06	10/16/07			300.89	279.65	21.24
AMER INTL GROUP INC	7.0000	03/24/06	05/11/07			507.84	471.44	36.40
	23.0000	03/24/06	06/08/07			1,633.76	1,549.06	84.70
ANADARKO PETE CORP	6.0000	03/24/06	06/11/07			302.81	301.55	1.26
ANHEUSR BUSCH COS INC	6.0000	03/24/06	06/11/07			323.63	257.51	66.12
APPLE INC	4.0000	03/24/06	05/11/07			435.75	239.04	196.71
	5.0000	03/24/06	10/09/07			840.74	298.80	541.94
BOEHLER-UDDEHOLM SPN ADR	9.0000	03/24/06	03/27/07			215.09	112.01	103.08
	26.0000	03/24/06	05/11/07			625.29	323.60	301.69
	20.0000	03/24/06	06/08/07			480.38	248.94	231.44
BNP PARIBAS SPONSORD ADR	6.0000	03/24/06	05/11/07			381.29	273.89	107.40
	12.0000	03/24/06	08/17/07			612.43	547.80	64.63
	10.0000	03/24/06	10/24/07			522.39	456.50	65.89
BECTON DICKINSON CO	4.0000	03/24/06	05/11/07			312.67	252.20	60.47
CREDIT SUISSE GP SP ADR	3.0000	03/24/06	05/11/07			230.39	164.45	65.94
	5.0000	03/24/06	10/23/07			329.53	272.19	57.34
	5.0000	03/24/06	10/24/07			324.79	272.20	52.59
	7.0000	09/21/06	10/24/07			454.71	410.73	43.98
CANADIAN NATURAL RES LTD	12.0000	03/24/06	05/11/07			763.78	677.88	85.90
	5.0000	03/24/06	07/02/07			332.34	282.44	49.90
	10.0000	03/24/06	07/03/07			672.45	564.90	107.55
	15.0000	03/24/06	10/04/07			1,104.25	847.35	256.90

2363

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
CHEVRON CORP	8.0000	03/24/06	05/11/07			639.83	460.80	179.03
CONOCOPHILLIPS	4.0000	03/24/06	05/11/07			280.95	247.31	33.64
	4.0000	03/24/06	06/11/07			313.11	247.32	65.79
CISCO SYSTEMS INC COM	19.0000	03/24/06	05/11/07			505.58	405.46	100.12
	25.0000	03/24/06	11/09/07			710.79	533.50	177.29
COCA COLA COM	35.0000	03/24/06	03/30/07			1,680.92	1,494.22	186.70
	38.0000	03/24/06	04/02/07			1,835.96	1,622.31	213.65
	7.0000	03/24/06	05/11/07			368.54	298.85	69.69
DANAHER CORP DEL COM	9.0000	03/24/06	05/11/07			639.44	579.69	59.75
DASSAULT SYS SA SPN ADR	10.0000	03/24/06	04/27/07			569.75	558.51	11.24
DEUTSCHE BK AG REG SHS	3.0000	03/24/06	05/11/07			478.85	341.82	137.03
	5.0000	03/24/06	08/15/07			621.01	569.69	51.32
	2.0000	03/24/06	09/17/07			244.13	227.89	16.24
R R DONNELLEY SONS	19.0000	03/24/06	05/11/07			816.22	606.28	209.94
DOW CHEMICAL CO	7.0000	03/24/06	05/11/07			320.24	295.89	24.35
E M C CORPORATION MASS	36.0000	03/24/06	05/11/07			551.51	497.52	53.99
	79.0000	03/24/06	11/09/07			1,551.71	1,091.78	459.93
ENI S P A SPONSORED ADR	5.0000	03/24/06	11/02/07			356.84	282.30	74.54
	15.0000	03/24/06	11/06/07			1,063.05	846.90	216.15
EXELON CORPORATION	7.0000	03/24/06	05/11/07			535.07	384.57	150.50
EMERSON ELEC CO	11.0000	03/24/06	05/11/07			506.32	469.09	37.23
FREDDIE MAC	7.0000	03/24/06	05/11/07			466.82	444.21	22.61

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FORTIS (NL)-SPONS ADR	25.0000	03/24/06	05/11/07			1,111.23	893.75	217.48
GLAXOSMITHKLINE PLC ADR	5.0000	03/24/06	05/11/07			283.94	267.99	15.95
GENERAL ELECTRIC	15.0000	03/24/06	04/24/07			520.68	509.83	10.85
HARSCO CORPORATION	30.0000	03/24/06	04/10/07			1,354.91	1,250.85	104.06
	10.0000	03/24/06	04/11/07			459.69	416.95	42.74
HONDA MOTOR ADR NEW	9.0000	03/28/06	05/11/07			306.08	280.29	25.79
	6.0000	03/28/06	06/13/07			206.65	186.86	19.79
	17.0000	03/30/06	06/13/07			585.52	535.66	49.86
JPMORGAN CHASE & CO	8.0000	03/24/06	05/11/07			415.99	337.28	78.71
JOHNSON AND JOHNSON COM	7.0000	03/24/06	05/11/07			435.32	422.86	12.46
KEYSPAN CORP	15.0000	03/24/06	07/30/07			623.71	612.20	11.51
	25.0000	03/24/06	07/31/07			1,040.79	1,020.34	20.45
	10.0000	03/24/06	08/02/07			417.51	408.15	9.36
KRAFT FOODS INC VA CL A	17.0000	03/24/06	05/11/07			552.65	434.72	117.93
KIMBERLY CLARK	6.0000	03/24/06	05/11/07			427.43	355.13	72.30
L-3 COMMNCTNS HLDGS	10.0000	06/07/06	12/03/07			1,125.68	772.34	353.34
LAFARGE ADR NEW	15.0000	03/31/06	05/11/07			639.10	425.37	213.73
LINCOLN NTL CORP IND NPV	35.0000	03/24/06	04/04/07			2,360.45	1,940.32	420.13
	13.0000	03/24/06	05/11/07			957.04	720.69	236.35
	2.0000	03/24/06	07/27/07			123.68	110.88	12.80
	10.0000	05/01/06	07/27/07			618.40	575.29	43.11

2365

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MORGAN STANLEY	7.0000	03/24/06	05/11/07			594.99	431.83	163.16
	18.0000	03/24/06	06/26/07			1,528.54	1,110.42	418.12
MARATHON OIL CORP	15.0000	03/24/06	04/04/07			1,510.20	1,161.75	348.45
	20.0000	03/24/06	04/12/07			2,033.85	1,548.99	484.86
	3.0000	03/24/06	05/11/07			322.43	232.35	90.08
	4.0000	03/24/06	06/11/07			501.99	309.80	192.19
MATTEL INC COM	9.0000	03/24/06	05/11/07			262.61	162.08	100.53
MCDONALDS CORP COM	12.0000	03/24/06	05/11/07			606.71	416.76	189.95
MERCK&CO INC	16.0000	03/24/06	05/11/07			830.54	576.96	253.58
	29.0000	03/24/06	11/08/07			1,585.73	1,045.75	539.98
MICROSOFT CORP	8.0000	08/03/06	09/25/07			235.41	194.79	40.62
	20.0000	08/17/06	09/25/07			588.54	494.09	94.45
NOVARTIS ADR	6.0000	03/24/06	05/11/07			343.79	334.62	9.17
NESTLE S A REP RG SH ADR	5.0000	03/24/06	08/08/07			487.67	368.99	118.68
	6.0000	03/24/06	10/12/07			643.01	442.81	200.20
OCCIDENTAL PETE CORP CAL	11.0000	03/24/06	05/11/07			571.00	516.49	54.51
	5.0000	03/24/06	06/11/07			286.14	234.77	51.37
PETROCHINA CO LTD SP ADR	5.0000	03/24/06	05/11/07			645.09	522.00	123.09
	15.0000	03/24/06	09/06/07			2,137.18	1,566.00	571.18
PETRLEO BRAS VTG SPD ADR	6.0000	03/24/06	05/10/07			602.62	516.36	86.26
	2.0000	03/24/06	06/11/07			224.75	172.13	52.62
	4.0000	12/01/06	12/24/07			461.83	186.61	275.22
PEPSICO INC	8.0000	03/24/06	05/11/07			533.91	475.06	58.85
	15.0000	03/24/06	05/21/07			1,035.58	890.74	144.84

Merrill Lynch

Account No

Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
PFIZER INC DEL PV$0.05	34.0000	03/24/06	05/11/07			918.32	884.68	33.64
PROCTER & GAMBLE CO	6.0000	03/24/06	05/11/07			369.59	353.04	16.55
RWE AG SPONSORED ADR	3.0000	03/24/06	05/11/07			336.74	257.25	79.49
REGIONS FINL CORP	17.0000	03/24/06	05/11/07			613.69	609.52	4.17
REYNOLDS AMERICAN INC	6.0000	03/24/06	05/11/07			388.37	320.64	67.73
ROCHE HLDG LTD SPN ADR	4.0000	03/24/06	05/11/07			374.99	300.39	74.60
	7.0000	03/24/06	12/14/07			610.14	525.70	84.44
SKF AB SPNSR KR12.50 ADR	28.0000	03/24/06	05/07/07			628.59	442.39	186.20
	30.0000	03/24/06	09/26/07			620.97	474.00	146.97
SANDVIK AB ADR	27.0000	03/24/06	04/16/07			507.42	311.85	195.57
SIEMENS AG ADR	2.0000	03/24/06	06/11/07			259.11	180.81	78.30
SEMPRA ENERGY	5.0000	03/24/06	05/11/07			310.34	235.20	75.14
SCHLUMBERGER LTD	7.0000	03/24/06	05/11/07			520.37	427.03	93.34
	10.0000	03/24/06	09/21/07			1,067.83	610.05	457.78
SAGE GROUP PLC UNSP ADR	11.0000	03/24/06	05/11/07			223.84	217.25	6.59
	16.0000	03/24/06	08/09/07			318.32	316.00	2.32
	16.0000	07/05/06	08/09/07			318.32	280.12	38.20
SHIZUOKA BANK LTD ADR	5.0000	03/24/06	08/31/07			515.10	483.50	31.60
	5.0000	03/24/06	11/07/07			515.18	483.50	31.68
	3.0000	03/31/06	11/07/07			309.11	304.24	4.87
STAPLES INC	55.0000	03/24/06	04/27/07			1,394.85	1,372.79	22.06
	5.0000	03/24/06	05/03/07			124.95	124.80	.15

2367

Merrill Lynch

Account No.

Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
STANLEY WORKS	8.0000	03/24/06	05/11/07			479.75	410.48	69.27
	5.0000	03/24/06	11/30/07			261.12	256.55	4.57
SUN MICROSYSTEMS INC COM	78.0000	08/07/06	08/24/07			390.42	351.65	38.77
	120.0000	08/17/06	08/24/07			600.65	572.62	28.03
TESCO PLC SPNRD ADR	25.0000	03/24/06	10/12/07			714.98	454.98	260.00
	11.0000	03/24/06	12/06/07			320.48	200.20	120.28
TRAVELERS COS INC	11.0000	03/24/06	05/11/07			622.26	456.61	165.65
TOYOTA MOTOR CORP ADR	2.0000	03/24/06	05/04/07			241.77	215.91	25.86
UNITED TECHS CORP COM	7.0000	03/24/06	05/11/07			477.95	415.00	62.95
V F CORPORATION	5.0000	03/24/06	05/11/07			441.24	279.40	161.84
VERIZON COMMUNICATNS COM	15.0000	03/24/06	05/11/07			619.64	502.86	116.78
VODAFONE GROUP PLC NEW	11.0000	10/16/06	11/02/07			419.08	265.57	153.51
	4.0000	10/30/06	11/02/07			152.40	102.18	50.22
	8.0000	10/30/06	12/05/07			302.63	204.38	98.25
WINDSTREAM CORP	32.0000	03/24/06	04/13/07			465.31	360.09	105.22
	30.0000	03/24/06	04/16/07			439.81	337.57	102.24
WHIRLPOOL CORP	10.0000	03/24/06	05/11/07			1,123.82	877.60	246.22
	10.0000	03/24/06	05/14/07			1,121.16	877.61	243.55
			Subtotal			88,488.40	71,401.12	17,087.28

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
LONG TERM CAPITAL LOSSES								
AMDOCS LIMITED	10.0000	03/24/06	10/25/07			332.69	340.00	(7.31)
	7.0000	03/24/06	10/26/07			233.15	238.01	(4.86)
	5.0000	09/27/06	10/26/07			166.53	203.64	(37.11)
	3.0000	10/13/06	10/26/07			99.93	120.04	(20.11)
	7.0000	10/13/06	10/29/07			236.80	280.12	(43.32)
AEON COMPANY LTD ADR	5.0000	04/10/06	05/11/07			93.74	125.50	(31.76)
	8.0000	04/13/06	05/11/07			150.00	197.43	(47.43)
ANADARKO PETE CORP	13.0000	03/24/06	05/11/07			592.66	653.38	(60.72)
DASSAULT SYS SA SPN ADR	5.0000	03/24/06	04/20/07			277.77	279.24	(1.47)
FREDDIE MAC	23.0000	03/24/06	12/03/07			773.37	1,459.59	(686.22)
MASCO CORP	30.0000	03/24/06	03/26/07			837.42	963.60	(126.18)
NOVARTIS ADR	6.0000	03/24/06	06/12/07			327.51	334.62	(7.11)
	5.0000	03/24/06	07/06/07			276.82	278.85	(2.03)
	12.0000	03/24/06	07/09/07			661.54	669.24	(7.70)
	19.0000	03/24/06	07/18/07			1,014.90	1,059.64	(44.74)
SAGE GROUP PLC UNSP ADR	23.0000	03/24/06	06/13/07			431.53	454.25	(22.72)
SEGA SAMMY HOLDINGS INC	55.0000	05/09/06	05/15/07			257.22	564.03	(306.81)
	49.0000	05/10/06	05/15/07			229.16	503.92	(274.76)
STANLEY WORKS	10.0000	03/24/06	11/19/07			500.53	513.10	(12.57)
	15.0000	03/24/06	11/20/07			749.32	769.64	(20.32)
	2.0000	03/24/06	12/04/07			101.53	102.63	(1.10)

Merrill Lynch

Acc unt No. _Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
WASHINGTON MUTUAL INC	17.0000	03/24/06	05/11/07			729.79	738.48	(8.69)
	3.0000	03/24/06	12/05/07			55.72	130.32	(74.60)
	67.0000	03/24/06	12/05/07			1,244.63	2,910.48	(1,665.85)
	3.0000	03/24/06	12/12/07			49.48	130.32	(80.84)
			Subtotal			10,423.74	14,020.07	(3,596.33)
NET LONG TERM CAPITAL GAIN (LOSS)								13,490.95
OTHER TRANSACTIONS								
AMERICAN FINL GRP HLDGS	33.0000	01/03/07	01/03/07			17.25	N/A	N/A
CEMEX SAB DE CV SPND ADR	50.0000	07/02/07	07/02/07			8.74	N/A	N/A
INTESA SANPAOLO SPON ADR	.0000	01/26/07	01/26/07			15.66	N/A	N/A
KRAFT FOODS INC VA CL A	65.0000	04/25/07	04/25/07			30.46	N/A	N/A
REPUBLIC SERVICES INC	41.0000	03/28/07	03/28/07			13.67	N/A	N/A
SHIP FIN INTL LTD	45.0000	04/03/07	04/03/07			22.49	N/A	N/A
TRANSOCEAN INC	.0000	12/20/07	12/20/07			53.86	N/A	N/A
			Subtotal			162.13	.00	
TOTAL CAPITAL GAINS AND LOSSES						568,592.22	536,261.26	32,168.83
TOTAL REPORTABLE GROSS PROCEEDS						565,970.66		
DIFFERENCE						2,621.56		

2370

Merrill Lynch

Account No. Taxpayer No

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)

Note: Capital gains and losses in this statement are not reported to the IRS.
* Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received).

N/A - Results which cannot be calculated because of insufficient data in the Capital Gain or (Loss) Column and are not included in the realized capital gain and loss summary.

• - Bonds purchased at a discount show accretion.

(A) - Year-to-Date and Life-to-Date amortization/accretion figures are net adjustments and may include original issue discount, and/or premium or market discount adjustments, if applicable. These figures assume that the client has made all elections to amortize bond premium and accrete market discount on a current basis. A negative figure indicates a net reduction in cost basis due to such factors as premium amortization.

(C) - The cost basis reflects adjustments for amortized and/or accreted amounts.

REALIZED CAPITAL GAIN AND LOSS SUMMARY

	SHORT TERM GAINS	SHORT TERM LOSSES	LONG TERM GAINS	LONG TERM LOSSES
TOTAL	35,282.64	(16,604.76)	17,087.28	(3,596.33)

2371

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
ABBOTT LABS	40	02/15/07	Dividend	11.80	11.80	
	70	05/15/07	Dividend	22.75	22.75	
	61	08/15/07	Dividend	19.83	19.83	
	61	11/15/07	Dividend	19.83	19.83	
			Security Subtotal	*74.21*	*74.21*	
ALLSTATE CORP DEL COM	35	01/02/07	Dividend	12.25	12.25	
	35	04/02/07	Dividend	13.30	13.30	
	46	07/02/07	Dividend	17.48	17.48	
	46	10/01/07	Dividend	17.48	17.48	
			Security Subtotal	*60.51*	*60.51*	
ALTRIA GROUP INC	25	01/10/07	Dividend	21.50	21.50	
	25	04/10/07	Dividend	21.50	21.50	
	71	07/10/07	Dividend	48.99	48.99	
	86	10/10/07	Dividend	64.50	64.50	
			Security Subtotal	*156.49*	*156.49*	
AIRGAS INC COM	40	06/29/07	Dividend	3.60	3.60	
	40	09/28/07	Dividend	3.60	3.60	
	40	12/31/07	Dividend	3.60	3.60	
			Security Subtotal	*10.80*	*10.80*	
AXA ADR	42	06/12/07	Foreign Dividend	59.79	59.79	
		06/12/07	Foreign Tax	(8.97)	.00	NON-RECLAIMABLE TAX PAY DATE 06/12/2007
			Security Subtotal	*50.82*	*59.79*	
AMPHENOL CORP CL A NEW	46	07/05/07	Dividend	.69	.69	
	46	10/03/07	Dividend	.69	.69	
			Security Subtotal	*1.38*	*1.38*	
AMERICAN FINL GRP HLDGS	49	01/25/07	Dividend	4.90	4.90	
AMEREN CORP	50	12/31/07	Dividend	31.75	31.75	
ALCOA INC	75	11/26/07	Dividend	12.75	12.75	

Merrill Lynch

Account No. _Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
ALLTEL CORP DEL	35	01/03/07	Dividend	4.38	4.38	
	30	04/03/07	Dividend	3.75	3.75	
	41	07/03/07	Dividend	5.13	5.13	
			Security Subtotal	*13.26*	*13.26*	
ALLEGHENY TECH INC	15	06/14/07	Dividend	1.95	1.95	
	15	09/28/07	Dividend	1.95	1.95	
			Security Subtotal	*3.90*	*3.90*	
ASAHI KASEI CORP ADR	17	12/18/07	Foreign Dividend	9.17	9.17	
		12/18/07	Foreign Tax	(.64)	.00	NON-RECLAIMABLE TAX PAY DATE 12/17/2007
			Security Subtotal	*8.53*	*9.17*	
AEON COMPANY LTD ADR	49	05/14/07	Foreign Dividend	6.18	6.18	
		05/14/07	Foreign Tax	(.43)	.00	NON-RECLAIMABLE TAX PAY DATE 05/04/2007
			Security Subtotal	*5.75*	*6.18*	
ADIDAS AG SPONSORED ADR	57	05/21/07	Foreign Dividend	16.19	16.19	
		05/21/07	Foreign Tax	(3.42)	.00	PAY DATE 05/21/2007
			Security Subtotal	*12.77*	*16.19*	
AT& T INC	70	02/01/07	Dividend	24.85	24.85	
	85	05/01/07	Dividend	30.18	30.18	
	74	08/01/07	Dividend	26.27	26.27	
	74	11/01/07	Dividend	26.27	26.27	
			Security Subtotal	*107.57*	*107.57*	
ACERGY S A SPON ADR	53	06/21/07	Foreign Dividend	10.60	10.60	
	53	06/25/07	Foreign Dividend	10.60	10.60	
		06/25/07	Foreign Dividend	(10.60)	(10.60)	
			Security Subtotal	*10.60*	*10.60*	

2373

Merrill Lynch

Account No. Taxpayer N .

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
AMER EXPRESS COMPANY	40	02/09/07	Dividend	6.00	6.00	
	69	05/10/07	Dividend	10.35	10.35	
	61	08/10/07	Dividend	9.15	9.15	
	36	11/09/07	Dividend	5.40	5.40	
			Security Subtotal	***30.90***	***30.90***	
AMER INTL GROUP INC	35	03/16/07	Dividend	5.78	5.78	
	49	06/15/07	Dividend	8.09	8.09	
			Security Subtotal	***13.87***	***13.87***	
ANADARKO PETE CORP	40	03/28/07	Dividend	3.60	3.60	
	65	06/27/07	Dividend	5.85	5.85	
	59	09/26/07	Dividend	5.31	5.31	
	59	12/26/07	Dividend	5.31	5.31	
			Security Subtotal	***20.07***	***20.07***	
ANHEUSR BUSCH COS INC	45	03/09/07	Dividend	13.28	13.28	
	62	06/11/07	Dividend	18.29	18.29	
	56	09/10/07	Dividend	18.48	18.48	
	56	12/10/07	Dividend	18.48	18.48	
			Security Subtotal	***68.53***	***68.53***	
AUTOMATIC DATA PROC	30	01/02/07	Dividend	6.90	6.90	
BURLNGTN N SNTA FE$0.01	25	07/02/07	Dividend	6.25	6.25	
	25	10/01/07	Dividend	8.00	8.00	
			Security Subtotal	***14.25***	***14.25***	
BOEHLER-UDDEHOLM SPN ADR	*107*	*05/29/07*	Foreign Dividend	73.72	73.72	
		05/29/07	Foreign Tax	(18.43)	.00	NON-RECLAIMABLE TAX PAY DATE 05/29/2007
			Security Subtotal	***55.29***	***73.72***	
BARCLAYS PLC ADR	14	04/27/07	Foreign Dividend	22.95	22.95	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
BARD C R INC	22	05/11/07	Dividend	3.08	3.08	
	19	08/03/07	Dividend	2.85	2.85	
			Security Subtotal	*5.93*	*5.93*	
BP PLC SPON ADR	25	06/04/07	Foreign Dividend	15.49	15.49	
	34	09/04/07	Foreign Dividend	22.08	22.08	
	38	12/03/07	Foreign Dividend	24.68	24.68	
			Security Subtotal	*62.25*	*62.25*	
BANK OF AMERICA CORP	40	03/23/07	Dividend	22.40	22.40	
	62	06/22/07	Dividend	34.72	34.72	
	62	09/28/07	Dividend	39.68	39.68	
	62	12/28/07	Dividend	39.68	39.68	
			Security Subtotal	*136.48*	*136.48*	
BNP PARIBAS SPONSORD ADR	44	06/18/07	Foreign Dividend	91.59	91.59	
		06/18/07	Foreign Tax	(13.74)	.00	NON-RECLAIMABLE TAX PAY DATE 06/18/2007
			Security Subtotal	*77.85*	*91.59*	
BT GROUP PLC ADR	16	02/20/07	Foreign Dividend	15.86	15.86	
BAXTER INTERNTL INC	45	01/05/07	Dividend	26.19	26.19	
	45	04/02/07	Dividend	7.54	7.54	
	66	07/02/07	Dividend	11.06	11.06	
	66	10/01/07	Dividend	11.06	11.06	
			Security Subtotal	*55.85*	*55.85*	
BECTON DICKINSON CO	25	01/02/07	Dividend	6.13	6.13	
	25	03/30/07	Dividend	6.13	6.13	
	32	06/29/07	Dividend	7.84	7.84	
	37	09/28/07	Dividend	9.07	9.07	
			Security Subtotal	*29.17*	*29.17*	
BLACK AND DECKER CRP COM	30	09/28/07	Dividend	12.60	12.60	
	30	12/28/07	Dividend	12.60	12.60	
			Security Subtotal	*25.20*	*25.20*	

2375

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
BANCORPSOUTH INC	65	01/02/07	Dividend	13.00	13.00	
	65	04/02/07	Dividend	13.00	13.00	
			Security Subtotal	*26.00*	*26.00*	
CNA FINANCIAL CORP DEL	34	06/11/07	Dividend	3.40	3.40	
	39	09/04/07	Dividend	3.90	3.90	
	39	12/06/07	Dividend	5.85	5.85	
			Security Subtotal	*13.15*	*13.15*	
CABOT OIL & GAS CORP	30	02/23/07	Dividend	1.20	1.20	
CREDIT SUISSE GP SP ADR	20	06/14/07	Foreign Dividend	36.35	36.35	
		06/14/07	Foreign Tax	(5.45)	.00	NON-RECLAIMABLE TAX PAY DATE 06/14/2007
			Security Subtotal	*30.90*	*36.35*	
CIT GROUP INC NEW	30	02/28/07	Dividend	7.50	7.50	
CANADIAN NATURAL RES LTD	55	01/02/07	Foreign Dividend	3.54	3.54	
		01/02/07	Foreign Tax	(.53)	.00	NON RECLAIMABLE TAX PAY DATE 01/01/2007
	55	04/02/07	Foreign Dividend	4.02	4.02	
		04/02/07	Foreign Tax	(.60)	.00	NON-RECLAIMABLE TAX PAY DATE 04/01/2007
	84	07/03/07	Foreign Dividend	6.75	6.75	
		07/03/07	Foreign Tax	(1.01)	.00	NON-RECLAIMABLE TAX PAY DATE 07/01/2007
	69	10/01/07	Foreign Dividend	5.90	5.90	
		10/01/07	Foreign Tax	(.89)	.00	NON-RECLAIMABLE TAX PAY DATE 10/01/2007
			Security Subtotal	*17.18*	*20.21*	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
CANON INC ADR REP5SH	18	04/09/07	Foreign Dividend	7.61	7.61	
		04/09/07	Foreign Tax	(.53)	.00	NON-RECLAIMABLE TAX PAY DATE 04/09/2007
	23	08/31/07	Foreign Dividend	9.88	9.88	
		08/31/07	Foreign Tax	(.69)	.00	NON-RECLAIMABLE TAX PAY DATE 08/31/2007
			Security Subtotal	*16.27*	*17.49*	
CEMEX SAB DE CV SPND ADR	50	06/19/07	Foreign Dividend	47.12	47.12	
CITIZENS COMMNCTNS CO	108	06/29/07	Dividend	27.00	27.00	
CHEVRON CORP	35	03/12/07	Dividend	18.20	18.20	
	37	06/11/07	Dividend	21.46	21.46	
	37	09/10/07	Dividend	21.46	21.46	
	37	12/10/07	Dividend	21.46	21.46	
			Security Subtotal	*82.58*	*82.58*	
CIA VALE DO RIO DOCE AD	34	11/07/07	Foreign Dividend	7.42	7.42	
		11/07/07	Foreign Tax	(1.86)	.00	NON-RECLAIMABLE TAX PAY DATE 11/07/2007
	34	11/07/07	Foreign Dividend	.24	.24	
			Security Subtotal	*5.80*	*7.66*	
CONOCOPHILLIPS	30	03/01/07	Dividend	12.30	12.30	
	42	06/01/07	Dividend	17.22	17.22	
	38	09/04/07	Dividend	15.58	15.58	
	38	12/03/07	Dividend	15.58	15.58	
			Security Subtotal	*60.68*	*60.68*	
CENTRICA PLC SPR ADR NEW	18	11/26/07	Foreign Dividend	12.51	12.51	
CELANESE CORP DEL SER A	50	05/01/07	Dividend	2.00	2.00	
	44	08/01/07	Dividend	1.76	1.76	
	44	11/01/07	Dividend	1.76	1.76	
			Security Subtotal	*5.52*	*5.52*	

2377

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
C.H. ROBINSON WORLDWIDE, INC. NEW	27	07/02/07	Dividend	4.86	4.86	
	27	10/01/07	Dividend	4.86	4.86	
			Security Subtotal	*9.72*	*9.72*	
CBS CORP NEW CL B	75	01/02/07	Dividend	15.00	15.00	
	75	04/02/07	Dividend	16.50	16.50	
	95	07/02/07	Dividend	20.90	20.90	
	95	10/01/07	Dividend	23.75	23.75	
			Security Subtotal	*76.15*	*76.15*	
COCA COLA COM	90	04/02/07	Dividend	30.60	30.60	
	56	07/02/07	Dividend	19.04	19.04	
	56	10/01/07	Dividend	19.04	19.04	
	121	12/17/07	Dividend	41.14	41.14	
			Security Subtotal	*109.82*	*109.82*	
COLGATE PALMOLIVE	20	02/15/07	Dividend	6.40	6.40	
	49	05/15/07	Dividend	17.64	17.64	
	43	08/15/07	Dividend	15.48	15.48	
	63	11/15/07	Dividend	22.68	22.68	
			Security Subtotal	*62.20*	*62.20*	
COMMERCIAL METALS CO COM	54	01/25/07	Dividend	4.86	4.86	
CONTINENTAL AG SPNRD ADR	8	05/02/07	Foreign Dividend	21.81	21.81	
		05/02/07	Foreign Tax	(4.60)	.00	PAY DATE 05/02/2007
		08/10/07	Foreign Tax	1.34	.00	ADJ ENTRY AS OF 05/02/07 PAYDATE 07/30/07
			Security Subtotal	*18.55*	*21.81*	
DUKE REALTY CORP NEW REIT	50	02/28/07	Dividend	14.98	.82	
	73	05/31/07	Dividend	21.87	1.20	
	73	08/31/07	Dividend	22.10	1.22	
	73	11/30/07	Dividend	22.10	1.22	
			Security Subtotal	*81.05*	*4.46*	
DARDEN RESTAURANTS INC	28	08/01/07	Dividend	5.04	5.04	

2378

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
DANAHER CORP DEL COM	20	01/26/07	Dividend	.40	.40	
	32	04/27/07	Dividend	.64	.64	
	38	07/27/07	Dividend	1.14	1.14	
	38	10/26/07	Dividend	1.14	1.14	
			Security Subtotal	*3.32*	*3.32*	
DTE ENERGY COMPANY	45	01/16/07	Dividend	23.85	23.85	
DIAGEO PLC SPSD ADR NEW	10	04/16/07	Foreign Dividend	9.88	9.88	
DRS TECHNOLOGIES INC	37	03/30/07	Dividend	1.11	1.11	
	31	06/29/07	Dividend	.93	.93	
	31	09/28/07	Dividend	.93	.93	
			Security Subtotal	*2.97*	*2.97*	
DEUTSCHE BK AG RFG SHS	12	05/31/07	Foreign Dividend	64.46	64.46	
		05/31/07	Foreign Tax	(13.60)	.00	PAY DATE 05/25/2007
			Security Subtotal	*50.86*	*64.46*	
DEERE CO	25	02/01/07	Dividend	11.00	11.00	
	29	05/01/07	Dividend	12.76	12.76	
			Security Subtotal	*23.76*	*23.76*	
R R DONNELLEY SONS	60	03/01/07	Dividend	15.60	15.60	
	89	06/01/07	Dividend	23.14	23.14	
	70	09/04/07	Dividend	18.20	18.20	
	70	12/03/07	Dividend	18.20	18.20	
			Security Subtotal	*75.14*	*75.14*	
DOW CHEMICAL CO	45	01/30/07	Dividend	16.88	16.88	
	139	04/30/07	Dividend	52.13	52.13	
	132	07/30/07	Dividend	55.44	55.44	
	132	10/30/07	Dividend	55.44	55.44	
			Security Subtotal	*179.89*	*179.89*	

Merrill Lynch

Account No. Taxpayer No. Page 68 f 91

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
ENI S P A SPONSORED ADR	20	06/28/07	Foreign Dividend	34.78	34.78	
		06/28/07	Foreign Tax	(9.39)	.00	PAY DATE 06/28/2007
	20	11/05/07	Foreign Dividend	34.28	34.28	
		11/05/07	Foreign Tax	(9.26)	.00	PAY DATE 11/01/2007
			Security Subtotal	***50.41***	***69.06***	
EATON VANCE CORP NVT	41	05/14/07	Dividend	4.92	4.92	
	41	08/13/07	Dividend	4.92	4.92	
			Security Subtotal	***9.84***	***9.84***	
EXXON MOBIL CORP COM	25	03/09/07	Dividend	8.00	8.00	
EXELON CORPORATION	30	03/12/07	Dividend	13.20	13.20	
	54	06/11/07	Dividend	23.76	23.76	
	47	09/10/07	Dividend	20.68	20.68	
	47	12/10/07	Dividend	20.68	20.68	
			Security Subtotal	***78.32***	***78.32***	
ERICSSON LM TEL CL B ADR SEK 10 NEW	81	05/02/07	Foreign Dividend	59.60	59.60	
		05/02/07	Foreign Tax	(8.94)	.00	NON-RECLAIMABLE TAX PAY DATE 05/01/2007
			Security Subtotal	***50.68***	***59.60***	
EMERSON ELEC CO	40	03/09/07	Dividend	10.50	10.50	
	81	06/11/07	Dividend	21.26	21.26	
	70	09/10/07	Dividend	18.38	18.38	
	70	12/10/07	Dividend	21.00	21.00	
			Security Subtotal	***71.14***	***71.14***	
ENERGEN CRP COM PV 1CENT	35	03/01/07	Dividend	4.03	4.03	
ENNIS INC	10	05/01/07	Dividend	1.55	1.55	
ERIE INDEMINITY CO CL A	20	10/19/07	Dividend	8.00	8.00	
FPL GROUP INC	50	12/17/07	Dividend	20.50	20.50	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
FAIR ISAAC CORPORATION	28	12/19/07	Dividend	.56	.56	
FEDRTD DPT STORS DEL NEW	35	01/02/07	Dividend	4.46	4.46	
FREDDIE MAC	30	03/30/07	Dividend	15.00	15.00	
	44	06/29/07	Dividend	22.00	22.00	
	44	09/28/07	Dividend	22.00	22.00	
			Security Subtotal	*59.00*	*59.00*	
FRONTLINE LTD		03/22/07	Foreign Dividend	77.91	77.91	1099 REPORTABLE
	45	03/22/07	Foreign Dividend	69.30	69.30	TRANSACTION ADDED
			Security Subtotal	*147.21*	*147.21*	TRANSACTION ADDED
GRUPO TELEVISA SA ADR	41	06/07/07	Foreign Dividend	27.63	27.63	
GANNETT CO	25	07/02/07	Dividend	7.75	7.75	
	50	10/01/07	Dividend	20.00	20.00	
			Security Subtotal	*27.75*	*27.75*	
GLAXOSMITHKLINE PLC ADR	35	01/04/07	Foreign Dividend	15.77	15.77	
	35	04/12/07	Foreign Dividend	19.31	19.31	
	57	07/12/07	Foreign Dividend	27.40	27.40	
	117	10/11/07	Foreign Dividend	57.88	57.88	
			Security Subtotal	*120.36*	*120.36*	
GLOBALSANTAFE CORP	45	07/16/07	Foreign Dividend	10.13	10.13	
GENERAL ELECTRIC	40	01/25/07	Dividend	11.20	11.20	
	65	04/25/07	Dividend	18.20	18.20	
			Security Subtotal	*29.40*	*29.40*	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
GOLDCORP INC	95	06/29/07	Foreign Dividend	1.43	1.43	
		06/29/07	Foreign Tax	(.21)	.00	NON-RECLAIMABLE TAX PAY DATE 06/29/2007
	95	07/31/07	Foreign Dividend	1.43	1.43	
		07/31/07	Foreign Tax	(.21)	.00	NON-RECLAIMABLE TAX PAY DATE 07/27/2007
	95	08/24/07	Foreign Dividend	1.43	1.43	
		08/24/07	Foreign Tax	(.21)	.00	NON-RECLAIMABLE TAX PAY DATE 08/24/2007
	95	09/28/07	Foreign Dividend	1.43	1.43	
		09/28/07	Foreign Tax	(.21)	.00	NON-RECLAIMABLE TAX PAY DATE 09/28/2007
	95	10/26/07	Foreign Dividend	1.43	1.43	
		10/26/07	Foreign Tax	(.21)	.00	NON-RECLAIMABLE TAX PAY DATE 10/26/2007
	95	11/26/07	Foreign Dividend	1.43	1.43	
		11/26/07	Foreign Tax	(.21)	.00	NON-RECLAIMABLE TAX PAY DATE 11/23/2007
	95	12/28/07	Foreign Dividend	1.43	1.43	
		12/28/07	Foreign Tax	(.21)	.00	NON-RECLAIMABLE TAX PAY DATE 12/28/2007
			Security Subtotal	***8.54***	***10.01***	
HEALTHCARE REALTY TR REIT	40	03/02/07	Dividend	4.29	.00	
HSBC HLDG PLC SP ADR	18	05/15/07	Foreign Dividend	32.40	32.40	
HARSCO CORPORATION	20	02/15/07	Dividend	7.10	7.10	
	10	05/15/07	Dividend	1.78	1.78	
			Security Subtotal	***8.88***	***8.88***	
HEINZ H J CO PV 25CT	45	01/10/07	Dividend	15.75	15.75	
	70	04/10/07	Dividend	24.50	24.50	
			Security Subtotal	***40.25***	***40.25***	

Merrill Lynch

Account No.

Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
HEWLETT PACKARD CO DEL	35	04/04/07	Dividend	2.80	2.80	
	54	07/05/07	Dividend	4.32	4.32	
	64	10/03/07	Dividend	5.12	5.12	
			Security Subtotal	*12.24*	*12.24*	
HOLLY CORP COM $0 01	40	01/03/07	Dividend	3.20	3.20	
HOME DEPOT INC	59	06/21/07	Dividend	13.28	13.28	
	45	12/13/07	Dividend	10.13	10.13	
			Security Subtotal	*23.41*	*23.41*	
HONDA MOTOR ADR NEW	39	03/02/07	Foreign Dividend	5.45	5.45	
		03/02/07	Foreign Tax	(.38)	.00	NON-RECLAIMABLE TAX PAY DATE 03/02/2007
	54	07/02/07	Foreign Dividend	8.71	8.71	
		07/02/07	Foreign Tax	(.61)	.00	NON-RECLAIMABLE TAX PAY DATE 07/02/2007
			Security Subtotal	*13.17*	*14.16*	
INTEL CORP	185	06/01/07	Dividend	20.81	20.81	
	170	09/04/07	Dividend	19.13	19.13	
	170	12/03/07	Dividend	19.13	19.13	
			Security Subtotal	*59.07*	*59.07*	
INTL BUSINESS MACHINES CORP IBM	45	03/12/07	Dividend	13.50	13.50	
	34	06/11/07	Dividend	13.60	13.60	
	49	09/10/07	Dividend	19.60	19.60	
			Security Subtotal	*46.70*	*46.70*	
INTESA SANPAOLO SPON ADR		06/01/07	Foreign Tax	(22.30)	.00	NON-RECLAIMABLE TAX PAY DATE 06/01/2007
	27	06/01/07	Foreign Dividend	82.61	82.61	
			Security Subtotal	*60.31*	*82.61*	

2383

Merrill Lynch

Acc unt No

Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
JPMORGAN CHASE & CO	45	01/31/07	Dividend	15.30	15.30	
	65	04/30/07	Dividend	22.10	22.10	
	57	07/31/07	Dividend	21.66	21.66	
	57	10/31/07	Dividend	21.66	21.66	
			Security Subtotal	*80.72*	*80.72*	
JOHNSON AND JOHNSON COM	35	03/13/07	Dividend	13.13	13.13	
	50	06/12/07	Dividend	20.75	20.75	
	50	09/11/07	Dividend	20.75	20.75	
	50	12/11/07	Dividend	20.75	20.75	
			Security Subtotal	*75.38*	*75.38*	
JOHNSON CONTROLS INC	15	03/30/07	Dividend	4.95	4.95	
	22	06/29/07	Dividend	7.26	7.26	
	22	10/02/07	Dividend	7.26	7.26	
			Security Subtotal	*19.47*	*19.47*	
KEYCORP NEW COM	55	03/15/07	Dividend	20.08	20.08	
	87	06/15/07	Dividend	31.76	31.76	
	87	09/14/07	Dividend	31.76	31.76	
	87	12/14/07	Dividend	31.76	31.76	
			Security Subtotal	*115.36*	*115.36*	
KEYSPAN CORP	50	02/01/07	Dividend	23.75	23.75	
	76	05/01/07	Dividend	36.10	36.10	
	76	08/01/07	Dividend	36.10	36.10	
			Security Subtotal	*95.95*	*95.95*	
KRAFT FOODS INC VA CL A	157	07/06/07	Dividend	39.25	39.25	
	157	10/05/07	Dividend	42.39	42.39	
			Security Subtotal	*81.64*	*81.64*	
KENNAMETAL INC	25	02/21/07	Dividend	5.25	5.25	

Merrill Lynch

Account No

Taxpayer No

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
KIMBERLY CLARK	35	01/03/07	Dividend	17.15	17.15	
	35	04/03/07	Dividend	18.55	18.55	
	41	07/03/07	Dividend	21.73	21.73	
	41	10/02/07	Dividend	21.73	21.73	
			Security Subtotal	*79.16*	*79.16*	
KROGER CO	68	06/01/07	Dividend	5.10	5.10	
LOCKHEED MARTIN CORP	20	09/28/07	Dividend	7.00	7.00	
	30	12/28/07	Dividend	12.60	12.60	
			Security Subtotal	*19.60*	*19.60*	
L-3 COMMNCTNS HLDGS	25	03/15/07	Dividend	6.25	6.25	
	35	06/15/07	Dividend	8.75	8.75	
	35	09/17/07	Dividend	8.75	8.75	
	35	12/17/07	Dividend	8.75	8.75	
			Security Subtotal	*32.50*	*32.50*	
LINCOLN ELEC HLDGS INC	30	01/16/07	Dividend	6.60	6.60	
LAFARGE ADR NEW	66	06/18/07	Foreign Dividend	66.45	66.45	
		06/18/07	Foreign Tax	(9.97)	.00	NON-RECLAIMABLE TAX PAY DATE 06/18/2007
			Security Subtotal	*56.48*	*66.45*	
LEGG MASON INC	16	07/09/07	Dividend	3.84	3.84	
LINCOLN NTL CORP IND NPV	70	02/01/07	Dividend	27.65	27.65	
	124	05/01/07	Dividend	48.98	48.98	
	76	08/01/07	Dividend	30.02	30.02	
	40	11/01/07	Dividend	15.80	15.80	
			Security Subtotal	*122.45*	*122.45*	
LUBRIZOL CORP	35	03/09/07	Dividend	9.10	9.10	
LYONDELL CHEMICAL PV $1	35	03/15/07	Dividend	7.88	7.88	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
MORGAN STANLEY	25	01/31/07	Dividend	6.75	6.75	
	42	04/30/07	Dividend	11.34	11.34	
			Security Subtotal	*18.09*	*18.09*	
MARRIOTT INTL INC NEW A	40	01/10/07	Dividend	2.50	2.50	
MONSANTO CO NEW DEL COM	45	01/26/07	Dividend	5.63	5.63	
	73	04/27/07	Dividend	9.13	9.13	
	63	07/27/07	Dividend	7.88	7.88	
	38	10/26/07	Dividend	6.65	6.65	
			Security Subtotal	*29.29*	*29.29*	
MARATHON OIL CORP	50	03/12/07	Dividend	20.00	20.00	
	28	06/11/07	Dividend	13.44	13.44	
	48	09/10/07	Dividend	11.52	11.52	
	48	12/10/07	Dividend	11.52	11.52	
			Security Subtotal	*56.48*	*56.48*	
MASCO CORP	60	02/05/07	Dividend	13.20	13.20	
MATTEL INC COM	101	12/14/07	Dividend	75.75	75.75	
MCDONALDS CORP COM	83	12/03/07	Dividend	124.50	124.50	
MEDTRONIC INC COM	25	01/26/07	Dividend	2.75	2.75	
	67	04/27/07	Dividend	7.37	7.37	
	59	07/27/07	Dividend	7.38	7.38	
	59	10/26/07	Dividend	7.38	7.38	
			Security Subtotal	*24.88*	*24.88*	
MERCK&CO INC	45	01/02/07	Dividend	17.10	17.10	
	45	04/02/07	Dividend	17.10	17.10	
	58	07/02/07	Dividend	22.04	22.04	
	58	10/01/07	Dividend	22.04	22.04	
			Security Subtotal	*78.28*	*78.28*	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
METHANEX CORP COM	75	01/02/07	Foreign Dividend	9.38	9.38	
		01/02/07	Foreign Tax	(1.41)	.00	NON-RECLAIMABLE TAX PAY DATE 12/31/2006
	75	04/02/07	Foreign Dividend	9.38	9.38	
		04/02/07	Foreign Tax	(1.41)	.00	NON-RECLAIMABLE TAX PAY DATE 03/31/2007
			Security Subtotal	*15.94*	*18.76*	
MICROSOFT CORP	115	03/08/07	Dividend	11.50	11.50	
	118	06/14/07	Dividend	11.80	11.80	
	138	09/13/07	Dividend	13.80	13.80	
	138	12/13/07	Dividend	15.18	15.18	
			Security Subtotal	*52.28*	*52.28*	
MITSUI CO ADR	1	12/14/07	Foreign Dividend	4.14	4.14	
		12/14/07	Foreign Tax	(.29)	.00	NON-RECLAIMABLE TAX PAY DATE 12/14/2007
			Security Subtotal	*3.85*	*4.14*	
NINTENDO LTD ADR	23	12/24/07	Foreign Dividend	3.63	3.63	
		12/24/07	Foreign Tax	(.25)	.00	NON-RECLAIMABLE TAX PAY DATE 12/24/2007
			Security Subtotal	*3.38*	*3.63*	
NSK LTD ADR	20	06/28/07	Foreign Dividend	14.57	14.57	
		06/28/07	Foreign Tax	(1.02)	.00	NON-RECLAIMABLE TAX PAY DATE 06/28/2007
	23	12/27/07	Foreign Dividend	18.41	18.41	
		12/27/07	Foreign Tax	(1.29)	.00	NON-RECLAIMABLE TAX PAY DATE 12/27/2007
			Security Subtotal	*30.67*	*32.98*	
NOVARTIS ADR	48	04/10/07	Foreign Dividend	52.63	52.63	
		04/10/07	Foreign Tax	(7.89)	.00	NON-RECLAIMABLE TAX PAY DATE 04/10/2007
			Security Subtotal	*44.74*	*52.63*	

Merrill Lynch

Account No. Taxpay r No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
NEWELL RUBBERMAID INC	52	06/15/07	Dividend	10.92	10.92	
	52	09/14/07	Dividend	10.92	10.92	
	52	12/14/07	Dividend	10.92	10.92	
			Security Subtotal	*32.76*	*32.76*	
NATL BK GREECE SA SPNADR	96	06/21/07	Foreign Dividend	25.48	25.48	
NOMURA HLDGS INC ADR	80	03/12/07	Foreign Dividend	5.44	5.44	
		03/12/07	Foreign Tax	(.38)	.00	NON-RECLAIMABLE TAX PAY DATE 03/12/2007
			Security Subtotal	*5.06*	*5.44*	
NOBLE CORPORATION	14	09/21/07	Foreign Dividend	.56	.56	
	14	09/21/07	Foreign Dividend	.56	.56	
	28	12/03/07	Foreign Dividend	1.12	1.12	
			Security Subtotal	*2.24*	*2.24*	
NAT FUEL GAS CO NJ $1	41	01/16/07	Dividend	12.30	12.30	
NATL SEMICONDUCTOR	58	10/09/07	Dividend	2.32	2.32	
NESTLE S A REP RG SH ADR	22	06/01/07	Foreign Dividend	46.51	46.51	
		06/01/07	Foreign Tax	(6.98)	.00	NON-RECLAIMABLE TAX PAY DATE 06/01/2007
			Security Subtotal	*39.53*	*46.51*	
NORFOLK SOUTHERN CORP	65	06/11/07	Dividend	14.30	14.30	
	55	09/10/07	Dividend	14.30	14.30	
	55	12/10/07	Dividend	14.30	14.30	
			Security Subtotal	*42.90*	*42.90*	
NORTHN TRUST CORP	25	01/02/07	Dividend	6.25	6.25	
OWENS &MINOR INC NEW COM	50	03/30/07	Dividend	8.50	8.50	

2388

Merrill Lynch

Acc unt No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
OCCIDENTAL PETE CORP CAL	40	01/16/07	Dividend	8.80	8.80	
	40	04/16/07	Dividend	8.80	8.80	
	57	07/16/07	Dividend	12.54	12.54	
	52	10/15/07	Dividend	13.00	13.00	
			Security Subtotal	*43.14*	*43.14*	
OSHKOSH TRUCK CORP CL B	22	11/26/07	Dividend	2.20	2.20	
PARTNERRE LTD	17	12/03/07	Foreign Dividend	7.31	7.31	
POLO RALPH LAUREN CORP A	17	04/13/07	Dividend	.85	.85	
	17	07/13/07	Dividend	.85	.85	
	19	10/12/07	Dividend	.95	.95	
			Security Subtotal	*2.65*	*2.65*	
PEPSI BOTTLING GROUP INC	15	09/28/07	Dividend	2.10	2.10	
PETROCHINA CO LTD SP ADR	28	06/12/07	Foreign Dividend	56.39	56.39	
	23	10/09/07	Foreign Dividend	62.67	62.67	
			Security Subtotal	*119.06*	*119.06*	
PETRLEO BRAS VTG SPD ADR	8	01/12/07	Foreign Dividend	15.00	15.00	
		01/12/07	Foreign Tax	(2.25)	.00	NON-RECLAIMABLE TAX PAY DATE 01/11/2007
	15	04/10/07	Foreign Dividend	13.54	13.54	
		04/10/07	Foreign Tax	(2.06)	.00	NON-RECLAIMABLE TAX PAY DATE 04/09/2007
	20	05/24/07	Foreign Dividend	14.95	14.95	
		05/24/07	Foreign Tax	(.15)	.00	NON-RECLAIMABLE TAX PAY DATE 05/24/2007
			Security Subtotal	*39.03*	*43.49*	
PEOPLES ENERGY CORP	40	01/12/07	Dividend	21.80	21.80	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
PEPSICO INC	40	01/02/07	Dividend	12.00	12.00	
	40	03/30/07	Dividend	12.00	12.00	
	42	06/29/07	Dividend	15.75	15.75	
	42	09/28/07	Dividend	15.75	15.75	
			Security Subtotal	*55.50*	*55.50*	
PFIZER INC DEL PV$0.05	140	03/06/07	Dividend	40.60	40.60	
	257	06/05/07	Dividend	74.53	74.53	
	223	09/06/07	Dividend	64.67	64.67	
	223	12/04/07	Dividend	64.67	64.67	
			Security Subtotal	*244.47*	*244.47*	
PRECISION CASTPARTS	25	04/02/07	Dividend	.75	.75	
	48	07/02/07	Dividend	1.44	1.44	
	38	10/01/07	Dividend	1.14	1.14	
	38	12/31/07	Dividend	1.14	1.14	
			Security Subtotal	*4.47*	*4.47*	
PROCTER & GAMBLE CO	30	02/15/07	Dividend	9.30	9.30	
	49	05/15/07	Dividend	17.15	17.15	
	43	08/15/07	Dividend	15.05	15.05	
	43	11/15/07	Dividend	15.05	15.05	
			Security Subtotal	*56.55*	*56.55*	
RWE AG SPONSORED ADR	19	04/30/07	Foreign Dividend	90.11	90.11	
		04/30/07	Foreign Tax	(19.01)	.00	PAY DATE 04/30/2007
		08/28/07	Foreign Tax	5.46	.00	ADJ ENTRY AS OF 04/30/07 PAYDATE 08/27/07
			Security Subtotal	*76.56*	*90.11*	
ROYAL KPN N V SP ADR	141	05/04/07	Foreign Dividend	65.17	65.17	
		05/04/07	Foreign Tax	(9.78)	.00	NON-RECLAIMABLE TAX PAY DATE 05/04/2007
	141	08/17/07	Foreign Dividend	34.65	34.65	
		08/17/07	Foreign Tax	(5.20)	.00	NON-RECLAIMABLE TAX PAY DATE 08/17/2007
			Security Subtotal	*84.84*	*99.82*	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
REPUBLIC SERVICES INC	61	04/16/07	Dividend	6.51	6.51	
	53	07/16/07	Dividend	5.66	5.66	
	53	10/15/07	Dividend	9.01	9.01	
			Security Subtotal	*21.18*	*21.18*	
RADIOSHACK CORP	71	12/19/07	Dividend	17.75	17.75	
REGIONS FINL CORP	110	01/02/07	Dividend	39.60	39.60	
	110	04/02/07	Dividend	39.60	39.60	
	163	07/02/07	Dividend	58.68	58.68	
	163	10/01/07	Dividend	58.68	58.68	
			Security Subtotal	*196.56*	*196.56*	
REYNOLDS AMERICAN INC	30	01/02/07	Dividend	22.50	22.50	
	30	04/02/07	Dividend	22.50	22.50	
	46	07/02/07	Dividend	34.50	34.50	
	46	10/01/07	Dividend	39.10	39.10	
			Security Subtotal	*118.60*	*118.60*	
ROYAL DUTCH SHELL PLC SPONS ADR A	35	12/12/07	Foreign Dividend	25.20	25.20	
		12/12/07	Foreign Tax	(3.78)	.00	NON-RECLAIMABLE TAX PAY DATE 12/12/2007
			Security Subtotal	*21.42*	*25.20*	
ROCHE HLDG LTD SPN ADR	18	04/20/07	Foreign Dividend	24.85	24.85	
		04/20/07	Foreign Tax	(3.73)	.00	NON-RECLAIMABLE TAX PAY DATE 04/20/2007
			Security Subtotal	*21.12*	*24.85*	
SENSIENT TECHNOLGY CORP	67	03/01/07	Dividend	10.72	10.72	
SOCIETE GENERAL SPN ADR	37	06/15/07	Foreign Dividend	51.72	51.72	
		06/15/07	Foreign Tax	(7.61)	.00	NON-RECLAIMABLE TAX PAY DATE 06/15/2007
			Security Subtotal	*44.11*	*51.72*	

Merrill Lynch

Account No Taxpayer No

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
SEAGATE TECHNOLOGY	80	02/16/07	Foreign Dividend	8.00	8.00	
	126	05/18/07	Foreign Dividend	12.60	12.60	
	126	08/17/07	Foreign Dividend	12.60	12.60	
	126	11/16/07	Foreign Dividend	12.60	12.60	
			Security Subtotal	*45.80*	*45.80*	
SPX CORP COM	22	07/02/07	Dividend	5.50	5.50	
SKF AB SPNSR KR12.50 ADR	128	05/10/07	Foreign Dividend	85.18	85.18	
		05/10/07	Foreign Tax	(12.78)	.00	NON-RECLAIMABLE TAX PAY DATE 05/10/2007
			Security Subtotal	*72.40*	*85.18*	
SANDVIK AB ADR	110	05/11/07	Foreign Tax	(15.76)	.00	PAY DATE 05/11/2007
	110	05/11/07	Foreign Dividend	60.41	60.41	
		07/12/07	Foreign Tax	(7.26)	.00	NON-RECLAIMABLE TAX PAY DATE 07/12/2007
			Security Subtotal	*37.39*	*60.41*	
STATE STREET CORP	50	07/16/07	Dividend	11.00	11.00	
	50	10/15/07	Dividend	11.00	11.00	
			Security Subtotal	*22.00*	*22.00*	
STEELCASE INC 'A'	97	04/16/07	Dividend	14.55	14.55	
SIEMENS AG ADR	8	02/02/07	Foreign Dividend	14.94	14.94	
		02/02/07	Foreign Tax	(3.15)	.00	PAY DATE 02/02/2007
		04/18/07	Foreign Tax	.95	.00	ADJ ENTRY AS OF 02/02/07 PAYDATE 04/12/07
			Security Subtotal	*12.74*	*14.94*	
SEMPRA ENERGY	40	01/16/07	Dividend	12.00	12.00	
	52	04/16/07	Dividend	16.12	16.12	
	47	07/16/07	Dividend	14.57	14.57	
	47	10/15/07	Dividend	14.57	14.57	
			Security Subtotal	*57.26*	*57.26*	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
SCHLUMBERGER LTD	25	01/08/07	Dividend	3.13	3.13	
	30	04/09/07	Dividend	5.25	5.25	
	46	07/09/07	Dividend	8.05	8.05	
	46	10/05/07	Dividend	8.05	8.05	
			Security Subtotal	*24.48*	*24.48*	
SAGE GROUP PLC UNSP ADR	95	03/16/07	Foreign Dividend	18.43	18.43	
	108	06/22/07	Foreign Dividend	10.87	10.87	
			Security Subtotal	*29.30*	*29.30*	
SEGA SAMMY HOLDINGS INC ADR	205	06/14/07	Foreign Dividend	12.59	12.59	
		06/14/07	Foreign Tax	(.88)	.00	NON-RECLAIMABLE TAX PAY DATE 06/14/2007
			Security Subtotal	*11.71*	*12.59*	
SWEDBANK A B ADR		05/15/07	Foreign Tax	(12.71)	.00	NON-RECLAIMABLE TAX PAY DATE 05/15/2007
	35	05/15/07	Foreign Dividend	42.37	42.37	
			Security Subtotal	*29.66*	*42.37*	
SHIZUOKA BANK LTD ADR	13	07/16/07	Foreign Dividend	8.45	8.45	
		07/16/07	Foreign Tax	(.59)	.00	NON-RECLAIMABLE TAX PAY DATE 07/16/2007
	8	12/21/07	Foreign Dividend	4.64	4.64	
		12/21/07	Foreign Tax	(.32)	.00	NON-RECLAIMABLE TAX PAY DATE 12/21/2007
			Security Subtotal	*12.18*	*13.09*	
SNAP ON INC COM	34	06/11/07	Dividend	9.18	9.18	
SONY CORP ADR NEW	20	06/08/07	Foreign Dividend	2.05	2.05	
		06/08/07	Foreign Tax	(.14)	.00	NON-RECLAIMABLE TAX PAY DATE 06/08/2007
	18	12/10/07	Foreign Dividend	2.03	2.03	
		12/10/07	Foreign Tax	(.14)	.00	NON-RECLAIMABLE TAX PAY DATE 12/10/2007
			Security Subtotal	*3.80*	*4.08*	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
STAPLES INC	152	04/19/07	Dividend	44.08	44.08	
STANLEY WORKS	40	03/27/07	Dividend	12.00	12.00	
	49	06/26/07	Dividend	14.70	14.70	
	49	09/25/07	Dividend	15.19	15.19	
	14	12/18/07	Dividend	4.34	4.34	
			Security Subtotal	*46.23*	*46.23*	
SUPERVALU INC DEL COM	65	03/15/07	Dividend	10.73	10.73	
	30	06/15/07	Dividend	4.95	4.95	
			Security Subtotal	*15.68*	*15.68*	
SUSQUEHANNA BANCSHRS INC	60	02/16/07	Dividend	15.00	15.00	
TJX COS INC NEW	56	05/31/07	Dividend	5.04	5.04	
	48	08/30/07	Dividend	4.32	4.32	
	48	11/29/07	Dividend	4.32	4.32	
			Security Subtotal	*13.68*	*13.68*	
TENARIS S A ADR	25	11/30/07	Foreign Dividend	6.50	6.50	
TIDEWATER INC COM NEW	22	06/21/07	Dividend	3.30	3.30	
	22	09/10/07	Dividend	3.30	3.30	
			Security Subtotal	*6.60*	*6.60*	
TESCO PLC SPNRD ADR	36	07/13/07	Foreign Dividend	14.81	14.81	
	69	12/31/07	Foreign Dividend	13.15	13.15	
			Security Subtotal	*27.96*	*27.96*	

Merrill Lynch

Account No.

Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
TEVA PHARMACTCL INDS ADR	36	06/05/07	Foreign Dividend	3.57	3.57	
		06/05/07	Foreign Tax	(.57)	.00	NON-RECLAIMABLE TAX PAY DATE 06/05/2007
	36	09/06/07	Foreign Dividend	3.44	3.44	
		09/06/07	Foreign Tax	(.55)	.00	NON-RECLAIMABLE TAX PAY DATE 09/06/2007
	36	11/28/07	Foreign Dividend	3.62	3.62	
		11/28/07	Foreign Tax	(.60)	.00	NON-RECLAIMABLE TAX PAY DATE 11/28/2007
			Security Subtotal	*8.91*	*10.63*	
TESORO CORP	34	06/15/07	Dividend	3.40	3.40	
	34	09/17/07	Dividend	3.40	3.40	
			Security Subtotal	*6.80*	*6.80*	
TEXAS INSTRUMENTS	50	02/12/07	Dividend	2.00	2.00	
	132	05/21/07	Dividend	10.56	10.56	
	114	08/20/07	Dividend	9.12	9.12	
			Security Subtotal	*21.68*	*21.68*	
TEXTRON INC	30	10/01/07	Dividend	6.90	6.90	
TEEKAY SHIPPING CORP(MH)	40	01/29/07	Foreign Dividend	9.50	9.50	
TARGET CORP COM	35	06/11/07	Dividend	4.20	4.20	
	35	09/10/07	Dividend	4.90	4.90	
			Security Subtotal	*9.10*	*9.10*	
TIME WARNER INC NEW	85	03/15/07	Dividend	4.68	4.68	
	127	06/15/07	Dividend	6.99	6.99	
	127	09/17/07	Dividend	7.94	7.94	
			Security Subtotal	*19.61*	*19.61*	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
TRAVELERS COS INC	40	03/30/07	Dividend	10.40	10.40	
	52	06/29/07	Dividend	15.08	15.08	
	52	09/28/07	Dividend	15.08	15.08	
	52	12/31/07	Dividend	15.08	15.08	
			Security Subtotal	*55.64*	*55.64*	
TELEFONICA SA SPAIN ADR	15	11/20/07	Foreign Dividend	22.87	22.87	
		11/20/07	Foreign Tax	(4.12)	.00	PAY DATE 11/20/2007
			Security Subtotal	*18.75*	*22.87*	
TOYOTA MOTOR CORP ADR	11	07/05/07	Foreign Dividend	12.44	12.44	
		07/05/07	Foreign Tax	(.87)	.00	NON-RECLAIMABLE TAX PAY DATE 07/05/2007
	10	12/06/07	Foreign Dividend	11.97	11.97	
		12/06/07	Foreign Tax	(.84)	.00	NON-RECLAIMABLE TAX PAY DATE 12/06/2007
			Security Subtotal	*22.70*	*24.41*	
UGI CORP NEW	70	01/02/07	Dividend	12.34	12.34	
	70	04/02/07	Dividend	12.34	12.34	
			Security Subtotal	*24.68*	*24.68*	
UNILEVER PLC NEW ADR	67	12/05/07	Foreign Dividend	23.62	23.62	
UNITEDHEALTH GROUP INC	61	04/16/07	Dividend	1.83	1.83	
UNITED TECHS CORP COM	35	03/12/07	Dividend	9.28	9.28	
	46	06/11/07	Dividend	12.19	12.19	
	46	09/10/07	Dividend	14.72	14.72	
	46	12/10/07	Dividend	14.72	14.72	
			Security Subtotal	*50.91*	*50.91*	
UNIVERSAL CORP VA	40	02/12/07	Dividend	17.60	17.60	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
V F CORPORATION	25	03/19/07	Dividend	13.75	13.75	
	33	06/18/07	Dividend	18.15	18.15	
	33	09/20/07	Dividend	18.15	18.15	
	33	12/20/07	Dividend	19.14	19.14	
			Security Subtotal	*69.19*	*69.19*	
VERIZON COMMUNICATNS COM	55	02/01/07	Dividend	22.28	22.28	
	86	05/01/07	Dividend	34.83	34.83	
	71	08/01/07	Dividend	28.76	28.76	
	71	11/01/07	Dividend	30.53	30.53	
			Security Subtotal	*116.40*	*116.40*	
VODAFONE GROUP PLC NEW	45	02/05/07	Foreign Dividend	20.74	20.74	
	67	08/03/07	Foreign Dividend	60.41	60.41	
			Security Subtotal	*81.15*	*81.15*	
WD 40 COMPANY DELAWARE	40	01/31/07	Dividend	10.00	10.00	
WASHINGTON MUTUAL INC	90	02/15/07	Dividend	48.60	48.60	
	154	05/15/07	Dividend	84.70	84.70	
	137	08/15/07	Dividend	76.72	76.72	
	137	11/15/07	Dividend	76.72	76.72	
			Security Subtotal	*286.74*	*286.74*	
WASHINGTON FEDL INC	60	01/12/07	Dividend	12.30	12.30	
WORLD FUEL SERVICES CRP	17	04/11/07	Dividend	.64	.64	
WINDSTREAM CORP	252	01/16/07	Dividend	63.00	63.00	
	382	04/16/07	Dividend	95.50	95.50	
	205	07/16/07	Dividend	51.25	51.25	
	205	10/15/07	Dividend	51.25	51.25	
			Security Subtotal	*261.00*	*261.00*	
WAL-MART STORES INC	40	04/02/07	Dividend	8.80	8.80	
	58	06/04/07	Dividend	12.76	12.76	
			Security Subtotal	*21.56*	*21.56*	

2397

Merrill Lynch

Account No _____ Taxpayer No _____

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
WEIS MARKETS INC	35	02/26/07	Dividend	10.15	10.15	
WHIRLPOOL CORP	20	03/15/07	Dividend	8.60	8.60	
	17	06/15/07	Dividend	7.31	7.31	
			Security Subtotal	*15.91*	*15.91*	
YUM BRANDS INC	41	08/03/07	Dividend	6.15	6.15	
	41	11/02/07	Dividend	6.15	6.15	
			Security Subtotal	*12.30*	*12.30*	
WELLS FARGO & CO NEW DEL	40	03/01/07	Dividend	11.20	11.20	
WACHOVIA CORP NEW	35	03/15/07	Dividend	19.60	19.60	
	54	06/15/07	Dividend	30.24	30.24	
	54	09/17/07	Dividend	34.56	34.56	
	54	12/17/07	Dividend	34.56	34.56	
			Security Subtotal	*118.96*	*118.96*	
PIMCO FIXED INCOME SHS (FISH) SERIES C INSTL		02/01/07	Dividend	297.44	.00	
		03/01/07	Dividend	300.00	.00	
		04/02/07	Dividend	137.48	.00	
			Security Subtotal	*734.92*	*.00*	
PIMCO FIXED INCOME SHS (FISH) SERIES M INSTL		02/01/07	Dividend	342.16	.00	
		03/01/07	Dividend	321.25	.00	
		04/02/07	Dividend	159.92	.00	
			Security Subtotal	*823.33*	*.00*	
TOTAL DIVIDEND ACTIVITY				**9,565.67**		
TOTAL FOREIGN TAX PAID (LINE 6 1099-DIV)				**(265.73)**		
TOTAL ORDINARY DIVIDENDS (LINE 1A 1099-DIV)				**9,831.40**		
TOTAL QUALIFIED DIVIDENDS (LINE 1B 1099-DIV)					**8,192.27**	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
CAPITAL GAIN DISTRIBUTIONS					
LONG-TERM CAPITAL GAINS DISTRIBUTIONS					
DUKE REALTY CORP NEW REIT	50	02/28/07	Long Term Capital Gain	5.20	
	73	05/31/07	Long Term Capital Gain	7.59	
	73	08/31/07	Long Term Capital Gain	7.66	
	73	11/30/07	Long Term Capital Gain	7.66	
			Security Subtotal	*28.11*	
TOTAL LONG-TERM CAPITAL GAINS DISTRIBUTIONS				**28.11**	
UNRECAPTURED SECTION 1250 GAIN					
DUKE REALTY CORP NEW REIT	50	02/28/07	Unrecap Sec 1250	3.57	
	73	05/31/07	Unrecap Sec 1250	5.22	
	73	08/31/07	Unrecap Sec 1250	5.28	
	73	11/30/07	Unrecap Sec 1250	5.28	
			Security Subtotal	*19.35*	
HEALTHCARE REALTY TR REIT	40	03/02/07	Unrecap Sec 1250	4.97	
TOTAL UNRECAP. SEC. 1250 GAIN (LINE 2B 1099-DIV)				**24.32**	
TOTAL CAPITAL GAIN DISTRIBUTIONS (LINE 2A 1099-DIV)				**52.43**	
NONDIVIDEND DISTRIBUTIONS					
CREDIT SUISSE GP SP ADR	17	07/24/07	Prin Payment	6.50	
FRONTLINE LTD		03/22/07	Prin Payment	26.09	1099 REPORTABLE TRANSACTION ADDED
	45	03/22/07	Prin Payment	22.95	TRANSACTION ADDED
			Security Subtotal	*49.04*	
HEALTHCARE REALTY TR REIT	40	03/02/07	Prin Payment	17.14	

2399

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
TXU CORP	40	04/02/07	Prin Payment	17.30	
	45	07/02/07	Prin Payment	19.46	
			Security Subtotal	*36.76*	
TOTAL NONDIVIDEND DISTRIBUTIONS (LINE 3 1099-DIV)				**109.44**	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 MISCELLANEOUS INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST					
EQUITY ONE REIT		03/30/07	Miscellaneous Dividend	19.50	
TOTAL SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST (LINE 8 1099-MISC)				**19.50**	

Merrill Lynch

Account No Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
INTEREST					
ML BANK DEPOSIT PROGRAM		01/31/07	Bank Interest	96.78	
		02/28/07	Bank Interest	64.45	
		03/30/07	Bank Interest	68.70	
		04/30/07	Bank Interest	48.19	
		05/31/07	Bank Interest	48.40	
		06/29/07	Bank Interest	47.00	
		07/31/07	Bank Interest	61.18	
		08/31/07	Bank Interest	78.27	
		09/28/07	Bank Interest	98.14	
		10/31/07	Bank Interest	48.38	
		11/30/07	Bank Interest	43.70	
		12/31/07	Bank Interest	63.99	
			Security Subtotal	***767.16***	
TOTAL INTEREST ACTIVITY				**767.16**	
TOTAL INTEREST (LINE 1 1099-INT)				**767.16**	
INTEREST ON TREASURY NOTES					
US TSY INFLATION NOTE 03.375% JAN 15 2007		01/16/07	Interest	365.15	
U.S. TREASURY BOND 10.625% AUG 15 2015		02/15/07	Interest	956.25	
US TREAS INFLATION NOTE 03.625% JAN 15 2008		01/16/07	Interest	746.62	
U.S. TRSY INFLATION NOTE 3.00% JUL 15 2012		01/16/07	Interest	319.66	
TOTAL INTEREST ON TREASURY NOTES				**2,387.68**	
TOTAL INTEREST ON US SAVINGS BONDS AND TREASURY OBLIGATIONS (LINE 3 1099-INT)				**2,387.68**	

Merrill Lynch

Account No [redacted]

Taxpayer No [redacted]

HON CAROLYN MCCARTHY

2007 OTHER DISTRIBUTIONS AND CHARGES*

Security Description	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
OTHER ACCRUED INTEREST PAID						
U.S. TRSY INFLATION NOTE 0.875% APR 15 2010	23000	01/17/07	Interest Paid	(55.32)	.00	
TOTAL OTHER ACCRUED INTEREST PAID				**(55.32)**	**.00**	
INVESTMENT FEE INFORMATION						
CONSULTS				(8,658.40)		SEE NOTE

*Information in the Other Distributions and Charges section may have an impact on your Alternative Minimum Tax (AMT) calculation. Consult your tax advisor for more details.

END OF STATEMENT

Merrill Lynch

Account No. Taxpayer No. Page 1 of 19

HON CAROLYN MCCARTHY

Your Financial Advisor 9835
COSTELLO/MACINA GROU
[redacted]

Payer:
Merrill Lynch, Pierce, Fenner & Smith Inc
1800 Merrill Lynch Drive, MSC 0803
Pennington, NJ 08534-4129
Federal Identification Number [redacted]

2007 TAX REPORTING STATEMENT

1099-DIV	2007 Dividends and Distributions	(OMB NO. 1545-0110)
		Amount
1a	Total Ordinary Dividends	3,541.89
1b	Qualified Dividends	1,785.16
2a	Total Capital Gain Distributions	225.20
2b	Unrecap. Sec. 1250 Gain	0.00
2c	Section 1202 Gain	0.00
2d	Collectibles (28%) Gain	0.00
3	Nondividend Distributions	1,214.74
4	**Federal Income Tax Withheld**	**0.00**
5	Investment Expenses	0.00
6	Foreign Tax Paid	55.38
7	Foreign Country or U.S. Possession	Various
8	Liquidation Distributions Cash	0.00
9	Liquidation Distributions Non-Cash (Fair Market Value)	0.00

1099-INT	2007 Interest Income	(OMB NO. 1545-0112)
		Amount
1	Interest Income	3,359.41
2	Early Withdrawal Penalty	0.00
3	Interest On U.S. Savings Bonds and Treasury Obligations	3,612.93
4	**Federal Income Tax Withheld**	**0.00**
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	
8	Tax Exempt Interest	6,178.18
9	Specified Private Activity Bond Interest	604.53

Summary of 2007 Original Issue Discount Items

		Amount
1	Original Issue Discount	0.00**
6	Original Issue Discount on US Treasury Obligations	3,096.86**
	Total Original Issue Discount is the Sum of Line 1 and 6	3,096.86**

** *Amounts of Original Issue Discount are individually reported to the IRS. Refer to the 2007 Original Issue Discount detail section of this statement.*

Summary of 2007 Proceeds from Broker & Barter Exchange Transactions

		Amount
2	Gross Proceeds Less Commissions	204,004.71
4	**Federal Income Tax Withheld**	**0.00**

Gross Proceeds from each of your 2007 securities trades are individually reported to the IRS. Refer to the 2007 Gross Proceeds detail section of this statement.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

You may reach a Tax Representative at 1-800-637-6326

2404

Merrill Lynch

Account No. Taxpay r No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

1099-OID 2007 ORIGINAL ISSUE DISCOUNT (OMB NO. [redacted]

Security Description	Cusip Number	Quantity	Position From	Held To	Issue Date	Maturity Date	LINE 1 1099 OID*	Remarks
ORIGINAL ISSUE DISCOUNT ON U.S. TREASURY OBLIGATIONS								
US TREAS INFLATION NOTE 03.625% JAN 15 2008	912827J7	17000	01/01/07	12/31/07	01/15/98	01/15/08	750.90	
		16000	01/01/07	12/31/07	01/15/98	01/15/08	706.73	
				Security Subtotal			***1,457.63***	
U.S. TRSY INFLATION NOTE 0.875% APR 15 2010	912828CZ1	23000	01/17/07	12/31/07	10/15/04	04/15/10	885.15	
U.S. TRSY INFLATION NOTE 3.00% JUL 15 2012	912828AF7	19000	01/01/07	12/31/07	07/15/02	07/15/12	754.08	
TOTAL OID ON U.S. TREASURY OBLIGATIONS (LINE 6 1099-OID)							**3,096.86**	
TOTAL ORIGINAL ISSUE DISCOUNT (SUM OF 1 & 6 1099-OID)							**3,096.86**	

* Amounts of OID are individually reported by security position to the IRS. These amounts may not always be the correct amounts to report on your income tax return.

IMPORTANT TAX INFORMATION

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sancti ns may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2405

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and the preparation of your tax return. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

Data from Forms 1099 (reportable Dividend and Interest) is also repeated in this summary for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL LOSSES								
NUVEEN EQTY PREM OPP	500.0000	06/12/07	12/31/07			8,094.52	9,498.35	(1,403.83)
AMERN SELECT PORTFOLIO	500.0000	06/12/07	12/31/07			5,565.56	6,311.35	(745.79)
PIMCO CORPORATE OPPTY FD	500.0000	06/12/07	12/31/07			6,624.54	7,550.35	(925.81)
EATON VANCE TAX MAN GLB	500.0000	06/12/07	12/31/07			8,494.51	10,048.35	(1,553.84)
J HANCOCK PREF INCOME 3	500.0000	06/12/07	12/31/07			8,919.51	10,705.35	(1,785.84)
NFJ DIV INT PREM STRAT	500.0000	06/12/07	12/31/07			11,209.47	12,860.35	(1,650.88)
			Subtotal			48,908.11	56,974.10	(8,065.99)
NET SHORT TERM CAPITAL GAIN (LOSS)								(8,065.99)
LONG TERM CAPITAL GAINS								
FED NAT'L MORTGAGE ASSOC	18000.0000s	03/24/06	10/15/07	(219.82)(A)	(436.30)(A)	18,000.00	18,000.00(C)	.00

2406

Merrill Lynch

Account No | Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
US TSY 3.750% MAR 31 200	38000.0000	03/24/06	04/02/07			38,000.00	37,620.00	380.00
			Subtotal			56,000.00	55,620.00	380.00
LONG TERM CAPITAL LOSSES								
EATON VANCE INS NY MUN	1000.0000	08/27/02	12/31/07			13,726.88	15,002.91	(1,276.03)
	800.0000	08/27/02	12/31/07			10,971.38	12,002.34	(1,030.96)
NUVEEN MULTI-STRATEGY	3333.0000	06/25/03	06/08/07			44,754.14	50,000.25	(5,246.11)
			Subtotal			69,452.40	77,005.50	(7,553.10)
NET LONG TERM CAPITAL GAIN (LOSS)								(7,173.10)
OTHER TRANSACTIONS								
U.S. TREASURY BILL	30000.0000	04/02/07	04/09/07			29,644.20	29,648.46	N/C
			Subtotal			29,644.20	29,648.46	
TOTAL CAPITAL GAINS AND LOSSES						204,004.71	219,248.06	(15,239.09)
TOTAL REPORTABLE GROSS PROCEEDS						204,004.71		
DIFFERENCE						.00 *		

Note: Capital gains and losses in this statement are not reported to the IRS.

* Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received).

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interest, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero coupon issues.

§ - Bonds purchased at a premium show amortization.

(A) - Year-to-Date and Life-to-Date amortization/accretion figures are net adjustments and may include original issue discount, and/or premium or market discount adjustments, if applicable. These figures assume that the client has made all elections to amortize bond premium and accrete market discount on a current basis. A negative figure indicates a net reduction in cost basis due to such factors as premium amortization.

(C) - The cost basis reflects adjustments for amortized and/or accreted amounts.

2407

Merrill Lynch

Account No

Taxpayer No

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

REALIZED CAPITAL GAIN AND LOSS SUMMARY

	SHORT TERM GAINS	SHORT TERM LOSSES	LONG TERM GAINS	LONG TERM LOSSES
TOTAL	.00	(8,065.99)	380.00	(7,553.10)

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
NUVEEN EQTY PREM OPP	500	07/02/07	Dividend	43.44	43.44	
	500	10/01/07	Dividend	43.44	43.44	
	500	12/31/07	Dividend	43.44	43.44	
			Security Subtotal	*130.32*	*130.32*	
AMERN SELECT PORTFOLIO	500	07/25/07	Dividend	40.00	.00	
	500	08/29/07	Dividend	40.00	.00	
	500	09/26/07	Dividend	40.00	.00	
	500	10/24/07	Dividend	40.00	.00	
	500	11/28/07	Dividend	40.00	.00	
	500	12/26/07	Dividend	40.00	.00	
	500	01/10/08	Dividend	32.50	.00	PAY IN 2008/REP IN 2007
			Security Subtotal	*272.50*	*.00*	
BLDRS EMER MKTS 50 ADR INDEX	140	07/31/07	Dividend	54.26	.00	
	140	10/31/07	Dividend	34.94	.00	
	140	12/31/07	Dividend	22.13	.00	
			Security Subtotal	*111.33*	*.00*	
PIMCO CORPORATE OPPTY FD	500	08/01/07	Dividend	55.11	.00	
	500	09/04/07	Dividend	55.11	.00	
	500	10/01/07	Dividend	55.11	.00	
	500	11/01/07	Dividend	55.11	.00	
	500	12/03/07	Dividend	55.11	.00	
	500	12/31/07	Dividend	57.50	.00	
			Security Subtotal	*333.05*	*.00*	
EATON VANCE TAX MAN GLB BUY WRT OPP FD	500	06/29/07	Foreign Tax	(3.10)	.00	PAY DATE 06/29/2007
	500	06/29/07	Foreign Dividend	12.81	12.81	
	500	06/29/07	Short Term Capital Gain	7.36	7.36	
	500	09/28/07	Foreign Tax	(3.10)	.00	PAY DATE 09/28/2007
	500	09/28/07	Foreign Dividend	3.10	3.10	
	500	09/28/07	Short Term Capital Gain	8.62	8.62	
	500	12/31/07	Foreign Tax	(3.10)	.00	PAY DATE 12/31/2007
	500	12/31/07	Foreign Dividend	3.10	3.10	
			Security Subtotal	*25.69*	*34.99*	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
J HANCOCK PREF INCOME 3 INCOME FUND III	500	07/31/07	Dividend	66.00	28.74	
	500	08/31/07	Dividend	66.00	28.74	
	500	09/28/07	Dividend	66.00	28.74	
	500	10/31/07	Dividend	66.00	28.74	
	500	11/30/07	Dividend	66.00	28.74	
	500	12/31/07	Dividend	66.00	28.74	
	500	12/31/07	Short Term Capital Gain	98.90	43.07	
			Security Subtotal	***494.90***	***215.51***	
NUVEEN PREF CONV INC 2 FD 2	3333	02/01/07	Dividend	219.75	38.43	
	3333	02/01/07	Short Term Capital Gain	78.65	13.76	
	3333	03/01/07	Dividend	219.75	38.43	
	3333	03/01/07	Short Term Capital Gain	78.65	13.76	
	3333	04/02/07	Dividend	219.75	38.43	
	3333	04/02/07	Short Term Capital Gain	78.65	13.76	
			Security Subtotal	***895.20***	***156.57***	
NFJ DIV INT PREM STRAT AND PREMIUM STRATEGY FD	500	06/29/07	Dividend	163.71	54.35	
	500	06/29/07	Short Term Capital Gain	98.79	32.80	
	500	09/28/07	Dividend	124.23	41.25	
	500	09/28/07	Short Term Capital Gain	138.27	45.91	
	500	01/04/08	Dividend	151.06	50.15	PAY IN 2008/REP IN 2007
	500	01/04/08	Short Term Capital Gain	51.15	16.98	PAY IN 2008/REP IN 2007
			Security Subtotal	***727.21***	***241.44***	
WISDOMTREE TR INTL BASIC MATERIALS SECTO	175	12/21/07	Foreign Dividend	49.01	49.01	
	175	12/21/07	Short Term Capital Gain	.14	.14	
	175	12/21/07	Foreign Tax	(3.18)	.00	PAY DATE 12/21/2007
			Security Subtotal	***45.97***	***49.15***	
WISDOMTREE INTL COMM SECTO	195	12/21/07	Foreign Dividend	173.55	173.55	
	195	12/21/07	Short Term Capital Gain	.35	.35	
	195	12/21/07	Foreign Tax	(16.81)	.00	PAY DATE 12/21/2007
			Security Subtotal	***157.09***	***173.90***	

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
WISDOMTREE INTL IND SEC	180	12/21/07	Foreign Dividend	90.35	90.35	
	180	12/21/07	Short Term Capital Gain	5.12	5.12	
	180	12/21/07	Foreign Tax	(8.76)	.00	PAY DATE 12/21/2007
			Security Subtotal	*86.71*	*95.47*	
MARKET VECTORS ETF TR ENVIRONMENTAL SVCS	125	12/31/07	Dividend	67.50	38.50	
MARKET VECTORS RUSSIA	160	12/31/07	Foreign Dividend	22.50	22.50	
	160	12/31/07	Foreign Tax	(4.90)	.00	PAY DATE 12/31/2007
			Security Subtotal	*17.60*	*22.50*	
ISHARES MSCI BRAZIL FREE INDEX FUND	105	01/04/08	Foreign Dividend	93.73	6.81	PAY IN 2008/REP IN 2007
	105	01/04/08	Short Term Capital Gain	13.48	.00	PAY IN 2008/REP IN 2007
	105	01/04/08	Foreign Tax	(12.43)	.00	PAY IN 2008/REP IN 2007
			Security Subtotal	*94.78*	*6.81*	
ROCHESTER MUNICIPAL FDS CL B		01/24/07	Dividend	2.50	.00	
		02/21/07	Dividend	2.24	.00	
		03/28/07	Dividend	2.12	.00	
		04/26/07	Dividend	2.24	.00	
		05/23/07	Dividend	2.24	.00	
		06/27/07	Dividend	2.13	.00	
		07/25/07	Dividend	2.24	.00	
		08/29/07	Dividend	2.14	.00	
		09/26/07	Dividend	2.25	.00	
		10/24/07	Dividend	2.26	.00	
		11/28/07	Dividend	2.15	.00	
		12/31/07	Dividend	2.15	.00	
			Security Subtotal	*26.66*	*.00*	
TOTAL DIVIDEND ACTIVITY				**3,486.51**		
TOTAL FOREIGN TAX PAID (LINE 6 1099-DIV)				**(55.38)**		
TOTAL ORDINARY DIVIDENDS (LINE 1A 1099-DIV)				**3,541.89**		
TOTAL QUALIFIED DIVIDENDS (LINE 1B 1099-DIV)					**1,165.16**	

2411

Merrill Lynch

Account No. Taxpay r No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
CAPITAL GAIN DISTRIBUTIONS					
LONG-TERM CAPITAL GAINS DISTRIBUTIONS					
AMERN SELECT PORTFOLIO	500	01/10/08	Long Term Capital Gain	23.94	PAY IN 2008/REP IN 2007
EATON VANCE TAX MAN GLB BUY WRT OPP FD	500	09/28/07	Long Term Capital Gain	8.45	
	500	12/31/07	Long Term Capital Gain	17.07	
			Security Subtotal	***25.52***	
NUVEEN PREF CONV INC 2 FD 2	3333	02/01/07	Long Term Capital Gain	3.80	
	3333	03/01/07	Long Term Capital Gain	3.80	
	3333	04/02/07	Long Term Capital Gain	3.80	
			Security Subtotal	***11.40***	
NFJ DIV INT PREM STRAT AND PREMIUM STRATEGY FD	500	01/04/08	Long Term Capital Gain	132.79	PAY IN 2008/REP IN 2007
ISHARES MSCI BRAZIL FREE INDEX FUND	105	01/04/08	Long Term Capital Gain	31.55	PAY IN 2008/REP IN 2007
TOTAL LONG-TERM CAPITAL GAINS DISTRIBUTIONS				**225.20**	
TOTAL CAPITAL GAIN DISTRIBUTIONS (LINE 2A 1099-DIV)				**225.20**	
NONDIVIDEND DISTRIBUTIONS					
NUVEEN EQTY PREM OPP	500	07/02/07	Prin Payment	178.56	
	500	10/01/07	Prin Payment	178.56	
	500	12/31/07	Prin Payment	178.56	
			Security Subtotal	***535.68***	
PIMCO CORPORATE OPPTY FD	500	08/01/07	Prin Payment	2.39	
	500	09/04/07	Prin Payment	2.39	
	500	10/01/07	Prin Payment	2.39	
	500	11/01/07	Prin Payment	2.39	
	500	12/03/07	Prin Payment	2.39	
			Security Subtotal	***11.95***	

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
EATON VANCE TAX MAN GLB BUY WRT OPP FD	500	06/29/07	Prin Payment	207.93	
	500	09/28/07	Prin Payment	207.93	
	500	12/31/07	Prin Payment	207.93	
			Security Subtotal	*623.79*	
NUVEEN PREF CONV INC 2 FD 2	3333	02/01/07	Prin Payment	14.44	
	3333	03/01/07	Prin Payment	14.44	
	3333	04/02/07	Prin Payment	14.44	
			Security Subtotal	*43.32*	
TOTAL NONDIVIDEND DISTRIBUTIONS (LINE 3 1099-DIV)				**1,214.74**	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
INTEREST					
FEDERAL NATL MTGE ASSN NOTES 06.000% MAY 15 2008		05/15/07	Interest	420.00	
		11/15/07	Interest	420.00	
			Security Subtotal	***840.00***	
FED NAT'L MORTGAGE ASSOC BONDS 06.625% OCT 15 2007		04/16/07	Interest	596.25	
		10/15/07	Interest	596.25	
			Security Subtotal	***1,192.50***	
FEDERAL HOME LN MTG CORP NOTES 05.125% OCT 15 2008		04/16/07	Interest	461.25	
		10/15/07	Interest	461.25	
			Security Subtotal	***922.50***	
ML BANK DEPOSIT PROGRAM		01/31/07	Bank Interest	10.95	
		02/28/07	Bank Interest	19.16	
		03/30/07	Bank Interest	30.43	
		04/30/07	Bank Interest	61.22	
		05/31/07	Bank Interest	72.81	
		06/29/07	Bank Interest	28.94	
		07/31/07	Bank Interest	5.61	
		08/31/07	Bank Interest	5.92	
		09/28/07	Bank Interest	6.39	
		10/31/07	Bank Interest	37.75	
		11/30/07	Bank Interest	68.01	
		12/31/07	Bank Interest	57.22	
			Security Subtotal	***404.41***	
TOTAL INTEREST ACTIVITY				**3,359.41**	
TOTAL INTEREST (LINE 1 1099-INT)				**3,359.41**	

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
INTEREST ON TREASURY NOTES					
U.S. TREASURY BOND 10.625% AUG 15 2015		08/15/07	Interest	956.25	
US TREAS INFLATION NOTE 03.625% JAN 15 2008		07/16/07	Interest	767.33	
U.S. TRSY INFLATION NOTE 0.875% APR 15 2010		04/17/07	Interest	107.78	
		10/16/07	Interest	110.55	
			Security Subtotal	*218.33*	
U.S. TRSY INFLATION NOTE 3.00% JUL 15 2012		07/16/07	Interest	328.52	
U.S. TREASURY NOTE 3.750% MAR 31 2007		04/02/07	Interest	712.50	
U.S. TREASURY BOND 09.000% NOV 15 2018		05/15/07	Interest	315.00	
		11/15/07	Interest	315.00	
			Security Subtotal	*630.00*	
TOTAL INTEREST ON TREASURY NOTES				**3,612.93**	
TOTAL INTEREST ON US SAVINGS BONDS AND TREASURY OBLIGATIONS (LINE 3 1099-INT)				**3,612.93**	

Merrill Lynch

Account No. Taxpayer No.

HON CAROLYN MCCARTHY

2007 TAX REPORTING STATEMENT

2007 REPORTABLE TAX EXEMPT INCOME

Security Description	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
TAX EXEMPT DIVIDENDS						
EATON VANCE INS NY MUN MUNICIPAL BOND FUND	1800	01/31/07	Dividend	107.40	.00	
	1800	02/28/07	Dividend	107.40	.00	
	1800	03/30/07	Dividend	107.40	.00	
	1800	04/30/07	Dividend	107.40	.00	
	1800	05/31/07	Dividend	107.40	.00	
	1800	06/29/07	Dividend	107.40	.00	
	1800	07/31/07	Dividend	105.90	.00	
	1800	08/31/07	Dividend	105.90	.00	
	1800	09/28/07	Dividend	105.90	.00	
	1800	10/31/07	Dividend	105.90	.00	
	1800	11/30/07	Dividend	105.90	.00	
	1800	12/28/07	Dividend	105.90	.00	
			Security Subtotal	***1,279.80***	***.00***	
ROCHESTER MUNICIPAL FDS CL B		01/24/07	Dividend	214.10	56.62	
		02/21/07	Dividend	192.10	50.80	
		03/28/07	Dividend	181.32	47.95	
		04/25/07	Dividend	191.95	50.77	
		05/23/07	Dividend	191.91	50.76	
		06/27/07	Dividend	182.45	48.25	
		07/25/07	Dividend	192.50	50.91	
		08/29/07	Dividend	183.48	48.52	
		09/26/07	Dividend	193.33	51.13	
		10/24/07	Dividend	194.17	51.35	
		11/28/07	Dividend	183.97	48.65	
		12/31/07	Dividend	184.60	48.82	
			Security Subtotal	***2,285.88***	***604.53***	
TOTAL TAX EXEMPT DIVIDENDS				**3,565.68**	**604.53**	

Merrill Lynch

Account No. Taxpayer No

HON CAROLYN MCCARTHY

2007 REPORTABLE TAX EXEMPT INCOME

Security Description	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
TAX EXEMPT INTEREST ON MUNICIPAL SECURITIES						
NEW YORK NYC TRANSN FIN		05/15/07	Interest	1,306.25	.00	
AT REV FUTURE TAX B OID		11/15/07	Interest	1,306.25	.00	
JAN98 04.750%NOV15 17						
			Security Subtotal	*2,612.50*	*.00*	
TOTAL TAX EXEMPT INTEREST ON MUNICIPAL SECURITIES				**2,612.50**	**.00**	
TOTAL TAX EXEMPT INCOME (LINE 8 1099-INT)				**6,178.18**		
TOTAL SPECIFIED PRIVATE ACTIVITY BOND INTEREST (LINE 9 1099-INT)					**604.53**	

END OF STATEMENT

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carolyn McCarthy

SP, DC, JT	BLOCK A Ass t and/or Income Sourc	BLOCK B Year-End Valu of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Rental house Westhampton, NY								X						X			

This page may be copied if more space is required.

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: C $15,001-$50,000	E $100,001-$250,000	G $500,001-$1,000,000	I $5,000,001-$25,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)	X	10-12-07	X				
	See attached brokerage statements							

This page may be copi d if more space is required.

2419

FWR PRC FINANCIAL CONSULTANTS COB 05/05/08

SECURITY ACTIVITY FC:

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION		PRICE	NET AMOUNT
01/04/07	BOT		10	IAMGOLD CORP	CO	8.4279	84
01/04/07	SLD		4C	SIEMENS AG	AD	98.3129	393C
01/04/07	SLD		78C	TAIWAN S MANUFCTRING	AD	10.8777	848C
01/03/07	BOT		5	BARCLAYS PLC	AD	59.4622	297
01/03/07	BOT		15	IAMGOLD CORP	CO	8.7190	130
01/03/07	SLD		4C	NESTLE S A REP RG SH	AD	88.5733	354C

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
01/22/07	SLD		13C	CANADIAN NATL RAILWAY C	43.9091	570C
01/22/07	SLD		10C	IPSCO INC	90.3553	903C
01/19/07	SLD		5C	CANADIAN NATL RAILWAY C	44.8992	224C
01/18/07	RDP		17,000C	US TSY INFLATION NOTE	.0000	21,638C
01/18/07	SLD		25C	AMDOCS LIMITED	33.6735	841C
01/18/07	SLD		45C	CORNING INC	19.3523	870C
01/18/07	BOT		10	KOOKMIN BANK NEW SPN AD	80.2126	802
01/17/07	CORR		17,000	US TSY INFLATION NOTE	.0000	17,000
01/17/07	SLD		5C	AMDOCS LIMITED	34.4088	172C
01/17/07	SLD		20C	CORNING INC	19.4345	388C
01/17/07	SLD		5C	FORTIS (NL)-SPONS ADR	41.8847	209C
01/17/07	BOT		19	IAMGOLD CORP CO	8.6991	165
01/17/07	SLD		12C	INTESA SANPAOLO SPON AD	44.7356	536C
01/16/07	RDP		17,000C	US TSY INFLATION NOTE	.0000	17,000C
01/16/07	BOT		23,000	U.S. TRSY INFLATION NOT	94.7343	23,247
01/16/07	BOT		15	IAMGOLD CORP CO	8.6360	129
01/16/07	SLD		10C	LADBROKES PLC SPON AD	8.6161	86C
01/12/07	BOT		25	ERICSSON LM TEL CL B AD	40.7014	1,017
01/12/07	BOT		20	IAMGOLD CORP CO	8.5739	171
01/12/07	SLD		15C	LADBROKES PLC SPON AD	8.5814	128C
01/12/07	SLD		24C	MITSUBISHI UFJ FINL GRP	12.6288	303C
01/12/07	SLD		15C	NORTHN TRUST CORP	61.4913	922C
01/11/07	XCHC		30C	BANTA CORP	.0000	1,095C
01/11/07	BOT		15	CISCO SYSTEMS INC CO	28.7743	431
01/11/07	SLD		25C	EOG RESOURCES INC	60.9592	1,523C
01/11/07	BOT		20	ERICSSON LM TEL CL B AD	40.2899	805
01/11/07	BOT		25	GENERAL ELECTRIC	37.5802	939
01/11/07	BOT		15	IAMGOLD CORP CO	8.3598	125
01/11/07	BOT		15	MEDTRONIC INC CO	54.0195	810
01/11/07	SLD		10C	NORTHN TRUST CORP	61.5099	615C
01/11/07	BOT		10	THERMO FISHER SCIENTIFI	47.8290	478
01/10/07	BOT		10	IAMGOLD CORP CO	8.3443	83
01/09/07	BOT		15	IAMGOLD CORP CO	8.4007	126
01/08/07	BOT		15	IAMGOLD CORP CO	8.3949	125
01/08/07	XCH		36	INTESA SANPAOLO SPON AD	.0000	0
01/08/07	SLD		40C	MARRIOTT INTL INC NEW A	45.6616	1,826C
01/08/07	BOT		25	PRECISION CASTPARTS	82.2426	2,056
01/08/07	XCH		35C	SAN PAOLO-IMI SPS SPDAD	.0000	0
01/05/07	BOT		9	HSBC HLDG PLC SP AD	91.4216	822
01/05/07	BOT		15	IAMGOLD CORP CO	8.2383	123
01/05/07	SLD		35C	FEDRTD DPT STORS DEL NE	36.9216	1,292C
01/05/07	SLD		10C	PHELPS DODGEPV6 25	116.1772	1,161C
01/05/07	BOT		60	TIME WARNER INC NE	22.2833	1,337
01/04/07	BOT		9	BARCLAYS PLC AD	59.5172	535

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
02/23/07	BOT		10	TEXAS INSTRUMENTS	32.2413	322
02/22/07	BOT		6	CONTINENTAL AG SPNRD AD	133.6022	801
02/22/07	BOT		3	NESTLE S A REP RG SH AD	95.7806	287
02/22/07	SLD		10C	SWEDBANK A B AD	38.1504	381C
02/21/07	SLD		16C	ADIDAS AG SPONSORED ADR	24.5487	392C
02/21/07	SLD		15C	LYONDELL CHEMICAL PV $1	32.2873	484C
02/20/07	SLD		10C	NOVARTIS ADR	58.7394	587C
02/20/07	BOT		25	TARGET CORP COM	64.1413	1,603
02/16/07	SLD		5C	ALLTEL CORP DEL	60.3032	301C
02/16/07	SLD		20C	LYONDELL CHEMICAL PV $1	31.5411	630C
02/15/07	BOT		30	DOW CHEMICAL CO	43.3706	1,301
02/15/07	SLD		10C	LYONDELL CHEMICAL PV $1	31.2853	312C
02/14/07	SLD		10C	DTE ENERGY COMPANY	48.3563	483C
02/14/07	SLD		26C	KELLWOOD CO	32.5126	845C
02/12/07	SLD		10C	DTE ENERGY COMPANY	46.9947	469C
02/08/07	SLD		5C	DTE ENERGY COMPANY	47.2820	236C
02/07/07	BOT		10	TXU CORP	56.3939	563
02/06/07	SLD		25C	BANK OF AMERICA CORP	52.9967	1,324C
02/06/07	BOT		15	CIT GROUP INC NEW	61.1477	917
02/06/07	BOT		10	COLGATE PALMOLIVE	67.1783	671
02/06/07	BOT		5	SCHLUMBERGER LTD	65.0791	325
02/06/07	BOT		15	STAPLES INC	26.0727	391
02/06/07	BOT		15	TEXAS INSTRUMENTS	31.1791	467
02/06/07	BOT		10	TXU CORP	56.3796	563
02/06/07	BOT		25	TIME WARNER INC NE	21.6488	541
02/06/07	BOT		10	UNITEDHEALTH GROUP INC	51.2364	512
02/05/07	SLD		5C	APPLE INC	84.4217	422C
02/05/07	BOT		15	CIT GROUP INC NEW	60.1739	902
02/05/07	SLD		6C	PEOPLES ENERGY CORP	44.2587	265C
02/02/07	SLD		10C	LINCOLN NTL CORP IND NP	68.2784	682C
02/01/07	BOT		3	KOOKMIN BANK NEW SPN AD	84.7912	254
02/01/07	SLD		106C	LADBROKES PLC SPON AD	8.7441	926C
02/01/07	SLD		14C	PEOPLES ENERGY CORP	44.0861	617C
01/31/07	SLD		5C	PEOPLES ENERGY CORP	43.7134	218C
01/30/07	SLD		15C	DTE ENERGY COMPANY	46.2799	694C
01/30/07	SLD		5C	PEOPLES ENERGY CORP	43.6920	218C
01/29/07	SLD		5C	PEOPLES ENERGY CORP	43.5005	217C
01/26/07	SLD		5C	PEOPLES ENERGY CORP	43.3716	216C
01/25/07	BOT		20	TXU CORP	54.7618	1,095
01/24/07	BOT		6	CEMEX SAB DE CV SPND AD	35.9956	215
01/24/07	SLD		5C	DTE ENERGY COMPANY	46.5887	232C
01/24/07	BOT		19	LAFARGE ADR NE	38.1154	724
01/23/07	BOT		5	CEMEX SAB DE CV SPND AD	35.5935	177
01/23/07	BOT		6	GRUPO TELEVISA SA ADR	29.0286	174

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

FINANCIAL CONSULTANTS
SECURITY ACTIVITY

COB 05/05/08
FC:

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
03/15/07	BOT		13	V F CORPORATION	81.1300	1,054
03/15/07	BOT		31	VERIZON COMMUNICATNS CO	36.1500	1,120
03/15/07	BOT		42	VCA ANTECH INC CO	36.0699	1,514
03/15/07	BOT		56	VERIFONE HOLDINGS INC	35.8500	2,007
03/15/07	BOT		11	VODAFONE GROUP PLC NEW	26.6000	292
03/15/07	SLD		40C	WD 40 COMPANY DELAWARE	31.6301	1,265C
03/15/07	BOT		64	WASHINGTON MUTUAL INC	40.3100	2,579
03/15/07	SLD		60C	WASHINGTON FEDL INC	23.2801	1,396C
03/15/07	BOT		26	WATERS CORP	56.1000	1,458
03/15/07	BOT		130	WINDSTREAM CORP	14.3500	1,865
03/15/07	BOT		28	WAL-MART STORES INC	45.7400	1,280
03/15/07	SLD		35C	WEIS MARKETS INC	43.6400	1,527C
03/15/07	BOT		17	WHIRLPOOL CORP	84.3000	1,433
03/15/07	SLD		40C	WELLS FARGO & CO NEW DE	34.0800	1,363C
03/15/07	BOT		23	WACHOVIA CORP NEW	54.9900	1,264
03/08/07	BOT		4	HSBC HLDG PLC SP AD	88.3904	353
03/08/07	SLD		86C	MITSUBISHI UFJ FINL GRP	11.9342	1,026C
03/08/07	BOT		52	ROYAL KPN N V SP ADR	14.9772	778
03/07/07	JE		14,000C	FEDERAL NATL MTGE ASSN	.0000	0
03/07/07	JE		18,000C	FED NAT'L MORTGAGE ASSO	.0000	0
03/07/07	JE		18,000C	FEDERAL HOME LN MTG COR	.0000	0
03/07/07	JE		18,000C	U.S. TREASURY BOND	.0000	0
03/07/07	JE		33,000C	US TREAS INFLATION NOTE	.0000	0
03/07/07	JE		23,000C	U.S. TRSY INFLATION NOT	.0000	0
03/07/07	JE		19,000C	U.S. TRSY INFLATION NOT	.0000	0
03/07/07	JE		38,000C	U.S. TREASURY NOTE	.0000	0
03/07/07	JE		7,000C	U.S. TREASURY BOND	.0000	0
03/07/07	BOT		5	ENNIS INC	25.8429	129
03/06/07	BOT		5	ENNIS INC	25.6209	128
03/06/07	DUDB		4	SHIP FIN INTL LTD	.0000	0
03/06/07	BOT		40	WAL-MART STORES INC	48.0274	1,921
03/05/07	SLD		25C	CIT GROUP INC NEW	52.7611	1,318C
03/05/07	BOT		35	HEWLETT PACKARD CO DE	38.9632	1,363
03/05/07	BOT		15	JOHNSON CONTROLS INC	95.5115	1,432
03/05/07	BOT		7	WORLD FUEL SERVICES CRP	41.7700	292
03/02/07	SLD		20C	INTL BUSINESS MACHINES	91.2106	1,824C
03/02/07	BOT		10	WORLD FUEL SERVICES CRP	41.0953	410
03/01/07	SLD		30C	AUTOMATIC DATA PROC	49.4538	1,483C
03/01/07	SLD		25C	EXXON MOBIL CORP CO	71.3578	1,783C
03/01/07	BOT		20	NATIONAL-OILWELL VARCO	69.8872	1,397
02/28/07	XCH		40	TRAVELERS COS INC	.0000	0
02/28/07	XCH		40C	ST. PAUL TRAVELERS COS	.0000	0
02/27/07	SLD		5C	CIT GROUP INC NEW	56.6640	283C
02/27/07	SLD		10C	THERMO FISHER SCIENTIFI	45.8442	458C

OR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
HIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
TATEMENT FOR TAX INFORMATION.

<MORE>

FWR PRC

14:17 05/06/08 PG 26
COB 05/05/08
FC:

FINANCIAL CONSULTANTS
SECURITY ACTIVITY

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
03/15/07	BOT		25	PEPSICO INC	62.8300	1,570
03/15/07	BOT		117	PFIZER INC DEL PV$0.05	24.8800	2,910
03/15/07	BOT		28	PRECISION CASTPARTS	99.5900	2,788
03/15/07	BOT		19	PROCTER & GAMBLE CO	61.9400	1,176
03/15/07	BOT		4	RWE AG SPONSORED ADR	100.2500	401
03/15/07	BOT		40	ROYAL KPN N V SP ADR	14.9736	598
03/15/07	BOT		41	REPUBLIC SERVICES INC	40.5100	1,660
03/15/07	BOT		95	RADIOSHACK CORP	25.8965	2,460
03/15/07	BOT		70	REGIONS FINL CORP	34.4600	2,412
03/15/07	BOT		22	REYNOLDS AMERICAN INC	60.0500	1,321
03/15/07	BOT		6	ROCHE HLDG LTD SPN AD	86.6500	519
03/15/07	SLD		67C	SENSIENT TECHNOLGY CORP	24.6200	1,649C
03/15/07	BOT		46	SEAGATE TECHNOLOGY	24.6100	1,132
03/15/07	BOT		25	SPX CORP COM	69.5500	1,738
03/15/07	BOT		33	SKF AB SPNSR KR12.50 AD	19.1740	632
03/15/07	SLD		40C	ST MARY LD & EXPL CO	34.6500	1,385C
03/15/07	BOT		23	SANDVIK AB AD	16.5500	380
03/15/07	BOT		97	STEELCASE INC 'A'	19.3600	1,877
03/15/07	BOT		3	SIEMENS AG AD	103.8400	311
03/15/07	BOT		12	SEMPRA ENERGY	60.3800	724
03/15/07	BOT		23	SCHLUMBERGER LTD	64.2300	1,477
03/15/07	BOT		28	SEPRACOR INC CO	48.2599	1,351
03/15/07	BOT		24	SAGE GROUP PLC UNSP AD	20.3000	487
03/15/07	BOT		41	SEGA SAMMY HOLDINGS INC	6.2500	256
03/15/07	BOT		34	SNAP ON INC COM	48.4100	1,645
03/15/07	BOT		62	STAPLES INC	25.4799	1,579
03/15/07	BOT		17	STANLEY WORKS	54.1700	920
03/15/07	BOT		20	SUPERVALU INC DEL CO	37.8300	756
03/15/07	BOT		300	SUN MICROSYSTEMS INC CO	6.2197	1,865
03/15/07	SLD		60C	SUSQUEHANNA BANCSHRS IN	22.7511	1,365C
03/15/07	BOT		56	TJX COS INC NEW	26.0900	1,461
03/15/07	BOT		19	TESORO CORP	97.7300	1,856
03/15/07	BOT		57	TEXAS INSTRUMENTS	31.6500	1,804
03/15/07	SLD		40C	TEEKAY SHIPPING CORP(MH	51.3300	2,053C
03/15/07	BOT		15	TARGET CORP COM	59.5300	892
03/15/07	BOT		11	TXU CORP	63.0000	693
03/15/07	BOT		61	TIME WARNER INC NE	19.3900	1,182
03/15/07	BOT		23	TRAVELERS COS INC	49.7300	1,143
03/15/07	BOT		31	THERMO FISHER SCIENTIFI	44.9300	1,392
03/15/07	BOT		2	TOYOTA MOTOR CORP ADR	130.2900	260
03/15/07	SLD		70C	UGI CORP NEW	25.4800	1,783C
03/15/07	BOT		26	UNITEDHEALTH GROUP INC	53.8700	1,400
03/15/07	BOT		18	UNITED TECHS CORP CO	64.2100	1,155
03/15/07	SLD		40C	UNIVERSAL CORP VA	56.4700	2,258C

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
03/15/07	SLD		179C	IAMGOLD CORP CO	7.5500	1,351C
03/15/07	BOT		9	INTL BUSINESS MACHINES	93.2900	839
03/15/07	BOT		38	INTL GAME TECHNOLOGY	38.9800	1,481
03/15/07	BOT		8	INTESA SANPAOLO SPON AD	42.5000	340
03/15/07	BOT		40	INTL RECTIFIER CORP	40.9100	1,636
03/15/07	BOT		20	JPMORGAN CHASE & CO	47.6700	953
03/15/07	BOT		22	JOHNSON AND JOHNSON CO	60.5400	1,331
03/15/07	BOT		11	JOHNSON CONTROLS INC	94.3900	1,038
03/15/07	BOT		32	KEYCORP NEW CO	36.8400	1,178
03/15/07	BOT		26	KEYSPAN CORP	40.9400	1,064
03/15/07	BOT		4	KOOKMIN BANK NEW SPN AD	88.0700	352
03/15/07	SLD		24C	KELLWOOD CO	31.5800	757C
03/15/07	SLD		25C	KENNAMETAL INC	63.6700	1,591C
03/15/07	BOT		12	KIMBERLY CLARK	67.0100	804
03/15/07	BOT		68	KROGER CO	26.6700	1,813
03/15/07	BOT		13	L-3 COMMNCTNS HLDGS	83.0700	1,079
03/15/07	SLD		30C	LINCOLN ELEC HLDGS INC	60.2000	1,805C
03/15/07	BOT		20	LAFARGE ADR NE	37.1600	743
03/15/07	BOT		16	LEGG MASON INC	96.5900	1,545
03/15/07	BOT		64	LINCOLN NTL CORP IND NP	66.1300	4,232
03/15/07	SLD		35C	LUBRIZOL CORP	51.0600	1,787C
03/15/07	SLD		35C	LYONDELL CHEMICAL PV $1	30.1900	1,056C
03/15/07	BOT		17	MORGAN STANLEY	74.8900	1,273
03/15/07	BOT		28	MONSANTO CO NEW DEL CO	52.5500	1,471
03/15/07	BOT		16	MARATHON OIL CORP	94.1400	1,506
03/15/07	BOT		40	MIRANT CORP NEW	38.4400	1,537
03/15/07	BOT		35	MCDONALDS CORP CO	43.4700	1,521
03/15/07	BOT		27	MEDTRONIC INC CO	49.2300	1,329
03/15/07	BOT		29	MERCK&CO INC	42.9200	1,244
03/15/07	SLD		75C	METHANEX CORP COM	24.9801	1,873C
03/15/07	BOT		75	MICROSOFT CORP	27.2700	2,045
03/15/07	BOT		4	NSK LTD ADR	89.5000	358
03/15/07	BOT		15	NATIONAL-OILWELL VARCO	73.3000	1,099
03/15/07	BOT		23	NOVARTIS ADR	56.3600	1,296
03/15/07	BOT		38	NCR CORP NEW	47.6400	1,810
03/15/07	BOT		60	NEWELL RUBBERMAID INC	30.4250	1,825
03/15/07	SLD		41C	NAT FUEL GAS CO NJ $1	41.4800	1,700C
03/15/07	BOT		5	NESTLE S A REP RG SH AD	95.3500	476
03/15/07	SLD		50C	OWENS &MINOR INC NEW CO	34.0009	1,699C
03/15/07	BOT		28	OCCIDENTAL PETE CORP CA	46.0000	1,288
03/15/07	BOT		17	POLO RALPH LAUREN CORP	84.3800	1,434
03/15/07	BOT		8	PETROCHINA CO LTD SP AD	111.6000	892
03/15/07	BOT		20	PRUDENTIAL FINANCIAL IN	88.9400	1,778
03/15/07	BOT		5	PETRLEO BRAS VTG SPD AD	87.4300	437

OR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
HIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
TATEMENT FOR TAX INFORMATION.

<MORE>

FINANCIAL CONSULTANTS
SECURITY ACTIVITY

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
03/15/07	BOT		5	CANON INC ADR REP5SH	52.8900	264
03/15/07	BOT		15	CEMEX SAB DE CV SPND AD	33.1800	497
03/15/07	BOT		33	COACH INC	49.0000	1,617
03/15/07	BOT		10	CHEVRON CORP	69.0100	690
03/15/07	BOT		16	CONOCOPHILLIPS	67.0400	1,072
03/15/07	BOT		50	CELANESE CORP DEL SER A	30.0500	1,502
03/15/07	BOT		31	CBS CORP NEW CL	30.0300	930
03/15/07	BOT		69	CISCO SYSTEMS INC CO	25.7900	1,779
03/15/07	BOT		49	COCA COLA COM	46.8700	2,296
03/15/07	BOT		19	COLGATE PALMOLIVE	65.1800	1,238
03/15/07	SLD		54C	COMMERCIAL METALS CO CO	28.0900	1,516C
03/15/07	BOT		2	CONTINENTAL AG SPNRD AD	122.5000	245
03/15/07	SLD		50C	CURTISS WRIGHT	37.1400	1,856C
03/15/07	BOT		23	DUKE REALTY CORP NEW	43.3400	996
03/15/07	BOT		12	DANAHER CORP DEL CO	70.9100	850
03/15/07	BOT		5	DASSAULT SYS SA SPN ADR	51.2800	256
03/15/07	BOT		4	DIAGEO PLC SPSD ADR NEW	75.8100	303
03/15/07	SLD		37C	DRS TECHNOLOGIES INC	52.3900	1,938C
03/15/07	BOT		4	DEUTSCHE BK AG REG SHS	123.3200	493
03/15/07	BOT		4	DEERE CO	111.0200	444
03/15/07	BOT		29	R R DONNELLEY SONS	36.0300	1,044
03/15/07	BOT		64	DOW CHEMICAL CO	45.8200	2,932
03/15/07	BOT		58	E M C CORPORATION MASS	12.9500	751
03/15/07	SLD		65C	EQUITY ONE	25.6400	1,666C
03/15/07	BOT		41	EATON VANCE CORP NVT	34.2200	1,403
03/15/07	BOT		24	EXELON CORPORATION	65.9300	1,582
03/15/07	BOT		24	EDWARDS A G INC	63.2800	1,518
03/15/07	BOT		36	ERICSSON LM TEL CL B AD	35.1600	1,265
03/15/07	BOT		21	EMERSON ELEC CO	42.3500	889
03/15/07	SLD		35C	ENERGEN CRP COM PV 1CEN	47.5300	1,663C
03/15/07	BOT		21	FREDDIE MAC	60.8500	1,277
03/15/07	SLD		45C	FRONTLINE LTD	33.0500	1,487C
03/15/07	BOT		32	FOREST LABS INC	50.4300	1,613
03/15/07	BOT		12	GRUPO TELEVISA SA ADR	27.9400	335
03/15/07	BOT		22	GLAXOSMITHKLINE PLC AD	54.7900	1,205
03/15/07	BOT		50	GRANT PRIDECO INC CO	45.7100	2,285
03/15/07	BOT		47	GENERAL ELECTRIC	34.5400	1,623
03/15/07	BOT		2	GOOGLE INC CL A	446.5100	893
03/15/07	SLD		40C	HEALTHCARE REALTY TR	35.9300	1,437C
03/15/07	BOT		5	HSBC HLDG PLC SP AD	85.9900	429
03/15/07	BOT		25	HEINZ H J CO PV 25CT	45.8000	1,145
03/15/07	BOT		27	HEWLETT PACKARD CO DE	39.5300	1,067
03/15/07	SLD		40C	HOLLY CORP COM $0 01	56.9100	2,276C
03/15/07	BOT		15	HONDA MOTOR ADR NEW	35.5300	532

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

FWR PRC FINANCIAL CONSULTANTS 14:17 05/06/08 PG 23
SECURITY ACTIVITY COB 05/05/08
ACCT: HON CAROLYN MCCARTHY PC:

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
03/19/07	SLD		24C	BOEHLER-UDDEHOLM SPN AD	23.6976	568C
03/19/07	BOT		5	TEVA PHARMACTCL INDS AD	36.4876	182
03/16/07	BOT		20	SONY CORP ADR NEW	51.2986	1,025
03/16/07	SLD		6,055C	PIMCO FIXED INCOME SHS	11.5800	70,116C
03/16/07	SLD		6,280C	PIMCO FIXED INCOME SHS	11.5300	72,408C
03/15/07	BOT		30	ABBOTT LABS	53.5300	1,605
03/15/07	BOT		17	ALLSTATE CORP DEL CO	59.5200	1,012
03/15/07	BOT		29	APOLLO GROUP INC CL A	45.7900	1,327
03/15/07	BOT		40	ALTRIA GROUP INC	84.7100	3,388
03/15/07	BOT		35	AIRGAS INC COM	41.2800	1,444
03/15/07	BOT		12	AXA AD	39.8700	478
03/15/07	BOT		23	AMPHENOL CORP CL A NEW	64.1500	1,475
03/15/07	BOT		49	ALLEGHENY ENERGY INC CO	47.6100	2,332
03/15/07	SLD		49C	AMERICAN FINL GRP HLDGS	33.3500	1,634C
03/15/07	BOT		30	AMDOCS LIMITED	35.1500	1,054
03/15/07	BOT		16	ALLTEL CORP DEL	61.3400	981
03/15/07	BOT		17	ALLEGHENY TECH INC	102.8100	1,747
03/15/07	BOT		18	AEON COMPANY LTD AD	19.3000	347
03/15/07	BOT		24	ASSURANT INC	52.8000	1,267
03/15/07	BOT		13	ADIDAS AG SPONSORED ADR	24.3000	315
03/15/07	BOT		15	AT& T INC	36.9500	554
03/15/07	BOT		29	AMER EXPRESS COMPANY	55.9800	1,623
03/15/07	BOT		21	AMER INTL GROUP INC	66.9900	1,406
03/15/07	BOT		38	ANADARKO PETE CORP	39.9400	1,517
03/15/07	BOT		17	ANHEUSR BUSCH COS INC	50.0300	850
03/15/07	BOT		13	APPLE INC	89.6100	1,164
03/15/07	BOT		24	BOEHLER-UDDEHOLM SPN AD	20.9000	501
03/15/07	BOT		16	BIOGEN IDEC INC	43.8200	701
03/15/07	BOT		6	BARCLAYS PLC AD	53.6000	321
03/15/07	BOT		22	BARD C R INC	79.4300	1,747
03/15/07	BOT		22	BANK OF AMERICA CORP	49.9500	1,098
03/15/07	BOT		13	BNP PARIBAS SPONSORD AD	50.8500	661
03/15/07	BOT		5	BT GROUP PLC AD	57.5200	287
03/15/07	BOT		30	BAXTER INTERNTL INC	51.2900	1,538
03/15/07	BOT		11	BECTON DICKINSON CO	74.5400	819
03/15/07	SLD		65C	BANCORPSOUTH INC	24.2438	1,575C
03/15/07	BOT		34	CNA FINANCIAL CORP DEL	42.0200	1,428
03/15/07	SLD		35C	CBL & ASSOC PPTYS INC	45.6000	1,595C
03/15/07	SLD		30C	CABOT OIL & GAS CORP	66.7600	2,002C
03/15/07	BOT		109	CADENCE DESIGN SYS INC	20.2599	2,209
03/15/07	SLD		5C	CREDIT SUISSE GP SP ADR	69.2000	345C
03/15/07	BOT		41	CANADIAN NATURAL RES LT	51.3600	2,105
03/15/07	BOT		60	CONVERGYS CORP	24.9900	1,499
03/15/07	BOT		51	CB RICHARD ELLIS GR INC	32.6800	1,666

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

14:17 05/06/08 PG 22
PWR PRC FINANCIAL CONSULTANTS COB 05/05/08
SECURITY ACTIVITY FC:
ACCT: ION CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
04/10/07	BOT		10	C.H. ROBINSON WORLDWIDE	48.5520	485
04/10/07	BOT		10	DRS TECHNOLOGIES INC	50.9389	509
04/10/07	SLD		30C	HARSCO CORPORATION	45.1648	1,354C
04/10/07	BOT		15	MEMC ELECTR MATLS INC	60.1291	901
04/10/07	SLD		25C	STEELCASE INC 'A'	19.7799	494C
04/10/07	BOT		8	TEVA PHARMACTCL INDS AD	37.9354	303
04/05/07	DIV		23	AMPHENOL CORP CL A NEW	.0000	0
04/05/07	DUDB		23C	AMPHENOL CORP CL A NEW	.0000	0
04/05/07	DIV		44	KRAFT FOODS INC VA CL	.0000	0
04/05/07	DUDB		44C	KRAFT FOODS INC VA CL	.0000	0
04/04/07	SLD		35C	LINCOLN NTL CORP IND NP	67.4427	2,360C
04/04/07	SLD		15C	MARATHON OIL CORP	100.6821	1,510C
04/04/07	BOT		15	PETRLEO BRAS VTG SPD AD	100.1393	1,502
04/02/07	BOT		10	ADOBE SYS DEL PV$ 0.001	41.4111	414
04/02/07	DUDB		23	AMPHENOL CORP CL A NEW	.0000	0
04/02/07	SLD		36C	BIOGEN IDEC INC	44.2230	1,592C
04/02/07	SLD		38C	COCA COLA COM	48.3154	1,835C
04/02/07	BOT		5	DANAHER CORP DEL CO	71.3114	356
04/02/07	DUDB		44	KRAFT FOODS INC VA CL	.0000	0
03/30/07	BOT		15	ADOBE SYS DEL PV$ 0.001	41.6291	624
03/30/07	SLD		10C	BIOGEN IDEC INC	44.4122	444C
03/30/07	SLD		35C	COCA COLA COM	48.0272	1,680C
03/30/07	BOT		5	DANAHER CORP DEL CO	71.4490	357
03/30/07	BOT		80	E M C CORPORATION MASS	13.8878	1,111
03/30/07	BOT		20	EMERSON ELEC CO	43.0949	861
03/30/07	DIV		20	HARSCO CORPORATION	.0000	0
03/30/07	DUDB		20C	HARSCO CORPORATION	.0000	0
03/27/07	BOT		53	ACERGY S A SPON ADR	20.3890	1,080
03/27/07	SLD		9C	BOEHLER-UDDEHOLM SPN AD	23.9000	215C
03/27/07	DUDB		20	HARSCO CORPORATION	.0000	0
03/26/07	SLD		30C	MASCO CORP	27.9147	837C
03/23/07	SLD		30C	MASCO CORP	28.1137	843C
03/23/07	BOT		65	NORFOLK SOUTHERN CORP	51.1051	3,321
03/23/07	BOT		18	TEVA PHARMACTCL INDS AD	37.3730	672
03/22/07	BOT		60	ADOBE SYS DEL PV$ 0.001	42.9520	2,577
03/22/07	SLD		10C	PRUDENTIAL FINANCIAL IN	90.8470	908C
03/22/07	DIV		20	REPUBLIC SERVICES INC	.0000	0
03/22/07	DIV		4	SHIP FIN INTL LTD	.0000	0
03/22/07	DUDB		4C	SHIP FIN INTL LTD	.0000	0
03/21/07	BOT		86	NATL BK GREECE SA SPNAD	10.8760	935
03/21/07	BOT		5	TEVA PHARMACTCL INDS AD	36.4811	182
03/20/07	SLD		20C	BARCLAYS PLC AD	55.0090	1,100C
03/20/07	BOT		10	NATL BK GREECE SA SPNAD	10.8581	108
03/20/07	SLD		80C	NOMURA HLDGS INC AD	21.3745	1,709C

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

14:17 05/06/08 PG 21

FWR PRC FINANCIAL CONSULTANTS COB 05/05/08

SECURITY ACTIVITY FC:

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
05/01/07	BOT		50	KRAFT FOODS INC VA CL	33.4857	1,674
05/01/07	BOT		23	NUTRI SYSTEM INC NEW CO	60.8377	1,399
05/01/07	SLD		47C	RADIOSHACK CORP	28.6934	1,348C
05/01/07	BOT		22	TIDEWATER INC COM NE	63.1275	1,388
04/27/07	SLD		15C	DASSAULT SYS SA SPN ADR	56.9766	854C
04/27/07	SLD		18C	HSBC HLDG PLC SP AD	92.4776	1,664C
04/27/07	BOT		37	SOCIETE GENERAL SPN ADR	42.1685	1,560
04/27/07	SLD		55C	STAPLES INC	25.3615	1,394C
04/26/07	BOT		20	STATE STREET CORP	69.0600	1,381
04/25/07	BOT		10	ALTRIA GROUP INC	70.0827	700
04/25/07	BOT		185	INTEL CORP	22.0943	4,087
04/25/07	BOT		30	STATE STREET CORP	69.3084	2,079
04/24/07	SLD		112C	GENERAL ELECTRIC	34.7126	3,887C
04/24/07	SLD		30C	PRUDENTIAL FINANCIAL IN	93.7962	2,813C
04/23/07	BOT		55	CISCO SYSTEMS INC CO	26.5759	1,461
04/23/07	SLD		60C	MICROSOFT CORP	28.8728	1,732C
04/23/07	SLD		10C	PRUDENTIAL FINANCIAL IN	94.0411	940C
04/20/07	SLD		5C	DASSAULT SYS SA SPN ADR	55.5551	277C
04/20/07	BOT		10	ORIX CORPORATION SP AD	135.5440	1,355
04/18/07	BOT		20	ADOBE SYS DEL PV$ 0.001	42.9681	859
04/18/07	SLD		21C	BT GROUP PLC AD	62.5968	1,314C
04/18/07	BOT		49	ROYAL KPN N V SP ADR	17.1943	842
04/18/07	SLD		30C	WINDSTREAM CORP	14.6302	438C
04/18/07	CORR		30	WINDSTREAM CORP	.0000	498
04/17/07	SLD		6C	KOOKMIN BANK NEW SPN AD	92.5402	555C
04/16/07	SLD		27C	SANDVIK AB AD	18.7936	507C
04/16/07	BOT		9	SONY CORP ADR NEW	54.9090	494
04/16/07	SLD		33C	WINDSTREAM CORP	14.6610	483C
04/13/07	SLD		95C	WINDSTREAM CORP	14.5414	1,381C
04/12/07	SLD		19C	ALLEGHENY ENERGY INC CO	49.3379	937C
04/12/07	BOT		5	DANAHER CORP DEL CO	70.5476	352
04/12/07	BOT		80	KRAFT FOODS INC VA CL	32.6148	2,609
04/12/07	SLD		20C	MARATHON OIL CORP	101.6945	2,033C
04/12/07	BOT		15	PETRLEO BRAS VTG SPD AD	103.5804	1,553
04/12/07	SLD		30C	WINDSTREAM CORP	16.6302	498C
04/11/07	SLD		30C	ALLEGHENY ENERGY INC CO	49.9210	1,497C
04/11/07	BOT		19	ANNTAYLOR STRS CORP	39.2465	745
04/11/07	BOT		17	C.H. ROBINSON WORLDWIDE	48.7882	829
04/11/07	BOT		16	DRS TECHNOLOGIES INC	51.2546	820
04/11/07	SLD		10C	HARSCO CORPORATION	45.9702	459C
04/11/07	BOT		30	JACOBS ENGN GRP INC DEL	49.2809	1,478
04/11/07	BOT		24	MEMC ELECTR MATLS INC	60.8906	1,461
04/11/07	SLD		72C	STEELCASE INC 'A'	19.5886	1,410C
04/10/07	BOT		15	ANNTAYLOR STRS CORP	38.8674	583

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
05/11/07	SLD		11C	SAGE GROUP PLC UNSP AD	20.3500	223C
05/11/07	SLD		4C	SHIP FIN INTL LTD	30.8000	123C
05/11/07	SLD		35C	SWEDBANK A B AD	37.5000	1,312C
05/11/07	SLD		8C	STANLEY WORKS	59.9700	479C
05/11/07	SLD		20C	SUPERVALU INC DEL CO	46.6100	932C
05/11/07	SLD		52C	SUN MICROSYSTEMS INC CO	5.1500	267C
05/11/07	SLD		8C	TJX COS INC NEW	28.1600	225C
05/11/07	SLD		2C	TESORO CORP	119.4200	238C
05/11/07	SLD		18C	TEXAS INSTRUMENTS	36.6100	658C
05/11/07	SLD		5C	TARGET CORP COM	58.3000	291C
05/11/07	SLD		6C	TXU CORP	66.4200	398C
05/11/07	SLD		19C	TIME WARNER INC NE	21.3800	406C
05/11/07	SLD		11C	TRAVELERS COS INC	56.5700	622C
05/11/07	SLD		9C	THERMO FISHER SCIENTIFI	53.6900	483C
05/11/07	SLD		8C	UNITEDHEALTH GROUP INC	53.4800	427C
05/11/07	SLD		7C	UNITED TECHS CORP CO	68.2800	477C
05/11/07	SLD		5C	V F CORPORATION	88.2500	441C
05/11/07	SLD		15C	VERIZON COMMUNICATNS CO	41.3100	619C
05/11/07	SLD		7C	VERIFONE HOLDINGS INC	37.5100	262C
05/11/07	SLD		11C	VODAFONE GROUP PLC NEW	28.3600	311C
05/11/07	SLD		17C	WASHINGTON MUTUAL INC	42.9300	729C
05/11/07	SLD		17C	WORLD FUEL SERVICES CRP	39.8500	677C
05/11/07	SLD		19C	WINDSTREAM CORP	14.6700	278C
05/11/07	SLD		10C	WAL-MART STORES INC	47.6700	476C
05/11/07	SLD		10C	WHIRLPOOL CORP	112.3842	1,123C
05/11/07	SLD		4C	WACHOVIA CORP NEW	56.1000	224C
05/10/07	BOT		10	KRAFT FOODS INC VA CL	32.7911	327
05/10/07	SLD		6C	PETRLEO BRAS VTG SPD AD	100.4379	602C
05/09/07	SLD		5C	HEINZ H J CO PV 25CT	46.7283	233C
05/09/07	BOT		20	KRAFT FOODS INC VA CL	32.7419	654
05/07/07	BOT		15	BP PLC SPON AD	68.3892	1,025
05/07/07	SLD		28C	SKF AB SPNSR KR12.50 AD	22.4499	628C
05/04/07	BOT		10	BP PLC SPON AD	68.5978	685
05/04/07	BOT		3	SONY CORP ADR NEW	53.9922	161
05/04/07	SLD		2C	TOYOTA MOTOR CORP ADR	120.8903	241C
05/03/07	BOT		30	BURLNGTN N SNTA FE$0.01	89.4855	2,684
05/03/07	SLD		97C	STAPLES INC	24.9919	2,424C
05/03/07	SLD		195C	SUN MICROSYSTEMS INC CO	5.1354	1,001C
05/01/07	SLD		24C	ASSURANT INC	57.4014	1,377C
05/01/07	BOT		29	ANNTAYLOR STRS CORP	37.7493	1,094
05/01/07	BOT		23	EXPRESS SCRIPTS INC CO	94.3566	2,170
05/01/07	SLD		35C	HEINZ H J CO PV 25CT	46.6707	1,633C
05/01/07	BOT		65	HOME DEPOT INC	38.0943	2,476
05/01/07	SLD		38C	INTL GAME TECHNOLOGY	38.0388	1,445C

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
05/11/07	SLD		6C	HOME DEPOT INC	38.7300	232C
05/11/07	SLD		9C	HONDA MOTOR ADR NEW	34.0100	306C
05/11/07	SLD		15C	INTEL CORP	22.2201	333C
05/11/07	SLD		5C	INTL BUSINESS MACHINES	105.7700	528C
05/11/07	SLD		5C	INTESA SANPAOLO SPON AD	49.5500	247C
05/11/07	SLD		8C	JPMORGAN CHASE & CO	52.0000	415C
05/11/07	SLD		7C	JOHNSON AND JOHNSON CO	62.1900	435C
05/11/07	SLD		4C	JOHNSON CONTROLS INC	109.6900	438C
05/11/07	SLD		22C	KRAFT FOODS INC VA CL	32.5100	715C
05/11/07	SLD		6C	KIMBERLY CLARK	71.2400	427C
05/11/07	SLD		9C	KROGER CO	28.8400	259C
05/11/07	SLD		3C	L-3 COMMNCTNS HLDGS	94.6200	283C
05/11/07	SLD		15C	LAFARGE ADR NE	42.6072	639C
05/11/07	SLD		13C	LINCOLN NTL CORP IND NP	73.6200	957C
05/11/07	SLD		5C	MEMC ELECTR MATLS INC	60.7600	303C
05/11/07	SLD		7C	MORGAN STANLEY	85.0000	594C
05/11/07	SLD		10C	MONSANTO CO NEW DEL CO	58.3700	583C
05/11/07	SLD		3C	MARATHON OIL CORP	107.4800	322C
05/11/07	SLD		5C	MIRANT CORP NEW	45.4700	227C
05/11/07	SLD		9C	MATTEL INC CO	29.1800	262C
05/11/07	SLD		12C	MCDONALDS CORP CO	50.5600	606C
05/11/07	SLD		8C	MEDTRONIC INC CO	53.3800	427C
05/11/07	SLD		16C	MERCK&CO INC	51.9100	830C
05/11/07	SLD		12C	MICROSOFT CORP	30.8700	370C
05/11/07	SLD		3C	NSK LTD ADR	100.5000	301C
05/11/07	SLD		5C	NATIONAL-OILWELL VARCO	91.4000	456C
05/11/07	SLD		6C	NOVARTIS ADR	57.3000	343C
05/11/07	SLD		5C	NCR CORP NEW	51.6100	258C
05/11/07	SLD		8C	NEWELL RUBBERMAID INC	31.2600	250C
05/11/07	SLD		10C	NORFOLK SOUTHERN CORP	55.1500	551C
05/11/07	SLD		11C	OCCIDENTAL PETE CORP CA	51.9100	571C
05/11/07	SLD		5C	PETROCHINA CO LTD SP AD	129.0200	645C
05/11/07	SLD		8C	PEPSICO INC	66.7400	533C
05/11/07	SLD		34C	PFIZER INC DEL PV$0.05	27.0100	918C
05/11/07	SLD		5C	PRECISION CASTPARTS	110.8300	554C
05/11/07	SLD		6C	PROCTER & GAMBLE CO	61.6000	369C
05/11/07	SLD		3C	RWE AG SPONSORED ADR	112.2500	336C
05/11/07	SLD		8C	REPUBLIC SERVICES INC	28.6400	229C
05/11/07	SLD		17C	REGIONS FINL CORP	36.1000	613C
05/11/07	SLD		6C	REYNOLDS AMERICAN INC	64.7300	388C
05/11/07	SLD		4C	ROCHE HLDG LTD SPN AD	93.7500	374C
05/11/07	SLD		3C	SPX CORP COM	80.0100	240C
05/11/07	SLD		5C	SEMPRA ENERGY	62.0700	310C
05/11/07	SLD		7C	SCHLUMBERGER LTD	74.3400	520C

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

14:17 05/06/08 PG 18

FWR PRC FINANCIAL CONSULTANTS COB 05/05/08

SECURITY ACTIVITY FC

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
05/11/07	SLD		8C	AMER EXPRESS COMPANY	62.7900	502C
05/11/07	SLD		7C	AMER INTL GROUP INC	72.5500	507C
05/11/07	SLD		13C	ANADARKO PETE CORP	45.5900	592C
05/11/07	SLD		4C	APPLE INC	108.9400	435C
05/11/07	SLD		5C	BURLNGTN N SNTA FE$0.01	89.8800	449C
05/11/07	SLD		26C	BOEHLER-UDDEHOLM SPN AD	24.0500	625C
05/11/07	SLD		3C	BARD C R INC	82.1900	246C
05/11/07	BOT		6	BP PLC SPON AD	66.4074	398
05/11/07	SLD		6C	BNP PARIBAS SPONSORD AD	63.5500	381C
05/11/07	SLD		9C	BAXTER INTERNTL INC	56.7100	510C
05/11/07	SLD		4C	BECTON DICKINSON CO	78.1700	312C
05/11/07	SLD		14C	CADENCE DESIGN SYS INC	22.1300	309C
05/11/07	SLD		3C	CREDIT SUISSE GP SP ADR	76.8000	230C
05/11/07	SLD		12C	CANADIAN NATURAL RES LT	63.6500	763C
05/11/07	SLD		7C	CB RICHARD ELLIS GR INC	37.4400	262C
05/11/07	SLD		11C	CEMEX SAB DE CV SPND AD	34.4500	378C
05/11/07	SLD		5C	COACH INC	47.7700	238C
05/11/07	SLD		8C	CHEVRON CORP	79.9800	639C
05/11/07	SLD		4C	CONOCOPHILLIPS	70.2400	280C
05/11/07	SLD		11C	CBS CORP NEW CL	31.7600	349C
05/11/07	SLD		19C	CISCO SYSTEMS INC CO	26.6100	505C
05/11/07	SLD		10C	COCA COLA COM	52.6500	526C
05/11/07	SLD		6C	COLGATE PALMOLIVE	66.9200	401C
05/11/07	SLD		9C	DANAHER CORP DEL CO	71.0500	639C
05/11/07	SLD		3C	DIAGEO PLC SPSD ADR NEW	84.0000	251C
05/11/07	SLD		3C	DEUTSCHE BK AG REG SHS	159.6200	478C
05/11/07	SLD		4C	DEERE CO	119.8400	479C
05/11/07	SLD		19C	R R DONNELLEY SONS	42.9600	816C
05/11/07	SLD		7C	DOW CHEMICAL CO	45.7500	320C
05/11/07	SLD		36C	E M C CORPORATION MASS	15.3200	551C
05/11/07	SLD		7C	EXELON CORPORATION	76.4400	535C
05/11/07	SLD		3C	EDWARDS A G INC	74.9300	224C
05/11/07	SLD		10C	ERICSSON LM TEL CL B AD	37.5401	375C
05/11/07	SLD		11C	EMERSON ELEC CO	46.0300	506C
05/11/07	SLD		10C	ENNIS INC	23.2300	232C
05/11/07	SLD		7C	FREDDIE MAC	66.6900	466C
05/11/07	SLD		25C	FORTIS (NL)-SPONS ADR	44.4500	1,111C
05/11/07	SLD		8C	GRUPO TELEVISA SA ADR	29.0300	232C
05/11/07	SLD		5C	GLAXOSMITHKLINE PLC AD	56.7900	283C
05/11/07	BOT		25	GLOBALSANTAFE CORP	65.6682	1,641
05/11/07	SLD		7C	GRANT PRIDECO INC CO	54.3600	380C
05/11/07	SLD		1C	GOOGLE INC CL A	466.8000	466C
05/11/07	SLD		5C	HEINZ H J CO PV 25CT	45.7526	228C
05/11/07	SLD		8C	HEWLETT PACKARD CO DE	45.2200	361C

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

14:17 05/06/08 PG 17

FWR PRC FINANCIAL CONSULTANTS COB 05/05/08

SECURITY ACTIVITY FC:

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION		PRICE	NET AMOUNT
06/06/07	BOT		23	DARDEN RESTAURANTS INC		46.4690	1,068
06/06/07	BOT		15	GANNETT CO		58.7670	881
06/06/07	SLD		5C	SUPERVALU INC DEL	CO	47.9609	239C
06/05/07	SLD		29C	APOLLO GROUP INC CL A		47.0895	1,365C
06/05/07	BOT		5	AIRGAS INC COM		42.4499	212
06/05/07	BOT		108	CITIZENS COMMNCTNS CO		15.6579	1,691
06/05/07	BOT		25	DARDEN RESTAURANTS INC		46.7510	1,168
06/05/07	BOT		5	DRS TECHNOLOGIES INC		52.9006	264
06/05/07	SLD		10C	DEERE CO		120.9591	1,209C
06/05/07	SLD		21C	EDWARDS A G INC		87.0764	1,828C
06/05/07	BOT		35	GLAXOSMITHKLINE PLC	AD	51.7983	1,812
06/05/07	SLD		7C	GRANT PRIDECO INC	CO	57.0856	399C
06/05/07	BOT		8	INTL RECTIFIER CORP		35.4956	283
06/05/07	SLD		28C	SEPRACOR INC	CO	48.4713	1,357C
06/05/07	SLD		10C	SUPERVALU INC DEL	CO	48.3125	483C
06/04/07	SLD		15C	SUPERVALU INC DEL	CO	48.3019	724C
06/04/07	DIV		17	TESORO CORP		.0000	0
06/04/07	DUDB		17C	TESORO CORP		.0000	0
05/30/07	DUDB		17	TESORO CORP		.0000	0
05/24/07	BOT		10	GANNETT CO		58.3442	583
05/23/07	BOT		10	GANNETT CO		58.4446	584
05/23/07	SLD		20C	SUPERVALU INC DEL	CO	47.0332	940C
05/23/07	SLD		7C	WHIRLPOOL CORP		113.2352	792C
05/22/07	BOT		5	GANNETT CO		58.4710	292
05/22/07	SLD		10C	WHIRLPOOL CORP		113.0733	1,130C
05/21/07	SLD		15C	PEPSICO INC		69.0399	1,035C
05/21/07	SLD		15C	SUPERVALU INC DEL	CO	46.5463	698C
05/18/07	BOT		20	GLOBALSANTAFE CORP		67.6196	1,352
05/16/07	BOT		12	CENTRICA PLC		78.3259	939
05/16/07	SLD		10C	HEINZ H J CO PV 25CT		45.9147	459C
05/15/07	SLD		5C	HEINZ H J CO PV 25CT		46.0958	230C
05/15/07	SLD		205C	SEGA SAMMY HOLDINGS INC		4.6769	958C
05/14/07	SLD		10C	HEINZ H J CO PV 25CT		45.8463	458C
05/14/07	SLD		11C	KOOKMIN BANK NEW SPN	AD	90.1610	991C
05/14/07	SLD		10C	WHIRLPOOL CORP		112.1181	1,121C
05/11/07	SLD		9C	ABBOTT LABS		57.6700	519C
05/11/07	SLD		6C	ALLSTATE CORP DEL	CO	63.4400	380C
05/11/07	SLD		9C	ADOBE SYS DEL PV$ 0.001		41.6901	375C
05/11/07	SLD		9C	AXA	AD	45.6600	410C
05/11/07	SLD		8C	AMDOCS LIMITED		36.5100	292C
05/11/07	SLD		5C	ALLTEL CORP DEL		65.8300	329C
05/11/07	SLD		2C	ALLEGHENY TECH INC		114.9300	229C
05/11/07	SLD		13C	AEON COMPANY LTD	AD	18.7500	243C
05/11/07	SLD		11C	AT& T INC		39.5100	434C

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

14:17 05/06/08 PG 16

FWR PRC FINANCIAL CONSULTANTS COB 05/05/08

SECURITY ACTIVITY FC:

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION		PRICE	NET AMOUNT
06/27/07	BOT		24	UNILEVER PLC NEW	AD	32.1838	772
06/26/07	BOT		3	BP PLC SPON	AD	70.6658	212
06/26/07	SLD		20C	CEMEX SAB DE CV SPND	AD	37.4512	749C
06/26/07	SLD		20C	MORGAN STANLEY		84.9205	1,698C
06/26/07	SLD		2C	PETRLEO BRAS VTG SPD	AD	119.0290	238C
06/25/07	DUDB		23	EXPRESS SCRIPTS INC	CO	.0000	0
06/22/07	DIV		24	MARATHON OIL CORP		.0000	0
06/22/07	DUDB		24C	MARATHON OIL CORP		.0000	0
06/20/07	BOT		13	DAIMLER CHRYSLER AG		92.1998	1,198
06/20/07	SLD		58C	MATSUSHTA EL IND	AD	20.6917	1,200C
06/19/07	FDV		1	CEMEX SAB DE CV SPND	AD	.0000	0
06/19/07	SLD		25C	KRAFT FOODS INC VA	CL	34.7249	868C
06/19/07	DUDB		24	MARATHON OIL CORP		.0000	0
06/15/07	BOT		1	TOYOTA MOTOR CORP ADR		125.2027	125
06/14/07	SLD		5C	PRECISION CASTPARTS		117.8219	589C
06/14/07	BOT		33	UNILEVER PLC NEW	AD	30.5060	1,006
06/13/07	SLD		45C	HONDA MOTOR ADR NEW		34.4431	1,549C
06/13/07	SLD		23C	SAGE GROUP PLC UNSP	AD	18.7627	431C
06/12/07	SLD		42C	BOEHLER-UDDEHOLM SPN	AD	24.0069	1,008C
06/12/07	SLD		45C	HONDA MOTOR ADR NEW		34.4450	1,550C
06/12/07	CXLS		45	HONDA MOTOR ADR NEW		34.4450	1,550
06/12/07	BOT		7	INTESA SANPAOLO SPON	AD	44.0550	308
06/12/07	BOT		58	MATSUSHTA EL IND	AD	21.1325	1,225
06/12/07	SLD		6C	NOVARTIS ADR		54.5865	327C
06/12/07	BOT		3	SOCIETE GENERAL SPN ADR		37.6726	113
06/12/07	SLD		9C	VODAFONE GROUP PLC NEW		31.3204	281C
06/11/07	SLD		4C	ALTRIA GROUP INC		70.2400	280C
06/11/07	SLD		6C	ANADARKO PETE CORP		50.4700	302C
06/11/07	SLD		6C	ANHEUSR BUSCH COS INC		53.9400	323C
06/11/07	BOT		15	BAYER AG SP ADR		70.3429	1,055
06/11/07	SLD		4C	CONOCOPHILLIPS		78.2800	313C
06/11/07	SLD		6C	CELANESE CORP DEL SER A		37.2500	223C
06/11/07	SLD		15C	DEERE CO		116.4990	1,747C
06/11/07	BOT		30	GLAXOSMITHKLINE PLC	AD	52.2656	1,567
06/11/07	BOT		35	GOLDCORP INC		24.0313	841
06/11/07	SLD		4C	JACOBS ENGN GRP INC DEL		56.5500	226C
06/11/07	SLD		4C	MARATHON OIL CORP		125.5000	501C
06/11/07	SLD		5C	OCCIDENTAL PETE CORP	CA	57.2300	286C
06/11/07	SLD		5C	PETRLEO BRAS VTG SPD	AD	112.3800	561C
06/11/07	SLD		2C	SIEMENS AG	AD	129.5600	259C
06/08/07	SLD		49C	AMER INTL GROUP INC		71.0343	3,480C
06/08/07	SLD		39C	BOEHLER-UDDEHOLM SPN	AD	24.0199	936C
06/08/07	BOT		60	GOLDCORP INC		23.6087	1,416
06/07/07	BOT		10	GANNETT CO		58.0983	580

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
07/13/07	SLD		31C	ALLTEL CORP DEL	67.4304	2,090C
07/13/07	BOT		15	AUTODESK INC DEL PVS0.0	46.8653	702
07/12/07	SLD		10C	ALLTEL CORP DEL	67.3283	673C
07/12/07	BOT		40	MEMC ELECTR MATLS INC	62.1748	2,486
07/12/07	BOT		10	PETRLEO BRAS VTG SPD AD	66.9495	669
07/12/07	SLD		58C	WAL-MART STORES INC	48.7459	2,827C
07/10/07	BOT		17	ERICSSON LM TEL CL B AD	41.6172	707
07/09/07	BOT		14	ASAHI KASEI CORP AD	68.9209	964
07/09/07	SLD		1C	CEMEX SAB DE CV SPND AD	37.1353	37C
07/09/07	SLD		12C	NOVARTIS ADR	55.1300	661C
07/06/07	SLD		10C	CONVERGYS CORP	23.6210	236C
07/06/07	SLD		28C	DARDEN RESTAURANTS INC	44.1310	1,235C
07/06/07	SLD		6C	DIAGEO PLC SPSD ADR NEW	82.8760	497C
07/06/07	DUDB		7C	DISCOVER FINL SVCS	.0000	0
07/06/07	BOT		5	ERICSSON LM TEL CL B AD	41.5129	207
07/06/07	BOT		32	NBTY INC	44.0074	1,408
07/06/07	SLD		5C	NOVARTIS ADR	55.3658	276C
07/06/07	BOT		14	NOBLE CORPORATION	101.7112	1,423
07/06/07	BOT		58	NATL SEMICONDUCTOR	28.7039	1,664
07/06/07	DIV		37	PETRLEO BRAS VTG SPD AD	.0000	0
07/06/07	DUDB		37C	PETRLEO BRAS VTG SPD AD	.0000	0
07/06/07	SLD		19C	SNAP ON INC COM	51.0404	969C
07/06/07	BOT		15	TEXTRON INC	114.9311	1,723
07/05/07	SLD		50C	CONVERGYS CORP	23.8547	1,192C
07/05/07	SLD		20C	DARDEN RESTAURANTS INC	44.3923	887C
07/05/07	SLD		5C	DIAGEO PLC SPSD ADR NEW	83.5017	417C
07/05/07	SLD		59C	KROGER CO	27.9017	1,646C
07/05/07	BOT		20	PETRLEO BRAS VTG SPD AD	64.7881	1,295
07/05/07	SLD		22C	SPX CORP COM	89.7447	1,974C
07/05/07	SLD		15C	SNAP ON INC COM	51.6004	773C
07/05/07	BOT		13	TESCO PLC SPNRD ADR	25.5312	331
07/05/07	BOT		41	YUM BRANDS INC	33.8081	1,386
07/03/07	SLD		10C	CANADIAN NATURAL RES LT	67.2473	672C
07/03/07	BOT		15	PETRLEO BRAS VTG SPD AD	63.7579	956
07/02/07	SLD		5C	CANADIAN NATURAL RES LT	66.4707	332C
07/02/07	DUDB		7	DISCOVER FINL SVCS	.0000	0
07/02/07	SLD		10C	MORGAN STANLEY	85.1287	851C
07/02/07	DUDB		37	PETRLEO BRAS VTG SPD AD	.0000	0
06/28/07	DIV		23	EXPRESS SCRIPTS INC CO	.0000	0
06/28/07	DUDB		23C	EXPRESS SCRIPTS INC CO	.0000	0
06/28/07	SLD		5C	MORGAN STANLEY	85.0745	425C
06/28/07	CORR		58C	MATSUSHTA EL IND AD	.0000	1,225C
06/28/07	CORR		58	MATSUSHTA EL IND AD	.0000	1,200
06/27/07	SLD		19C	CEMEX SAB DE CV SPND AD	36.6080	695C

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
08/09/07	BOT		3	NSK LTD ADR	84.3000	252
08/09/07	SLD		32C	SAGE GROUP PLC UNSP AD	19.8954	636C
08/09/07	BOT		20	TENARIS S A ADR	49.1142	982
08/09/07	BOT		20	TESCO PLC SPNRD ADR	26.2437	524
08/08/07	BOT		60	CITIGROUP INC	49.4989	2,969
08/08/07	BOT		20	MICROSOFT CORP	29.8961	597
08/08/07	SLD		5C	NESTLE S A REP RG SH AD	97.5359	487C
08/08/07	SLD		14C	SONY CORP ADR NEW	49.4679	692C
08/08/07	BOT		5	TENARIS S A ADR	50.5226	252
08/07/07	SLD		59C	HOME DEPOT INC	36.4691	2,151C
08/07/07	SLD		16C	KEYSPAN CORP	41.8550	669C
08/06/07	BOT		31	APOLLO GROUP INC CL A	60.2013	1,866
08/06/07	SLD		63C	ANNTAYLOR STRS CORP	28.7495	1,811C
08/06/07	SLD		19C	BARD C R INC	79.3567	1,507C
08/06/07	BOT		5	CNA FINANCIAL CORP DEL	39.8445	199
08/06/07	SLD		108C	CITIZENS COMMNCTNS CO	12.7802	1,380C
08/06/07	BOT		10	HEWLETT PACKARD CO DE	47.6600	476
08/06/07	BOT		20	INVITROGEN CO	78.5950	1,571
08/06/07	SLD		10C	KEYSPAN CORP	41.7789	417C
08/06/07	BOT		20	NBTY INC	40.7493	814
08/06/07	BOT		4	NUTRI SYSTEM INC NEW CO	52.8889	211
08/06/07	BOT		8	RADIOSHACK CORP	23.1162	184
08/03/07	SLD		35C	AUTODESK INC DEL PV$0.0	41.2351	1,443C
08/03/07	BOT		5	BLACK AND DECKER CRP CO	89.0137	445
08/02/07	SLD		15C	AUTODESK INC DEL PV$0.0	41.9904	629C
08/02/07	BOT		10	BLACK AND DECKER CRP CO	88.9565	889
08/02/07	BOT		10	COLGATE PALMOLIVE	67.2155	672
08/02/07	SLD		10C	KEYSPAN CORP	41.7519	417C
07/31/07	BOT		5	BLACK AND DECKER CRP CO	87.4512	437
07/31/07	SLD		25C	KEYSPAN CORP	41.6323	1,040C
07/30/07	BOT		10	BLACK AND DECKER CRP CO	85.9314	859
07/30/07	SLD		15C	KEYSPAN CORP	41.5815	623C
07/27/07	SLD		36C	LINCOLN NTL CORP IND NP	61.8412	2,226C
07/20/07	BOT		19	NINTENDO LTD AD	53.4875	1,016
07/20/07	SLD		5C	PRECISION CASTPARTS	133.0601	665C
07/20/07	SLD		28C	UNITEDHEALTH GROUP INC	51.1899	1,433C
07/19/07	BOT		25	AMERICAN TOWER CORP CL	44.6063	1,115
07/19/07	SLD		23C	ACERGY S A SPON ADR	27.6257	635C
07/19/07	BOT		20	INTL BUSINESS MACHINES	116.2159	2,324
07/19/07	SLD		25C	UNITEDHEALTH GROUP INC	51.9193	1,297C
07/18/07	BOT		30	AMERICAN TOWER CORP CL	43.4444	1,303
07/18/07	SLD		30C	ACERGY S A SPON ADR	27.1621	814C
07/18/07	SLD		42C	NOVARTIS ADR	53.4169	2,243C
07/16/07	BOT		35	AUTODESK INC DEL PV$0.0	47.3072	1,655

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

14:17 05/06/08 PG 13
FWR PRC FINANCIAL CONSULTANTS COB 05/05/08
SECURITY ACTIVITY FC:
ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
09/06/07	CXLB		7C	U S CELLULAR CORP	101.4136	709C
09/05/07	SLD		16C	EATON VANCE CORP NVT	37.9860	607C
09/05/07	SLD		8C	INTL RECTIFIER CORP	34.1728	273C
09/05/07	DIV		14C	NOBLE CORPORATION	.0000	0
09/05/07	BOT		18	PEPSI BOTTLING GROUP IN	35.0143	630
09/05/07	BOT		5	U S CELLULAR CORP	100.1716	500
09/05/07	BOT		10	WEBMD HEALTH CORP	55.2054	552
09/04/07	SLD		25C	EATON VANCE CORP NVT	38.1485	953C
09/04/07	BOT		20	ERIE INDEMINITY CO CL A	58.0945	1,161
09/04/07	SLD		32C	FOREST LABS INC	38.1289	1,220C
09/04/07	SLD		40C	INTL RECTIFIER CORP	34.5987	1,383C
09/04/07	SLD		16C	LEGG MASON INC	86.5029	1,384C
09/04/07	DIV		14	NOBLE CORPORATION	.0000	0
09/04/07	DUDB		14C	NOBLE CORPORATION	.0000	0
09/04/07	BOT		15	PEPSI BOTTLING GROUP IN	35.3470	530
08/31/07	BOT		10	ALTRIA GROUP INC	69.3567	693
08/31/07	BOT		3	ASAHI KASEI CORP AD	74.1160	222
08/31/07	BOT		5	BECTON DICKINSON CO	77.0700	385
08/31/07	BOT		17	CIA VALE DO RIO DOCE A	49.6687	844
08/31/07	BOT		3	NSK LTD ADR	85.0050	255
08/31/07	SLD		5C	SHIZUOKA BANK LTD AD	103.0224	515C
08/31/07	SLD		25C	TXU CORP	67.3745	1,684C
08/30/07	SLD		25C	AMER EXPRESS COMPANY	57.3459	1,433C
08/30/07	SLD		60C	CITIGROUP INC	46.3552	2,781C
08/30/07	DIV		15	TEXTRON INC	.0000	0
08/30/07	DUDB		15C	TEXTRON INC	.0000	0
08/30/07	SLD		20C	TXU CORP	66.9132	1,338C
08/29/07	DUDB		14	NOBLE CORPORATION	.0000	0
08/27/07	BOT		20	LOCKHEED MARTIN CORP	101.1288	2,022
08/27/07	DUDB		15	TEXTRON INC	.0000	0
08/24/07	BOT		115	ORACLE CORP $0.01 DE	19.9003	2,288
08/24/07	SLD		498C	SUN MICROSYSTEMS INC CO	5.0055	2,492C
08/17/07	SLD		12C	BNP PARIBAS SPONSORD AD	51.0368	612C
08/17/07	BOT		6	CENTRICA PLC	69.7718	418
08/17/07	SLD		10C	NATIONAL-OILWELL VARCO	105.5915	1,055C
08/16/07	BOT		4	INTESA SANPAOLO SPON AD	43.6500	174
08/15/07	BOT		5	ALTRIA GROUP INC	67.1690	335
08/15/07	SLD		20C	AXA AD	38.0333	760C
08/15/07	SLD		5C	DEUTSCHE BK AG REG SHS	124.2042	621C
08/15/07	SLD		15C	MONSANTO CO NEW DEL CO	64.7541	971C
08/15/07	BOT		2	RWE AG SPONSORED ADR	109.5698	219
08/09/07	SLD		27C	ADIDAS AG SPONSORED ADR	29.5376	797C
08/09/07	BOT		5	BAYER AG SP ADR	71.8379	359
08/09/07	BOT		4	NINTENDO LTD AD	59.7710	239

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORB>

14:17 05/06/08 PG 12
PWR PRC FINANCIAL CONSULTANTS COB 05/05/08
SECURITY ACTIVITY FC:
ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
10/02/07	BOT		20	ROYAL DUTCH SHELL PLC	81.8771	1,637
10/02/07	BOT		3	TIDEWATER INC COM NE	62.9648	188
10/02/07	BOT		4	U S CELLULAR CORP	102.8411	411
10/01/07	DUDB		20	NATIONAL-OILWELL VARCO	.0000	0
10/01/07	DUDB		33	TERADATA CORP DEL	.0000	0
09/27/07	BOT		15	AMEREN CORP	53.0694	796
09/27/07	SLD		35C	TARGET CORP COM	61.8363	2,164C
09/26/07	BOT		10	AMEREN CORP	52.9425	529
09/26/07	BOT		10	ROYAL DUTCH SHELL PLC	83.0259	830
09/26/07	SLD		30C	SKF AB SPNSR KR12.50 AD	20.6992	620C
09/25/07	BOT		20	AMERICAN TOWER CORP CL	42.2907	845
09/25/07	BOT		10	COLGATE PALMOLIVE	70.7692	707
09/25/07	SLD		5C	DEUTSCHE BK AG REG SHS	125.7960	628C
09/25/07	SLD		30C	MICROSOFT CORP	29.4278	882C
09/25/07	BOT		1	MITSUI CO ADR	482.1700	482
09/25/07	BOT		10	PEPSICO INC	71.6103	716
09/25/07	BOT		5	ROYAL DUTCH SHELL PLC	83.0361	415
09/25/07	SLD		30C	TEXAS INSTRUMENTS	36.4271	1,092C
09/24/07	BOT		40	ALCOA INC	37.2548	1,490
09/24/07	SLD		14C	INTL BUSINESS MACHINES	116.9694	1,637C
09/21/07	SLD		30C	ADIDAS AG SPONSORED ADR	31.4160	942C
09/21/07	BOT		105	NEWS CORP CL	22.1881	2,329
09/21/07	SLD		10C	SCHLUMBERGER LTD	106.7850	1,067C
09/21/07	SLD		127C	TIME WARNER INC NE	18.1290	2,302C
09/20/07	BOT		35	ALCOA INC	37.1457	1,300
09/20/07	SLD		15C	INTL BUSINESS MACHINES	116.8898	1,753C
09/19/07	BOT		25	OCCIDENTAL PETE CORP CA	65.3830	1,634
09/18/07	DIV		17	CIA VALE DO RIO DOCE A	.0000	0
09/18/07	DUDB		17C	CIA VALE DO RIO DOCE A	.0000	0
09/18/07	BOT		10	OCCIDENTAL PETE CORP CA	63.8799	638
09/17/07	SLD		2C	DEUTSCHE BK AG REG SHS	122.0684	244C
09/17/07	BOT		2	POLO RALPH LAUREN CORP	78.7261	157
09/14/07	BOT		10	AMEREN CORP	51.9457	519
09/13/07	DUDB		17	CIA VALE DO RIO DOCE A	.0000	0
09/13/07	DUDB		14C	NOBLE CORPORATION	.0000	0
09/13/07	DIV		14	NOBLE CORPORATION	.0000	0
09/12/07	BOT		10	AMEREN CORP	51.3218	513
09/12/07	SLD		8C	PETROCHINA CO LTD SP AD	145.6850	1,165C
09/11/07	BOT		5	AMEREN CORP	50.5862	252
09/07/07	BOT		7	U S CELLULAR CORP	101.9703	713
09/07/07	BOT		13	WEBMD HEALTH CORP	54.9881	714
09/06/07	DUDB		14	NOBLE CORPORATION	.0000	0
09/06/07	SLD		15C	PETROCHINA CO LTD SP AD	142.4812	2,137C
09/06/07	BOT		7	U S CELLULAR CORP	101.4136	709

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

FINANCIAL CONSULTANTS
SECURITY ACTIVITY

ACCT: FC:
HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
10/15/07	BOT		15	FPL GROUP INC	63.0759	946
10/15/07	SLD		39C	TEXAS INSTRUMENTS	34.9422	1,362C
10/12/07	BOT		7	AEON COMPANY LTD AD	16.2915	114
10/12/07	SLD		41C	ERICSSON LM TEL CL B AD	40.7675	1,671C
10/12/07	SLD		6C	NESTLE S A REP RG SH AD	107.1700	643C
10/12/07	SLD		13C	SONY CORP ADR NEW	47.1148	612C
10/12/07	SLD		25C	TESCO PLC SPNRD ADR	28.6000	714C
10/12/07	SLD		25C	TEXAS INSTRUMENTS	35.3311	883C
10/11/07	BOT		3	CONTINENTAL AG SPNRD AD	141.6601	424
10/11/07	SLD		30C	ERICSSON LM TEL CL B AD	41.1825	1,235C
10/11/07	BOT		35	NEWS CORP CL	23.4687	821
10/11/07	SLD		5C	SONY CORP ADR NEW	48.6828	243C
10/11/07	BOT		5	UNILEVER PLC NEW AD	33.0730	165
10/10/07	BOT		15	NIKE INC CL B	61.9574	929
10/10/07	BOT		20	NORTHN TRUST CORP	72.1793	1,443
10/10/07	BOT		5	UNILEVER PLC NEW AD	32.3262	161
10/09/07	SLD		5C	APPLE INC	168.1523	840C
10/09/07	BOT		10	LOCKHEED MARTIN CORP	111.4681	1,114
10/09/07	BOT		25	NIKE INC CL B	61.7214	1,543
10/09/07	SLD		20C	TEXAS INSTRUMENTS	36.1827	723C
10/08/07	DIV		44	JOHNSON CONTROLS INC	.0000	0
10/08/07	DUDB		44C	JOHNSON CONTROLS INC	.0000	0
10/08/07	BOT		15	NORTHN TRUST CORP	70.9460	1,064
10/05/07	SLD		16C	DRS TECHNOLOGIES INC	54.8599	877C
10/05/07	BOT		10	RADIOSHACK CORP	21.9195	219
10/04/07	SLD		15C	CANADIAN NATURAL RES LT	73.6179	1,104C
10/04/07	SLD		20C	INTL BUSINESS MACHINES	115.8084	2,316C
10/04/07	BOT		45	MERCK&CO INC	52.9284	2,381
10/04/07	BOT		15	NATIONAL-OILWELL VARCO	74.0426	1,110
10/04/07	DIV		20	NATIONAL-OILWELL VARCO	.0000	0
10/04/07	DUDB		20C	NATIONAL-OILWELL VARCO	.0000	0
10/04/07	BOT		3	ORIX CORPORATION SP AD	120.1533	360
10/04/07	DIV		33	TERADATA CORP DEL	.0000	0
10/04/07	DUDB		33C	TERADATA CORP DEL	.0000	0
10/03/07	BOT		7	AXA AD	45.4552	318
10/03/07	SLD		5C	DRS TECHNOLOGIES INC	54.9790	274C
10/03/07	BOT		8	GRUPO TELEVISA SA ADR	24.4919	195
10/03/07	DUDB		44	JOHNSON CONTROLS INC	.0000	0
10/03/07	SLD		19C	POLO RALPH LAUREN CORP	76.4634	1,452C
10/02/07	SLD		10C	DRS TECHNOLOGIES INC	55.4445	554C
10/02/07	SLD		10C	MONSANTO CO NEW DEL CO	83.8742	838C
10/02/07	BOT		5	NATIONAL-OILWELL VARCO	71.1792	355
10/02/07	BOT		6	NUTRI SYSTEM INC NEW CO	48.1228	288
10/02/07	BOT		5	RADIOSHACK CORP	21.7580	108

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

14:17 05/06/08 PG 10
FWR PRC FINANCIAL CONSULTANTS COB 05/05/08
SECURITY ACTIVITY FC:
ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
11/05/07	SLD		25C	GRANT PRIDECO INC CO	49.4511	1,236C
11/05/07	BOT		20	GLOBAL INDS LTD	26.5151	530
11/05/07	BOT		15	NASDAQ STOCK MARKET INC	47.4511	711
11/05/07	SLD		33C	NUTRI SYSTEM INC NEW CO	26.2689	866C
11/05/07	BOT		2	PETRLEO BRAS VTG SPD AD	89.5637	179
11/05/07	SLD		25C	TIDEWATER INC COM NE	53.1506	1,328C
11/05/07	SLD		34C	TESORO CORP	55.5532	1,888C
11/02/07	BOT		4	BP PLC SPON AD	78.3421	313
11/02/07	SLD		5C	ENI S P A SPONSORED AD	71.3703	356C
11/02/07	SLD		10C	TEVA PHARMACTCL INDS AD	43.7550	437C
11/02/07	BOT		3	TELEFONICA SA SPAIN AD	101.1422	303
11/02/07	SLD		15C	VODAFONE GROUP PLC NEW	38.0995	571C
11/01/07	SLD		3C	DAIMLER AG	107.9761	323C
11/01/07	BOT		4	TOYOTA MOTOR CORP ADR	114.6527	458
10/30/07	CORR		5C	BANCO SANTANDER CHIL AD	.0000	266C
10/29/07	SLD		37C	AMDOCS LIMITED	33.8297	1,251C
10/29/07	BOT		44	BANCO SANTANDER SA ADR	21.1939	932
10/26/07	SLD		15C	AMDOCS LIMITED	33.3080	499C
10/26/07	BOT		12	TELEFONICA SA SPAIN AD	97.1963	1,166
10/25/07	SLD		10C	AMDOCS LIMITED	33.2703	332C
10/25/07	BOT		17	BANCO SANTANDER CHIL AD	53.9936	593
10/25/07	CXLB		11C	BANCO SANTANDER CHIL AD	53.9936	593C
10/24/07	BOT		5	BANCO SANTANDER CHIL AD	53.3917	266
10/24/07	SLD		10C	BNP PARIBAS SPONSORD AD	52.2399	522C
10/24/07	SLD		12C	CREDIT SUISSE GP SP ADR	64.9596	779C
10/24/07	BOT		4	CENTRICA PLC	77.6240	310
10/24/07	BOT		27	FIAT S P A NEW SPND AD	33.2640	898
10/23/07	SLD		5C	CREDIT SUISSE GP SP ADR	65.9088	329C
10/22/07	BOT		35	COCA COLA COM	58.9320	2,062
10/22/07	SLD		20C	MEMC ELECTR MATLS INC	58.0541	1,161C
10/19/07	SLD		25C	BURLNGTN N SNTA FE$0.01	82.9887	2,074C
10/19/07	BOT		30	COCA COLA COM	59.3294	1,779
10/19/07	SLD		63C	KRAFT FOODS INC VA CL	32.9446	2,075C
10/19/07	SLD		20C	MEMC ELECTR MATLS INC	59.8679	1,197C
10/19/07	BOT		1	MITSUI CO ADR	509.3880	509
10/19/07	BOT		50	ORACLE CORP $0.01 DE	21.1336	1,056
10/18/07	BOT		25	OMNICOM GROUP COM	52.4098	1,310
10/17/07	BOT		15	FPL GROUP INC	63.4310	951
10/17/07	BOT		15	MERCK&CO INC	53.0516	795
10/17/07	BOT		30	MICROSOFT CORP	31.1932	935
10/17/07	BOT		20	OMNICOM GROUP COM	51.9147	1,038
10/16/07	SLD		36C	AMER EXPRESS COMPANY	60.1810	2,166C
10/16/07	SLD		22C	ERICSSON LM TEL CL B AD	30.8271	678C
10/16/07	BOT		20	FPL GROUP INC	63.3932	1,267

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

FWR PRC FINANCIAL CONSULTANTS 14:17 05/06/08 PG 9
SECURITY ACTIVITY COB 05/05/08
ACCT: HON CAROLYN MCCARTHY FC:

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
11/16/07	SLD		5C	U S CELLULAR CORP	81.6074	408C
11/16/07	SLD		10C	WEBMD HEALTH CORP	41.8099	418C
11/15/07	BOT		35	FOSTERS GROUP LTD ADR	5.7632	201
11/15/07	BOT		30	FOSTERS GROUP LTD ADR	5.7683	173
11/15/07	BOT		15	JPMORGAN CHASE & CO	44.6098	669
11/14/07	SLD		30C	ADOBE SYS DEL PV$ 0.001	41.5753	1,247C
11/14/07	BOT		45	HOME DEPOT INC	29.4367	1,324
11/14/07	BOT		40	JPMORGAN CHASE & CO	45.2076	1,808
11/13/07	BOT		15	ABB LTD SPON ADR	28.0578	420
11/13/07	BOT		35	FOSTERS GROUP LTD ADR	5.6171	196
11/13/07	SLD		23C	MERCK&CO INC	56.8364	1,307C
11/13/07	BOT		25	WYETH	46.6985	1,167
11/12/07	BOT		65	ABB LTD SPON ADR	28.8709	1,876
11/12/07	BOT		35	FOSTERS GROUP LTD ADR	5.5792	195
11/09/07	SLD		25C	CISCO SYSTEMS INC CO	28.4324	710C
11/09/07	SLD		80C	E M C CORPORATION MASS	19.6424	1,571C
11/09/07	BOT		70	FOSTERS GROUP LTD ADR	5.8098	406
11/09/07	BOT		30	NEWS CORP CL	21.5608	646
11/09/07	BOT		5	PROCTER & GAMBLE CO	70.7353	353
11/09/07	BOT		30	WYETH	45.7299	1,371
11/08/07	SLD		10C	DAIMLER A	106.3648	1,063C
11/08/07	BOT		3	ERIE INDEMINITY CO CL A	55.5892	166
11/08/07	SLD		35C	MERCK&CO INC	54.6814	1,913C
11/08/07	SLD		4C	ORIX CORPORATION SP AD	88.2869	353C
11/07/07	SLD		24C	CNA FINANCIAL CORP DEL	35.3169	847C
11/07/07	BOT		5	ERIE INDEMINITY CO CL A	55.0592	275
11/07/07	BOT		7	PARTNERRE LTD	82.1318	574
11/07/07	SLD		8C	SHIZUOKA BANK LTD AD	103.0389	824C
11/07/07	BOT		6	UNILEVER PLC NEW AD	35.7370	214
11/07/07	BOT		5	WEBMD HEALTH CORP	44.9315	224
11/06/07	SLD		15C	CNA FINANCIAL CORP DEL	36.1985	542C
11/06/07	SLD		15C	ENI S P A SPONSORED AD	70.8716	1,063C
11/06/07	SLD		11C	GRANT PRIDECO INC CO	49.7656	547C
11/06/07	BOT		24	GLOBAL INDS LTD	26.4618	635
11/06/07	BOT		16	NASDAQ STOCK MARKET INC	49.4777	791
11/06/07	BOT		22	OSHKOSH TRUCK CORP CL B	53.9209	1,186
11/06/07	BOT		10	PARTNERRE LTD	82.2060	822
11/06/07	BOT		5	WEBMD HEALTH CORP	44.8229	224
11/05/07	SLD		15C	ALLEGHENY TECH INC	97.4518	1,461C
11/05/07	SLD		44C	CB RICHARD ELLIS GR INC	21.1405	930C
11/05/07	BOT		7	COACH INC	33.9562	237
11/05/07	BOT		3	C.H. ROBINSON WORLDWIDE	46.7554	140
11/05/07	BOT		14	CAMERON INTL CORP	98.0138	1,372
11/05/07	BOT		19	FMC TECHS INC CO	59.7905	1,136

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

FWR PRC FINANCIAL CONSULTANTS COB 05/05/08
SECURITY ACTIVITY FC:

ACCT: HON CAROLYN MCCARTHY

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
12/04/07	BOT		15	NETWORK APPLIANCE INC C	24.6733	370
12/04/07	SLD		5C	STANLEY WORKS	50.7685	253C
12/04/07	SLD		38C	VERIFONE HOLDINGS INC	25.0902	953C
12/03/07	BOT		20	CATERPILLAR INC DEL	72.4607	1,449
12/03/07	SLD		25C	FREDDIE MAC	33.6254	840C
12/03/07	SLD		10C	L-3 COMMNCTNS HLDGS	112.5695	1,125C
11/30/07	BOT		50	HOME DEPOT INC	28.7917	1,439
11/30/07	SLD		5C	STANLEY WORKS	52.2256	261C
11/28/07	XCH		21	TRANSOCEAN INC	.0000	0
11/28/07	XCH		21C	TRANSOCEAN INC	.0000	0
11/27/07	XCH		45C	GLOBALSANTAFE CORP	.0000	1,010C
11/27/07	XCH		21	TRANSOCEAN INC	.0000	0
11/23/07	SLD		13C	WEBMD HEALTH CORP	41.6787	541C
11/20/07	BOT		20	CIENA CORP	41.5918	831
11/20/07	BOT		33	DPL INC	29.3622	968
11/20/07	BOT		42	DEUTSCHE TELE AG SPN AD	22.5827	948
11/20/07	BOT		28	FAIR ISAAC CORPORATION	38.6527	1,082
11/20/07	SLD		15C	STANLEY WORKS	49.9563	749C
11/20/07	SLD		5C	WEBMD HEALTH CORP	43.2562	216C
11/19/07	SLD		9C	APOLLO GROUP INC CL A	73.6529	662C
11/19/07	SLD		24C	CIA VALE DO RIO DOCE A	33.6447	807C
11/19/07	BOT		5	CIENA CORP	40.5224	202
11/19/07	BOT		5	DPL INC	29.0828	145
11/19/07	BOT		10	DEUTSCHE TELE AG SPN AD	22.2334	222
11/19/07	SLD		19C	MEDTRONIC INC CO	45.3276	861C
11/19/07	BOT		8	NEUSTAR INC CL	33.7471	269
11/19/07	BOT		43	NETWORK APPLIANCE INC C	25.4482	1,094
11/19/07	SLD		10C	STANLEY WORKS	50.0541	500C
11/19/07	SLD		11C	U S CELLULAR CORP	78.9685	868C
11/19/07	SLD		11C	VERIFONE HOLDINGS INC	43.8030	481C
11/19/07	SLD		5C	WEBMD HEALTH CORP	42.1474	210C
11/16/07	SLD		10C	CIA VALE DO RIO DOCE A	35.6811	356C
11/16/07	SLD		30C	C.H. ROBINSON WORLDWIDE	46.3792	1,391C
11/16/07	SLD		14C	EXPRESS SCRIPTS INC CO	64.9506	909C
11/16/07	BOT		8	FMC TECHS INC CO	54.8406	438
11/16/07	BOT		6	FIRST SOLAR INC	197.3605	1,184
11/16/07	BOT		19	GLOBAL INDS LTD	23.4951	446
11/16/07	SLD		5C	MEMC ELECTR MATLS INC	71.2733	356C
11/16/07	SLD		40C	MEDTRONIC INC CO	45.7052	1,828C
11/16/07	BOT		14	NCR CORP NEW	25.3942	355
11/16/07	BOT		25	NEUSTAR INC CL	33.3624	834
11/16/07	SLD		58C	NATL SEMICONDUCTOR	22.3835	1,298C
11/16/07	BOT		8	OSHKOSH TRUCK CORP CL B	49.1582	393
11/16/07	SLD		33C	TERADATA CORP DEL	23.6110	779C

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

WR PRC FINANCIAL CONSULTANTS 14:16 05/06/08 PG 7
SECURITY ACTIVITY COB 05/05/08
ACCT: ON CAROLYN MCCARTHY FC:

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
12/13/07	SLD		13C	ERIE INDEMINITY CO CL A	51.0037	663C
12/13/07	BOT		12	FACTSET RESH SYS INC	65.1775	782
12/13/07	BOT		20	MCAFEE INC	38.0829	761
12/13/07	BOT		3	TELEFONICA SA SPAIN AD	99.3529	298
12/12/07	SLD		5C	ERIE INDEMINITY CO CL A	50.8888	254C
12/12/07	BOT		10	FACTSET RESH SYS INC	65.2111	652
12/12/07	BOT		10	HOME DEPOT INC	28.8300	288
12/12/07	SLD		11C	JACOBS ENGN GRP INC DEL	94.4559	1,038C
12/12/07	BOT		10	MCAFEE INC	38.1119	381
12/12/07	BOT		14	NCR CORP NEW	25.0009	350
12/12/07	SLD		67C	WASHINGTON MUTUAL INC	16.4939	1,105C
12/12/07	SLD		70C	WASHINGTON MUTUAL INC	18.5767	1,300C
12/12/07	CORR		70	WASHINGTON MUTUAL INC	.0000	1,300
12/12/07	BOT		15	WHIRLPOOL CORP	83.6413	1,254
12/11/07	BOT		55	BURGER KING HLDGS INC	27.9604	1,537
12/11/07	SLD		5C	ERIE INDEMINITY CO CL A	51.8110	259C
12/11/07	SLD		52C	NEWELL RUBBERMAID INC	26.2083	1,362C
12/11/07	SLD		71C	RADIOSHACK CORP	19.0361	1,351C
12/10/07	SLD		5C	ERIE INDEMINITY CO CL A	51.4810	257C
12/07/07	SLD		25C	CADENCE DESIGN SYS INC	17.0298	425C
12/07/07	BOT		10	CATERPILLAR INC DEL	74.1514	741
12/06/07	BOT		6	AEON COMPANY LTD AD	16.1220	96
12/06/07	BOT		2	BNP PARIBAS SPONSORD AD	56.3478	112
12/06/07	SLD		70C	CADENCE DESIGN SYS INC	16.9213	1,184C
12/06/07	BOT		5	CATERPILLAR INC DEL	74.2622	371
12/06/07	SLD		19C	FREDDIE MAC	35.8204	680C
12/06/07	SLD		22C	NBTY INC	28.0767	617C
12/06/07	BOT		12	NATL BK GREECE SA SPNAD	13.4809	161
12/06/07	SLD		47C	ROYAL KPN N V SP ADR	18.0728	849C
12/06/07	SLD		15C	TESCO PLC SPNRD ADR	29.1360	437C
12/06/07	BOT		57	TELSTRA CORP ADR	20.5072	1,168
12/05/07	BOT		6	FAIR ISAAC CORPORATION	36.5428	219
12/05/07	BOT		45	JANUS CAPITAL GROUP INC	34.0774	1,533
12/05/07	SLD		20C	NBTY INC	27.9439	558C
12/05/07	SLD		14C	STANLEY WORKS	50.4301	706C
12/05/07	SLD		8C	VODAFONE GROUP PLC NEW	37.8305	302C
12/05/07	SLD		70C	WASHINGTON MUTUAL INC	18.5763	1,300C
12/04/07	BOT		46	ACTIVISION INC COM NE	26.2309	1,206
12/04/07	SLD		15C	EXPRESS SCRIPTS INC CO	70.7808	1,061C
12/04/07	BOT		5	FAIR ISAAC CORPORATION	36.3696	181
12/04/07	SLD		13C	MEMC ELECTR MATLS INC	75.2400	978C
12/04/07	BOT		77	MILLENNIUM PHARMS INC	15.0849	1,161
12/04/07	SLD		10C	NBTY INC	28.2646	282C
12/04/07	BOT		15	NEUSTAR INC CL	29.9900	449

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

12/31/07	DUDB	14	CAMERON INTL CORP	.0000	0
12/31/07	BOT	10	DIAMOND OFFSHORE DRLNG	142.9141	1,429
12/27/07	SLD	15C	PETRLEO BRAS VTG SPD AD	114.0420	1,710C
12/27/07	BOT	15	TOTAL S.A. SP AD	82.3688	1,235
12/26/07	BOT	10	DIAMOND OFFSHORE DRLNG	145.8420	1,458
12/26/07	SLD	11C	TRANSOCEAN INC	145.3118	1,598C
12/24/07	SLD	25C	PETRLEO BRAS VTG SPD AD	115.4613	2,886C
12/21/07	SLD	66C	JOHNSON CONTROLS INC	35.8356	2,365C
12/21/07	BOT	85	NOKIA CORP SPON ADR	38.8092	3,298
12/20/07	BOT	10	WHIRLPOOL CORP	80.9429	809
12/19/07	SLD	10C	TRANSOCEAN INC	135.1018	1,350C
12/19/07	BOT	5	WHIRLPOOL CORP	80.8362	404
12/17/07	BOT	5	WHIRLPOOL CORP	80.7139	403
12/14/07	BOT	15	FLOWSERVE CORP	100.5788	1,508
12/14/07	SLD	29C	ROYAL KPN N V SP ADR	17.4915	507C
12/14/07	SLD	7C	ROCHE HLDG LTD SPN AD	87.1649	610C
12/13/07	BOT	9	DEUTSCHE TELE AG SPN AD	22.0923	198

FOR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
THIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
STATEMENT FOR TAX INFORMATION.

<MORE>

FINANCIAL CONSULTANTS
SECURITY ACTIVITY

COB 05/05/08
FC

HON CAROLYN MCCARTHY

TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
RDP		38,000C	U.S. TREASURY NOTE	.0000	38,000C
JE		14,000	FEDERAL NATL MTGE ASSN	.0000	0
JE		18,000	FED NAT'L MORTGAGE ASSO	.0000	0
JE		18,000	FEDERAL HOME LN MTG COR	.0000	0
JE		18,000	U.S. TREASURY BOND	.0000	0
JE		33,000	US TREAS INFLATION NOTE	.0000	0
JE		23,000	U.S. TRSY INFLATION NOT	.0000	0
JE		19,000	U.S. TRSY INFLATION NOT	.0000	0
JE		38,000	U.S. TREASURY NOTE	.0000	0
JE		7,000	U.S. TREASURY BOND	.0000	0
SLD		500C	NUVEEN EQTY PREM OPP	16.3000	8,094C
SLD		500C	AMERN SELECT PORTFOLIO	11.2420	5,565C
SLD		500C	PIMCO CORPORATE OPPTY F	13.3600	6,624C
SLD		500C	EATON VANCE TAX MAN GLB	17.1000	8,494C
SLD		1,000C	EATON VANCE INS NY MUN	13.7880	13,726C
SLD		800C	EATON VANCE INS NY MUN	13.7700	10,971C
SLD		500C	J HANCOCK PREF INCOME 3	17.9500	8,919C
SLD		500C	NFJ DIV INT PREM STRAT	22.5300	11,209C
RDP		18,000C	FED NAT'L MORTGAGE ASSO	.0000	18,000C
BOT	N	500	NUVEEN EQTY PREM OPP	18.8860	9,498
BOT	N	500	AMERN SELECT PORTFOLIO	12.5120	6,311
BOT	N	500	PIMCO CORPORATE OPPTY F	14.9900	7,550
BOT	N	500	EATON VANCE TAX MAN GLB	19.9860	10,048
BOT	N	500	J HANCOCK PREF INCOME 3	21.3000	10,705
BOT	N	500	NFJ DIV INT PREM STRAT	25.6100	12,860
SLD	N	3,333C	NUVEEN MULTI-STRATEGY	13.4699	44,754C
BOT	N	140	BLDRS EMER MKTS 50 ADR	43.1199	6,092
BOT	N	175	WISDOMTREE TR INTL BASI	34.6700	6,122
BOT	N	195	WISDOMTREE INTL COMM	31.0400	6,108
BOT	N	180	WISDOMTREE INTL IND SEC	33.9200	6,160
BOT	N	125	MARKET VECTORS ETF TR	49.0200	6,182
BOT	N	160	MARKET VECTORS RUSSIA	38.3700	6,194
BOT	N	150	MARKET VECTORS GLOBAL	40.0400	6,061
BOT	N	105	ISHARES MSCI BRAZIL FRE	56.9700	6,037
SLD	N	30,000C	U.S. TREASURY BILL	98.8318	29,644C
BOT		30,000	U.S. TREASURY BILL	98.8103	29,648

ION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
ST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
R TAX INFORMATION.

<MORE>

14:23 05/06/08 PG 2

FWR PRC FINANCIAL CONSULTANTS COB 05/05/08

SECURITY ACTIVITY FC:

ACCT: HON CAROLYN MCCARTHY IRA

DATE	TYPE	SOL	QTY	DESCRIPTION	PRICE	NET AMOUNT
07/10/07	JE		1	FIDELITY ADV STRATEGIC	.0000	0
07/02/07	AIDB		2	PIONEER BOND FUND	8.9000	19
06/22/07	AIDB		5	PIONEER CLASSIC BALANCE	10.9900	65
06/22/07	JE		1	PIONEER CLASSIC BALANCE	.0000	0
06/22/07	JE		1	PIONEER EQUITY INCOME	.0000	0
06/20/07	BOT	N	300	GREAT PLAINS ENERGY INC	29.4600	8,893
06/20/07	BOT	N	200	THOMSON SPN AD	18.7500	3,805
06/18/07	SLD	N	278C	FIDELITY ADVISOR SMALL	15.5700	4,329C
06/18/07	BOT	N	813	PIONEER CLASSIC BALANCE	11.1200	9,045
06/18/07	SLD	N	1,018C	PIONEER BOND FUND	8.8800	9,045C
06/18/07	BOT	N	182	FIDELITY ADV SMALL CAP	23.6800	4,329
06/04/07	SLD		200C	GENERAL ELECTRIC	38.0000	7,544C
06/01/07	AIDB		3	PIONEER BOND FUND	8.9800	31
05/17/07	JE		1	FIDELITY ADV HEALTH CAR	.0000	0
05/16/07	SLD	N	301C	FIDELITY ADV DIV GRW B	13.9300	4,206C
05/16/07	SLD	N	652C	FIDELITY ADVISOR	7.0600	4,606C
05/16/07	SLD	N	454C	PIONEER MID CAP VALUE	21.8400	9,920C
05/16/07	BOT	N	289	PIONEER EQUITY INCOME	34.2400	9,920
05/16/07	BOT	N	206	FIDELITY ADV HEALTH CAR	22.3000	4,606
05/16/07	BOT	N	188	FIDELITY ADV HEALTH CAR	22.3000	4,206
05/11/07	BOT	N	200	MOTOROLA INC CO	18.2300	3,701
05/01/07	AIDB		3	PIONEER BOND FUND	9.0900	28
04/27/07	BOT	N	200	US BANCORP (NEW)	34.5600	6,967
04/02/07	JE		1	PIONEER BOND FUND	.0000	0
03/27/07	BOT		301	FIDELITY ADV DIV GRW B	13.1300	3,964
03/27/07	BOT		96	FID ADV LATIN AMER B	40.8900	3,964
03/27/07	SLD		590C	FIDELITY ADVISOR	6.7200	3,964C
03/27/07	SLD		590C	FIDELITY ADVISOR	6.7200	3,964C
03/27/07	SLD		590C	FIDELITY ADVISOR	6.7200	3,964C
03/27/07	SLD		590C	FIDELITY ADVISOR	6.7200	3,964C
03/27/07	SLD		590C	FIDELITY ADVISOR	6.7200	3,964C
03/27/07	SLD		590C	FIDELITY ADVISOR	6.7200	3,964C
03/27/07	SLD		820C	PIONEER HIGH YIELD	11.2400	9,216C
03/27/07	SLD		820C	PIONEER HIGH YIELD	11.2400	9,216C
03/27/07	BOT		116	FIDELITY ADV LEVERAGED	34.1100	3,964
03/27/07	BOT		297	FIDELITY ADV STRATEGIC	13.3300	3,964
03/27/07	BOT		278	FIDELITY ADVISOR SMALL	14.2600	3,964
03/27/07	BOT		454	PIONEER MID CAP VALUE	20.2900	9,216
03/27/07	BOT		1,011	PIONEER BOND FUND	9.1100	9,216
03/27/07	BOT		96	FIDELITY ADVISOR ENERGY	40.9200	3,964

12/26/07	AIDB	4	FIDELITY ADV STRATEGIC	12.5700	50
12/26/07	AIDB	9	FIDELITY ADV STRATEGIC	12.5700	116
12/26/07	AIDB	1	FIDELITY ADV STRATEGIC	12.5700	13
12/26/07	JE	1	FIDELITY ADV STRATEGIC	.0000	0
12/21/07	AIDB	6	PIONEER CLASSIC BALANCE	9.6000	62
12/21/07	AIDB	1	PIONEER EQUITY INCOME	28.9700	45
12/21/07	JE	1	PIONEER EQUITY INCOME	.0000	0
12/18/07	AIDB	1	FIDELITY ADV LEVERAGED	36.6100	66
12/18/07	JE	1	FIDELITY ADV LEVERAGED	.0000	0
12/18/07	AIDB	2	FIDELITY ADVISOR ENERGY	49.6000	133
12/18/07	JE	1	FIDELITY ADVISOR ENERGY	.0000	0
12/18/07	AIDB	1	FIDELITY ADV HEALTH CAR	21.0100	26
12/18/07	AIDB	7	FIDELITY ADV HEALTH CAR	21.0100	156
12/18/07	JE	1	FIDELITY ADV HEALTH CAR	.0000	0
12/18/07	AIDB	7	FIDELITY ADV SMALL CAP	22.0200	162
12/18/07	JE	1	FIDELITY ADV SMALL CAP	.0000	0
12/11/07	AIDB	1	FID ADV LATIN AMER B	58.1400	92
12/11/07	JE	1	FID ADV LATIN AMER B	.0000	0
12/03/07	AIDB	2	PIONEER CLASSIC BALANCE	9.8000	28
12/03/07	AIDB	93	PIONEER CLASSIC BALANCE	9.8000	914
12/03/07	JE	1	PIONEER CLASSIC BALANCE	.0000	0
12/03/07	JE	1	PIONEER CLASSIC BALANCE	.0000	0
11/29/07	AIDB	17	PIONEER EQUITY INCOME	29.4500	527
11/29/07	JE	1	PIONEER EQUITY INCOME	.0000	0
09/24/07	AIDB	4	PIONEER CLASSIC BALANCE	11.0400	49
09/24/07	AIDB	1	PIONEER EQUITY INCOME	33.0000	34
09/11/07	AIDB	3	FIDELITY ADVISOR ENERGY	45.9200	168
09/11/07	JE	1	FIDELITY ADVISOR ENERGY	.0000	0
09/11/07	AIDB	2	FIDELITY ADV HEALTH CAR	20.2300	56
09/11/07	AIDB	24	FIDELITY ADV HEALTH CAR	20.2300	501
09/11/07	JE	1	FIDELITY ADV HEALTH CAR	.0000	0
07/11/07	XCHS	2C	PIONEER BOND FUND	.0000	0
07/11/07	XCHB	2	PIONEER BOND FUND	.0000	0

DR INFORMATION PURPOSES ONLY - ACCOUNT STATEMENT IS OFFICIAL RECORD
HIS INFO MUST NOT BE USED IN PLACE OF THE MERRILL LYNCH TAX REPORTING
TATEMENT FOR TAX INFORMATION.

<MORE>

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependant child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobil s, household furniture, or appliances, liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. R port ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $15,001-$50,000	E $100,001-$250,000	G $500,001-$1,000,000	I $5,000,001-$25,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X			
	Hampton State Bank	Mortgage on Westhampton					
		rental house					

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

SCHEDULE VII— TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
The Common Wealth Fund to the Alliance for Health Return		Jan 12-14	Washington DC - Miami - New York	Y	Y	N	None

This page may be copied if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, truste of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business ent rprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Board Member	The Lab School of Washington
Honorary Board Member	New Yorkers Against Gun Violence

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:02 MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Kevin Owen McCarthy
(Full Name)

202-225-2915
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: CA District: 22 ☐ Officer Or Employee — Employing Office

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ N ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ N ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kevin Owen McCarthy

BLOCK A **Asset and/ r Incom S urce**	BLOCK B **Y ar-End Valu of Asset**	BLOCK C **Type of Incom**	BLOCK D **Amount of Incom**	BLOCK E **Transaction**
Identify (a) each asset *held for investm nt or production* of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any *other assets or sources* of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an *active business* that is not publicly traded, state the name of the business, the nature of its activities, and its geographic *location in Block A. For additional* information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed *to you by your spouse, or by* your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	at close of reporting year. If you use a valuation method other than *fair market value*, please specify the *method used*. If an asset was sold and is *included only* because it is generated income, the value should be "None."	Check all column that apply. Check "None" if asset did not generate any income during the calendar year. If other *than one of the listed* categories, specify the *type of income by writing* a brief description in this block. (For example: Partnership income or Farm income)	For retirem nt plans r accounts that do not allow you to choose specific investments, you may write "NA" for income. For all *other assets*, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	Indicate if ass t had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Alexander & Baldwin Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Diageo PLC Sponsored Adr New Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Duke Energy Corp New Com Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
International Game Technology Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
OGE Energy Corp Stock	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Spectra Energy Corp Com Stock (spinoff from Duke Energy)	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kevin Owen McCarthy

	TECO Energy Inc. Stock	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
	Alliance Growth and Income Class C	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	Calamos Growth Fund Class A	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	P
	Growth Fund of America Class C	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	John Hancock Tax Advantaged Divid FD	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
	New Economy Fund Class A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	New Perspective Fund Class A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
	New World Fund Class A	$15,001 - $50,000	None	NONE	P
	OCM Gold Fund	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
	Washington Mutual Investors Fund	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
DC	American Funds - Growth Funds	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
DC	American Funds - Growth Funds	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	Karpe Mortgage	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Golden One Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Kevin Owen McCarthy

Kern Schools Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200

SCHEDULE IV - TRANSACTIONS

Name Kevin Owen McCarthy

Report any purchas , sale or exchang by y u, your spouse, or d pend nt child during the reporting year f any real property, stocks, bonds, commodities futures, r other securities wh n th amount of the transaction or s ri s of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your sp use, or y ur d pend nt child, or the purchase r sale of your personal residenco, unless it is rented ut. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	OGE Energy Corp Stock	S	12-28-07	$1,001 - $15,000
	Spectra Energy Corp Com Stock (spinoff from Duke Energy)	S	12-28-07	$1,001 - $15,000
	TECO Energy Inc. Stock	S	12-28-07	$1,001 - $15,000
	Washington Mutual Investors Fund	S	12-28-07	$1,001 - $15,000
	International Game Technology Stock	P	12-28-07	$1,001 - $15,000
	New Economy Fund Class A	P	12-31-07	$1,001 - $15,000
	New Perspective Fund Class A	P	12-31-07	$1,001 - $15,000
	New World Fund Class A	P	12-31-07	$15,001 - $50,000
	Growth Fund of America Class C	P	12-20-07	$1,001 - $15,000
	Alliance Growth and Income Class C	P	12-26-07	$1,001 - $15,000
	Calamos Growth Fund Class A	P	11-20-07	$1,001 - $15,000
	John Hancock Tax Advantaged Divid FD	S	12-28-07	$1,001 - $15,000

2455

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by M mbers, officers, and mployees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 12:07
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Betty L. McCollum
(Full Name)

1714 Longworth H.O.B.
(Mailing Address)

Washington D.C. 20515
Daytime Telephone: 202 225 6621

Filer Status	[X] Member of the U.S. House of Representatives — State: Minnesota District: 4	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be ass ss d against anyone who files m re than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	N [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	N [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	N [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	N [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	N [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Betty McCollum	May 14, 2007

2456

Name Betty M' Collum | Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

F r payments to charity in lieu of honoraria, use Schedule II.

2457

Name Betty McCollum	Pag ___ of ___

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
	XYZ Magazine	Article	Aug 13, 2006	$500
	NONE			

This pag may be copied if more pac is required.

Name | Page __ of __

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/ r Income Sourc

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Typ of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Incom

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: None	A: $1 - $1,000	B: $1,001 - $15,000	C: $15,001 - $50,000	D: $50,001 - $100,000	E: $100,001 - $250,000	F: $250,001 - $500,000	G: $500,001 - $1,000,000	H: $1,000,001 - $5,000,000	I: $5,000,001 - $25,000,000	J: $25,000,001 - $50,000,000	K: Over $50,000,000	L	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I: $1 - $200	II: $201 - $1,000	III: $1,001 - $2,500	IV: $2,501 - $5,000	V: $5,001 - $15,000	VI: $15,001 - $50,000	VII: $50,001 - $100,000	VIII: $100,001 - $1,000,000	IX: $1,000,001 - $5,000,000	X: Over $5,000,000	XI	Block E: P, S, E
SP	Examples:	SP	Mega Corp. Stock					X								X										X									P
DC			Simon & Schuster			Indefinite																Royalties									X				
JT			1st Bank of Paducah, KY accounts						X									X											X						
	MN State Deferred																																		
	Comp. Plan																																		
	Fidelity DJ. Enter Fund					X											X			X					X										
	Janus Twenty Fund					X											X			X					X										
	T. Rowe Price Small Cap Fund					X											X			X					X										
	Vanguard Intl. Index Funds Plus					X											X			X					X										

If additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

Page 1

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Alger Small midcap Growth A open End			X											X							/	X									
																							X	[redacted]								
	Goldman Sachs Growth Income Fd CL A open End			X											X																	
	Goldman Sach Capital Growth FD CLA open End			X											X									X								
	Goldman Sachs Structured Small cap Equity Fd CL A open End			X											X								X									
	John Hancock U.S. Global Leaders Growth FD A open End		X												X								X									

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Sourc	BLOCK B Y ar-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Ing Mid Cap Opportunity FD A open End			X											X									X							
	Oppenheimer Quest Opply Value FD A open End			X											X							X										
	Oppenheimer Quest Balanced Fund A Open End			X											X							X										
	Oppenheimer Money Market Fund Ambassade Primary Lic Fd			X																		X										

This page may be copied if more spac l required.

SCHEDULE IV — TRANSACTIONS

Name Beth McCulk | Page ___ of ___

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								
	None															

This page may be copied if more space is required.

Name Betty McCollum | Page 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclud** : Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e . credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	CITI So. Dakota		X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required

Nam Betty McCollum | Page 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	None						

This page may be copied if more space is required.

2464

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Y ar R porting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
... RESOURCE CENTER
2008 JUN -5 PM 2:12
U.S. HOUSE OF REPRESENTATIVES

Rep. Betty McCollum (Full Name)

202 225-6631 (Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: MN District: 4	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☒ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	N ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" respons .**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. *Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?*	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	N ☑

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 12:08
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Rep. Betty McCollum
(Full Name)

(202) 225-6631
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: MN District: 04	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ N ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ N ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ N ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ N ☑

2467

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Betty McCollum

BLOCK A **As t and/ r Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Incom** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Incom** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if as at had purcha es (P), sales (S), or exchang s (E) exceeding $1,000 in reporting y ar.
Alger Small & Midcap Growth A Open End Mutual Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
Davis NY Venture Mutual Fund	$1,001 - $15,000	None	NONE	P
Goldman Sachs Growth & Income Mutual Fund	$1,001 - $15,000	None	NONE	
Goldman Sachs Structured Small Cap Equity Mutual Fund	$1,001 - $15,000	None	NONE	
Golman Sachs Capital Growth Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
ING Midcap Opportunities FDA Open Ed Mutual Fund	None	DIVIDENDS	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rep. Betty McCollum

	MN State Def. Comp -- Fidelity Div. Inter Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
	MN State Def. Comp -- Janus Twenty Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
	MN State Def. Comp -- T. Rowe Price Small Cap Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
	MN State Def. Comp -- Vanguard Index Funds Plus	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
	Oppenheimer Quest Balanced Fund-A Mutual Fund	$1,001 - $15,000	None	NONE
	Oppenheimer Quest Opporutnity Value Mutual Fund	$1,001 - $15,000	None	NONE

SCHEDULE IV - TRANSACTIONS

Name Rep. Betty McCollum

R p rt any purchase, sal or exchange by y u, your spous , or dependent child during the rep rting year of any real property, st cks, bonds, commodities futures, or ther s curities wh n the amount of the transacti n or seri s of transacti ns exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction b tween y u, your sp us , r your dependent child, r th purchas or sale of y ur personal residenc , unless it is rented out. Pr vide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Davis NY Venture Mutual Fund	P	5-2-07	$1,001 - $15,000
	ING Midcap Opportunities FDA Open Ed Mutual Fund	S	5-1-07	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Nam Rep. Betty McCollum

R p rt all positi ns, c mpensated or unc mpensated, held during th current cal ndar y ar as an officer, director, trustee of an organization, partner, proprietor, repres ntative, empl yee, r consultant f any corporation, firm, partn rship, r any busin ss nt rprise, any nonprofit rganization, any labor rganization, r any educational or oth r institution other than the United States. Exclud : Positions h ld in any religious, social, fraternal, or political entities; positions s lely of an honorary nature; and positi ns listed on Schedule I.

Position	Name of Organization
Board Chair	Parliamentary Network on the World Bank (PNOWB)

HAND DELIVERED

2000 MAY 14 AM 9:24

MC

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

Thaddeus George McCotter
(Full Name)

202-225-8171
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: MI District: 11 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

2472

SCHEDULE I - EARNED INCOME

Name Thaddeus George McCotter

List th source, typ , and am unt of earned income fr m any sourc (other than the filer's current mployment by th U.S. Governm nt) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
St. Mary's Mercy Hospital	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thadd us George McCotter

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Daimler Chrysler	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	LaSalle Bank	$1,001 - $15,000	INTEREST	$1 - $200	
JT	RVS Cash Management	$1,001 - $15,000	INTEREST	$201 - $1,000	P
DC	RVS ESA Small Cap	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
DC	RVS ESA Small Cap	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
DC	RVS ESA Small Cap	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Thadd us George McCotter

SP	RVS IRA Cash Mgt	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	RVS IRA Diverse Bond	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	RVS IRA Euro Equity	$1,001 - $15,000	None	NONE	
SP	RVS IRA Mid Cap	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
SP	RVS IRA Small Cap	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	RVS IRA Strategic Select Port	$100,001 - $250,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$15,001 - $50,000	
	RVS Roth IRA Mid Cap	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
SP	RVS Roth IRA Intl Select	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
DC	RVS UGMA Large Cap	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
DC	RVS UGMA Large Cap New Dimensions	$1,001 - $15,000	None	NONE	
DC	RVS UGMA Mid Cap	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	
DC	RVS UGMA Small Cap	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	RVS Var Univers Life	$100,001 - $250,000	None	NONE	P

SCHEDULE IV - TRANSACTIONS

Name Thaddeus George McCotter

Report any purchase, sal or xchange by you, your spous , or depend nt child during the reporting y ar of any r al prop rty, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not rep rt a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	RVS Cash Management	P	$1000 on 01/23/2007; $2000 on 12/18/2007	$1,001 - $15,000
SP	RVS Var Univers Life	P	$150 monthly	$1,001 - $15,000
DC	RVS ESA Small Cap	P	$166.67 monthly	$1,001 - $15,000
DC	RVS ESA Small Cap	P	$166.67 monthly	$1,001 - $15,000
DC	RVS ESA Small Cap	P	$166.67 monthly	$1,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year R porting P riod

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 4:44
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

James Otis McCrery III
(Full Name)

202.225.2777
(Daytime telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: LA District: 04	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	N ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" respon e.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

2477

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Ketchum Inc.	Spouse Salary	NA
Self Employment Income	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the *specific investments*), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Ass t

Indicat value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs,*** indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Am unt of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as incom .** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows (S) (partial) See below for example.

			Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP		SP	Mega Corp. Stock					X									X			X						X								S (partial)
DC	Examples.		Simon & Schuster			Indefinite																Royalties									X			
JT			1st Bank of Paducah, KY Accounts						X									X										X						
			Pioneer Cash Fund			X													X					X											
			EuroPacific Growth Fund			X														X				X											
			Federated Gov't Income		X															X				X											
			Fidelity Contra Fund			X														X				X											
			Fidelity Equity Income Fund			X														X				X											
			Fidelity Growth & Income Fund			X														X				X											

For additional assets and unearn d incom , us next page.

2479

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name James Otis McCrery III

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P. S. E.
	Fidelity Blue Chip Growth Fund			X											X			X				X										
	Fidelity Select BioTech Fund			X											X			X				X										
	Nasdaq 100 Trust Unit			X											X							X										
	Putnam International			X											X			X				X										
	Land, Rapides Parish, LA				X															Oil & gas properties			X									
	{undivided 1/6 interest in 62 acres}																															
	Land, De Soto Parish, LA			X																	X											
	{undivided interest in 160 acres}																															
	Fidelity Advisor 529				X																			X								
	Dows New York Venture			X																	X											
	Pioneer Oak Ridge Large Cap			X																	X											

This page may be copied if more space is required.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A Page
For use by Members, officers, and employees

2008 MAY 15 PM 5:56

James A McDermott
(Full Name)

202-225-3106
(Daytime Telephone)

(Office Use Only)

MC

Filer Status	☑ Member of the U.S. House of Representative	State: WA District: 07	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty hall be assessed against anyone wh files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $308 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $308 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2481

SCHEDULE I - EARNED INCOME

Name James A McDermott

List the sourc , type, and am unt of earned inc m fr m any source (th r than th fil r's current mployment by the U.S. Government) totaling $200 or m re during the preceding cal ndar year. For a spouse, li t th source and am unt of any h noraria; list only the source for oth r sp use arned income exceeding $1,000.

Source	Type	Amount
Foundation for Professional Development	Spouse Salary	$15,173
University of Washington	Spouse Salary	$2,500
Washingon State Department of Retirement Systems (Income is for services rendered prior to House employment)	Pension	$3,591.78

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James A McDermott

	BLOCK A **Asset and/or Incom Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Incom** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in rep rting year.
	Carlyle Real Estate L.P. XIII	$1,001 - $15,000	None	NONE	
SP	Prevail Credit Union (formerly King County Credit Union)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Smith Barney Citigroup (see attached)(also see footnote about mandatory IRA distribution)	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
SP	Soha & Lang, P.S. 401 (K) Retirement Plan (Not self directed)	$100,001 - $250,000	INTEREST	$15,001 - $50,000	
	State of Washington Deferred Compensation Program (Not self directed)	$15,001 - $50,000	DIVIDENDS/INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James A McD rmott

	Stone & Youngberg (see attached) (Includes Rollover from Hartford Life Companies)	$100,001 - $250,000	DIVIDENDS/INTEREST	$1,001 - $2,500	
	The Hartford Life Companies (Transferred to Stone & Youngberg)	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	The Vanguard Group	$50,001 - $100,000	DIVIDENDS/INTEREST	$1,001 - $2,500	
	TIAA/CREF (Not self directed)	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name James A McDermott

Report any purchase, sal or exchang by you, your spouse, r dependent child during the reporting year of any real property, stocks, bonds, c mm ditiea futures, or other securities when the amount f the transaction or series of transacti ns exceeded $1,000. Includ tranaactions that re ulted in a l ss. Do n t report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it i rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	The Vanguard Group	P	1/1-12/31/07	$1,001 - $15,000
	Smith Barney Citigroup (see attached)	P	1/1-12/31/07	$100,001 - $250,000
	Smith Barney Citigroup (see attached)	S	1/1-12/31/07	$100,001 - $250,000
	Stone & Youngberg (see attached)	P	1/1-12/31/07	$50,001 - $100,000
	Stone & Youngberg (see attached)	S	1/1-12/31/07	$1,001 - $15,000

SCHEDULE VI - GIFTS

Name James A McDermott

Report the ource, a bri f descripti n, and th value of all gifts totaling more than $305 rec iv d by you, your spouse, r a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local m als, and gifts to a spouse r dependent child that are totally independent of his r her relationship to you. Gifts with a value of $122 r less need not be added t wards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptanc of gifts xcept as specifically provided in the rule.

Source	Description	Value
Gordon Younger 1000 SW 43rd Street Renton, WA 98055-4832	Donation to The Jim McDermott Legal Expense Trust	$2,000
Geraldine Haynes 104 7th Avenue Kirkland, WA 98033-5531	Donation to The Jim McDermott Legal Expense Trust	$450
John Nine 111 Estancia Way Carmel, IN 46032	Donation to The Jim McDermott Legal Expense Trust	$3,000
Francis Winslow 1134 Finnsoan Way Sr #306 Bellingham, WA 98225-6653	Donation to The Jim McDermott Legal Expense Trust	$359
Dale Van Pelt 11428 35th Ave SW Seattle, WA 98146	Donation to The Jim McDermott Legal Expense Trust	$500
Naseem Tuffaha 12019 178th Pl NE Redmond, WA 98052-7250	Donation to The Jim McDermott Legal Expense Trust	$500
Bill Butler 12035 Palatine Ave N Seattle, WA 98133	Donation to The Jim McDermott Legal Expense Trust	$450
Mark Tenner 121 Quail Run Rd Henderson, NV 89014	Donation to The Jim McDermott Legal Expense Trust	$5,000
Lisa Tenner 121 Quail Run Rd Henderson, NV 89014	Donation to The Jim McDermott Legal Expense Trust	$5,000

SCHEDULE VI - GIFTS

Name James A McDermott

Report the s urce, a brief description, and th value of all gifts totaling more than $305 received by you, y ur spou e, r a d pend nt child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dep ndent child that are totally independent of his or h r relationship to y u. Gifts with a value f $122 or l ss need not be add d towards th $305 disc l sure threshold. Note: The gift rule (House Rule 25, claus 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Joy McNichols 121 Vine St Seattle, WA 98121	Donation to The Jim McDermott Legal Expense Trust	$3,500
Bernard Goffe 1234 22nd Ave E Seattle, WA 98112	Donation to The Jim McDermott Legal Expense Trust	$1,000
Charles Bagley 1235 8th Ave W Seattle, WA 98119	Donation to The Jim McDermott Legal Expense Trust	$400
Dal Lamagna 124 Lancia Dr East Norwich, NY 11732	Donation to The Jim McDermott Legal Expense Trust	$5,000
David Brennan 1300 University St Apt 9A Seattle, WA 98101	Donation to The Jim McDermott Legal Expense Trust	$1,000
April Williamson 13703 39th Ave NE Seattle, WA 98125	Donation to The Jim McDermott Legal Expense Trust	$1,000
Ned Lowry 14007 183rd Ave SE Renton, WA 98059	Donation to The Jim McDermott Legal Expense Trust	$600
Stephen Price 1409 4th St. Kirkland, WA 98033	Donation to The Jim McDermott Legal Expense Trust	$750
Richard Rapport 141 39th Ave E Seattle, WA 98112	Donation to The Jim McDermott Legal Expense Trust	$500

SCHEDULE VI - GIFTS

Name Jam s A McDermott

R port the source, a brief descripti n, and the valu f all gifts t taling more than $305 receiv d by you, y ur pou e, or a dependent child fr m any s urce during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, l cal m als, and gifts to a spouse or depend nt child that are t tally independent of his or her relationship t y u. Gifts with a value of $122 or l ss need n t b added towards th $305 disclosure threshold. N te: The gift rule *(House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.*

Source	Description	Value
Deborah Comly 1457 W Meade Ln Flagstaff, AZ 86001	Donation to The Jim McDermott Legal Expense Trust	$500
John McDermott 1525 32nd Ave Seattle, WA 98122	Donation to The Jim McDermott Legal Expense Trust	$1,750
Sarah Sloat 1534 S Sunset Dr Tacoma, WA 98465-1237	Donation to The Jim McDermott Legal Expense Trust	$450
William Calvin 1543 17th Ave E Seattle, WA 98112	Donation to The Jim McDermott Legal Expense Trust	$1,500
Floyd Jones 16268 38th Ave NE Lake Forest, WA 98155	Donation to The Jim McDermott Legal Expense Trust	$500
Joseph Bogaard 16530 91st Ave. SW Vashon, WA 98070	Donation to The Jim McDermott Legal Expense Trust	$500
Judy Pigott 1718 Palm Ave SW Seattle, WA 98116	Donation to The Jim McDermott Legal Expense Trust	$500
Majdi Daher 18133 NE 68th St., Ste.D100 Redmond, WA 98052	Donation to The Jim McDermott Legal Expense Trust	$3,000
Kathryn Olsen 18539 Westside Hwy SW Vashon, WA 98070	Donation to The Jim McDermott Legal Expense Trust	$500

SCHEDULE VI - GIFTS

Name James A McDermott

Report the source, a brief descripti n, and the valu of all gifts totaling more than $305 received by you, your spouse, or a d pendent child from any s urce during the y ar. Exclud : Gifts from relatives, gifts of personal hospitality of an individual, l cal meals, and gifts to a spouse or d pend nt child that are totally independent of his or her relationship to you. Gifts with a value f $122 or less need not be add d towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptanc of gifts except as specifically provided in the rule.

Source	Description	Value
Mary McTavish 18715 62nd Ave NE Kenmore, WA 98028	Donation to The Jim McDermott Legal Expense Trust	$500
Taraneh Sefrioui 19257 NE 149th St. Woodinville, WA 98072	Donation to The Jim McDermott Legal Expense Trust	$1,000
Gail Katz 1929 Sunset Ave. SW Seattle, WA 98126	Donation to The Jim McDermott Legal Expense Trust	$1,250
Robert Burk 2017 Fairview Ave E #G Seattle, WA 98102	Donation to The Jim McDermott Legal Expense Trust	$1,000
Alan Matthew Weiner 2303 22nd Ave E Seattle, WA 98112	Donation to The Jim McDermott Legal Expense Trust	$500
James A C McDermott 2332 Mandeville Cyn Dr Los Angeles, CA 90049	Donation to The Jim McDermott Legal Expense Trust	$5,000
Deborah Cincotta 2332 Mandeville Cyn Dr Los Angeles, CA 90049	Donation to The Jim McDermott Legal Expense Trust	$5,000
I.L.W.U. Local No. 52 2414 SW Andover St Ste F-100 Seattle, WA 98106	Donation to The Jim McDermott Legal Expense Trust	$500
Susan Corzatte 2520 11th Ave W Seattle, WA 98119	Donation to The Jim McDermott Legal Expense Trust	$350

SCHEDULE VI - GIFTS

Name James A McDermott

Report the sourc , a brief d scription, and the value f all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts f personal hospitality of an individual, local meals, and gifts to a spouse or dep ndent child that ar t tally independent of his r h r relation hip to you. Gifts with a value of $122 or less need n t be added t wards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Danferd Henke 2538 11th Ave W Seattle, WA 98119	Donation to The Jim McDermott Legal Expense Trust	$1,000
George Heidorn 27750 94th Ave SW Vashon, WA 98070	Donation to The Jim McDermott Legal Expense Trust	$1,000
Johanna Singh 2802 241st Pl SW Brier, WA 98036	Donation to The Jim McDermott Legal Expense Trust	$400
Barry Feldman 29 Ruff Circle Glastonbury, CT 06033	Donation to The Jim McDermott Legal Expense Trust	$2,500
Paul Neiman 3020 Mount Saint Helens Pl S Seattle, Wa 98144	Donation to The Jim McDermott Legal Expense Trust	$350
James Margard 310 W Prospect St Seattle, WA 98119	Donation to The Jim McDermott Legal Expense Trust	$600
Andrew Borland 3146 Portage Bay Pl E Houseboat E Seattle, WA 98102	Donation to The Jim McDermott Legal Expense Trust	$1,200
Jane Sanders 3242 15th Ave S Seattle, WA 98144	Donation to The Jim McDermott Legal Expense Trust	$500
Paul Names 33008 Military Rd S Auburn, WA 98001	Donation to The Jim McDermott Legal Expense Trust	$600

SCHEDULE VI - GIFTS

Name James A McDermott

R port th sourc , a brief description, and the value f all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during th year. Exclude: Gifts from relatives, gifts of pers nal hospitality of an individual, local m als, and gifts to a sp use r dependent child that are totally independent of his r her relationship to you. Gifts with a value of $122 or less need not be added t wards th $305 dis losure thresh ld. Note: Th gift rule (House Rul 25, clause 5) prohibits acc ptance f gifts exc pt as specifically provided in the rule.

Source	Description	Value
Alan Rabinowitz 3400 E Laurelhurst Dr Seattle, WA 98105	Donation to The Jim McDermott Legal Expense Trust	$500
George Cox 34138 W Greystone Ln Woodway, WA 98020	Donation to The Jim McDermott Legal Expense Trust	$500
Robert Eichler 3455 Hunts Point Rd Hunts Point, WA 98004	Donation to The Jim McDermott Legal Expense Trust	$2,000
Elizabeth Abu-Haydar 351 Lee St Seattle, Wa 98109	Donation to The Jim McDermott Legal Expense Trust	$800
Tom Lucas 3562 W Howe St Seattle, WA 98199	Donation to The Jim McDermott Legal Expense Trust	$500
Mihir Meghani 37808 Fruitwood Ct Fremont, CA 94536	Donation to The Jim McDermott Legal Expense Trust	$601
Gail Chiarello 4048 NE 58th St. Seattle, WA 98105	Donation to The Jim McDermott Legal Expense Trust	$409.50
Nathanael Nerode 406 Cayuga Hts Rd Ithaca, NY 14850	Donation to The Jim McDermott Legal Expense Trust	$750
Edith Wilkie 4249 Holly Point Rd Edgewater, MD 21037	Donation to The Jim McDermott Legal Expense Trust	$500

SCHEDULE VI - GIFTS

Name James A McDermott

R port the sourc , a brief description, and the value f all gifts totaling more than $305 received by you, your spouse, r a d pend nt child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spous or d pend nt child that are totally independent of his or her relationship to you. Gifts with a valu of $122 or le s need not be added towards the $305 disclosure threshold. Note: Th gift rul (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Alice Wiren 4250 NE 88th St Seattle, WA 98115	Donation to The Jim McDermott Legal Expense Trust	$1,600
Ruth Moore 4303 4th Ave NE Seattle, WA 98105	Donation to The Jim McDermott Legal Expense Trust	$750
Sanjay Puri 4455 Brookfield Corporate Dr Chantilly, VA 20151	Donation to The Jim McDermott Legal Expense Trust	$1,000
Teresa Healy 4613 Dayton Ave N Seattle, WA 98103	Donation to The Jim McDermott Legal Expense Trust	$500
Dave Hall 4636 Eastern Ave N Seattle, WA 98103	Donation to The Jim McDermott Legal Expense Trust	$500
J Thomas Wood 4712 NE Shore Pl Seattle, WA 98155	Donation to The Jim McDermott Legal Expense Trust	$500
Stuart Anderson 4719 Cornell Downers Grove, IL 60515	Donation to The Jim McDermott Legal Expense Trust	$500
Malcom Gleser 474 39th Ave E Seattle, WA 98112	Donation to The Jim McDermott Legal Expense Trust	$400
Christian Olson 523 26th Ave Seattle, WA 98122	Donation to The Jim McDermott Legal Expense Trust	$389.16

SCHEDULE VI - GIFTS

Name Jam s A McDermott

Report the s urce, a brief descripti n, and th value f all gifts totaling more than $305 received by you, y ur spouse, or a dependent child from any s urce during the y ar. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spous or dep ndent child that are totally independent of his or h r relationship t you. Gifts with a valu of $122 or less need not be add d towards the $305 disclosure threshold. Note: Th gift rul (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Nancy Alvord 5601 NE Ambleside Rd Seattle, WA 98105	Donation to The Jim McDermott Legal Expense Trust	$500
Lois Curtis 5789 Crystal Springs Dr NE Bainbridge Island, WA 98110	Donation to The Jim McDermott Legal Expense Trust	$500
Laura Hart 6053 26th Ave NE Seattle, WA 98115	Donation to The Jim McDermott Legal Expense Trust	$500
James Schroeder 621 Nadina Pl Celebration, FL 34747	Donation to The Jim McDermott Legal Expense Trust	$350
Gregory Hoyt 6319 20th Ave NE Seattle, WA 98115	Donation to The Jim McDermott Legal Expense Trust	$1,000
Murray Raskind 6534 NE 60th St Seattle, WA 98115	Donation to The Jim McDermott Legal Expense Trust	$1,000
Eugene Wan 6827 29th Ave NE Seattle, WA 98115	Donation to The Jim McDermott Legal Expense Trust	$750
Synergy PAC 6849 Old Dominion Dr Ste222 McLean, VA 22101	Donation to The Jim McDermott Legal Expense Trust	$2,500
David Maack 7018 21st Ave NE Seattle, WA 98115	Donation to The Jim McDermott Legal Expense Trust	$700

SCHEDULE VI - GIFTS

Name James A McDermott

Rep rt the source, a brief description, and the valu of all gifts t taling more than $305 rec iv d by you, your spouse, or a depend nt child fr m any sourc during the y ar. Exclude: Gifts from relatives, gifts of p rsonal h spitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independ nt of his or her relati nship to you. Gifts with a value of $122 or less need not be added towards th $305 disci sure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Barbara Huston 715 W Prospect Seattle, WA 98119	Donation to The Jim McDermott Legal Expense Trust	$450
Fouad Farhat 720 Olive Way Suite 810 Seattle, WA 98101	Donation to The Jim McDermott Legal Expense Trust	$1,000
Robert Pope 720 Seneca St, Apt 816 Seattle, WA 98101	Donation to The Jim McDermott Legal Expense Trust	$400
Elaine Nonneman 8023 California Ave SW Seattle, WA 98136	Donation to The Jim McDermott Legal Expense Trust	$900
David Mclanahan 8424 California Ave SW Seattle, WA 98136	Donation to The Jim McDermott Legal Expense Trust	$250
Victor Martino 8424 NE Beck Rd Bainbridge Island, WA 98110	Donation to The Jim McDermott Legal Expense Trust	$100
James Palms 8720 26th Ave NW Seattle, WA 98117	Donation to The Jim McDermott Legal Expense Trust	$500
Daniel Streissguth 900 E Blaine St Seattle, WA 98102	Donation to The Jim McDermott Legal Expense Trust	$750
Richard Pelz 900 University St Apt. 13-P Seattle, WA 98101	Donation to The Jim McDermott Legal Expense Trust	$1,000

SCHEDULE VI - GIFTS

Name James A McD rmott

Report the source, a brief description, and th value of all gifts totaling more than $305 rec lived by you, your spouse, or a dependent child from any sourc during th year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, l cal m als, and gifts to a spous or dep ndent child that are t tally independent f his or her relationship to you. Gifts with a value of $122 or less need not be added t wards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Gerard Van Wesep 918 W. Bertona St Seattle, WA 98119	Donation to The Jim McDermott Legal Expense Trust	$750
Neil Schneider 9802 Mercerwood Dr Mercer Island, WA 98040	Donation to The Jim McDermott Legal Expense Trust	$100
Sari Schneider 9802 Mercerwood Dr Mercer Island, WA 98040	Donation to The Jim McDermott Legal Expense Trust	$250
James Sinegal 999 Lake Dr Ste 200 Issaquah, WA 98027	Donation to The Jim McDermott Legal Expense Trust	$2,500
Barbra Streisand c/o Boulevard Management Woodland Hills, CA 91364	Donation to The Jim McDermott Legal Expense Trust	$1,000
Lembhard Howell Pacific Building Suite 2105 Seattle, WA 98104	Donation to The Jim McDermott Legal Expense Trust	$500
Wagih Abu-Rish PO Box 1152 Bellevue, WA 98009	Donation to The Jim McDermott Legal Expense Trust	$1,500
Zine Badissy PO Box 1434 Renton, WA 98057	Donation to The Jim McDermott Legal Expense Trust	$1,000
Barney Frank PO Box 260 Newtonville, MA 02460	Donation to The Jim McDermott Legal Expense Trust	$2,500

SCHEDULE VI - GIFTS

Name James A McD rmott

Report th s urce, a brief description, and the valu of all gifts t taling m re than $305 rec ived by you, y ur spouse, r a dependent child from any sourc during the year. Exclude: Gifts from relatives, gifts of pers nal h spitality of an individual, local m als, and gifts to a spouse or depend nt child that are totally independ nt f his or h r relationship t you. Gifts with a value of $122 or less need n t b add d towards the $305 disc sure threshold. N te: Th gift rul (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Lillian McDonell PO Box 27037 Seattle, Wa 98165	Donation to The Jim McDermott Legal Expense Trust	$500
Thomas Robbins PO Box 338 La Conner, WA 98257	Donation to The Jim McDermott Legal Expense Trust	$500
Tom & Alexa Robbins PO Box 338 La Conner, WA 98257	Donation to The Jim McDermott Legal Expense Trust	$1,000
Joanna McKee PO Box 47347 Seattle, WA 98146	Donation to The Jim McDermott Legal Expense Trust	$350
Richard Eberharter PO Box 99487 Seattle, Wa 98139	Donation to The Jim McDermott Legal Expense Trust	$400

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name James A McDermott

Identify the source and list travel itinerary, dates, and nature of xp ns s provided for travel and travel-related xpenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the trav ler at the sponsor's expense, and the am unt of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expens s were reimbursed r paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at spons r' expense
The US Assn of Former Members of Congress (USAFMC)'s Congressional Study Group on Germany	3/30-4/5/07	IAD-FRA-HAM-BER-FRA-IAD	Y	Y	N	None
Spch, Symposium, Maine Veterans for Peace, 2nd Annual Symposium on Post Traumatic Street Disorder: The Hidden Cost of War	6/1-6/3/07	SEA-PWM-IAD	N	N	N	None
The Leaders Project: India & the US: Through Each Other's Eyes Conference	9/14-16/07	SEA-JAX-DCA	Y	Y	N	None
Center of Democracy in the Americas trip to Cuba	11/9-11/13/07	DCA-MIA-HAV-MIA-DCA	Y	N	N	None
CODEL McDernott - First Global Parliamentary Meeting on HIV/AIDS, Manila, Philippines	11/26-12/1//07	SEA-NRT-MNL-NRT-SEA	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Jam s A McDermott

Report all p sitions, compensated or uncompensated, h ld during the current calendar year as an officer, director, trustee f an organizati n, partner, proprietor, representativ , employee, or c nsultant of any c rporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational r other institution other than th United States. Exclude: Positi ns h ld in any religious, social, fraternal, or political entities; positi ns s lely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Commissioner	Japan-US Friendship Commission
Member, Board Sponsors	Washington Physicians for Social Responsibility
Member, Visiting Committee	The Henry M. Jackson School of International Studies, University of Washington
Member, International Programs Advisory Committee	Greater Seattle Vietnam Association
Member, Advisory Committee	Seattle-Chongqing Sister City Association
Member (ex-officio), Board of Directors	Washington State China Relations Council
Member, Foundation Associate	Pacific Science Center
Member, Advisory Board	Childhaven
Member, Advisory Committee	Tom Wales Endowment Fund
Member, Advisory Committee	Tom C. Wales Foundation
Member, Advisory Board	The Desmond Tutu Peace Foundation
President	American for Democratic Action

SCHEDULE VIII - POSITIONS

Name James A McDermott

Report all positions, compensated or uncompensat d, held during the current cal ndar year as an fficer, director, trustee of an organization, partner, proprietor, representative, employee, r consultant of any c rporati n, firm, partnership, or any busin s enterpris , any nonprofit organization, any lab r organization, or any educational r other institution oth r than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positi ns solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Board of Advisors	National Student Leadership Foundation
Board of Reference	International Student Volunteers
Honorary Board	City of Peace
Honorary Co-Chair	Lawyers Helping Hungry Children
Co-Chair	US-Japan Legislative Exchange Program
Board of Directors	US-Japan Bridging Foundation Commission
Honorary Committee	PlanetGuru Foundation
Advisory Council	Water 1st International
Congressional Member, Board of Advisors	National Bureau of Asian Research
Honorary Chair	Walk for Race, Asian Counseling and Referral Services
Planning Committee	Filipino American Centennial Commemoration Honorary Council, Smithsonian Institution
Honorary Co-Chair	Nisei Veterans Committee and NVC Foundation Capital Campaign

SCHEDULE VIII - POSITIONS

Name James A McDermott

Report all positi ns, c mpensated r uncompen ated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, mployee, or c nsultant of any corp ration, firm, partn rship, or any business enterprise, any nonprofit organizati n, any labor organization, or any educati nal or ther institution other than the United Stat s. Exclud : Positi ns held in any relig us, social, fraternal, or political ntities; p sitions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Chair, Board of Directors	Physicians for National Health Program, Western Washington, Chapter
Member	Advisory Council, Seattle Conservation Corps, City of Seattle Department of Parks and Recreation
Honorary Walk Ambassador	Seattle AIDS Walk, Lifelong AIDS Alliance

FOOTNOTES

Number	S ction / Schedule	Footnote	Thl note refers to the following item
3	Schedule III	Mandatory IRA Distribution of $12,731. donated directly to charity (see attached)	Smith Barney Citigroup

WORKING WEALTH.
AT SMITH BARNEY

Individual Retirement Account
January 1 - January 31, 2007

Account number

JAMES MCDERMOTT
CGM IRA CUSTODIAN

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.

itigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used nd registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. itigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

ccount value

	Last period	This period	%
ash balance	$ 0.00	$ 6,800.00	2.23
ank Deposit Program℠-principal	18,917.90	19,120.53	6.26
ommon stocks & options	282,267.10	279,406.50	91.51
otal value	$ 301,185.00	$ 305,327.03	100.00

air Market Value 12/31/06 $ 301,185.00

arnings summary

	This period	This year
ther dividends	$ 152.00	$ 152.00
ank Deposit Program℠	50.63	50.63
otal	$ 202.63	$ 202.63

ain/loss summary

	This period	This year
ealized gain or (loss)	$ 2,080.87	$ 2,080.87 LT $ 0.00 ST
nrealized gain or (loss) to date	51,757.78	

Cash, money fund, bank deposits

	This period
Opening balance	$ 18,917.90
Securities bought and other subtractions	0.00
Securities sold and other additions	6,800.00
Dividends credited	152.00
Bank Deposit Program℠ interest reinvested	50.63
Closing balance	$ 25,920.53

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 301,185.00	$ 301,185.00
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	301,185.00	301,185.00
Total value as of 1/31/2007 (excl. accr. int.)	$ 305,327.03	$ 305,327.03
Total return	$ 4,142.03	$ 4,142.03

2502

citigroup

[redacted]

WORKING WEALTH
AT SMITH BARNEY

[redacted]

Individual Retirement Account
January 1 - January 31, 2007

[redacted]

JAMES MCDERMOTT

Account number [redacted]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since inception*
Contributions			
Total contributions	$ 0.00	$ 0.00	$ 0.00

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

[redacted]

Required Minimum Distribution (RMD) Calculation

Our records indicate that you will be 70-1/2 or older this year. Generally, Required Minimum Distributions (RMD) must be withdrawn each year by December 31. Smith Barney has calculated your 2007 required minimum distribution using this account's beneficiary designation and December 31, 2006 Fair Market Value. In limited situations, it will be necessary to perform a manual adjustment to the year-end value by the amount of any transfer, rollover or recharacterization that did not settle by December 31, 2006. Failure to do so may result in an insufficient RMD subject to penalty taxes and interest. Please contact your Financial Advisor if you require assistance in recalculating the RMD. **Note:** *You must withdraw your required distribution before initiating a Roth conversion since the conversion of Traditional IRA assets to a Roth IRA is not considered a required distribution.*

Distribution Period: 33.3 Calculation Method: Joint Recalculation	2007 Required Minimum Distribution $ 9,044.59

PORTFOLIO DETAILS

[redacted] values and holdings are as of 01/31/07.

Secu[redacted] in this section as of the trade date [redacted] may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estim[redacted] assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the st[redacted] prices represent levels at which securities can be bought or sold.

Please Note: [redacted] is being shown for informational purposes only an[redacted] tax preparation without the assistance of your tax advisor.

[redacted]

Bank Deposit Program[redacted]

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
[redacted] 17,122.15	CITIBANK NA BANK DEPOSIT PROGRAM	$ 17,122.15		3.22%	$ 551.33

citigroup

[redacted]

2503

WORKING WEALTH
AT SMITH BARNEY

Individual Retirement Account
January 1 - January 31, 2007

[redacted]

JAMES MCDERMOTT

Account number [redacted]

Bank Deposit Program℠ *continued*

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
1,998.38	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 1,998.38		3.22%	$ 64.34
Total Bank Deposit Program		$ 19,120.63	$ 0.00	3.22%	$ 615.67

Common stocks & options

Citigroup Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an Investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC Rating: Argus : 1 Morningstar : 2 S&P : 1	AMGN	08/21/00	$ 2,716.00	$ 67.87	$ 70.37	$ 2,814.80	$ 99.80* LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,561.60	19.52	17.73	1,418.40	(143.20)* LT	1.128	18.00
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1H Morningstar : 2 S&P : 1	ADM	09/21/05	22,775.63	22.31	32.00	32,000.00	9,224.37 LT	1.25	400.00
470	CISCO SYS INC Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 2	CSCO		Please provide		26.62	12,511.40	Not available		
300	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	29.20	44.35	13,305.00	4,351.04* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 2 [redacted]	CAG	04/04/06	22,047.83	21.59	25.71	25,710.00	3,662.17 ST	2.80	720.00

citigroup

[redacted]

2504

Individual Retirement Account
January 1 - January 31, 2007

JAMES MCDERMOTT

Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
600	E I DU PONT DE NEMOURS & CO **Rating:** Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	$ 24,822.74	$ 40.62	$ 49.56	$ 29,736.00	$ 4,913.26 ST	2.985%	$ 888.00
25	HOME DEPOT INC **Rating:** Citigroup : 2M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 1	HD		Please provide		40.74	1,018.50	Not available		
460			10/25/02	14,288.86	31.061	40.74	18,740.40	4,451.54* LT		
485				14,288.86	31.063		19,758.90	4,451.54**	2.209	438.50
200	INTEL CORP **Rating:** Citigroup : 1M Argus : 2 Morningstar : 2 S&P : 1	INTC		Please provide		20.96	4,192.00	Not available		
700			04/04/06	13,865.50	19.35	20.96	14,672.00	806.50 ST		
900				13,865.50	19.808		18,864.00	806.50**	2.146	405.00
1,000	MATTEL INC DE **Rating:** Citigroup : 2H S&P : 2	MAT	09/21/05	16,740.78	16.35	24.36	24,360.00	7,619.22 LT	2.668	650.00
400	MERCK & CO INC **Rating:** Citigroup : 2M Argus : 3 Morningstar : 2 S&P : 1	MRK	10/25/02	20,252.98	49.75	44.75	17,900.00	(2,352.98)* LT	3.356	608.00
300	MICROSOFT CORP **Rating:** Citigroup : 2M Argus : 1 Morningstar : 2 S&P : 2	MSFT		Please provide		30.86	9,258.00	Not available	1.296	120.00
800	PEABODY ENERGY CORP **Rating:** Citigroup : 1H Morningstar : 2 S&P : 2	BTU	06/05/04	10,987.24	13.465	40.83	32,664.00	21,676.76* LT		
200			09/13/06	7,654.26	37.35	40.83	8,166.00	511.74 ST		
1,000				18,641.50	18.642		40,830.00	22,188.50	587	240.00
1,000	PFIZER INC **Rating:** Citigroup : 2M Argus : 2 Morningstar : 2 S&P : 2	PFE	09/13/06	28,709.22	28.18	26.24	26,240.00	(2,469.22) ST	4.42	1,160.00

WORKING WEALTH. AT SMITH BARNEY

[redacted]

Individual Retirement Account
January 1 - January 31, 2007

JAMES MCDERMOTT

Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P* : 2	TQNT	10/25/02	$ 5,283.22	$ 5.09	$ 4.70	$ 4,700.00	($ 583.22)*LT		
Total common stocks and options				$1,200,588.82			$ 278,406.50	$ 2,424.45*: ST $ 44,333.03*: LT	2.01	$ 5,846.50
Total portfolio value				$ 219,789.36			$ 298,627.00	$ 7,974.45*: ST $ 44,333.03*: LT	2.00	$ 6,259.17

*Unrealized Gain/Loss is only calculated when an original cost basis is available.

Based on information supplied by client or other financial institution, not verified by us.

TRANSACTION DETAILS *All transactions appearing are based on trade date.*

Investment activity

Date	Activity	Description	Quantity	Price	Amount
1/31/07	Merger	ICOS CORP	-200		$ 6,800.00
Total securities bought and other subtractions					$ 0.00
Total securities sold and other additions					$ 6,800.00

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

	Opening balance		$ 18,917.90
Date	Activity	Description	Amount
1/03/07	Autodeposit	BANK DEPOSIT PROGRAM	152.00

Date	Activity	Description	Amount
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	50.63
		Closing balance	$ 19,120.53

[redacted]

[redacted]

[redacted]

WORKING WEALTH
AT SMITH BARNEY

[redacted]

Individual Retirement Account
January 1 - January 31, 2007

JAMES MCDERMOTT

Account number [redacted]

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
01/02/07	MERCK & CO INC	CASH DIV ON 400.0000 SHS X/D 12/06/06	$ 152.00		$ 152.00
Total other dividends earned			$ 152.00	$ 0.00	$ 152.00

Bank Deposit Program interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
01/31/07	CITIBANK NA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 01/02/07-01/31/07 30 DAYS AVERAGE YIELD 3.22 %.	$ 45.31		$ 45.31
01/31/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 01/02/07-01/31/07 30 DAYS AVERAGE YIELD 3.22 %.	5.32		5.32
Total Bank Deposit Program interest earned			$ 50.63	$ 0.00	$ 50.63

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first in-first out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ICOS CORP	10/25/02	01/29/07 Tender	200	$ 23.00		$ 4,719.13	$ 6,800.00	$ 2,080.87* LT
Total Long Term this period								$ 2,080.87
Total realized gain or (loss) - this period						$ 4,719.13	$ 6,800.00	$ 2,080.87
Total Long Term - year-to-date								$ 2,080.87
Total Short Term - year-to-date								$ 0.00
Total realized gain or (loss) - year-to-date						$ 4,719.13	$ 6,800.00	$ 2,080.87

*Based on information supplied by client or other financial institution, not verified by us.

[redacted]

citigroup

[redacted]

2507

WORKING WEALTH
AT SMITH BARNEY

Individual Retirement Account
February 1 - February 28, 2007

[redacted]

Account number [redacted]

[redacted]
JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

[redacted]
CITIGROUP GLOBAL MKTS INC.
[redacted]

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value

	Last period	This period	%
Cash balance	$ 8,800.00	$ 0.00	
Bank Deposit Program℠ principal	19,120.53	3,846.28	1.27
Common stocks & options	279,406.50	298,613.95	98.73
Total Value	$ 305,327.03	$ 302,460.23	100.00

Fair Market Value 12/31/06 $ 301,185.00

Earnings summary

	This period	This year
Other dividends	$ 60.00	$ 212.00
Bank Deposit Program℠	61.01	111.64
Total	$ 121.01	$ 323.84

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 9,455.10	$ 11,535.97 LT $ 0.00 ST
Unrealized gain or (loss) to date	40,690.22	

Cash, money fund, bank deposits

	This period
Opening balance	$ 25,920.53
Securities bought and other subtractions	(48,391.14)
Securities sold and other additions	26,195.88
Dividends credited	60.00
Bank Deposit Program℠ interest reinvested	20.54
Bank Deposit Program℠ interest credited	40.47
Closing balance	$ 3,846.28

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds [redacted] used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 305,327.03	$ 301,185.00
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	305,327.03	301,185.00
Total value as of 2/28/2007 (excl. accr. int.)	$ 302,460.23	$ 302,460.23
Total return	($ 2,866.80)	$ 1,275.23

2508

[redacted]

citigroup

[redacted]

[redacted]

[redacted]

Individual Retirement Account
February 1 - February 28, 2007

JAMES MCDERMOTT

Account number [redacted]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since Inception*
Contributions			
Total contributions	$ 0.00	$ 0.00	$ 0.00

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
[redacted]	[redacted]

Required Minimum Distribution (RMD) Calculation

Our records indicate that you will be 70-1/2 or older this year. Generally, Required Minimum Distributions (RMD) must be withdrawn each year by December 31. Smith Barney has calculated your 2007 required minimum distribution using this account's beneficiary designation and December 31, 2006 Fair Market Value. In limited situations, it will be necessary to perform a manual adjustment to the year-end value by the amount of any transfer, rollover or recharacterization that did not settle by December 31, 2006. Failure to do so may result in an insufficient RMD subject to penalty taxes and interest. Please contact your Financial Advisor if you require assistance in recalculating the RMD. **Note:** *You must withdraw your required distribution before initiating a Roth conversion since the conversion of Traditional IRA assets to a Roth IRA is not considered a required distribution.*

Distribution Period: 33.3 Calculation Method: Joint Recalculation	2007 Required Minimum Distribution	$ 9,044.59

[redacted]

[redacted]

[redacted]

[redacted]

[redacted]

citigroup

[redacted]

2509

WORKING WEALTH
AT SMITH BARNEY

Individual Retirement Account
February 1 - February 28, 2007

[redacted]

JAMES MCDERMOTT

Account number [redacted]

PORTFOLIO DETAILS

The values of your holdings are as of 02/28/07

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
3,846.28	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 3,846.28		3.19%	$ 122.69
Total Bank Deposit Program		$ 3,846.28	$ 0.00	3.19%	$ 122.69

Common stocks & options

Citigroup Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC Rating: Argus : 1 Morningstar : 1 S&P : 2	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 64.26	$ 2,570.40	($ 144.60)* LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,561.60	19.52	18.57	1,485.60	(76.00)* LT	1.077	16.00
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1H Morningstar : 2 S&P : 1	ADM	08/21/05	22,775.63	22.31	34.35	34,350.00	11,574.37 LT	1.339	460.00

[redacted]

citigroup
SMITH BARNEY

[redacted]

2510

Individual Retirement Account
February 1 - February 28, 2007

JAMES MCDERMOTT

Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
470	CISCO SYS INC Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 2	CSCO		Please provide		$ 25.94	$ 12,191.80	Not available		
450	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	19.466	25.72	11,574.00	2,620.04* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.59	25.21	25,210.00	3,162.17 ST	2.856	720.00
600	E I DU PONT DE NEMOURS & CO Rating: Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	24,822.74	40.62	50.73	30,438.00	5,615.26 ST	2.917	888.00
800	GENERAL ELECTRIC CO Rating: Citigroup : 1L Argus : 1 Morningstar : 2 S&P : 1	GE	02/22/07	28,814.26	35.378	34.91	27,928.00	(886.26) ST	3.208	896.00
25	HOME DEPOT INC Rating: Citigroup : 2M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 1	HD		Please provide		39.59	989.75	Not available		
460			10/25/02	14,288.86	30.41	39.59	18,211.40	3,922.54* LT		
485				14,288.86	31.063		19,201.15	3,922.54**	2.273	436.50
200	INTEL CORP Rating: Citigroup : 1M Argus : 2 Morningstar : 2 S&P : 1	INTC		Please provide		19.86	3,972.00	Not available		
700			04/04/06	13,865.50	19.35	19.86	13,902.00	36.50 ST		
900				13,865.50	19.808		17,874.00	36.50**	2.255	405.00
400	MERCK & CO INC Rating: Citigroup : 1M Argus : 3 Morningstar : 2 S&P : 1	MRK	10/25/02	20,252.98	49.75	44.15	17,660.00	(2,592.98)*LT	3.442	608.00
300	MICROSOFT CORP Rating: Citigroup : 2M Argus : 1 Morningstar : 1 S&P : 2	MSFT		Please provide		28.17	8,451.00	Not available	1.419	120.00

[redacted]

[redacted]

WORKING WEALTH
AT SMITH BARNEY

Individual Retirement Account
February 1 - February 28, 2007

JAMES MCDERMOTT Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
800	PEABODY ENERGY CORP	BTU	08/05/04	$ 10,887.24	$ 13.465	$ 40.42	$ 32,336.00	$ 21,348.76* LT		
200	Rating: Citigroup : 1H		09/13/06	7,654.26	37.35	40.42	8,084.00	429.74 ST		
1,000	Morningstar : 2 S&P : 2			18,541.50	18.542		40,420.00	21,778.50	.593	240.00
1,000	PFIZER INC Rating: Citigroup : 2M Argus : 2 Morningstar : 2 S&P : 2	PFE	09/13/06	28,709.22	28.18	24.98	24,980.00	(3,749.22) ST	4.647	1,160.00
1,000	SPRINT NEXTEL CORP Rating: Citigroup : 1H Argus : 2 Morningstar : 1 S&P : 2	S	02/22/07	19,576.88	19.15	19.30	19,300.00	(276.88) ST	.518	100.00
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P* : 2	TQNT	10/25/02	5,293.22	5.09	5.00	5,000.00	(293.22)*LT		
Total common stocks and options				$ 230,310.18			$ 298,613.85	$ 4,331.31** ST $ 38,358.61** LT	2.02	$ 6,049.60
Total portfolio value				$ 236,165.48			$ 307,480.23	$ 4,331.31** ST $ 38,358.61** LT	2.01	$ 6,172.18

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

*Based on information supplied by client or other financial institution, not verified by us.

TRANSACTION DETAILS

All transactions appearing are based on trade date.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
02/22/07	Stk split	COMCAST CORP CL A STK SPLIT ON 300 SH6	150		$ 0.00
02/22/07	Bought	GENERAL ELECTRIC CO	800	35.378	-28,814.26
02/22/07	Sold	MATTEL INC DE	-1,000	26.71	28,195.88
02/22/07	Bought	SPRINT NEXTEL CORP	1,000	19.15	-19,576.88
Total securities bought and other subtractions					$ -48,391.14
Total securities sold and other additions					$ 26,195.88

[redacted]

citigroup

[redacted]

2512

[redacted]

WORKING WEALTH
AT SMITH BARNEY

[redacted]

Individual Retirement Account
February 1 - February 28, 2007

JAMES MCDERMOTT

Account number [redacted]

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written

Opening balance $ 19,120.63

Date	Activity	Description	Amount
02/01/07	Autodeposit	BANK DEPOSIT PROGRAM	8,800.00

Date	Activity	Description	Amount
02/27/07	Withdrawal	BANK DEPOSIT PROGRAM	-22,004.79
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	20.54
		Closing balance	$ 3,846.28

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
02/27/07	PEABODY ENERGY CORP	CASH DIV ON 1000.0000 SHS X/D 02/02/07	$ 60.00		$ 60.00
Total other dividends earned			$ 60.00	$ 0.00	$ 60.00

Bank Deposit Program interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
02/27/07	CITIBANK NA BANK DEPOSIT PROGRAM	FULL RDM ACCRUED INTEREST	$ 40.47		$ 40.47
02/28/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 02/01/07-02/28/07 28 DAYS AVERAGE YIELD 3.19 %	20.54		20.54
Total Bank Deposit Program interest credited to account					$ 40.47
Total Bank Deposit Program interest reinvested					$ 20.54
Total Bank Deposit Program interest earned			$ 61.01	$ 0.00	$ 61.01

2513

WORKING WEALTH
AT SMITH BARNEY

[redacted]

Individual Retirement Account
February 1 - February 28, 2007

JAMES MCDERMOTT

Account number [redacted]

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first in-first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
MATTEL INC DE	09/21/05	02/22/07 Sold	1,000	$ 18.35	$ 26.71	$ 16,740.78	$ 26,195.88	$ 9,455.10 LT
Total Long Term this period								$ 9,455.10
Total realized gain or (loss) - this period						$ 16,740.78	$ 26,195.88	$ 9,455.10
Total Long Term - year-to-date								$ 11,535.87
Total Short Term - year-to-date								$ 0.00
Total realized gain or (loss) - year-to-date						$ 21,459.91	$ 32,995.88	$ 11,535.87

[redacted]

[redacted]

[redacted]

citigroup

[redacted]

2514

[redacted]

AT SMITH BARNEY

[redacted]

Consolidation Summary

March 1 - March 31, 2007

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

[redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
[redacted]

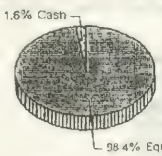
Summary

We have enclosed statements for the following accounts in your consolidated household. "Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless otherwise indicated, values shown are for "This Period." Accrued interest and dividends, earned but not paid, are excluded from the Adjusted Net Value

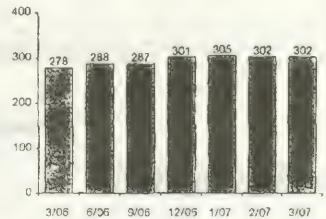
Account Number	Abbreviated Name	Account Type	Total Value Prior Month/ Adj.Net Value	Total Value This Period/ Adj.Net Value	Net Securities Deposited/ Withdrawn	Net Capital Deposits/ Withdrawals	Total Income Taxable/ Non-Taxable	Unrealized Gain or (Loss)	Adjusted YTD Realized Gain or (Loss)
443-6C247	JAMES MCDERMOTT CGM IRA CUSTODIAN	IRA	$ 302,460.23 $ 302,460.23	$ 302,393.51 $ 302,393.51	$ 0.00	$ 0.00	$ 0.00 $ 1,088.93	$ 40,034.52	$ 0.00 ST $ 11,535.97 LT
Total			$ 302,460.23 $ 302,460.23	$ 302,393.51 $ 302,393.51	$ 0.00	$ 0.00	$ 0.00 $ 1,088.93	$ 40,034.52	$ 0.00 ST $ 11,535.97 LT

Year to Date Summary		
Beginning total net value as of 12/31/06		$ 301,185.00
Net security deposits/withdrawals (year to date)		0.00
Net cash deposits/withdrawals (year to date)		0.00
Beginning value net of deposits/withdrawals		$ 301,185.00
Ending total net value	03/30/07	$ 302,393.51
Year to date total return		1,208.51

Current Total Asset Allocation Summary



Total Value Comparison



Units in multiples of 1 thousand.

[redacted]

citigroup

[redacted]

2515

[redacted]

WORKING WEALTH
AT SMITH BARNEY

[redacted] ent
Individual Retirement Account
March 1 - March 31, 2007

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

[redacted]
CITIGROUP GLOBAL MKTS INC.
[redacted]

Citigroup Global Markets Inc., member NYSE, NASD, and other principal exchanges. Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. CITIGROUP and the Umbrella Device are trademarks and service marks of Citigroup or its affiliates and are used and registered throughout the world. Citigroup Global Markets Inc. is a member of the Securities Investor Protection Corporation (SIPC).

Account value

	Last period	This period	%
Cash balance	$ 0.00	$ 25.00	.01
Bank Deposit Program℠-principal	3,846.28	4,910.21	1.62
Common stocks & options	298,613.95	297,458.30	98.37
Total value	$ 302,460.23	$ 302,393.51	100.00

Fair Market Value 12/31/06 $ 301,185.00

Cash, money fund, bank deposits

	This period
Opening balance	$ 3,846.28
Dividends credited	1,076.38
Bank Deposit Program℠ interest reinvested	12.55
Closing balance	$ 4,935.21

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Earnings summary

	This period	This year
Other dividends	$ 1,076.38	$ 1,288.38
Bank Deposit Program℠	12.55	124.19
Total	$ 1,088.93	$ [redacted]

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 11,535.97 LT
		$ 0.00 ST
Unrealized gain or (loss) to date	40,034.52	

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 302,460.23	$ 301,185.00
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	302,460.23	301,185.00
Total value as of 3/30/2007 (excl. accr. int.)	$ 302,393.51	$ 302,393.51
Total return	($ 66.72)	$ 1,208.51

2516

[redacted]

citigroup
SMITH BARNEY

[redacted]

JAMES MCDERMOTT

Account number [redacted]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since Inception*
Contributions			
Total contributions	$ 0.00	$ 0.00	$ 0.00

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

[redacted]

Required Minimum Distribution (RMD) Calculation

Our records indicate that you will be 70-1/2 or older this year. Generally, Required Minimum Distributions (RMD) must be withdrawn each year by December 31. Smith Barney has calculated your 2007 required minimum distribution using this account's beneficiary designation and December 31, 2006 Fair Market Value. In limited situations, it will be necessary to perform a manual adjustment to the year-end value by the amount of any transfer, rollover or recharacterization that did not settle by December 31, 2006. Failure to do so may result in an insufficient RMD subject to penalty taxes and interest. Please contact your Financial Advisor if you require assistance in recalculating the RMD. Note: You must withdraw your required distribution before initiating a Roth conversion since the conversion of Traditional IRA assets to a Roth IRA is not considered a required distribution.

Distribution Period: 33.3 Calculation Method: Joint Recalculation	2007 Required Minimum Distribution $ 9,044.59

[redacted]

citigroup

[redacted]

2517

JAMES MCDERMOTT [redacted]

PORTFOLIO DETAILS

The values of your holdings are as of 03/31/07

Securities purchased or sold are included or excluded in this section as of the trade date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
4,910.21	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 4,910.21		3.15%	$ 154.67
Total Bank Deposit Program		$ 4,910.21	$ 0.00	3.15%	$ 154.67

Common stocks & options

Citigroup Investment Research and Independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the Independent, third-party research. Except as noted by an asterisk (*), each Independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC Rating: Citigroup : 2H Argus : 1 Morningstar : 1 S&P : 1	AMGN	08/21/00	$ 2,715.08	$ 67.87	$ 55.88	$ 2,235.20	($ 479.80)*LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,561.60	19.52	18.32	1,465.60	(96.00)*LT	1.31	19.20
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1H Morningstar : 2 S&P : 1	ADM	09/21/05	22,775.63	22.31	36.70	36,700.00	13,924.37 LT	1.253	460.00

[redacted]

[redacted]

WORKING WEALTH
AT SMITH BARNEY

Smith [redacted]
Individual Retirement Account
March 1 - March 31, 2007

JAMES MCDERMOTT

Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
470	CISCO SYS INC Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 2	CSCO		Please provide		$ 25.53	$ 11,999.10	Not available		
450	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	19.466	25.95	11,677.50	2,723.54* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.59	24.91	24,910.00	2,862.17 ST	2.89	720.00
600	E I DU PONT DE NEMOURS & CO Rating: Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	24,822.74	40.62	49.43	29,658.00	4,835.26 ST	2.994	888.00
800	GENERAL ELECTRIC CO Rating: Citigroup : 1L Argus : 1 Morningstar : 2 S&P : 1	GE	02/22/07	28,814.26	35.378	35.36	28,288.00	(526.26) ST	3.167	896.00
25	HOME DEPOT INC Rating: Citigroup : 2M Argus : 1 Morningstar : 1 Buckingham : 2 S&P : 1	HD		Please provide		36.74	918.50	Not available		
460			10/25/02	14,288.86	30.41	36.74	16,900.40	2,611.54* LT		
485				14,288.86	31.063		17,818.90	2,611.54**	2.449	435.60
200	INTEL CORP Rating: Citigroup : 1M Argus : 2 Morningstar : 2 S&P : 1	INTC		Please provide		19.13	3,826.00	Not available		
700			04/04/06	13,855.50	19.35	19.13	13,391.00	(474.50) ST		
900				13,885.50	19.608		17,217.00	(474.50)**	2.352	405.00
400	MERCK & CO INC Rating: Citigroup : 1M Argus : 2 Morningstar : 2 S&P : 1	MRK	10/25/02	20,252.98	49.75	44.17	17,668.00	(2,584.98)*LT	3.441	608.00
300	MICROSOFT CORP Rating: Citigroup : 2M Argus : 1 [redacted] S&P : 2	MSFT		Please provide		27.87	8,361.00	Not available	[redacted]	[redacted]

citigroup

[redacted]

2519

WORKING WEALTH
AT SMITH BARNEY

[redacted] ent
Individual Retirement Account
March 1 - March 31, 2007

[redacted]

JAMES MCDERMOTT

Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
800	PEABODY ENERGY CORP	BTU	08/05/04	$ 10,987.24	$ 13.465	$ 40.24	$ 32,192.00	$ 21,204.76* LT		
200	Rating: Citigroup : 1H		09/13/06	7,654.26	37.35	40.24	8,048.00	393.74 ST		
1,000	Morningstar : 2 S&P : 2			18,641.50	18.642		40,240.00	21,598.50	.598	240.00
1,000	PFIZER INC Rating: Citigroup : 2M Argus : 2 Morningstar : 2 S&P : 2	PFE	09/13/06	28,709.22	28.18	25.26	25,260.00	(3,449.22) ST	4.692	1,180.00
1,000	SPRINT NEXTEL CORP Rating: Citigroup : 1H Argus : 2 Morningstar : 1 S&P : 2	S	02/22/07	19,576.88	19.15	18.96	18,960.00	(616.88) ST	.527	100.00
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P* : 2	TQNT	10/25/02	5,293.22	5.09	5.00	5,000.00	(293.22)* LT		
Total common stocks and options				$ 222,319.18			$ 297,458.30	$ 3,024.31** ST $ 37,010.21** LT	2.03	$ 6,052.70
Total portfolio value				$ 237,229.39			$ 302,358.51	$ 3,024.31** ST $ 37,010.21** LT	2.05	$ 6,207.97

**Unrealized Gain/Loss is only calculated when an original cost basis is available.*

**Based on information supplied by client or other financial institution, not verified by us.*

TRANSACTION DETAILS *All transactions appearing are based on trade date.*

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Opening balance $ 3,846.28

Date	Activity	Description	Amount
03/02/07	Autodeposit	BANK DEPOSIT PROGRAM	281.25
03/07/07	Autodeposit	BANK DEPOSIT PROGRAM	290.00
03/12/07	Autodeposit	BANK DEPOSIT PROGRAM	115.00
03/15/07	Autodeposit	BANK DEPOSIT PROGRAM	222.00

[redacted]

citigroup
SMITH BARNEY

[redacted]

2520

JAMES MCDERMOTT

Account number [redacted]

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written. continued

Date	Activity	Description	Amount
03/09/07	Autodeposit	BANK DEPOSIT PROGRAM	34.00
03/23/07	Autodeposit	BANK DEPOSIT PROGRAM	109.13
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	12.55
		Closing balance	$ 4,910.21

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
03/01/07	CONAGRA FOODS INC	CASH DIV ON 1000.0000 SHS X/D 01/25/07	$ 180.00		$ 180.00
03/01/07	INTEL CORP	CASH DIV ON 900.0000 SHS X/D 02/09/07	101.25		101.25
03/06/07	PFIZER INC	CASH DIV ON 1000.0000 SHS X/D 02/07/07	290.00		290.00
03/08/07	APPLIED MATERIALS INC DELAWARE	CASH DIV ON 80.0000 SHS X/D 02/13/07	4.00		4.00
03/08/07	MICROSOFT CORP	CASH DIV ON 300.0000 SHS X/D 02/13/07	30.00		30.00
03/09/07	ARCHER-DANIELS-MIDLAND CO	CASH DIV ON 1000.0000 SHS X/D 02/14/07	115.00		115.00
03/14/07	E I DU PONT DE NEMOURS & CO	CASH DIV ON 600.0000 SHS X/D 02/13/07	222.00		222.00
03/22/07	HOME DEPOT INC	CASH DIV ON 485.0000 SHS X/D 03/08/07	109.13		109.13
03/30/07	SPRINT NEXTEL CORP	CASH DIV ON 1000.0000 SHS X/D 03/07/07	25.00		25.00
Total other dividends earned			$ 1,076.38	$ 0.00	$ 1,076.38

Bank Deposit Program Interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
03/30/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 03/01/07-04/01/07 32 DAYS AVERAGE YIELD 3.15 %.	$ 12.55		$ 12.55
Total Bank [redacted] earned			$ 12.55	$ 0.00	$ 12.55

2521

[redacted]

WORKING WEALTH
AT SMITH BARNEY

Smith [redacted]

Consolidation Summary
April 1 - April 30, 2007

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
[redacted]

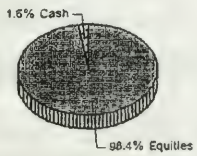
Summary

We have enclosed statements for the following accounts in your consolidated household. "Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless otherwise indicated, values shown are for "This Period." Accrued interest and dividends, earned but not paid, are excluded from the Adjusted Net Value.

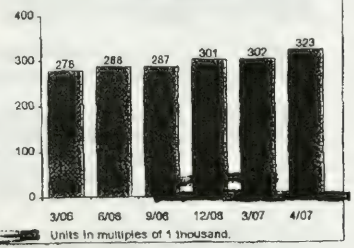
Account Number	Abbreviated Name	Account Type	Total Value Prior Month/ Adj.Net Value	Total Value This Period/ Adj.Net Value	Net Securities Deposited/ Withdrawn	Net Capital Deposits/ Withdrawals	Total Income Taxable/ Non-Taxable	Unrealized Gain or (Loss)	Adjusted YTD Realized Gain or (Loss)
443-6C247	JAMES MCDERMOTT CGM IRA CUSTODIAN	IRA	$ 302,393.51 $ 302,393.51	$ 322,952.88 $ 322,952.88	$ 0.00	$ 0.00	$ 0.00 $ 164.72	$ 58,737.22	$ 0.00 ST $ 11,535.97 LT
Total			$ 302,393.51 $ 302,393.51	$ 322,952.88 $ 322,952.88	$ 0.00	$ 0.00	$ 0.00 $ 164.72	$ 58,737.22	$ 0.00 ST $ 11,535.97 LT

Year to Date Summary		
Beginning total net value as of 12/31/06		$ 301,185.00
Net security deposits/withdrawals (year to date)		0.00
Net cash deposits/withdrawals (year to date)		0.00
Beginning value net of deposits/withdrawals		$ 301,185.00
Ending total net value	04/30/07	$ 322,952.88
Year to date total return		21,767.88

Current Total Asset Allocation Summary



Total Value Comparison



[redacted]

[redacted]

citi smith barney

[redacted]

WORKING WEALTH
AT SMITH BARNEY

[redacted]ient
Individual Retirement Account
April 1 - April 30, 2007

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

Account number [redacted]

CITIGROUP GLOBAL MKTS INC.
[redacted]

Citigroup Global Markets Inc., member NYSE, NASD, other principal exchanges, and the Securities Investor Protection Corporation (SIPC). Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. Citi and Citi with Arc Design are trademarks and service marks of Citigroup Inc. and its affiliates, and are used and registered throughout the world. Working Wealth℠ is a service mark of Citigroup Global Markets Inc.

Account value

	Last period	This period	%
Cash balance	$ 25.00	$ 0.00	
Bank Deposit Program℠ principal	4,910.21	5,099.93	1.58
Common stocks & options	297,458.30	317,852.95	98.42
Total value	$ 302,393.51	$ 322,952.88	100.00

Fair Market Value 12/31/06 $ 301,185.00

Cash, money fund, bank deposits

	This period
Opening balance	$ 4,935.21
Dividends credited	152.00
Bank Deposit Program℠ interest reinvested	12.72
Closing balance	$ 5,099.93

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Earnings summary

	This period	This year
Other dividends	$ 152.00	$ 1,440.38
Bank Deposit Program℠	12.72	136.91
Total	$ 164.72	$ 1,577.29

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 302,393.51	$ 301,185.00
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	302,393.51	301,185.00
Total value as of 4/30/2007 (excl. accr. int.)	$ 322,952.88	$ 322,952.88
Total return	$ 20,559.37	$ 21,767.88

Gain/loss summary

		This period	This year
Realized gain or (loss)		$ 0.00	$ 11,535.97 LT $ 0.00 ST
Unrealized gain or (loss) to date	58,737.22		

[redacted]

citi smith barney

[redacted]

2523

AT SMITH BARNEY

Smith [redacted]
Individual Retirement Account
April 1 - April 30, 2007

JAMES MCDERMOTT

Account number [redacted]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since Inception*
Contributions			
Total contributions	$ 0.00	$ 0.00	$ 0.00

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

[redacted]

Required Minimum Distribution (RMD) Calculation

Our records indicate that you will be 70-1/2 or older this year. Generally, Required Minimum Distributions (RMD) must be withdrawn each year by December 31. Smith Barney has calculated your 2007 required minimum distribution using this account's beneficiary designation and December 31, 2006 Fair Market Value. In limited situations, it will be necessary to perform a manual adjustment to the year-end value by the amount of any transfer, rollover or recharacterization that did not settle by December 31, 2006. Failure to do so may result in an insufficient RMD subject to penalty taxes and interest. Please contact your Financial Advisor if you require assistance in recalculating the RMD. **Note:** *You must withdraw your required distribution before initiating a Roth conversion since the conversion of Traditional IRA assets to a Roth IRA is not considered a required distribution.*

Distribution Period: 33.3 Calculation Method: Joint Recalculation	2007 Required Minimum Distribution	$ 9,044.59

[redacted]

2524

JAMES MCDERMOTT

Account number [redacted]

PORTFOLIO DETAILS

The values of your holdings are as of 04/30/07.

Securities purchased or sold are included or excluded in this section as of the trade date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program[E14]

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
5,099.93	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 5,099.93		3.15%	$ 160.64
Total Bank Deposit Program		$ 5,099.93	$ 0.00	3.15%	$ 160.64

Common stocks & options

Citigroup Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC **Rating:** Citigroup : 2H Argus : 1 Morningstar : 1 S&P : 1	AMGN	08/21/00	$ 2,715.00	[redacted]	[redacted].14	$ 2,565.60	($ 149.40)* LT		
80	APPLIED MATERIALS INC DELAWARE **Rating:** Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	AMAT	11/15/01	1,581.60	19.52	19.22	1,537.60	(24.00)* LT	1.249	19.20
1,000	ARCHER-DANIELS-MIDLAND CO **Rating:** Citigroup : 1H Morningstar : 2 S&P : 1	ADM	09/21/05	22,775.63	22.31	38.70	38,700.00	15,924.37 LT	1.188	460.00

[redacted]

2525

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
470	CISCO SYS INC Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 2	CSCO		Please provide		$ 26.74	$ 12,567.80	Not available		
450	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	19.466	26.66	11,997.00	3,043.04* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.59	24.58	24,580.00	2,532.17 LT	2.929	720.00
600	E I DU PONT DE NEMOURS & CO Rating: Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	24,822.74	40.62	49.17	29,502.00	4,679.26 ST	3.009	888.00
800	GENERAL ELECTRIC CO Rating: Citigroup : 1L Argus : 1 Morningstar : 2 S&P : 1	GE	02/22/07	28,814.26	35.378	36.86	29,488.00	673.74 ST	3.038	896.00
25	HOME DEPOT INC	HD		Please provide		37.87	946.75	Not available		
460	Rating: Citigroup : 2M		10/25/02	14,288.86	30.41	37.87	17,420.20	3,131.34* LT		
485	Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 1			14,288.86	31.063		18,366.95	3,131.34**	2.376	436.60
200	INTEL CORP	INTC		Please provide		21.50	4,300.00	Not available		
700	Rating: Citigroup : 1M		04/04/06	13,865.50	19.35	21.50	15,050.00	1,184.50 LT		
900	Argus : 2 Morningstar : 2 S&P : 1			13,865.50	19.808		19,350.00	1,184.50**	2.090	405.00
400	MERCK & CO INC Rating: Citigroup : 1M Argus : 2 Morningstar : 3 S&P : 1	MRK	10/25/02	20,252.98	49.75	51.44	20,576.00	323.02* LT	2.954	608.00
300	MICROSOFT CORP Rating: Citigroup : 1M Argus : 1 [redacted] S&P : 1	MSFT		Please provide		29.94	8,982.00	Not available	1.336	120.00

WORKING WEALTH.
AT SMITH BARNEY

[redacted] ent
Individual Retirement Account
April 1 - April 30, 2007

JAMES MCDERMOTT

Account number [redacted]

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
800	PEABODY ENERGY CORP	BTU	06/05/04	$ 10,987.24	$ 13.465	$ 47.98	$ 38,384.00	$ 27,396.76* LT		
200	Rating: Citigroup : 1H		09/13/06	7,654.26	37.35	47.98	9,596.00	1,941.74 ST		
1,000	Morningstar : 2 S&P : 1			18,641.50	18.642		47,980.00	29,338.50	50	240.00
1,000	PFIZER INC Rating: Citigroup : 2M Argus : 2 Morningstar : 2 S&P : 2	PFE	09/13/06	28,709.22	28.18	26.46	26,460.00	(2,249.22) ST	4.383	1,160.00
1,000	SPRINT NEXTEL CORP Rating: Citigroup : 1H Argus : 2 Morningstar : 2 S&P : 3	S	02/22/07	19,576.88	19.15	20.03	20,030.00	453.12 ST	489	100.00
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P* : 2	TQNT	10/25/02	5,293.22	5.09	5.17	5,170.00	(123.22)*LT		
Total common stocks and options				$ 232,318.18			$ 317,852.95	$ 5,498.84** ST $ 53,238.68** LT	1.80	$ 6,052.70
Total portfolio value				$ 237,418.11			$ 322,852.88	$ 5,498.84** ST $ 53,238.68** LT	1.92	$ 6,213.34

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

*Based on information supplied by client or other financial institution, not verified by us.

TRANSACTION DETAILS

All transactions appearing are based on trade-date.

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Opening balance $ 4,810.21

Date	Activity	Description	Amount
04/02/07	Autodeposit	BANK DEPOSIT PROGRAM	25.00

Date	Activity	Description	Amount
04/03/07	Autodeposit	BANK DEPOSIT PROGRAM	52.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	2.72
		Closing balance	$ 5,099.93

[redacted]

[redacted]

2527

JAMES MCDERMOTT

Account number [redacted]

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
04/02/07	MERCK & CO INC	CASH DIV ON 400.0000 SHS X/D 03/07/07	$ 152.00		$ 152.00
Total other dividends earned			$ 152.00	$ 0.00	$ 152.00

Bank Deposit Program interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
04/30/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 04/02/07-04/30/07 29 DAYS AVERAGE YIELD 3.15 %.	$ 12.72		$ 12.72
Total Bank Deposit Program interest earned			$ 12.72	$ 0.00	$ 12.72

[redacted]

WORKING WEALTH.
AT SMITH BARNEY

Consolidation Summary
May 1 - May 31, 2007

JAMES MCDERMOTT
CGM IRA CUSTODIAN

CITIGROUP GLOBAL MKTS INC.

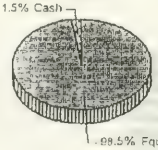
Summary

We have enclosed statements for the following accounts in your consolidated household. "Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless otherwise indicated, values shown are for "This Period". Accrued interest and dividends, earned but not paid, are excluded from the Adjusted Net Value.

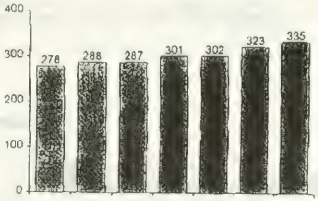
Account Number	Abbreviated Name	Account Type	Total Value Prior Month/ Adj Net Value	Total Value This Period/ Adj Net Value	Net Securities Deposited/ Withdrawn	Net Capital Deposits/ Withdrawals	Total Income Taxable/ Non-Taxable	Unrealized Gain or (Loss)	Adjusted YTD Realized Gain or (Loss)
443-6C247	JAMES MCDERMOTT CGM IRA CUSTODIAN	IRA	$ 322,952.88 $ 322,852.88	$ 334,603.10 $ 334,603.10	$ 0.00	$ 0.00	$ 0.00 $ 74.22	$ 69,842.82	$ 0.00 ST $ 11,535.97 LT
Total			$ 322,952.88 $ 322,852.88	$ 334,603.10 $ 334,603.10	$ 0.00	$ 0.00	$ 0.00 $ 74.22	$ 69,842.82	$ 0.00 ST $ 11,535.97 LT

Year to Date Summary		
Beginning total net value as of 12/31/06		$ 301,185.00
Net security deposits/withdrawals (year to date)		0.00
Net cash deposits/withdrawals (year to date)		0.00
Beginning value net of deposits/withdrawals		$ 301,185.00
Ending total net value	05/31/07	$ 334,603.10
Year to date total return		33,418.10

Current Total Asset Allocation Summary



Total Value Comparison



Units in multiples of 1 thousand.

citi smith barney

2529

WORKING WEALTH
AT SMITH BARNEY

[redacted]ent
Individual Retirement Account
May 1 - May 31, 2007

Account number [redacted]

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

[redacted]
CITIGROUP GLOBAL MKTS INC.
[redacted]

Citigroup Global Markets Inc., member NYSE, NASD, other principal exchanges, and the Securities Investor Protection Corporation (SIPC). Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. Citi and Citi with Arc Design are trademarks and service marks of Citigroup Inc. and its affiliates, and are used and registered throughout the world. Working Wealth℠ is a service mark of Citigroup Global Markets Inc.

Account value

	Last period	This period	%
Bank Deposit Program℠-principal	$ 5,099.93	$ 5,174.15	1.55
Common stocks & options	317,852.95	329,428.95	98.45
Total value	$ 322,952.88	$ 334,603.10	100.00

Fair Market Value 12/31/06 $ 301,185.00

Earnings summary

	This period	This year
Other dividends	$ 60.00	$ 1,500.38
Bank Deposit Program℠	14.22	151.13
Total	$ 74.22	$ 1,651.51

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 11,535.97 LT $ 0.00 ST
Unrealized gain or (loss) to date	69,842.82	

Cash, money fund, bank deposits

	This period
Opening balance	$ 5,099.93
Dividends credited	60.00
Bank Deposit Program℠ interest reinvested	14.22
Closing balance	$ 5,174.15

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 322,952.88	$ 301,185.00
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	322,952.88	301,185.00
Total value as of 5/31/2007 (excl. accr. int.)	$ 334,603.10	$ 334,603.10
Total return	$ 11,650.22	$ 33,418.10

[redacted]

citi smith barney

[redacted]

2530

JAMES MCDERMOTT

Account number [redacted]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since Inception*
Contributions			
Total contributions	$ 0.00	$ 0.00	$ 0.00

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
[redacted]	[redacted]

Required Minimum Distribution (RMD) Calculation

Our records indicate that you will be 70-1/2 or older this year. Generally, Required Minimum Distributions (RMD) must be withdrawn each year by December 31. Smith Barney has calculated your 2007 required minimum distribution using this account's beneficiary designation and December 31, 2006 Fair Market Value. In limited situations, it will be necessary to perform a manual adjustment to the year-end value by the amount of any transfer, rollover or recharacterization that did not settle by December 31, 2006. Failure to do so may result in an insufficient RMD subject to penalty taxes and interest. Please contact your Financial Advisor if you require assistance in recalculating the RMD. **Note:** *You must withdraw your required distribution before initiating a Roth conversion since the conversion of Traditional IRA assets to a Roth IRA is not considered a required distribution.*

Distribution Period: 33.3 Calculation Method: Joint Recalculation	2007 Required Minimum Distribution	$ 9,044.59

[redacted]

[redacted]

[redacted]

[redacted]

JAMES MCDERMOTT

Account number [redacted]

PORTFOLIO DETAILS *The values of your holdings are as of 05/31/07.*

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated Income (annualized)
5,174.15	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 5,174.15		3.27%	$ 169.19
Total Bank Deposit Program		$ 5,174.15	$ 0.00	3.27%	$ 169.19

Common stocks & options

Citigroup Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citigroup Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citigroup Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citigroup Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citigroup Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
40	AMGEN INC Rating: Citigroup : 3H Argus : 2 Morningstar : 2 S&P : 1	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 56.45	$ 2,258.00	($ 457.00)*LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 2	AMAT	11/15/01	1,561.60	19.52	19.10	1,528.00	(33.60)*LT	1.256	19.20
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1H Morningstar : 2 S&P : 1	ADM	09/21/05	22,775.63	22.31	35.04	35,040.00	12,264.37 LT	1.312	460.00

[redacted]

[redacted]

2532

WORKING WEALTH
AT SMITH BARNEY

Individual Retirement Account
May 1 - May 31, 2007

[redacted]

JAMES MCDERMOTT

Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
470	CISCO SYS INC Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 2	CSCO		Please provide		$ 26.92	$ 12,652.40	Not available		
450	COMCAST CORP CL A	CMCSA	03/12/04	8,953.86	19.466	27.41	12,334.50	3,380.64* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.59	25.50	25,500.00	3,452.17 LT	2.823	720.00
600	E I DU PONT DE NEMOURS & CO Rating: Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	24,822.74	40.62	52.32	31,392.00	6,569.26 ST	2.828	888.00
800	GENERAL ELECTRIC CO Rating: Citigroup : 1t Argus : 1 Morningstar : 2 S&P : 1	GE	02/22/07	28,814.26	35.378	37.58	30,064.00	1,249.74 ST	2.98	896.00
25	HOME DEPOT INC	HD		Please provide		38.87	971.75	Not available		
460	Rating: Citigroup : 2M		10/25/02	14,288.86	30.41	38.87	17,880.20	3,591.34* LT		
485	Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 1			14,288.86	31.063		18,851.95	3,591.34**	2.316	436.50
200	INTEL CORP	INTC		Please provide		22.179	4,435.80	Not available		
700	Rating: Citigroup : 1M		04/04/06	13,865.50	19.35	22.179	15,525.30	1,659.80 LT		
900	Argus : 2 Morningstar : 2 S&P : 1			13,865.50	19.808		19,961.10	1,659.80**	2.026	405.00
400	MERCK & CO INC Rating: Citigroup : 1M Argus : 2 Morningstar : 3 S&P : 1	MRK	10/25/02	20,252.98	49.75	52.45	20,980.00	727.02* LT	2.887	608.00
300	MICROSOFT CORP Rating: Citigroup : 1M Argus : 1 [redacted] S&P : 1	MSFT		Please provide		30.69	9,207.00	Not available	1.303	120.00

[redacted]

2533

JAMES MCDERMOTT

Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
800	PEABODY ENERGY CORP	BTU	08/05/04	$ 10,987.24	$ 13.465	$ 54.04	$ 43,232.00	$ 32,244.76* LT		
200	Rating: Citigroup : 1H		03/13/06	7,654.26	37.35	54.04	10,808.00	3,153.74 ST		
1,000	Morningstar : 2 S&P : 1			18,641.50	18.642		54,040.00	35,398.50	.444	240.00
1,000	PFIZER INC Rating: Citigroup : 2M Argus : 2 Morningstar : 2 S&P : 2	PFE	09/13/06	28,709.22	28.18	27.49	27,490.00	(1,219.22) ST	4.219	1,160.00
1,000	SPRINT NEXTEL CORP Rating: Citigroup : 1H Argus : 2 Morningstar : 2 S&P : 3	S	02/22/07	19,576.88	19.15	22.85	22,850.00	3,273.12 ST	.437	100.00
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P* : 2	TQNT	10/25/02	5,293.22	5.09	5.28	5,280.00	(13.22)* LT		
Total common stocks and options				$ 232,319.18			$ 329,420.95	$ 10,026.84** ST $ 66,818.18** LT	1.83	$ 6,052.70
Total portfolio value				$ 237,483.33			$ 334,603.10	$ 10,026.84** ST $ 66,818.18** LT	1.85	$ 6,221.80

**Unrealized Gain/Loss is only calculated when an original cost basis is available.*

**Based on information supplied by client or other financial institution, not verified by us.*

TRANSACTION DETAILS *All transactions appearing are based on trade date.*

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Opening balance $ 5,088.93

Date	Activity	Description	Amount
05/21/07	Autodeposit	BANK DEPOSIT PROGRAM	60.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	14.22

Closing balance $ 5,174.15

JAMES MCDERMOTT

Account number [redacted]

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
05/18/07	PEABODY ENERGY CORP	CASH DIV ON 1000.0000 SHS X/D 04/25/07	$ 60.00		$ 60.00
Total other dividends earned			$ 60.00	$ 0.00	$ 60.00

Bank Deposit Program interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
05/31/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 05/01/07-05/31/07 31 DAYS AVERAGE YIELD 3.27 %.	$ 14.22		$ 14.22
Total Bank Deposit Program interest earned			$ 14.22	$ 0.00	$ 14.22

2535

WORKING WEALTH.
AT SMITH BARNEY

Smith [redacted] Consolidation Summary

June 1 - June 30, 2007

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
[redacted]

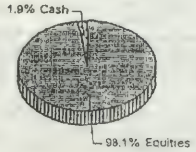
Summary

We have enclosed statements for the following accounts in your consolidated household. "Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless otherwise indicated, values shown are for "This Period." Accrued interest and dividends, earned but not paid, are excluded from the Adjusted Net Value

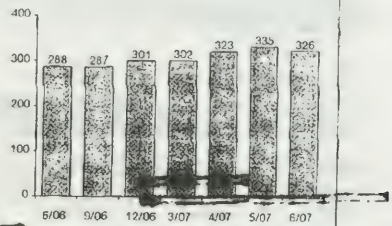
Account Number	Abbreviated Name	Account Type	Total Value Prior Month/ Adj.Net Value	Total Value This Period/ Adj.Net Value	Net Securities Deposited/ Withdrawn	Net Capital Deposits/ Withdrawals	Total Income Taxable/ Non-Taxable	Unrealized Gain or (Loss)	Adjusted YTD Realized Gain or (Loss)
443-6C247	JAMES MCDERMOTT CGM IRA CUSTODIAN	IRA	$ 334,603.10 $ 334,603.10	$ 325,821.39 $ 325,821.39	$ 0.00	$ 0.00	$ 0.00 $ 1,093.69	$ 59,572.32	$ 0.00 ST $ 11,535.97 LT
Total			$ 334,603.10 $ 334,603.10	$ 325,821.39 $ 325,821.39	$ 0.00	$ 0.00	$ 0.00 $ 1,093.69	$ 59,572.32	$ 0.00 ST $ 11,535.97 LT

Year to Date Summary		
Beginning total net value as of 12/31/06		$ 301,185.00
Net security deposits/withdrawals (year to date)		0.00
Net cash deposits/withdrawals (year to date)		0.00
Beginning value net of deposits/withdrawals		$ 301,185.00
Ending total net value	06/29/07	$ 325,821.39
Year to date total return		24,636.39

Current Total Asset Allocation Summary



Total Value Comparison



Units in multiples of 1 thousand.

[redacted]

[redacted]

[redacted]

[redacted]

[redacted]

WORKING WEALTH
AT SMITH BARNEY

[redacted]ent
Individual Retirement Account
June 1 - June 30, 2007

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

Account number [redacted]

CITIGROUP GLOBAL MKTS INC.
[redacted]

Citigroup Global Markets Inc., member NYSE, NASD, other principal exchanges, and the Securities Investor Protection Corporation (SIPC). Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. Citi and Citi with Arc Design are trademarks and service marks of Citigroup Inc. and its affiliates, and are used and registered throughout the world. Working Wealth℠ is a service mark of Citigroup Global Markets Inc.

Account value

	Last period	This period	%
Cash balance	$ 0.00	$ 25.00	.01
Bank Deposit Program℠-principal	5,174.15	6,242.84	1.92
Common stocks & options	329,428.95	319,553.55	98.08
Total value	$ 334,603.10	$ 325,821.39	100.00

Fair Market Value 12/31/06 $ 301,185.00

Earnings summary

	This period	This year
Other dividends	$ 1,077.18	$ 2,577.56
Bank Deposit Program℠	16.51	167.64
Total [redacted]	$ 1,093.69	$ 2,745.20

Gain/loss summary

		This period	This year
Realized gain or (loss)		$ 0.00	$ 11,535.97 LT
[redacted]			$ 0.00 ST
Unrealized gain or (loss) to date	59,572.32		

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 5,174.15	
Withdrawals	0.00	0.00
Dividends credited	1,077.18	
Bank Deposit Program℠ Interest reinvested	16.51	
Closing balance	$ 6,267.84	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 334,603.10	$ 301,185.00
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	334,603.10	301,185.00
Total value as of 6/29/2007 (excl. accr. int.)	$ 325,821.39	$ 325,821.39
Total return	($ 8,781.71)	$ 24,636.39

[redacted]

[redacted]

2537

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since Inception*
Contributions			
Total contributions	$ 0.00	$ 0.00	$ 0.00

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
[redacted]	[redacted]

Required Minimum Distribution (RMD) Calculation

Our records indicate that you will be 70-1/2 or older this year. Generally, Required Minimum Distributions (RMD) must be withdrawn each year by December 31. Smith Barney has calculated your 2007 required minimum distribution using this account's beneficiary designation and December 31, 2006 Fair Market Value. In limited situations, it will be necessary to perform a manual adjustment to the year-end value by the amount of any transfer, rollover or recharacterization that did not settle by December 31, 2006. Failure to do so may result in an insufficient RMD subject to penalty taxes and interest. Please contact your Financial Advisor if you require assistance in recalculating the RMD. **Note:** *You must withdraw your required distribution before initiating a Roth conversion since the conversion of Traditional IRA assets to a Roth IRA is not considered a required distribution.*

Distribution Period: 33.3 Calculation Method: Joint Recalculation	2007 Required Minimum Distribution	$ 9,044.59

[redacted]

JAMES MCDERMOTT Account number [redacted]

PORTFOLIO DETAILS

The values of your holdings are as of 06/30/07.

Securities purchased or sold are included or excluded in this section as of the trade date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program[534]

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
6,242.84	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 6,242.84		3.29%	$ 205.38
Total Bank Deposit Program		$ 6,242.84	$ 0.00	3.29%	$ 205.38

Common stocks & options

Citi Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citi Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citi Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citi Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citi Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC Rating: Citigroup : 3H Argus : 2 Morningstar : 2 S&P : 1	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 55.29	$ 2,211.60	($ 503.40)*LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 2	AMAT	11/15/01	1,561.60	19.52	19.87	1,589.60	28.00* LT	1.207	19.20
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1H Morningstar : 2 Buckingham : 1 S&P : 1	ADM	09/21/05	22,775.63	22.31	33.09	33,090.00	10,314.37 LT	1.39	460.00

[redacted]

[redacted]

2539

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
470	CISCO SYS INC Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 2	CSCO		Please provide		$ 27.85	$ 13,089.50	Not available		
450	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	19.466	28.12	12,654.00	3,700.04* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.59	26.86	26,860.00	4,812.17 LT	2.68	720.00
600	E I DU PONT DE NEMOURS & CO Rating: Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	24,822.74	40.62	50.84	30,504.00	5,681.26 ST	2.911	888.00
800	GENERAL ELECTRIC CO Rating: Citigroup : 1L Argus : 1 Morningstar : 2 S&P : 1	GE	02/22/07	28,814.26	35.378	38.28	30,624.00	1,809.74 ST	2.925	896.00
25	HOME DEPOT INC	HD		Please provide		39.35	983.75	Not available		
460	Rating: Citigroup : 2M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 1		10/25/02	14,288.86	30.41	39.35	18,101.00	3,812.14* LT		
485				14,289.86	31.063		19,084.75	3,812.14**	2.287	436.50
200	INTEL CORP	INTC		Please provide		23.739	4,747.80	Not available		
700	Rating: Citigroup : 1M Argus : 2 Morningstar : 2 S&P : 1		04/04/06	13,865.50	19.35	23.739	16,617.30	2,751.80 LT		
900				13,865.50	19.808		21,365.10	2,751.80**	1.896	405.00
400	MERCK & CO INC Rating: Citigroup : 1M Argus : 2 Morningstar : 2 S&P : 1	MRK	10/25/02	20,252.98	49.75	49.80	19,920.00	(332.98)*LT	3.052	608.00
300	MICROSOFT CORP Rating: Citigroup : 1M Argus : 1 [redacted] S&P 1	MSFT		Please provide		29.47	8,841.00	Not available	1.357	120.00

JAMES MCDERMOTT Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
800	PEABODY ENERGY CORP	BTU	08/05/04	$ 10,987.24	$ 13.465	$ 48.38	$ 38,704.00	$ 27,716.76* LT		
200	Rating: Citigroup : 1H Morningstar : 2 S&P : 1		09/13/06	7,654.26	37.35	48.38	9,676.00	2,021.74 ST		
1,000				18,641.50	18.642		48,380.00	29,738.50	.496	240.00
1,000	PFIZER INC Rating: Citigroup : 2M Argus : 2 Morningstar : 1 S&P : 2	PFE	09/13/06	28,709.22	28.18	25.57	25,570.00	(3,139.22) ST	4.536	1,160.00
1,000	SPRINT NEXTEL CORP Rating: Citigroup : 2H Argus : 2 Morningstar : 2 S&P : 3	S	02/22/07	19,576.88	18.15	20.71	20,710.00	1,133.12 ST	.482	100.00
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P* : 2	TQNT	10/25/02	5,293.22	5.09	5.06	5,060.00	(233.22)*LT		
Total common stocks and options				$ 232,319.18			$ 318,553.39	$ 2,506.64** ST	1.80	
								$ 52,065.68** LT		$ 6,062.70
Total portfolio value				$ 230,562.02			$ 325,796.39	$ 7,506.64** ST	1.92	
								$ 52,065.68** LT		$ 6,258.08

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

*Based on information supplied by client or other financial institution, not verified by us.

TRANSACTION DETAILS *All transactions appearing are based on trade-date.*

Withdrawals

Date	Description	Reference no.	Amount	Date	Description	Reference no	Amount
06/21/07	$40 2007 RETIREMENT PLAN FEE WAIVED FOR RESERVED CLIENT		0.00				

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

	Opening balance		$ 5,174.15
Date	Activity	Description	Amount
06/04/07	Autodeposit	BANK DEPOSIT PROGRAM	281.25
06/06/07	Autodeposit	BANK DEPOSIT PROGRAM	290.00
06/08/07	Autodeposit	BANK DEPOSIT PROGRAM	119.80
06/13/07	Autodeposit	BANK DEPOSIT PROGRAM	222.00
06/15/07	Autodeposit	BANK DEPOSIT PROGRAM	30.00
06/22/07	Autodeposit	BANK DEPOSIT PROGRAM	109.13
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	16.51
		Closing balance	**$ 6,242.84**

EARNINGS DETAILS *The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.*

Other dividends

Date	Description	Comment		Taxable	Non-taxable	Amount
06/01/07	CONAGRA FOODS INC	CASH DIV ON X/D 04/27/07	1000.0000 SHS	$ 180.00		$ 180.00
06/01/07	INTEL CORP	CASH DIV ON X/D 05/03/07	900.0000 SHS	101.25		101.25
06/05/07	PFIZER INC	CASH DIV ON X/D 05/09/07	1000.0000 SHS	290.00		290.00
06/07/07	APPLIED MATERIALS INC DELAWARE	CASH DIV ON X/D 05/15/07	80.0000 SHS	4.80		4.80
06/07/07	ARCHER-DANIELS-MIDLAND CO	CASH DIV ON X/D 05/15/07	1000.0000 SHS	115.00		115.00
06/12/07	E I DU PONT DE NEMOURS & CO	CASH DIV ON X/D 05/11/07	600.0000 SHS	222.00		222.00
06/14/07	MICROSOFT CORP	CASH DIV ON X/D 05/15/07	300.0000 SHS	30.00		30.00
06/21/07	HOME DEPOT INC	CASH DIV ON X/D 06/05/07	485.0000 SHS	109.13		109.13
06/29/07	SPRINT NEXTEL CORP	CASH DIV ON X/D 06/06/07	1000.0000 SHS	25.00		25.00
Total other dividends earned				$ 1,077.18	$ 0.00	$ 1,077.18

2542

JAMES MCDERMOTT

Account number [redacted]

Bank Deposit Program Interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information

Date	Description	Comment	Taxable	Non-taxable	Amount
06/29/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 06/01/07-07/01/07 31 DAYS AVERAGE YIELD 3.29 %.	$ 16.51		$ 16.51
Total Bank Deposit Program Interest earned			$ 16.51	$ 0.00	$ 16.51

Guide to Investment Ratings

Stock Ratings

Investment ratings are based upon Citi Investment Research's expectation of total return (forecast price appreciation plus dividend yield within the next 12 months) and risk rating.

Developed Markets (US, UK, Europe, Japan, and Australia/New Zealand)

Code	Rating	Expected Total Return
1	Buy	10% or more for Low-Risk stocks, 15% or more for Medium-Risk stocks, 20% or more for High-Risk stocks, and 35% or more for Speculative stocks
2	Hold	0-10% for Low-Risk stocks, 0-15% for Medium-Risk stocks, 0-20% for High-Risk stocks, and 0-35% for Speculative stocks
3	Sell	Negative total return

Stock Risk

Takes into account price volatility and a select list of fundamental criteria

Code	Rating	Definitions
L	Low	High predictability of financial results and low volatility
M	Medium	Moderate predictability of financial results and volatility
H	High	Low predictability of financial results and high volatility
S	Speculative	Exceptionally low financial predictability, highest risk and volatility

Independent, third-party research on certain companies covered by Citi Investment Research is available to clients of the firm at no cost. Clients can access this research at www.smithbarney.com or call 1-866-836-9542 to request that a copy of this research be sent to them.

Information regarding commissions and charges will be made available to you promptly upon request. Please advise us of any material change in your financial objectives or financial situation. All checks written and deposited to your account must be made payable to Smith Barney. A financial statement of Citigroup Global Markets Inc. is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request. ***If you believe your statement is not correct, you must contact us within ten (10) days. Complaints and incorrect statement issues may be directed to the Manager of the branch servicing your account (see page 1 of statement for address and phone number).*** *To protect your rights, including any rights you may have under the Securities Investor Protection Act (SIPA), you must reconfirm all communication in writing to Citigroup Global Markets Inc., Attention: Early Dispute Resolution Group, 485 Lexington Avenue, 14th Floor, New York, NY 10017.*

2543

[redacted]

WORKING WEALTH
AT SMITH BARNEY

Smith [redacted]
Consolidation Summary
July 1 - July 31, 2007

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

[redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
[redacted]

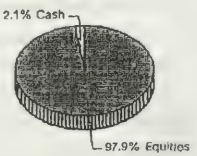
Summary

We have enclosed statements for the following accounts in your consolidated household. "Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless otherwise indicated, values shown are for "This Period." Accrued interest and dividends, earned but not paid, are excluded from the Adjusted Net Value.

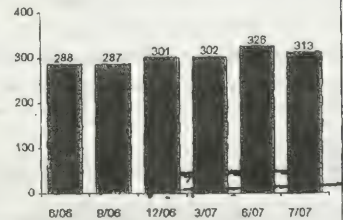
Account Number	Abbreviated Name	Account Type	Total Value Prior Month Adj.Net Value	Total Value This Period Adj.Net Value	Net Securities Deposited/ Withdrawn	Net Capital Deposits/ Withdrawals	Total Income Taxable/ Non-Taxable	Unrealized Gain or (Loss)	Adjusted YTD Realized Gain or (Loss)
443-6C247	JAMES MCDERMOTT CGM IRA CUSTODIAN	IRA	$ 325,821.39 $ 326,821.39	$ 312,541.36 $ 312,541.36	$ 0.00	$ 0.00	$ 0.00 $ 333.07	$ 45,623.32	$ 0.00 ST $ 11,535.97 LT
Total			$ 325,821.39 $ 326,821.39	$ 312,541.36 $ 312,541.36	$ 0.00	$ 0.00	$ 0.00 $ 333.07	$ 45,623.32	$ 0.00 ST $ 11,535.97 LT

Year to Date Summary		
Beginning total net value as of 12/31/06		$ 301,185.00
Net security deposits/withdrawals (year to date)		0.00
Net cash deposits/withdrawals (year to date)		0.00
Beginning value net of deposits/withdrawals		$ 301,185.00
Ending total net value	07/31/07	$ 312,541.36
Year to date total return		11,356.36

Current Total Asset Allocation Summary



Total Value Comparison



Units in multiples of 1 thousand.

[redacted]

citi smith barney

[redacted]

WORKING WEALTH
AT SMITH BARNEY

[redacted]ent
Individual Retirement Account
July 1 - July 31, 2007

[redacted]

[redacted]
JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

Account number [redacted]

[redacted]
CITIGROUP GLOBAL MKTS INC.
[redacted]

Citigroup Global Markets Inc., member NYSE, NASD, other principal exchanges, and the Securities Investor Protection Corporation (SIPC). Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. Citi and Citi with Arc Design are trademarks and service marks of Citigroup Inc. and its affiliates, and are used and registered throughout the world. Working Wealth℠ is a service mark of Citigroup Global Markets Inc.

Account value

	Last period	This period	%
Cash balance	$ 25.00	$ 0.00	
Bank Deposit Program℠ principal	6,242.84	6,660.91	2.13
Common stocks & options	319,553.55	305,880.45	97.87
Total value	$ 325,821.39	$ 312,541.36	100.00

Fair Market Value 12/31/06 $ 301,185.00

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 6,267.84	
Withdrawals	0.00	0.00
Dividends credited	376.00	
Bank Deposit Program℠ interest reinvested	17.07	
Closing balance	$ 6,660.91	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Earnings summary

	This period	This year
Other dividends	$ 376.00	$ 2,953.56
Bank Deposit Program℠	17.07	184.71
Total	$ 393.07	$ 3,138.27

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 325,821.39	$ 301,185.00
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	325,821.39	301,185.00
Total value as of 7/31/2007 (excl. accr. int.)	$ 312,541.36	$ 312,541.36
Total return	($ 13,280.03)	$ 11,356.36

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 11,535.97 LT $ 0.00 ST
Unrealized gain or (loss) to date	45,623.32	

[redacted]

[redacted]

citi smith barney

[redacted]

2545

JAMES MCDERMOTT

Account number [redacted]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since Inception*
Contributions			
Total contributions	$ 0.00	$ 0.00	$ 0.00

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

[redacted]

Required Minimum Distribution (RMD) Calculation

Our records indicate that you will be 70-1/2 or older this year. Generally, Required Minimum Distributions (RMD) must be withdrawn each year by December 31. Smith Barney has calculated your 2007 required minimum distribution using this account's beneficiary designation and December 31, 2006 Fair Market Value. In limited situations, it will be necessary to perform a manual adjustment to the year-end value by the amount of any transfer, rollover or recharacterization that did not settle by December 31, 2006. Failure to do so may result in an insufficient RMD subject to penalty taxes and interest. Please contact your Financial Advisor if you require assistance in recalculating the RMD. **Note:** *You must withdraw your required distribution before initiating a Roth conversion since the conversion of Traditional IRA assets to a Roth IRA is not considered a required distribution.*

Distribution Period: 33.3 Calculation Method: Joint Recalculation	2007 Required Minimum Distribution	$ 9,044.59

[redacted]

JAMES MCDERMOTT

Account number [redacted]

PORTFOLIO DETAILS

The values of your holdings are as of 07/31/07.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
6,660.91	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 6,660.91		3.22%	$ 214.48
Total Bank Deposit Program		$ 6,660.91	$ 0.00	3.22%	$ 214.48

Common stocks & options

Citi Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citi Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citi Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citi Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citi Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC Rating: Citigroup : 2H Argus : 2 Morningstar : 2 S&P : 1	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 53.74	$ 2,149.60	($ 565.40)* LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 2	AMAT	11/15/01	1,561.60	19.52	22.04	1,763.20	201.60* LT	1.088	19.20
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1H Morningstar : 2 Buckingham : 1 S&P : 1	ADM	09/21/05	22,775.63	22.31	33.60	33,600.00	10,824.37 LT	1.369	460.00

[redacted]

2547

JAMES MCDERMOTT

Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
470	CISCO SYS INC Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 2	CSCO		Please provide		$ 28.91	$ 13,587.70	Not available		
450	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	19.468	26.27	11,821.50	2,867.54* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.59	25.35	25,350.00	3,302.17 LT	2.84	720.00
600	E I DU PONT DE NEMOURS & CO Rating: Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	24,822.74	40.62	46.73	28,038.00	3,215.26 ST	3.167	888.00
800	GENERAL ELECTRIC CO Rating: Citigroup : 1L Argus : 1 Morningstar : 2 S&P : 1	GE	02/22/07	28,814.26	35.378	38.76	31,008.00	2,193.74 ST	2.899	896.00
25	HOME DEPOT INC	HD		Please provide		37.17	929.25	Not available		
460	Rating: Citigroup : 2M Argus : 1 Morningstar : 1 S&P : 1		10/25/02	14,288.86	30.41	37.17	17,098.20	2,809.34* LT		
485				14,288.86	31.063		18,027.45	2,809.34**	2.421	436.50
200	INTEL CORP	INTC		Please provide		23.62	4,724.00	Not available		
700	Rating: Citigroup : 1M Argus : 2 Morningstar : 2 S&P : 1		04/04/06	13,865.50	19.35	23.62	16,534.00	2,668.50 LT		
900				13,865.50	19.808		21,258.00	2,668.50**	1.905	405.00
400	MERCK & CO INC Rating: Citigroup : 1M Argus : 2 S&P : 1	MRK	10/25/02	20,252.98	49.75	49.65	19,860.00	(392.98)* LT	3.061	608.00
300	MICROSOFT CORP Rating: Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 1	MSFT		Please provide		28.99	8,697.00	Not available	1.379	120.00

[redacted]

JAMES MCDERMOTT

Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
800	PEABODY ENERGY CORP	BTU	08/05/04	$ 10,987.24	$ 13.465	$ 42.26	$ 33,808.00	$ 22,820.76* LT		
200	Rating: Citigroup : 2H		09/13/06	7,654.26	37.35	42.26	8,452.00	797.74 ST		
1,000	Morningstar : 2 S&P : 1			18,641.50	18.642		42,260.00	23,618.50	.587	240.00
1,000	PFIZER INC	PFE	09/13/06	28,709.22	28.18	23.51	23,510.00	(5,199.22) ST	4.934	1,160.00
	Rating: Citigroup : 2M Argus : 2 Morningstar : 2 S&P : 2									
1,000	SPRINT NEXTEL CORP	S	02/22/07	19,576.88	19.15	20.53	20,530.00	953.12 ST	.487	100.00
	Rating: Citigroup : 2H Argus : 2 Morningstar : 2 S&P : 3									
1,000	TRIQUINT SEMICONDUCTOR INC	TQNT	10/25/02	5,293.22	5.09	4.42	4,420.00	(873.22)* LT		
	Rating: S&P* : 2									
Total common stocks and options				$ 232,319.18			$ 305,880.45	$ 1,660.54** ST	1.07	
								$ 43,662.68** LT		$ 6,052.70
Total portfolio value				$ 230,980.00			$ 312,641.96	$ 1,660.54** ST	12.00	
								$ 43,662.68** LT		$ 6,267.18

**Unrealized Gain/Loss is only calculated when an original cost basis is available

*Based on information supplied by client or other financial institution, not verified by us.

TRANSACTION DETAILS *All transactions appearing are based on trade date.*

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written

Opening balance $ 6,242.84

Date	Activity	Description	Amount
07/02/07	Autodeposit	BANK DEPOSIT PROGRAM	25.00
07/03/07	Autodeposit	BANK DEPOSIT PROGRAM	152.00
07/26/07	Autodeposit	BANK DEPOSIT PROGRAM	224.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	17.07
		Closing balance	$ 6,660.91

JAMES MCDERMOTT

Account number [redacted]

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
07/02/07	MERCK & CO INC	CASH DIV ON 400.0000 SHS X/D 06/06/07	$ 152.00		$ 152.00
07/25/07	GENERAL ELECTRIC CO	CASH DIV ON 800.0000 SHS X/D 06/21/07	224.00		224.00
Total other dividends earned			$ 376.00	$ 0.00	$ 376.00

Bank Deposit Program Interest℠

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
07/31/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 07/02/07-07/31/07 30 DAYS AVERAGE YIELD 3.22 %.	$ 17.07		$ 17.07
Total Bank Deposit Program Interest Earned			$ 17.07	$ 0.00	$ 17.07

[redacted]

[redacted]

[redacted]

[redacted]

WORKING WEALTH.
AT SMITH BARNEY

[redacted]
Consolidation Summary
August 1 - August 31, 2007

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

Your Broker/Dealer Is
CITIGROUP GLOBAL MKTS INC.
[redacted]

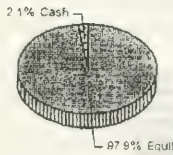
Summary

We have enclosed statements for the following accounts in your consolidated household. "Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless otherwise indicated, values shown are for "This Period." Accrued interest and dividends, earned but not paid, are excluded from the Adjusted Net Value.

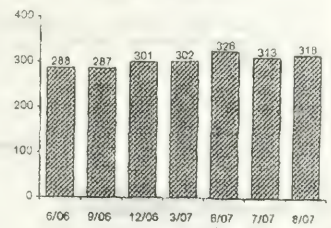
Account Number	Abbreviated Name	Account Type	Total Value Prior Month/ Adj.Net Value	Total Value This Period/ Adj.Net Value	Net Securities Deposited/ Withdrawn	Net Capital Deposits/ Withdrawals	Total Income Taxable/ Non-Taxable	Unrealized Gain or (Loss)	Adjusted YTD Realized Gain or (Loss)
43-6C247	JAMES MCDERMOTT CGM IRA CUSTODIAN	IRA	$ 312,541.36 $ 312,541.36	$ 318,015.00 $ 318,015.00	$ 0.00	$ 0.00	$ 0.00 $ 19.64	$ 49,286.12	$ 0.00 ST $ 11,535.97 LT
Total			$ 312,541.36 $ 312,541.36	$ 318,015.00 $ 318,015.00	$ 0.00	$ 0.00	$ 0.00 $ 19.64	$ 49,286.12	$ 0.00 ST $ 11,535.97 LT

Year to Date Summary		
Beginning total net value as of 12/31/06		$ 301,185.00
Net security deposits/withdrawals (year to date)		0.00
Net cash deposits/withdrawals (year to date)		0.00
Beginning value net of deposits/withdrawals		$ 301,185.00
Ending total net value	08/31/07	$ 318,015.00
Year to date total return		16,830.00

Current Total Asset Allocation Summary



Total Value Comparison



Units in multiples of 1 thousand.

[redacted]

citi smith barney [redacted]

2551

[redacted]

WORKING WEALTH. AT SMITH BARNEY

[redacted]ent
Individual Retirem nt Account
August 1 - August 31, 2007

[redacted]

Account number [redacted]

[redacted]
JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

[redacted]
CITIGROUP GLOBAL MKTS INC.
[redacted]

Citigroup Global Markets Inc., member NYSE, NASD, other principal exchanges, and the Securities Investor Protection Corporation (SIPC). Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. Citi and Citi with Arc Design are trademarks and service marks of Citigroup Inc. and its affiliates, and are used and registered throughout the world. Working Wealth℠ is a service mark of Citigroup Global Markets Inc.

Account value

	Last period	This period	%
Bank Deposit Program℠-principal	$ 6,660.91	$ 6,680.55	2.10
Common stocks & options	305,880.45	311,334.45	97.90
Total value	$ 312,541.36	$ 318,015.00	100.00

Fair Market Value 12/31/06 $ 301,185.00

Earnings summary

	This period	This year
Other dividends	$ 0.00	$ 2,953.56
Bank Deposit Program℠	19.64	204.35
Total	$ 19.64	$ 3,157.91

Gain/loss summary

		This period	This year
Realized gain or (loss)		$ 0.00	$ 11,535.97 LT $ 0.00 ST
Unrealized gain or (loss) to date	49,286.12		

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 6,660.91	
Withdrawals	0.00	0.00
Bank Deposit Program℠ interest reinvested	19.64	
Closing balance	$ 6,680.55	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 312,541.36	$ 301,185.00
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	312,541.36	301,185.00
Total value as of 8/31/2007 (excl. accr. int.)	$ 318,015.00	$ 318,015.00
Total return	$ 5,473.64	$ 16,830.00

[redacted]

citi smith barney

[redacted]

2552

[redacted]

WORKING WEALTH.
AT SMITH BARNEY

Smith [redacted]
Individual Retirement Account
August 1 - August 31, 2007

JAMES MCDERMOTT

Account number [redacted]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since Inception*
Contributions			
Total contributions	$ 0.00	$ 0.00	$ 0.00

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary

[redacted]

Contingent Beneficiary

[redacted]

Required Minimum Distribution (RMD) Calculation

Our records indicate that you will be 70-1/2 or older this year. Generally, Required Minimum Distributions (RMD) must be withdrawn each year by December 31. Smith Barney has calculated your 2007 required minimum distribution using this account's beneficiary designation and December 31, 2006 Fair Market Value. In limited situations, it will be necessary to perform a manual adjustment to the year-end value by the amount of any transfer, rollover or recharacterization that did not settle by December 31, 2006. Failure to do so may result in an insufficient RMD subject to penalty taxes and interest. Please contact your Financial Advisor if you require assistance in recalculating the RMD. **Note:** *You must withdraw your required distribution before initiating a Roth conversion since the conversion of Traditional IRA assets to a Roth IRA is not considered a required distribution.*

Distribution Period: 33.3 Calculation Method: Joint Recalculation	2007 Required Minimum Distribution $ 9,044.59

[redacted]

[redacted]

[redacted]

[redacted]

citi smith barney

[redacted]

2553

JAMES MCDERMOTT

Account number [redacted]

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to us. In most cases, these values are as of 08/31/07, but in some cases our sources ar unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
6,680.55	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 6,680.55		3.16%	$ 211.10
Total Bank Deposit Program		$ 6,680.55	$ 0.00	3.16%	$ 211.10

Common stocks & options

Citi Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citi Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citi Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citi Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citi Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC **Rating:** Citigroup : 2H Argus : 2 Morningstar : 1 S&P : 1	AMGN	08/21/00	$ 2,716.00	$ 67.87	$ 50.11	$ 2,004.40	($ 710.60)*LT		
80	APPLIED MATERIALS INC DELAWARE **Rating:** Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 2	AMAT	11/15/01	1,561.60	19.52	21.38	1,708.80	147.20* LT	1.123	19.20

2554

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1H Morningstar : 2 Buckingham : 1 S&P : 1	ADM	09/21/05	$ 22,775.63	$ 22.31	$ 33.70	$ 33,700.00	$ 10,924.37 LT	1.364%	$ 460.00
470	CISCO SYS INC Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	CSCO		Please provide		31.92	15,002.40	Not available		
450	COMCAST CORP CL A	CMCSA	03/12/04	8,853.96	19.466	26.00	11,740.50	2,886.54* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.59	25.71	25,710.00	3,662.17 LT	2.80	720.00
600	E I DU PONT DE NEMOURS & CO Rating: Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	08/13/06	24,822.74	40.62	48.75	29,250.00	4,427.26 ST	3.035	888.00
800	GENERAL ELECTRIC CO Rating: Citigroup : 1L Argus : 1 Morningstar : 2 S&P : 1	GE	02/22/07	28,814.26	35.378	38.87	31,096.00	2,281.74 ST	2.881	896.00
25	HOME DEPOT INC	HD		Please provide		38.31	957.75	Not available		
460	Rating: Citigroup : 2M Argus : 1		10/25/02	14,288.86	30.41	38.31	17,622.60	3,333.74* LT		
485	Morningstar : 1 S&P : 1			14,288.86	31.063		18,580.35	3,333.74**	2.349	436.50
200	INTEL CORP	INTC		Please provide		25.75	5,150.00	Not available		
700	Rating: Citigroup : 1M Argus : 2		04/04/06	13,865.50	19.35	25.75	18,025.00	4,159.50 LT		
900	Morningstar : 2 S&P : 1			13,865.50	19.808		23,175.00	4,159.50**	1.747	405.00
400	MERCK & CO INC Rating: Citigroup : 1M Argus : 2 Morningstar : 2 [redacted]	MRK	10/25/02	20,252.98	49.75	50.17	20,068.00	(184.98)* LT	3.029	608.00

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
300	MICROSOFT CORP Rating: Citigroup : 1M Argus : 1 Morningstar : 1 S&P : 1	MSFT		Please provide		$ 28.73	$ 8,619.00	Not available	1.392 %	$ 120.00
800	PEABODY ENERGY CORP	BTU	08/05/04	10,987.24	13.465	42.5*	34,008.00	23,020.76* LT		
200	Rating: Citigroup : 2H		09/13/06	7,654.26	37.35	42.51	8,502.00	847.74 ST		
1,000	Morningstar : 2 S&P : 1			18,641.50	18.642		42,510.00	23,868.50	.564	240.00
1,000	PFIZER INC Rating: Citigroup : 2M Argus : 2 Morningstar : 2 S&P : 2	PFE	09/13/06	28,709.22	28.18	24.84	24,840.00	(3,869.22) ST	4.669	1,160.00
1,000	SPRINT NEXTEL CORP Rating: Citigroup : 2H Argus : 2 Morningstar : 1 S&P : 3	S	02/22/07	19,576.88	19.15	18.92	18,920.00	(656.88) ST	.628	100.00
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P* : 2	TQNT	10/25/02	5,293.22	5.09	4.41	4,410.00	(883.22)*LT		
Total common stocks and options				$ 222,019.13			$ 211,034.45	$ 3,030.64** ST	1.94	
								$ 48,255.43** LT		$ 6,052.70
Total portfolio value				$ 228,690.73			$ 218,016.00	$ 3,030.64** ST	1.96	
								$ 48,255.43** LT		$ 6,283.80

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

*Based on information supplied by client or other financial institution, not verified by us.

2556

[redacted]

WORKING WEALTH AT SMITH BARNEY

Smith [redacted] Reserved Client
Individual Retirement Account
August 1 - August 31, 2007

[redacted] JAMES MCDERMOTT Account number [redacted]

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Bank Deposit Program℠ Interest

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
09/[illegible]	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 08/01/07-09/03/07 34 DAYS AVERAGE YIELD 3.16 %	$ 19.64		$ 19.64
Total Bank Deposit Program interest earned			$ 19.64	$ 0.00	$ 19.64

Guide to Investment Ratings

Stock Ratings

Investment ratings are based upon Citi Investment Research's expectation of total return (forecast price appreciation plus dividend yield within the next 12 months) and risk rating.

(Developed Markets: US, UK, Europe, Japan, and Australia/New Zealand)

Code	Rating	Expected Total Return
1	Buy	10% or more for Low-Risk stocks, 15% or more for Medium-Risk stocks, 20% or more for High-Risk stocks, and 35% or more for Speculative stocks
2	Hold	0-10% for Low-Risk stocks, 0-15% for Medium-Risk stocks, 0-20% for High-Risk stocks, and 0-35% for Speculative stocks
3	Sell	Negative total return

Stock Risk

Takes into account price volatility and a select list of fundamental criteria.

Code	Rating	Definitions
L	Low	High predictability of financial results and low volatility
M	Medium	Moderate predictability of financial results and volatility
H	High	Low predictability of financial results and high volatility
S	Speculative	Exceptionally low financial predictability, highest risk and volatility

Independent, third-party research on certain companies covered by Citi Investment Research is available to clients of the firm at no cost. Clients can access this research at www.smithbarney.com or call 1-866-836-8542 to request that a copy of this research be sent to them.

Information regarding commissions and charges will be made available to you promptly upon request. Please advise us of any material change in your financial objectives or financial situation. All checks written and deposited to your account must be made payable to Smith Barney. A financial statement of Citigroup Global Markets Inc. is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request. ***If you believe your statement is not correct, you must contact us within ten (10) days. Complaints and incorrect statement issues may be directed to the Manager of the branch servicing your account (see page 1 of statement for address and phone number).*** *To protect your rights, including any rights you may have under the Securities Investor Protection Act (SIPA), you must reconfirm all communication in writing to Citigroup Global Markets Inc., Attention: Early Dispute Resolution Group, 485 Lexington Avenue, 14th Floor, New York, NY 10017.*

[redacted]

citi smith barney

[redacted]

2557

[redacted]

WORKING WEALTH
AT SMITH BARNEY

Smith [redacted]
Consolidation Summary
September 1 - September 30, 2007

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

[redacted]

CITIGROUP GLOBAL MKTS INC.
[redacted]

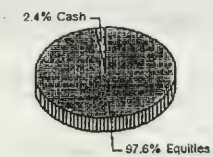
Summary

We have enclosed statements for the following accounts in your consolidated household. "Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless otherwise indicated, values shown are for "This Period." Accrued interest and dividends, earned but not paid, are excluded from the Adjusted Net Value.

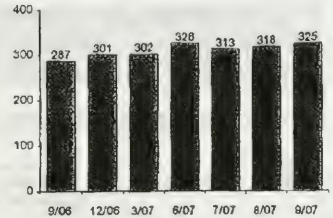
Account Number	Abbreviated Name	Account Type	Total Value Prior Month/ Adj.Net Value	Total Value This Period/ Adj.Net Value	Net Securities Deposited/ Withdrawn	Net Capital Deposits/ Withdrawals	Total Income Taxable/ Non-Taxable	Unrealized Gain or (Loss)	Adjusted YTD Realized Gain or (Loss)
143-6C247	JAMES MCDERMOTT CGM IRA CUSTODIAN	IRA	$ 318,015.00 $ 318,015.00	$ 325,003.54 $ 325,003.54	$ 0.00	$ 0.00	$ 0.00 $ 1,155.16	$ 54,457.02	$ 0.00 ST $ 11,535.97 LT
Total			$ 318,015.00 $ 318,015.00	$ 325,003.54 $ 325,003.54	$ 0.00	$ 0.00	$ 0.00 $ 1,155.16	$ 54,457.02	$ 0.00 ST $ 11,535.97 LT

Year to Date Summary		
Beginning total net value as of 12/31/06		$ 301,185.00
Net security deposits/withdrawals (year to date)		0.00
Net cash deposits/withdrawals (year to date)		0.00
Beginning value net of deposits/withdrawals		$ 301,185.00
Ending total net value	09/28/07	$ 325,003.54
Year to date total return		23,818.54

Current Total Asset Allocation Summary



Total Value Comparison



Units in multiples of 1 thousand.

[redacted]

[redacted]

WORKING WEALTH
AT SMITH BARNEY

[redacted] Smith Barney Reserved Client .
Individual Retirement Account
September 1 - September 30, 2007

[redacted]

[redacted]
JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

Account number [redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
[redacted]

Reserved Client Service Center: [redacted]
Branch Phone: [redacted]

Citigroup Global Markets Inc., member NYSE, NASD, other principal exchanges, and the Securities Investor Protection Corporation (SIPC). Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. Citi and Citi with Arc Design are trademarks and service marks of Citigroup Inc. and its affiliates, and are used and registered throughout the world. Working Wealth℠ is a service mark of Citigroup Global Markets Inc.

Account value

	Last period	This period	%
Cash balance	$ 0.00	$ 25.00	.01
Bank Deposit Program℠-principal	6,680.55	7,810.71	2.40
Common stocks & options	311,334.45	317,167.83	97.59
Total value	$ 318,015.00	$ 325,003.54	100.00

Fair Market Value 12/31/06 $ 301,185.00

Earnings summary

	This period	This year
Other dividends	$ 1,137.18	$ 4,090.74
Bank Deposit Program℠	17.98	222.33
Total	$ 1,155.16	$ 4,313.07

[redacted]

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 11,535.97 LT
		$ 0.00 ST
Unrealized gain or (loss) to date 54,457.02		

[redacted]

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 6,680.55	
Withdrawals	0.00	0.00
Dividends credited	1,137.18	
Bank Deposit Program℠ interest reinvested	17.98	
Closing balance	$ 7,835.71	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 318,015.00	$ 301,185.00
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	318,015.00	301,185.00
Total value as of 9/28/2007 (excl. accr. int.)	$ 325,003.54	$ 325,003.54
Total return	$ 6,988.54	$ 23,818.54

citi smith barney

[redacted]

2559

[redacted]

[redacted] AT SMITH BARNEY

Individual Retirement Account
September 1 - September 30, 2007

JAMES MCDERMOTT

Account number [redacted]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since Inception*
Contributions			
Total contributions	$ 0.00	$ 0.00	$ 0.00

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

[redacted]

Required Minimum Distribution (RMD) Calculation

Our records indicate that you will be 70-1/2 or older this year. Generally, Required Minimum Distributions (RMD) must be withdrawn each year by December 31. Smith Barney has calculated your 2007 required minimum distribution using this account's beneficiary designation and December 31, 2006 Fair Market Value. In limited situations, it will be necessary to perform a manual adjustment to the year-end value by the amount of any transfer, rollover or recharacterization that did not settle by December 31, 2006. Failure to do so may result in an insufficient RMD subject to penalty taxes and interest. Please contact your Financial Advisor if you require assistance in recalculating the RMD. **Note:** *You must withdraw your required distribution before initiating a Roth conversion since the conversion of Traditional IRA assets to a Roth IRA is not considered a required distribution.*

Distribution Period: 33.3 Calculation Method: Joint Recalculation	2007 Required Minimum Distribution	$ 9,044.59

[redacted]

[redacted]

2560

JAMES MCDERMOTT

Account number [redacted]

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to us. In most cases, these values are as of 09/30/07, but in some cases our sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
7,810.71	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 7,810.71		3.21%	$ 250.72
Total Bank Deposit Program		$ 7,810.71	$ 0.00	3.21%	$ 250.72

Common stocks & options

Citi Investment Research and independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citi Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citi Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citi Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citi Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC Rating: Citigroup : 2H Argus : 2 Morningstar : 2 S&P : 1	AMGN	06/21/00	$ 2,715.00	$ 67.87	$ 56.57	$ 2,282.80	($ 432.20)*LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 2H Argus : 2 Morningstar : 2 S&P : 2	AMAT	11/15/01	1,561.60	19.52	20.70	1,656.00	94.40* LT	1.158	19.20

2561

Common stocks & options *continued*

Quantity	Description	Symbol	Date acqu'red	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1H Morningstar : 2 Buckingham : 1 S&P : 1	ADM	08/21/05	$ 22,775.63	$ 22.31	$ 33.08	$ 33,080.00	$ 10,304.37 LT	1.39%	$ 460.00
470	CISCO SYS INC Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	CSCO		Please provide		33.129	15,570.63	Not available		
460	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	19.466	24.18	10,881.00	1,927.04* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.59	26.13	26,130.00	4,082.17 LT	2.908	760.00
600	E I DU PONT DE NEMOURS & CO Rating: Citigroup : 1M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	24,822.74	40.62	49.56	29,736.00	4,913.26 LT	2.988	888.00
800	GENERAL ELECTRIC CO Rating: Citigroup : 1L Argus : 1 Morningstar : 2 S&P : 1	GE	02/22/07	28,814.26	35.378	41.40	33,120.00	4,305.74 ST	2.705	896.00
25	HOME DEPOT INC Rating: Citigroup : 2M Argus : 1 Morningstar : 2 S&P : 1	HD		Please provide		32.44	811.00	Not available		
460			10/25/02	14,288.86	30.41	32.44	14,922.40	633.54* LT		
485				14,288.86	31.063		15,733.40	633.54**	2.774	436.50
200	INTEL CORP Rating: Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 1	INTC		Please provide		25.86	5,172.00	Not available		
700			04/04/06	13,865.50	19.35	25.86	18,102.00	4,236.50 LT		
900				13,865.50	19.808		23,274.00	4,236.50**	1.74	405.00
400	MERCK & CO INC Rating: Citigroup : 1M Argus : 2 Morningstar : 2 S&P : 1	MRK	10/25/02	20,252.98	49.75	51.69	20,676.00	423.02* LT	2.94	608.00

2562

JAMES MCDERMOTT Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
300	MICROSOFT CORP **Rating:** Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 1	MSFT		Please provide		$ 28.46	$ 8,838.00	Not available	1.493%	$ 132.00
800	PEABODY ENERGY CORP **Rating:** Citigroup : 2H Morningstar : 2 S&P : 1	BTU	08/05/04	10,987.24	13.465	47.87	38,296.00	27,308.76* LT		
200			09/13/06	7,654.26	37.35	47.87	9,574.00	1,919.74 LT		
1,000				18,641.50	18.642		47,870.00	29,228.50	.501	240.00
1,000	PFIZER INC **Rating:** Citigroup : 2M Argus : 2 Morningstar : 2 S&P : 2	PFE	09/13/06	28,709.22	28.18	24.43	24,430.00	(4,278.22) LT	4.748	1,160.00
1,000	SPRINT NEXTEL CORP **Rating:** Citigroup : 2H Argus : 2 Morningstar : 1 S&P : 2	S	02/22/07	19,576.88	19.15	19.00	19,000.00	(576.88) ST	.526	100.00
1,000	TRIQUINT SEMICONDUCTOR INC **Rating:** S&P* : 2	TQNT	10/25/02	5,293.22	5.09	4.91	4,910.00	(383.22)* LT		
Total common stocks and options				$ 282,318.18			$ 317,187.83	$ 3,728.86** ST	1.92	
								$ 50,728.18** LT		$ 6,104.70
Total portfolio value				$ 240,129.89			$ 324,078.54	$ 3,728.86** ST	1.85	
								$ 50,728.18** LT		$ 6,355.42

*Based on information supplied by client or other financial institution, not verified by us.

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

JAMES MCDERMOTT Account number [redacted]

TRANSACTION DETAILS

All transactions appearing are based on trade date.

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written

	Opening balance		$ 6,880.55
Date	Activity	Description	Amount
09/05/07	Autodeposit	BANK DEPOSIT PROGRAM	341.25
09/06/07	Autodeposit	BANK DEPOSIT PROGRAM	405.00
09/07/07	Autodeposit	BANK DEPOSIT PROGRAM	4.80
09/13/07	Autodeposit	BANK DEPOSIT PROGRAM	222.00
09/14/07	Autodeposit	BANK DEPOSIT PROGRAM	139.13
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	17.98
		Closing balance	$ 7,810.71

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
09/04/07	CONAGRA FOODS INC	CASH DIV ON 1000.0000 SHS X/D 07/31/07	$ 180.00		$ 180.00
09/04/07	INTEL CORP	CASH DIV ON 900.0000 SHS X/D 08/03/07	101.25		101.25
09/04/07	PEABODY ENERGY CORP	CASH DIV ON 1000.0000 SHS X/D 08/10/07	60.00		60.00
09/05/07	ARCHER-DANIELS-MIDLAND CO	CASH DIV ON 1000.0000 SHS X/D 08/13/07	115.00		115.00
09/05/07	PFIZER INC	CASH DIV ON 1000.0000 SHS X/D 08/08/07	290.00		290.00
09/06/07	APPLIED MATERIALS INC DELAWARE	CASH DIV ON 80.0000 SHS X/D 08/14/07	4.80		4.80
09/12/07	E I DU PONT DE NEMOURS & CO	CASH DIV ON 600.0000 SHS X/D 08/13/07	222.00		222.00
09/13/07	HOME DEPOT INC	CASH DIV ON 485.0000 SHS X/D 08/28/07	109.13		109.13
09/13/07	MICROSOFT CORP	CASH DIV ON 300.0000 SHS X/D 08/14/07	30.00		30.00
09/28/07	SPRINT NEXTEL CORP	CASH DIV ON 1000.0000 SHS X/D 09/05/07	25.00		25.00
Total other dividends earned			$ 1,137.18	$ 0.00	$ 1,137.18

2564

[redacted]

WORKING WEALTH
AT SMITH BARNEY

[redacted] Barney Reserve Account
Individual Retirement Account
September 1 - September 30, 2007

JAMES MCDERMOTT

Account number [redacted]

Bank Deposit Program℠ Interest

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
09/28/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 09/04/07 09/30/07 27 DAYS AVERAGE YIELD 3.21 %	$ 17.98		$ 17.98
Total Bank Deposit Program interest earned			$ 17.98	$ 0.00	$ 17.98

Guide to Investment Ratings

Stock Ratings

Investment ratings are based upon Citi Investment Research's expectation of total return (forecast price appreciation plus dividend yield within the next 12 months) and risk rating.

Developed Markets (US, UK, Europe, Japan, and Australia/New Zealand)

Code	Rating	Expected Total Return
1	Buy	10% or more for Low-Risk stocks, 15% or more for Medium-Risk stocks, 20% or more for High-Risk stocks, and 35% or more for Speculative stocks
2	Hold	0-10% for Low-Risk stocks, 0-15% for Medium-Risk stocks, 0-20% for High-Risk stocks, and 0-35% for Speculative stocks
3	Sell	Negative total return

Stock Risk

Takes into account price volatility and a select list of fundamental criteria.

Code	Rating	Definitions
L	Low	High predictability of financial results and low volatility
M	Medium	Moderate predictability of financial results and volatility
H	High	Low predictability of financial results and high volatility
S	Speculative	Exceptionally low financial predictability, highest risk and volatility

Independent, third-party research on certain companies covered by Citi Investment Research is available to clients of the firm at no cost. Clients can access this research at www.smithbarney.com or call 1-866-836-9542 to request that a copy of this research be sent to them.

Message: *Enclosed please find our revised annual privacy notice entitled "Smith Barney Privacy Notice." This revised notice describes the firm's privacy policies and procedures and replaces all prior Smith Barney privacy notice(s) to you. This revised notice will help you understand how we handle the personal information about you that we collect and may disclose. It also describes how you can limit the disclosure of such information. Please review this revised notice carefully.*

Information regarding commissions and charges will be made available to you promptly upon request. Please advise us of any material change in your financial objectives or financial situation. All checks written and deposited to your account must be made payable to Smith Barney. A financial statement of Citigroup Global Markets Inc. is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request. ***If you believe your statement is not correct, you must contact us within ten (10) days. Complaints and incorrect statement issues may be directed to the Manager of the branch servicing your account (see page 1 of statement for address and phone number).*** *To protect your rights, including any rights you may have under the Securities Investor Protection Act (SIPA), you must reconfirm all communication in writing to Citigroup Global Markets Inc., Attention: Early Dispute Resolution Group, 485 Lexington Avenue, 14th Floor, New York, NY 10017.*

[redacted]

2565

[redacted]

WORKING WEALTH
AT SMITH BARNEY

Smith B[redacted]
Consolidation Summary
October 1 - October 31, 2007

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

[redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
[redacted]

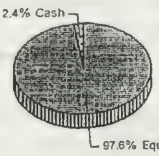
We have enclosed statements for the following accounts in your consolidated household. "Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless otherwise indicated, values shown are for "This Period." Accrued interest and dividends, earned but not paid, are excluded from the Adjusted Net Value.

Summary

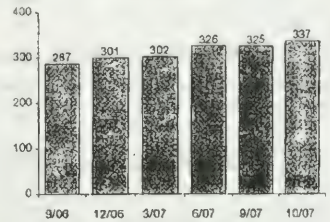
Account Number	Abbreviated Name	Account Type	Total Value Prior Month/ Adj.Net Value	Total Value This Period/ Adj.Net Value	Net Securities Deposited/ Withdrawn	Net Capital Deposits/ Withdrawals	Total Income Taxable/ Non-Taxable	Unrealized Gain or (Loss)	Adjusted YTD Realized Gain or (Loss)
443-6C247	JAMES MCDERMOTT	IRA	$ 325,003.54	$ 336,835.20	$ 0.00	$ 0.00	$ 0.00	$ 83,533.92	$ 0.00 ST
	CGM IRA CUSTODIAN		$ 325,003.54	$ 336,835.20			$ 397.44		$ 11,535.97 LT
Total			$ 325,003.54	$ 336,835.20	$ 0.00	$ 0.00	$ 0.00	$ 83,533.92	$ 0.00 ST
			$ 325,003.54	$ 336,835.20			$ 397.44		$ 11,535.97 LT

Year to Date Summary		
Beginning total net value as of 12/31/06		$ 301,185.00
Net security deposits/withdrawals (year to date)		0.00
Net cash deposits/withdrawals (year to date)		0.00
Beginning value net of deposits/withdrawals		$ 301,185.00
Ending total net value	10/31/07	$ 336,835.20
Year to date total return		35,650.20

Current Total Asset Allocation Summary



Total Value Comparison



Units in multiples of 1 thousand

[redacted]

[redacted]

[redacted]

WORKING WEALTH
AT SMITH BARNEY

[redacted] ent
Individual Retirement Account
October 1 - October 31, 2007

[redacted]

[redacted]
JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

Account number [redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
[redacted]

Reserved Client Service Center [redacted]
Branch Phone: [redacted]

Citigroup Global Markets Inc., member NYSE, NASD, other principal exchanges, and the Securities Investor Protection Corporation (SIPC). Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. Citi and Citi with Arc Design are trademarks and service marks of Citigroup Inc. and its affiliates, and are used and registered throughout the world. Working Wealth℠ is a service mark of Citigroup Global Markets Inc.

Account value

	Last period	This period	%
Cash balance	$ 25.00	$ 0.00	
Bank Deposit Program℠-principal	7,810.71	8,233.15	2.44
Common stocks & options	317,167.83	328,602.05	97.56
Total value	$ 325,003.54	$ 336,835.20	100.00

Fair Market Value 12/31/06 $ 301,185.00

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 7,836.71	
Withdrawals	0.00	0.00
Dividends credited	376.00	
Bank Deposit Program℠ interest reinvested	21.44	
Closing balance	$ 8,233.15	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Earnings summary

	This period	This year
Other dividends	$ 376.00	$ 4,486.74
Bank Deposit Program℠	21.44	243.77
Total	$ 397.44	[redacted]

Portfolio summary

	This period	This year
[redacted] g total value (excl. accr. int.)	$ 325,003.54	$ 301,185.00
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	0.00
Beginning value net of deposits/withdrawals	325,003.54	301,185.00
Total value as of 10/31/2007 (excl. accr. int.)	$ 336,835.20	$ 336,835.20
Total return	$ 11,831.66	$ 35,650.20

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 11,535.97 LT $ 0.00 ST
Unrealized gain or (loss) to date	63,533.92	

[redacted]

citi smith barney

[redacted]

2567

[redacted] JAMES MCDERMOTT Account number [redacted]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since Inception*
Contributions			
Total contributions	$ 0.00	$ 0.00	$ 0.00

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
[redacted]	[redacted]

Required Minimum Distribution (RMD) Calculation

Our records indicate that you will be 70-1/2 or older this year. Generally, Required Minimum Distributions (RMD) must be withdrawn each year by December 31. Smith Barney has calculated your 2007 required minimum distribution using this account's beneficiary designation and December 31, 2006 Fair Market Value. In limited situations, it will be necessary to perform a manual adjustment to the year-end value by the amount of any transfer, rollover or recharacterization that did not settle by December 31, 2006. Failure to do so may result in an insufficient RMD subject to penalty taxes and interest. Please contact your Financial Advisor if you require assistance in recalculating the RMD. **Note:** *You must withdraw your required distribution before initiating a Roth conversion since the conversion of Traditional IRA assets to a Roth IRA is not considered a required distribution.*

Distribution Period: 33.3 Calculation Method: Joint Recalculation	2007 Required Minimum Distribution	$ 9,044.59

2568

JAMES MCDERMOTT

Account number [redacted]

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to us. In most cases, these values are as of 10/31/07, but in some cases our sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
8,233.15	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 8,233.15		3.15%	$ 259.34
Total Bank Deposit Program		$ 8,233.15	$ 0.00	3.15%	$ 259.34

Common stocks & options

Citi Investment Research and Independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citi Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citi Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citi Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citi Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC Rating: Citigroup : 2H Argus : 2 Morningstar : 2 S&P : 1	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 58.11	$ 2,324.40	($ 390.60)*LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 2H Argus : 2 Morningstar : 2 S&P : 2	AMAT	11/15/01	1,561.60	19.52	19.42	1,553.60	(8.00)*LT	1.235	19.20

2569

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1H Morningstar : 2 Buckingham : 1 S&P : 1	ADM	09/21/05	$ 22,776.63	$ 22.31	$ 35.78	$ 35,780.00	$ 13,004.37 LT	1.285%	$ 460.00
470	CISCO SYS INC Rating: Citigroup : 2H Argus : 1 Morningstar : 2 S&P : 1	CSCO		Please provide		33.06	15,538.20	Not available		
450	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	19.466	21.05	9,472.50	518.54* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.59	23.73	23,730.00	1,682.17 LT	3.202	760.00
600	E I DU PONT DE NEMOURS & CO Rating: Citigroup : 2M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	24,822.74	40.62	49.51	29,706.00	4,883.26 LT	3.312	984.00
800	GENERAL ELECTRIC CO Rating: Citigroup : 1L Argus : 1 Morningstar : 2 S&P : 1	GE	02/22/07	28,814.26	35.378	41.16	32,928.00	4,113.74 ST	2.721	896.00
25	HOME DEPOT INC	HD		Please provide		31.51	787.75	Not available		
460	Rating: Citigroup : 2M Argus : 1 Morningstar : 2 S&P : 1		10/25/02	14,288.86	30.41	31.51	14,494.60	205.74* LT		
485				14,288.86	31.063		15,282.35	205.74**	2.856	436.50
200	INTEL CORP	INTC		Please provide		26.90	5,380.00	Not available		
700	Rating: Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 1		04/04/06	13,865.50	19.35	26.90	18,830.00	4,964.50 LT		
900				13,865.50	19.808		24,210.00	4,964.50**	1.672	405.00
400	MERCK & CO INC Rating: Citigroup : 1M Argus : 2 Morningstar : 2 S&P : 1	MRK	10/25/02	20,252.98	49.75	58.26	23,304.00	3,051.02* LT	2.608	608.00

Individual Retirement Account

October 1 - October 31, 2007

JAMES MCDERMOTT

Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
300	MICROSOFT CORP Rating: Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 1	MSFT		Please provide		$ 36.81	$ 11,043.00	Not available	1.195%	$ 132.00
500	PEABODY ENERGY CORP	BTU	08/05/04	10,987.24	13.465	55.75	44,600.00	33,612.76* LT		
200	Rating: Citigroup : 2H		09/13/06	7,654.26	37.35	55.75	11,150.00	3,495.74 LT		
1,000	Morningstar : 2 S&P : 1			18,641.50	18.642		55,750.00	37,108.50	.43	240.00
1,000	PFIZER INC Rating: Citigroup : 2M Argus : 2 Morningstar : 2 S&P : 2	PFE	09/13/06	28,709.22	28.18	24.61	24,610.00	(4,099.22) LT	4.713	1,160.00
1,000	SPRINT NEXTEL CORP Rating: Citigroup : 2H Argus : 2 Morningstar : 1 S&P : 2	S	02/22/07	19,576.88	19.15	17.10	17,100.00	(2,476.88) ST	.584	100.00
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P* : 2	TQNT	10/25/02	5,293.22	5.09	6.27	6,270.00	976.78* LT		
Total common stocks and options				$ 232,318.18			$ 328,802.09	$ 1,636,667.91	1.88	
								$ 61,897.06* LT		$ 6,200.70
Total portfolio value				$ 240,652.33			$ 338,895.20	$ 1,636,667.91	1.81	
								$ 61,897.06* LT		$ 6,460.04

**Unrealized Gain/Loss is only calculated when an original cost basis is available.*

*Based on information supplied by client or other financial institution, not verified by us.

2571

[redacted]

WORKING WEALTH AT SMITH BARNEY

[redacted]

Individual Retirement Account
October 1 - October 31, 2007

JAMES MCDERMOTT

Account number [redacted]

TRANSACTION DETAILS

All transactions appearing are based on trade date.

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

		Opening balance	$ 7,810.71
Date	Activity	Description	Amount
10/01/07	Autodeposit	BANK DEPOSIT PROGRAM	25.00
10/02/07	Autodeposit	BANK DEPOSIT PROGRAM	152.00
10/26/07	Autodeposit	BANK DEPOSIT PROGRAM	224.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	21.44
		Closing balance	$ 8,233.15

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment		Taxable	Non-taxable	Amount
10/01/07	MERCK & CO INC	CASH DIV ON X/D 09/05/07	400.0000 SHS	$ 152.00		$ 152.00
10/25/07	GENERAL ELECTRIC CO	CASH DIV ON X/D 09/20/07	800.0000 SHS	224.00		224.00
Total other dividends earned				$ 376.00	$ 0.00	$ 376.00

Bank Deposit Program℠ Interest

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
10/31/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 10/01/07-10/31/07 31 DAYS AVERAGE YIELD 3.15 %.	$ 21.44		$ 21.44
Total Bank Deposit Program℠ Interest			$ 21.44	$ 0.00	$ 21.44

[redacted]

citi smith barney

[redacted]

2572

[redacted]

WORKING WEALTH
AT SMITH BARNEY

[redacted]

Consolidation Summary
November 1 - November 30, 2007

[redacted]
JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
[redacted]

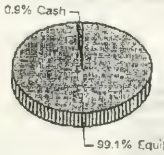
Summary

We have enclosed statements for the following accounts in your consolidated household. "Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless otherwise indicated, values shown are for "This Period." Accrued interest and dividends, earned but not paid, are excluded from the Adjusted Net Value.

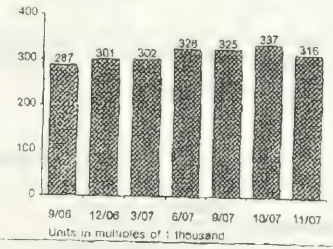
Account Number	Abbreviated Name	Account Type	Total Value Prior Month/ Adj Net Value	Total Value This Period/ Adj Net Value	Net Securities Deposited/ Withdrawn	Net Capital Deposits/ Withdrawals	Total Income Taxable/ Non Taxable	Unrealized Gain or (Loss)	Adjusted YTD Realized Gain or (Loss)
443 6C247	JAMES MCDERMOTT CGM IRA CUSTODIAN	IRA	$ 336,835.20 $ 336,835.20	$ 315,903.71 $ 315,903.71	$ 0.00	($ 12,731.00)	$ 0.00 $ 76.90	$ 57,012.63	$ 0.00 ST $ 13,349.42 LT
Total			$ 336,835.20 $ 336,835.20	$ 315,903.71 $ 315,903.71	$ 0.00	($ 12,731.00)	$ 0.00 $ 76.90	$ 57,012.63	$ 0.00 ST $ 13,349.42 LT

Year to Date Summary		
Beginning total net value as of 12/31/06		$ 301,185.00
Net security deposits/withdrawals (year to date)		0.00
Net cash deposits/withdrawals (year to date)		(12,731.00)
Beginning value net of deposits/withdrawals		$ 288,454.00
Ending total net value	11/30/07	$ 315,903.71
Year to date total return		27,449.71

Current Total Asset Allocation Summary



Total Value Comparison



[redacted]

[redacted]

WORKING WEALTH
AT SMITH BARNEY

[redacted]ent
[redacted]nt
November 1 - November 30, 2007

[redacted]

[redacted]
JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

Account number [redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
[redacted]

Reserved Client Service Center: [redacted]
Branch Phone: [redacted]

Citigroup Global Markets Inc., member all principal exchanges, and the Securities Investor Protection Corporation (SIPC). Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. Citi and Citi with Arc Design are trademarks and service marks of Citigroup Inc. and its affiliates, and are used and registered throughout the world. Working Wealth℠ is a service mark of Citigroup Global Markets Inc.

Account value

	Last period	This period	%
Bank Deposit Program℠-principal	$ 8,233.15	$ 2,700.31	.85
Common stocks & options	328,602.05	313,203.40	99.15
Total value	$ 336,835.20	$ 315,903.71	100.00

Fair Market Value 12/31/06 $ 301,185.00

Earnings summary

	This period	This year
Other dividends	$ 60.00	$ 4,526.74
Bank Deposit Program℠	16.90	260.67
Total	$ 76.90	$ 4,787.41

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 1,813.45	$ 13,349.42 LT $ 0.00 ST
Unrealized gain or (loss) to date	57,012.63	

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 8,233.15	
Securities bought and other subtractions	0.00	
Securities sold and other additions	7,121.26	
Withdrawals	(12,731.00)	(12,731.00)
Dividends credited	60.00	
Bank Deposit Program℠ interest reinvested	16.90	
Closing balance	$ 2,700.31	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 336,835.20	$ 301,185.00
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	(12,731.00)	(12,731.00)
Beginning value net of deposits/withdrawals	324,104.20	288,454.00
Total value as of 11/30/2007 (excl. accr. int.)	$ 315,903.71	$ 315,903.71
Total return	($ 8,200.49)	$ 27,449.71

2574

JAMES MCDERMOTT

Account number [redacted]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since Inception*
Distributions			
Amounts paid	$ 12,731.00	$ 0.00	
Total distributions	**$ 12,731.00**	**$ 0.00**	**$ 12,731.00**

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
[redacted]	[redacted]

Required Minimum Distribution (RMD) Calculation

Our records indicate that you will be 70-1/2 or older this year. Generally, Required Minimum Distributions (RMD) must be withdrawn each year by December 31. Smith Barney has calculated your 2007 required minimum distribution using this account's beneficiary designation and December 31, 2006 Fair Market Value. In limited situations, it will be necessary to perform a manual adjustment to the year-end value by the amount of any transfer, rollover or recharacterization that did not settle by December 31, 2006. Failure to do so may result in an insufficient RMD subject to penalty taxes and interest. Please contact your Financial Advisor if you require assistance in recalculating the RMD. **Note:** *You must withdraw your required distribution before initiating a Roth conversion since the conversion of Traditional IRA assets to a Roth IRA is not considered a required distribution.*

Distribution Period: 33.3 Calculation Method: Joint Recalculation	2007 Required Minimum Distribution	$ 9,044.59

2575

[redacted]

[redacted]

JAMES MCDERMOTT

Account number [redacted]

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to us. In most cases, these values are as of 11/30/07, but in some cases our sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor*

Bank Deposit Program℠

The Federal Deposit Insurance Corporation (FDIC) provides insurance on deposits up to $100,000 per bank for most non-retirement accounts. The FDIC provides retirement accounts with coverage up to $250,000 per bank. However, the Smith Barney Bank Deposit Program (BDP) applies certain limits to the maximum deposit amount permitted in each bank which in most instances is below the maximum FDIC insurance limits for each type of account. In those instances where an account type exceeds the maximum FDIC insurance limits, those deposits will be uninsured. For complete details please refer to the "Bank Deposit Program Disclosure Statement."

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
2,700.31	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 2,700.31		2.92 %	$ 78.84
Total Bank Deposit Program		$ 2,700.31	$ 0.00	2.92 %	$ 78.84

Common stocks & options

Citi Investment Research and Independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citi Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citi Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citi Investment Research ratings. Independent, third-party research ratings have been normalized by such third-party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citi Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC **Rating:** Citigroup : 2H Argus : 2 Morningstar : 2 S&P : 1	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 55.25	$ 2,210.00	($ 505.00)*LT		

[redacted]

[redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
80	APPLIED MATERIALS INC DELAWARE **Rating:** Citigroup : 1M Argus : 2 Morningstar : 2 S&P : 2	AMAT	11/15/01	$ 1,561.60	$ 19.52	$ 18.83	$ 1,506.40	($ 55.20)*LT	1.274%	$ 19.20
1,000	ARCHER-DANIELS-MIDLAND CO **Rating:** Citigroup : 1H Morningstar : 2 Buckingham : 1 S&P : 1	ADM	09/21/05	22,775.63	22.31	36.35	36,350.00	13,574.37 LT	1.265	460.00
470	CISCO SYS INC **Rating:** Citigroup : 2H Argus : 1 Morningstar : 1 S&P : 2	CSCO		Please provide		28.02	13,169.40	Not available		
450	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	19.466	20.54	9,243.00	289.04* LT		
1,000	CONAGRA FOODS INC **Rating:** Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.58	25.02	25,020.00	2,972.17 LT	3.037	760.00
500	E I DU PONT DE NEMOURS & CO **Rating:** Citigroup : 2M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	20,685.62	40.62	46.15	23,075.00	2,389.38 LT	3.553	820.00
800	GENERAL ELECTRIC CO **Rating:** Citigroup : 1L Argus : 1 Morningstar : 2 S&P : 1	GE	02/22/07	28,814.26	35.378	38.29	30,632.00	1,817.74 ST	2.925	896.00
25	HOME DEPOT INC	HD		Please provide		28.56	714.00	Not available		
460	**Rating:** Citigroup : 2M		10/25/02	14,288.86	30.41	28.56	13,137.60	(1,151.26)*LT		
485	Argus : 1 Morningstar : 1 S&P : 1			14,288.86	31.063		13,851.60	(1,151.26)**	3.151	436.50
200	INTEL CORP	INTC		Please provide		26.08	5,216.00	Not available		
700	**Rating:** Citigroup : 1M		04/04/06	13,865.50	19.35	26.08	18,256.00	4,390.50 LT		
900	Argus : 1 Morningstar : 2 S&P : 1			13,865.50	19.808		23,472.00	4,390.50**	1.726	405.00

2577

JAMES MCDERMOTT Account number [redacted]

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
400	MERCK & CO INC Rating: Citigroup : 1M Argus : 2 Morningstar : 2 S&P : 1	MRK	10/25/02	$ 20,252.98	$ 49.75	$ 59.36	$ 23,744.00	$ 3,491.02* LT	2.56%	$ 608.00
300	MICROSOFT CORP Rating: Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 1	MSFT		Please provide		33.60	10,080.00	Not available	1.309	132.00
800	PEABODY ENERGY CORP	BTU	08/05/04	10,297.24	12.871	55.64	44,512.00	34,214.76* LT		
200	Rating: Citigroup : 2H		09/13/06	7,173.57	35.867	55.64	11,128.00	3,954.43 LT		
1,000	Morningstar : 2 S&P : 1			17,470.81	17.471		55,640.00	38,169.19	.431	240.00
1,000	PFIZER INC Rating: Citigroup : 2M Argus : 2 Morningstar : 1 S&P : 2	PFE	09/13/06	28,709.22	28.18	23.76	23,760.00	(4,949.22) LT	4.882	1,160.00
1,000	SPRINT NEXTEL CORP Rating: Citigroup : 2H Argus : 2 Morningstar : 1 S&P : 2	S	02/22/07	19,576.88	19.15	15.52	15,520.00	(4,056.88) ST	.644	100.00
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P* : 2	TQNT	10/25/02	5,293.22	5.09	5.93	5,930.00	636.78* LT		
Total common stocks and options				$ 227,011.37			$ 313,203.40	($ 2,239.14)** ST	1.82	
								$ 59,251.77** LT		$ 6,036.70
Total portfolio value				$ 229,213.88			$ 315,903.71	($ 2,239.14)** ST	1.80	
								$ 59,251.77** LT		$ 6,115.54

**Unrealized Gain/Loss is only calculated when an original cost basis is available

*Based on information supplied by client or other financial institution, not verified by us

2578

JAMES MCDERMOTT

Account number [redacted]

TRANSACTION DETAILS

All transactions appearing are based on trade-date.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
11/01/07	Spinoff	PATRIOT COAL CORP SPINOFF ON 1000 SHS	100		$ 0.00
11/19/07	Sold	E I DU PONT DE NEMOURS & CO	-100	44.81	4,365.43
11/19/07	Sold	PATRIOT COAL CORP	-100	28.49	2,755.83
Total securities bought and other subtractions					$ 0.00
Total securities sold and other additions					$ 7,121.26

Withdrawals

Date	Description	Reference no	Amount
11/19/07	CHECK 0443141829 BY SEATTLE, WA TO GLOBAL PARTNERS NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		500.00
11/19/07	CHECK 0443141830 BY SEATTLE, WA TO GLOBAL STRATEGIES NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		1,000.00
11/19/07	CHECK 0443141831 BY SEATTLE, WA TO HEAL AFRICA NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		1,000.00
11/19/07	CHECK 0443141835 BY SEATTLE, WA TO LWV - EDUCATION FUND (TRY) NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		1,000.00
11/19/07	CHECK 0443141836 BY SEATTLE, WA TO NORTHWEST WOMEN'S LAW CENTE NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		500.00
11/19/07	CHECK 0443141832 BY SEATTLE, WA TO PUGET SOUND RESTORATION FUN NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		500.00

Date	Description	Reference no	Amount
11/28/07	CHECK 0443141931 BY SEATTLE, WA TO CHILDREN'S ALLIANCE NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		250.00
11/28/07	CHECK 0443141932 BY SEATTLE, WA TO FAITH AND POLITICS NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		1,500.00
11/28/07	CHECK 0443141933 BY SEATTLE, WA TO GAMBIA HELP NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		231.00
11/28/07	CHECK 0443141934 BY SEATTLE, WA TO KUOW PLEDGE NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		250.00
11/28/07	CHECK 0443141935 BY SEATTLE, WA TO LIFELONG AIDS ALLIANCE NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		500.00
11/28/07	CHECK 0443141936 BY SEATTLE, WA TO PARTNERS IN HEALTH NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		1,000.00

Withdrawals

continued

Date	Description	Reference no	Amount
11/19/07	CHECK 0443141833 BY SEATTLE, WA TO ROSEHEDGE NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		500.00
11/28/07	CHECK 0443141929 BY SEATTLE, WA TO ACI BAOBAB NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		1,000.00
11/28/07	CHECK 0443141930 BY SEATTLE, WA TO AMERICANS FOR DEMOCRATIC NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		1,000.00
11/28/07	CHECK 0443141937 BY SEATTLE, WA TO PHYSICIANS FOR SOCIAL NORMAL DISTRIBUTION CHARITABLE CONTRIBUTIONS		500.00
11/28/07	CHECK 0443141938 BY SEATTLE, WA TO SEATTLE ART MUSEUM NORMAL DISTRIBUTION CHARITABLE CONTRIBUTION		1,500.00
	Total withdrawals		$ 12,731.00

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

Opening balance $ 8,233.15

Date	Activity	Description	Amount
11/19/07	Withdrawal	BANK DEPOSIT PROGRAM	-5,000.00
11/26/07	Autodeposit	BANK DEPOSIT PROGRAM	7,181.26
11/28/07	Withdrawal	BANK DEPOSIT PROGRAM	-7,731.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	16.90
		Closing balance	$ 2,700.31

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
11/23/07	PEABODY ENERGY CORP	CASH DIV ON 1000.0000 SHS X/D 11/05/07	$ 60.00		$ 60.00
Total other dividends earned			$ 60.00	$ 0.00	$ 60.00

2580

[redacted]

AT SMITH BARNEY

Smith [redacted]
Individual Retirement Account
November 1 - November 30, 2007

JAMES MCDERMOTT

Account number [redacted]

Bank Deposit Program℠ Interest

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
11/30/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 11/01/07-12/02/07 32 DAYS AVERAGE YIELD 2.92 %	$ 16.90		$ 16.90
Total Bank Deposit Program interest earned			$ 16.90	$ 0.00	$ 16.90

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first in-first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
E I DU PONT DE NEMOURS & CO	09/13/06	11/19/07 Sold	100	$ 40.62	$ 44.81	$ 4,137.12	$ 4,365.43	$ 228.31 LT
PATRIOT COAL CORP	08/05/04	11/19/07 Sold	80	8.625	28.49	690.00	2,204.88	1,514.88 * LT
	09/13/06	11/19/07 Sold	20	24.034	28.49	480.89	551.17	70.48 LT
	Total		100			$ 1,170.89	$ 2,756.05	$ 1,585.16
Total Long Term this period								$ 1,813.46
Total realized gain or (loss) - this period						$ 5,307.81	$ 7,121.26	$ 1,813.45
Total Long Term - year-to-date								$ 13,349.42
Total Short Term - year-to-date								$ 0.00
Total realized gain or (loss) - year-to-date						$ 26,787.72	$ 40,137.14	$ 13,349.42

Based on information supplied by client or other financial institution, not verified by us.

2581

[redacted]

WORKING WEALTH
AT SMITH BARNEY

Smith B[redacted] Consolidation Summary
December 1 - December 31, 2007

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

[redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
[redacted]

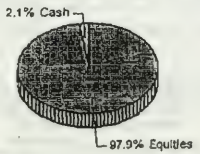
We have enclosed statements for the following accounts in your consolidated household. "Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless otherwise indicated, values shown are for "This Period." Accrued interest and dividends, earned but not paid, are excluded from the Adjusted Net Value.

Summary

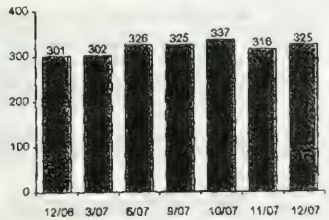
Account Number	Abbreviated Name	Account Type	Total Value Prior Month/ Adj.Net Value	Total Value This Period/ Adj.Net Value	Net Securities Deposited/ Withdrawn	Net Capital Deposits/ Withdrawals	Total Income Taxable/ Non-Taxable	Unrealized Gain or (Loss)	Adjusted YTD Realized Gain or (Loss)
443-6C247	JAMES MCDERMOTT CGM IRA CUSTODIAN	IRA	$ 315,903.71 $ 315,903.71	$ 324,873.62 $ 324,873.62	$ 0.00	$ 0.00	$ 0.00 $ 1,125.21	$ 22,121.06	$ 0.00 ST $ 55,857.16 LT
Total			$ 315,903.71 $ 315,903.71	$ 324,873.62 $ 324,873.62	$ 0.00	$ 0.00	$ 0.00 $ 1,125.21	$ 22,121.06	$ 0.00 ST $ 55,857.16 LT

Year to Date Summary		
Beginning total net value as of 12/31/06		$ 301,185.00
Net security deposits/withdrawals (year to date)		0.00
Net cash deposits/withdrawals (year to date)		(12,731.00)
Beginning value net of deposits/withdrawals		$ 288,454.00
Ending total net value	12/31/07	$ 324,873.62
Year to date total return		36,419.62

Current Total Asset Allocation Summary



Total Value Comparison



Units in multiples of 1 thousand.

[redacted]

[redacted]

[redacted]

WORKING WEALTH. AT SMITH BARNEY

[redacted] ient

Individual Retirement Account

December 1 - December 31, 2007

[redacted]

JAMES MCDERMOTT
CGM IRA CUSTODIAN
[redacted]

Your Broker/Dealer is
CITIGROUP GLOBAL MKTS INC.
Your Financial Advisor
[redacted]

Account number [redacted]

Reserved Client Service Center [redacted]
Branch Phone [redacted]

Citigroup Global Markets Inc., member all principal exchanges, and the Securities Investor Protection Corporation (SIPC). Smith Barney is a division and service mark of Citigroup Global Markets Inc. and its affiliates and is used and registered throughout the world. Citi and Citi with Arc Design are trademarks and service marks of Citigroup Inc. and its affiliates, and are used and registered throughout the world. Working Wealth℠ is a service mark of Citigroup Global Markets Inc.

Account value

	Last period	This period	%
Bank Deposit Program℠-principal	$ 2,700.31	$ 6,960.89	2.14
Common stocks & options	313,203.40	317,912.73	97.86
Total value	$ 315,903.71	$ 324,873.62	100.00

Fair Market Value 12/31/07 $ 324,873.62

Earnings summary

	This period	This year
Other dividends	$ 1,114.18	$ 5,640.92
Bank Deposit Program℠	11.03	271.70
Total	$ 1,125.21	$ 5,912.62

Gain/loss summary

		This period	This year
Realized gain or (loss)		$ 42,507.74	$ 55,857.16 LT
			$ 0.00 ST
Unrealized gain or (loss) to date	22,121.06		

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 2,700.31	
Securities bought and other subtractions	(56,843.18)	
Securities sold and other additions	59,978.55	
Withdrawals	0.00	(12,731.00)
Dividends credited	1,114.18	
Bank Deposit Program℠ interest reinvested	11.03	
Closing balance	$ 6,960.89	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 315,903.71	$ 301,165.00
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	(12,731.00)
Beginning value net of deposits/withdrawals	315,903.71	288,434.00
Total value as of 12/31/2007 (excl. accr. int.)	$ 324,873.62	$ 324,873.62
Total return	$ 8,969.91	$ 36,439.62

2583

WORKING WEALTH
AT SMITH BARNEY

Smith Barney Preferred Client
Individual Retirement Account
December 1 - December 31, 2007

[redacted]

JAMES MCDERMOTT

Account number [redacted]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2007	2006	Since Inception*
Distributions			
Amounts paid	$ 12,731.00	$ 0.00	
Total distributions	$ 12,731.00	$ 0.00	$ 12,731.00

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
[redacted]	[redacted]

Required Minimum Distribution (RMD) Calculation

Our records indicate that you will be 70-1/2 or older this year. Generally, Required Minimum Distributions (RMD) must be withdrawn each year by December 31. Smith Barney has calculated your 2007 required minimum distribution using this account's beneficiary designation and December 31, 2006 Fair Market Value. In limited situations, it will be necessary to perform a manual adjustment to the year-end value by the amount of any transfer, rollover or recharacterization that did not settle by December 31, 2006. Failure to do so may result in an insufficient RMD subject to penalty taxes and interest. Please contact your Financial Advisor if you require assistance in recalculating the RMD. **Note:** *You must withdraw your required distribution before initiating a Roth conversion since the conversion of Traditional IRA assets to a Roth IRA is not considered a required distribution.*

Distribution Period: 33.3 Calculation Method: Joint Recalculation	2007 Required Minimum Distribution	$ 9,044.59

2584

JAMES MCDERMOTT

Account number [redacted]

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to us. In most cases, these values are as of 12/31/07, but in some cases our sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor*

Bank Deposit Program℠

The Federal Deposit Insurance Corporation (FDIC) provides insurance on deposits up to $100,000 per bank for most non-retirement accounts. The FDIC provides retirement accounts with coverage up to $250,000 per bank. However, the Smith Barney Bank Deposit Program (BDP) applies certain limits to the maximum deposit amount permitted in each bank which in most instances is below the maximum FDIC insurance limits for each type of account. In those instances where an account type exceeds the maximum FDIC insurance limits, those deposits will be uninsured. For complete details please refer to the "Bank Deposit Program Disclosure Statement."

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
6,960.89	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 6,960.89		2.84%	$ 197.83
Total Bank Deposit Program		$ 6,960.89	$ 0.00	2.84%	$ 197.83

Common stocks & options

Citi Investment Research and Independent, third-party research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Citi Investment Research stock recommendations include an investment rating and risk rating. The Investment Rating Code (1, 2 or 3) is a function of Citi Investment Research's expectation of total return (forecast price appreciation and dividend yield within the next 12 months) and a Risk Rating. The Risk Rating (L, M, H or S) represents the stock's expected risk, taking into account price volatility and fundamental criteria. Please refer to the end of this statement for a guide describing Citi Investment Research ratings. Independent, third-party research ratings have been normalized by such third party providers to a 1 (Buy), 2 (Hold), and 3 (Sell). Citi Investment Research is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the independent, third-party research. Except as noted by an asterisk (*), each independent research provider was selected by an Independent Consultant as required under the Global Research Analyst Settlement.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,000	AMERICAN INTL GROUP INC Rating: Citigroup : 2H Argus : 2 Morningstar : 1 S&P : 1	AIG	12/14/07	$ 56,843.18	$ 56.13	$ 58.30	$ 58,300.00	$ 1,468.82 ST	1.372%	$ 800.00

2585

JAMES MCDERMOTT

Account number [redacted]

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	AMGEN INC Rating: Citigroup : 2H Argus : 2 Morningstar : 1 S&P : 1	AMGN	08/21/00	$ 2,715.00	$ 67.87	$ 46.44	$ 1,857.60	($ 857.40)* LT		
80	APPLIED MATERIALS INC DELAWARE Rating: Citigroup : 1M Argus : 2 Morningstar : 1 S&P : 1	AMAT	11/15/01	1,561.80	19.52	17.76	1,420.80	(140.80)* LT	1.351	19.20
1,000	ARCHER-DANIELS-MIDLAND CO Rating: Citigroup : 1H Morningstar : 3 Buckingham : 1 S&P : 1	ADM	09/21/05	22,775.63	22.31	46.43	46,430.00	23,654.37 LT	.99	460.00
470	CISCO SYS INC Rating: Citigroup : 2H Argus : 1 Morningstar : 1 S&P : 2	CSCO		Please provide		27.069	12,722.43	Not available		
460	COMCAST CORP CL A	CMCSA	03/12/04	8,953.96	19.466	18.26	8,217.00	(736.96)* LT		
1,000	CONAGRA FOODS INC Rating: Citigroup : 1M Morningstar : 2 S&P : 2	CAG	04/04/06	22,047.83	21.59	23.79	23,790.00	1,742.17 LT	3.184	760.00
500	E I DU PONT DE NEMOURS & CO Rating: Citigroup : 2M Argus : 1 Morningstar : 2 Buckingham : 2 S&P : 2	DD	09/13/06	20,685.62	40.62	44.09	22,045.00	1,359.38 LT	3.719	820.00
800	GENERAL ELECTRIC CO Rating: Citigroup : 1L Argus : 1 Morningstar : 2 S&P : 1	GE	02/22/07	28,814.26	35.378	37.07	29,658.00	841.74 ST	3.345	992.00
25	HOME DEPOT INC	HD		Please provide		26.94	673.50	Not available		
460	Rating: Citigroup : 2M		10/25/02	14,288.86	30.41	26.94	12,392.40	(1,896.46)* LT		
485	Argus : 1 Morningstar : 1 S&P : [illegible]			14,288.86	31.063		13,065.90	(1,896.46)**	3.34	436.50

2586

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
200	INTEL CORP	INTC		Please provide		$ 26.66	$ 5,332.00	Not available		
700	Rating: Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 1		04/04/06	13,865.50	19.35	26.66	18,662.00	4,796.50 LT		
900				13,865.50	19.808		23,994.00	4,796.50**	1.687	405.00
400	MERCK & CO INC Rating: Citigroup : 1M Argus : 2 Morningstar : 2 S&P : 1	MRK	10/25/02	20,252.98	49.75	58.11	23,244.00	2,991.02* LT	2.615	608.00
300	MICROSOFT CORP Rating: Citigroup : 1M Argus : 1 Morningstar : 2 S&P : 1	MSFT		Please provide		35.60	10,680.00	Not available	1.235	132.00
1,000	PFIZER INC Rating: Citigroup : 2M Argus : 2 Morningstar : 1 S&P : 2	PFE	09/13/06	28,709.22	28.18	22.73	22,730.00	(5,979.22) LT	5.631	1,280.00
1,000	SPRINT NEXTEL CORP Rating: Citigroup : 2H Argus : 2 Morningstar : 1 S&P : 2	S	02/22/07	19,576.88	19.15	13.13	13,130.00	(6,446.88) ST	.761	100.00
1,000	TRIQUINT SEMICONDUCTOR INC Rating: S&P : 2	TQNT	10/25/02	5,293.22	5.09	6.63	6,630.00	1,336.78* LT		
Total common stocks and options				$ 268,983.74			$ 317,912.73	($ 4,148.32) ST	2.14	
								$ 26,269.99 LT		$ 6,812.70
Total portfolio value				$ 273,344.63			$ 324,873.62	($ 4,148.32) ST	2.15	
								$ 26,269.99 LT		$ 7,010.05

*Based on information supplied by client or other financial institution, not verified by us

**Unrealized Gain/Loss is only calculated when an original cost basis is available.

2587

WORKING WEALTH
AT SMITH BARNEY

Smith Barney Reserved Client
Individual Retirement Account
December 1 - December 31, 2007

JAMES MCDERMOTT Account number [redacted]

TRANSACTION DETAILS
All transactions appearing are based on trade date.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
12/14/07	Bought	AMERICAN INTL GROUP INC	1,000	$ 56.13	$ -58,843.18
12/14/07	Sold	PEABODY ENERGY CORP	-1,000	60.722	59,978.55
Total securities bought and other subtractions					$ -58,843.18
Total securities sold and other additions					$ 59,978.55

Bank Deposit Program℠ activity

The term DEPOSIT typically refers to client initiated deposit of funds. The term AUTODEPOSIT typically refers to the "sweep" of funds into a Program Bank that results from proceeds of a transaction, a dividend from a stock or interest from a bond. Conversely, WITHDRAWAL is the term that refers to transactions that cause funds to be redeemed from your Program Bank, such as the payment for an investment purchase or the payment of FMA checks that you have written.

		Opening balance	$ 2,700.31
Date	Activity	Description	Amount
12/04/07	Autodeposit	BANK DEPOSIT PROGRAM	291.25
12/05/07	Autodeposit	BANK DEPOSIT PROGRAM	290.00
12/07/07	Autodeposit	BANK DEPOSIT PROGRAM	119.80
12/14/07	Autodeposit	BANK DEPOSIT PROGRAM	142.13
12/17/07	Autodeposit	BANK DEPOSIT PROGRAM	248.00
12/20/07	Autodeposit	BANK DEPOSIT PROGRAM	3,135.37
12/31/07	Autodeposit	BANK DEPOSIT PROGRAM	25.00
		BANK DEPOSIT PROGRAM INTEREST CREDITED (SEE DETAILS UNDER EARNINGS DETAILS)	11.03
		Closing balance	$ 6,980.89

EARNINGS DETAILS
The tax status of earnings as reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities not of your account.

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
12/03/07	CONAGRA FOODS INC	CASH DIV ON 1000.0000 SHS X/D 10/31/07	$ 190.00		$ 190.00
12/03/07	INTEL CORP	CASH DIV ON 900.0000 SHS X/D 11/05/07	101.25		101.25
12/04/07	PFIZER INC	CASH DIV ON 1000.0000 SHS X/D 11/07/07	290.00		290.00
12/06/07	APPLIED MATERIALS INC DELAWARE	CASH DIV ON 80.0000 SHS X/D 11/13/07	4.80		4.80
12/06/07	ARCHER-DANIELS-MIDLAND CO	CASH DIV ON 1000.0000 SHS X/D 11/20/07	115.00		115.00
12/13/07	HOME DEPOT INC	CASH DIV ON 485.0000 SHS X/D 11/27/07	109.13		109.13
12/13/07	MICROSOFT CORP	CASH DIV ON 300.0000 SHS X/D 11/13/07	33.00		33.00

2588

Other dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
12/14/07	E I DU PONT DE NEMOURS & CO	CASH DIV ON 600.0000 SHS X/D 11/13/07	$ 246.00		$ 246.00
12/28/07	SPRINT NEXTEL CORP	CASH DIV ON 1000.0000 SHS X/D 12/05/07	25.00		25.00
Total other dividends earned			$ 1,114.18	$ 0.00	$ 1,114.18

Bank Deposit Program℠ Interest

This section contains interest credited to your account. Accrued interest is not included. See Portfolio details section for accrued interest information.

Date	Description	Comment	Taxable	Non-taxable	Amount
12/31/07	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	REINVESTED FOR PERIOD 12/03/07-01/01/08 30 DAYS AVERAGE YIELD 2.84 %	$ 11.03		$ 11.03
Total Bank Deposit Program interest earned			$ 11.03	$ 0.00	$ 11.03

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first-in-first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
PEABODY ENERGY CORP	08/05/04	12/14/07 Sold	800	$ 12.871	$ 60.722	$ 10,297.24	$ 47,982.84	$ 37,685.60* LT
	08/13/06	12/14/07 Sold	200	35.867	60.722	7,173.57	11,995.71	4,822.14 LT
	Total		1,000			$ 17,470.81	$ 59,978.55	$ 42,507.74
Total Long Term this period								$ 42,507.74
Total realized gain or (loss) - this period						$ 17,470.81	$ 59,978.55	$ 42,507.74
Total Long Term - year-to-date								$ 55,857.16
Total Short Term - year-to-date								$ 0.00
Total realized gain or (loss) - year-to-date						$ 44,238.93	$ 100,095.69	$ 55,857.16

*Based on information supplied by client or other financial institution, not verified by us.

2589

TC & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2000

Individual Retirement Account Statement

[redacted]

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
[redacted]

Account Number: [redacted]
Statement Period: 01/01/2007 - 01/31/2007

Valuation at a Glance

	This Period
Beginning Account Value	$109,621.72
Dividends/Interest	456.03
Change in Account Value	-2,419.50
Ending Account Value	$107,658.25
Estimated Annual Income	$20.95

Your Investment Executive:
[redacted]

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	5,964.65	5,964.65	1,097.04	1%
Fixed Income	103,657.07	103,657.07	106,561.21	99%
Account Total	$109,621.72	$109,621.72	$107,658.25	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

[1] Includes FDIC insured bank deposits.

[redacted]

2590

DALLAS RAISED FOR COMMUNITATION
A0063937C5E191D7
Clearing Through Pershing
FAR-02-ROLL
One Pershing Plaza, Jersey City, New Jersey 07399

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	17.93	17.93	-64.78
Long-Term Gain/Loss	6.25	6.25	-7,445.86
Net Gain/Loss	**24.18**	**24.18**	**-7,510.64**

This summary excludes transactions where cost basis information is not available

Customer Service Information

Your Investment Executive: B80
[redacted]

Contact Information
Telephone Number: [redacted]
Fax Number: [redacted]

Customer Service Information
Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $109,621.72 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	-45.11	-45.11
Total Accrued Interest Paid	**-$45.11**	**-$45.11**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	435.08	435.08
FDIC Insured Bank Deposits	20.95	20.95
Total Dividends, Interest and Expenses	**$456.03**	**$456.03**
Distributions		
Other Distributions	1,616.47	1,616.47
Total Distributions	**$1,616.47**	**$1,616.47**

[redacted]

2591

Statement Period: 01/01/2007 - 01/31/2007

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 1.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
1,097.040	12/30/06	AN8802394	01/31/07	5,964.65	1,097.04	12.79	20.95	N/A	4.63%
Total FDIC Insured Bank Deposits				$5,964.65	$1,097.04	$12.79	$20.95		
Total Cash and Cash Equivalents				$5,964.65	$1,097.04	$12.79	$20.95		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 99.00% of Portfolio *(In CUSIP Sequence)*									
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.89182720 4.464% 11/25/35 B/E DTD 06/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000	09/15/05	100.0000	44,591.36	89.0930	39,727.78	-4,863.58	165.90		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.50655922 2.519% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier:* 172973T90									
20,000.000	04/28/05	84.9240	8,603.80	80.0650	8,111.53	-492.27	21.27		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.10929164 DTD 10/15/03 *Security Identifier:* 31394K4U5									

DALBAR RATED
Account Number:
Clearing Through Pershing A BNY Securities Group Co. Solutions from The Bank of New York
One Pershing Plaza, Jersey City, New Jersey 07399

2592

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" *(continued)*									
25,000.000	11/16/04	59.9990	2,732.29	97.0550	2,651.83	-80.46	22.77		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-95 CL-95-WT INV FLTR Factor: 0.76596275									
7.000% 11/25/35 B/E DTD 10/01/05									
Security Identifier: 31394JER9									
10,000.000	04/18/06	96.9640	7,427.11	95.2560	7,296.25	-130.86	44.68		
Origina Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E Factor: 0.72164171									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	14,035.95	90.0490	12,996.62	-1,039.33	52.04		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 3.183% 03/15/35 B/E Factor: 0.47590181									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	7,138.54	90.4850	6,459.30	-679.24	18.93		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.38337494									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	3,795.41	91.4100	3,504.43	-290.98	36.20		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 3.410% 05/15/33 B/E Factor: 0.50968346									
DTD 11/15/05 CLB									
Security Identifier: 31396EF4									
10,000.000	01/31/06	96.7500	4,931.19	98.1710	5,003.61	72.42	14.48		
Original Cost Basis: $9,182.05									

2593

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" Factor: 0.93982794 8.000% 06/25/36 B/E DTD 10/25/06 *Security Identifier:* 31396LSC5									
15,000.000	10/13/06	98.7500	13,921.20	98.7050	13,914.86	-6.34	93.99		
Original Cost Basis: $14,812.50									
FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 0.000% 08/15/35 B/E Factor: 1.00000000 DTD 01/01/07 CLB *Security Identifier:* 31397EUE3									
7,000.000	01/19/07	98.5000	6,895.00	98.5000	6,895.00	0.00	0.00		
Original Cost Basis: $6,895.00									
Total Asset Backed Securities			$114,071.85		$106,561.21	-$7,510.64	$470.25	$0.00	
Total Fixed Income			$114,071.85		$106,561.21	-$7,510.64	$470.25	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$115,168.89	$107,658.25	-$7,510.64	$470.25	$20.95

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Portf lio Holdings *(continued)*

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Securities Bought and Sold							
01/30/07	01/19/07	PURCHASED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 0.000% 08/15/35 REG DTD 01/01/07 CLB VARIABLE RATE FACTOR 3.00000000 REM BAL 7000.00	7,000.000	98.5000	-45.11	-6,940.11
Total Securities Bought and Sold							**-$6,940.11**
Dividends and Interest							
01/16/07		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 12/29 PD 01/15/07				15.18
01/16/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.042% 03/15/35 B/E DTD 03/15/05 RD 12/29 PD 01/15/07				18.77
01/16/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 12/29 PD 01/15/07				7.88

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
01/16/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.300% 05/15/33 B/E DTD 11/15/05 CLB RD 12/29 PD 01/15/07				14.84
01/17/07	01/16/07	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				20.95
01/25/07		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.414% 11/25/35 B/E DTD 09/01/05 RD 12/29 PD 01/25/07				165.23
01/25/07		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.408% 04/25/35 B/E DTD 04/01/05 CLB RD 12/29 PD 01/25/07				20.35
01/25/07		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 12/29 PD 01/25/07				45.57
01/25/07		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.216% 12/25/34 B/E DTD 10/25/05 RD 12/29 PD 01/25/07				51.35
01/25/07		BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 12/29 PD 01/25/07				95.91
Total Dividends and Interest							**$456.03**
Distributions							
01/16/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.042% 03/15/35 B/E DTD 03/15/05 RD 12/29 PD 01/15/07				266.09
01/16/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 12/29 PD 01/15/07				128.06

2596

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
01/16/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.500% 05/15/33 B/E DTD 11/15/05 CLB RD 12/29 PD 01/15/07				299.10
01/25/07		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.414% 11/25/35 B/E DTD 09/01/05 RD 12/29 PD 01/25/07				300.85
01/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 12/29 PD 01/25/07				152.11
01/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.216% 12/25/34 B/E DTD 10/25/05 RD 12/29 PD 01/25/07				181.59
01/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 12/29 PD 01/25/07				288.67
Total Distributions							**$1,616.47**
FDIC Insured Bank Deposits							
01/17/07	01/16/07	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-20.95
01/17/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-749.92
01/26/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,301.63
01/30/07		MONEY MARKET WITHDRAWAL	RESERVE INS DEPOSITS				6,940.11
Total FDIC Insured Bank Deposits							**$4,867.61**
Total Value of all Transactions							**$0.00**

The price and quantity displayed may have been rounded.

2597

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	106,561.21	100%
Total	**$106,561.21**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	47,839.31	45%
Not Rated	58,721.90	55%
Total	**$106,561.21**	**100%**

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

2598

[redacted]

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number ANB802394	Activity Ending: 01/31/07			
12/30/06	Opening Balance		5,964.65	5,964.65
01/15/07	Deposit	INTEREST CREDITED	20.95	5,985.60
01/16/07		APYE 4.63		5,985.60
01/16/07		SOVEREIGN BANK		5,985.60
01/16/07		A/O 01/16 $5,985.60		5,985.60
01/17/07	Deposit	DEPOSIT	749.92	6,735.52
01/26/07	Deposit	DEPOSIT	1,301.63	8,037.15
01/30/07	Withdrawal	WITHDRAWAL	-6,940.11	1,097.04
01/31/07	**Closing Balance**			**$1,097.04**
Total FDIC Insured Bank Deposits				**$1,097.04**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

Retirement Account Transactions

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	0.00	0.00	512.68
Total Contributions	**$0.00**	**$0.00**	**$0.00**	**$512.68**
Distributions				
Excess Contribution	0.00	0.00	0.00	-500.00
Excess Contribution Income	0.00	0.00	0.00	-12.68
Total Distributions	**$0.00**	**$0.00**	**$0.00**	**-$512.68**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISTING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE.

2599

STC & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2100

Individual Retirement Account Statement

* 00068093 02 AT 0.545 TR 00249 X101PD05 000000

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
[redacted]

Account Number: [redacted]
Statement Period: 02/01/2007 - 02/28/2007

Valuation at a Glance

	This Period
Beginning Account Value	$107,658.25
Dividends/Interest	496.50
Change in Account Value	3,856.16
Ending Account Value	$112,010.91
Estimated Annual Income	$35.86

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	5,964.65	1,097.04	3,827.37	3%
Fixed Income	103,657.07	106,561.21	108,183.54	97%
Account Total (Pie Chart)	**$109,621.72**	**$107,658.25**	**$112,010.91**	**100%**

[1] Includes FDIC insured bank deposits.

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.

GALBAR RAMS
NO COMMUNICATION

[redacted]

Clearing Through Pershing A BNY Securities Group Co. Subsidiary from The Bank of New York
One Pershing Plaza, Jersey City, New Jersey 07399
PAR-02-ROLL

2600

[redacted] [redacted]

Summary f Gains and Losses

	Realized		Unrealized
	This Period	Year-to Date	This Period
Short-Term Gain/Loss	0.00	17.93	24.76
Long-Term Gain/Loss	0.00	6.25	-5,913.07
Net Gain/Loss	**0.00**	**24.18**	**-5,888.31**

This summary excludes transactions where cost basis information is not available

Required Minimum Distribution Summary

	Calculated Amount
Prior Year-end Fair Market Value ("FMV"):	$109,621.72
Calculation Factor:	26.5
Participant DOB: 12/1936 Beneficiary DOB: 00/0000	
Beneficiary Relationship: NON-PERSON	
Amount Required to be Withdrawn for 2007:	$4,136.66
Amount Withdrawn Year to Date:	$0.00
The Remaining Amount You Are Required to Withdraw for 2007:	$4,136.66

Disclaimers and Other Important Information Regarding Your Required Minimum Distribution Summary

According to our records, you are required under federal tax law to take an annual minimum distribution from your retirement account. These required minimum distributions (RMDs) usually begin in the year you reach the age of 70 1/2. Failure to take the RMD when required may result in a 50% excise tax imposed on the amount you did not take.

You are [redacted] in cash or securities. For a distribution to be made, sufficient cash or securities must be available in your retirement account when we receive your distribution request and for subsequen[redacted]hanged your beneficiary after January 1 of this year and your new or prior beneficiary is your spouse who is more than ten years younger than you, you may need to recalculate the RMD a[redacted]ove.

If you have [redacted]dual retirement account (IRA), including SEP and SIMPLE IRAs, the RMD for these accounts can be aggregated and removed from a single traditional IRA. This exception does not apply to multiple qualified retirement plans (QRPs) such as profit sharing, money purchase pension, 401(k), and defined benefit plans. RMDs for QRPs must be removed from each QRP account.

NOTE: Any [redacted] account on December 31 of the prior year should be added to your year-end fair market value for the previous year to calculate your RMD for the current year. Also, when taking your RMD, please consider any scheduled distribution amounts you have established which may allow you to automatically meet all or part of your RMD. Please contact us if [redacted]structions.

Using the information on our files, your RMD was determined by dividing your fair market value by a calculation factor determined by the Internal Revenue Service (IRS). The factor is based on your age at the end of the year and the age and relationship of your beneficiary(ies). We Strongly suggest that you verify this calculation with your tax professional, as there may be other pertinent information that could impact your RMD amount. To withdraw your RMD, contact your investment professional for the appropriate withdrawal form.

[redacted]

2601

STO. &
YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

[redacted]

Individual Retirement Account Statement

Statement Period: 02/01/2007 - 02/28/2007

Customer Service Information

[redacted]

Contact Information
Telephone Number: [redacted]
Fax Number: [redacted]

Customer Service Information
Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $109,621.72 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-45.11
Total Accrued Interest Paid	**$0.00**	**-$45.11**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	481.59	916.67
FDIC Insured Bank Deposits	14.91	35.86
Total Dividends, Interest and Expenses	**$496.50**	**$952.53**
Distributions		
Other Distributions	2,233.83	3,850.30
Total Distributions	**$2,233.83**	**$3,850.30**

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 3.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
3,827.370	02/01/07	AN8802394	02/28/07	1,097.04	3,827.37	3.27	35.86	N/A	4.649%
Total FDIC Insured Bank Deposits				**$1,097.04**	**$3,827.37**	**$3.27**	**$35.86**		
Total Cash and Cash Equivalents				**$1,097.04**	**$3,827.37**	**$3.27**	**$35.86**		

[redacted]

DALBAR RATED FOR COMMUNICATION

Account Number: [redacted]
IRA FBO JAMES MCDERMOTT SR

Clearing Through Pershing [redacted]

Pershing LLC, a wholly owned subsidiary of The Bank of New York. Securities from The Bank of New York. One Pershing Plaza, Jersey City, New Jersey 07399. Member NASD, NYSE, SIPC. Independent of Pershing Investment LLC.

2602

P rtfoli H ldings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 97.00% of Portfolio *(in CUSIP Sequence)*									
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.86800560 4.464% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000	09/15/05	100.0000	44,591.36	92.2400	40,032.42	-4,558.94	145.32		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.50655922 2.519% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier:* 172973T90									
20,000.000	04/28/05	84.9240	8,603.80	87.7780	8,892.95	289.15	19.14		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER 2688 CL 2688 SE 10.000% 10/15/33 B/E Factor: 0.10929164 DTD 10/15/03 *Security Identifier:* 31394K4U5									
25,000.000	11/16/04	99.9990	2,732.29	99.0920	2,707.48	-24.81	20.49		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR Factor: 0.76059980 7.000% 11/25/35 B/E DTD 10/01/05 *Security Identifier:* 31394UER9									
[redacted]	04/18/06	96.9640	7,427.11	99.5700	7,573.29	146.18	39.93		
[redacted] 738.56									
[redacted] **MIC PASS THRU CTF REMIC** [redacted] 6% 12/25/34 B/E Factor: 0.70836451 DTD 10/25/05 *Security Identifier:* 31394UGN6									
20,000.000	11/25/05	97.2500	14,035.95	94.7420	13,422.37	-613.58	45.97		
Original Cost Basis: $18,310.65									

STC & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

[redacted]

Individual Retirement Account Statement

Statement Period: 02/01/2007 - 02/28/2007

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.1838% 08/15/35 B/E Factor: 0.47042780 DTD 03/15/05 *Security Identifier:* 31365PXU1									
15,000.000	09/27/05	100.0000	7,138.54	93.7630	6,616.31	-522.23	16.85		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.3304% 12/15/34 B/E Factor: 0.37248406 DTD 04/01/05 CLB *Security Identifier:* 31398R5P4									
10,000.000	04/25/05	99.0000	3,795.41	93.9000	3,497.63	-297.78	31.65		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.4304% 05/15/33 B/E Factor: 0.48868547 DTD 11/15/05 CLB *Security Identifier:* 31398EFF4									
10,000.000	01/31/06	96.7500	4,931.19	97.1240	4,746.31	-184.88	12.50		
Original Cost Basis: $9,182.05									
FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" Factor: 0.92032953 8.000% 06/25/36 B/E DTD 10/25/06 *Security Identifier:* 31396LSC5									
15,000.000	10/13/06	98.7500	13,921.20	100.0900	13,917.37	-103.83	82.83		
Original Cost Basis: $14,812.50									

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263-TM 8.000% 08/15/35 B/E Factor: 0.99569954 DTD 01/01/07 CLB									
Security Identifier: 31397EUE3									
7,000.000	01/19/07	98.5000	6,895.00	98.6730	6,877.41	-17.59	41.82		
Original Cost Basis: $6,895.00									
Total Asset Backed Securities			$114,071.85		$108,183.54	-$5,888.31	$456.50	$0.00	
Total Fixed Income			$114,071.85		$108,183.54	-$5,888.31	$456.50	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$117,899.22	$112,010.91	-$5,888.31	$456.50	$35.86

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided with the corresponding tax lot

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation Information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

2605

Portfolio Holdings *(continued)*

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
02/15/07		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 01/31 PD 02/15/07				15.83
02/15/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.180% 08/15/35 B/E DTD 03/15/05 RD 01/31 PD 02/15/07				18.93
02/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 01/31 PD 02/15/07				7.90
02/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 01/31 PD 02/15/07				14.48
02/15/07		BOND INTEREST RECEIVED	7000 FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RD 01/31 PD 02/15/07				46.67
02/20/07	02/16/07	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				14.91
02/25/07		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 01/31 PD 02/25/07				165.98

2606

Transacti ns by Type f Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
02/26/07		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 01/31 PD 02/25/07				44.68
02/26/07		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 01/31 PD 02/25/07				52.04
02/26/07		BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC TR 2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 01/31 PD 02/25/07				93.98
02/27/07		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13 INV FLTR 2.519% 04/25/35 B/E DTD 04/01/05 CLB RD 01/31 PD 02/25/07				21.10
Total Dividends and Interest							**$496.50**
Distributions							
02/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2950 CL-2950-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 01/31 PD 02/15/07				82.11
02/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 01/31 PD 02/15/07				108.91
02/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 01/31 PD 02/15/07				209.98
02/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RB 01/31 PD 02/15/07				30.10
02/26/07		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 01/31 PD 02/25/07				1,191.08
02/26/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 01/31 PD 02/25/07				53.63

2607

STC. & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2000

[redacted]

Individual Retirement Account Statement

Statement Period: 02/01/2007 - 02/28/2007

Transactions by Type of Activity *(continued)*

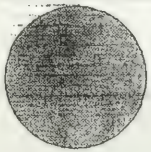
Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
02/26/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 01/31 PD 02/25/07				265.54
02/26/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 01/31 PD 02/25/07				292.48
Total Distributions							$2,233.83
FDIC Insured Bank Deposits							
02/16/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-534.91
02/20/07	02/16/07	MONEY MARKET INTEREST -REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-14.91
02/27/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-2,159.41
02/28/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-21.10
Total FDIC Insured Bank Deposits							-$2,730.33
Total Value of all Transactions							$0.00

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	108,183.54	100%
Total	**$108,183.54**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



Percentages of bond market values are rounded to the nearest whole percentage.

[redacted]

DALBAR RATED FOR COMMUNICATION

Account Number: [redacted]
IRA FBO JAMES MCDERMOTT SR

Clearing Through Pershing A BNY Securities Group Co Solutions from The Bank of New York
One Pershing Plaza, Jersey City, New Jersey 07399
Pershing LLC, member NASD, NYSE, SIPC. Independent of Pershing Investments LLC

P8R-02-R04

[redacted]

B nd Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	48,925.37	45%
Not Rated	59,258.17	55%
Total	$108,183.54	100%

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section

Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB802394	Activity Ending: 02/28/07			
02/01/07	Opening Balance		1,097.04	1,097.04
02/15/07	Deposit	INTEREST CREDITED	14.91	1,111.95
02/16/07	Deposit	DEPOSIT	534.91	1,646.86
02/16/07		APYE 4.63		1,646.86
02/16/07		SOVEREIGN BANK		1,646.86
02/16/07		A/O 02/16 $1,646.86.		1,646.86
02/27/07	Deposit	DEPOSIT	2,159.41	3,806.27
02/28/07	Deposit	DEPOSIT	21.10	3,827.37
02/28/07	**Closing Balance**			**$3,827.37**
Total FDIC Insured Bank Deposits				**$3,827.37**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are insured by the Federal Deposit Insurance Corporation (FDIC).

Retirement Account Transactions

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	0.00	0.00	512.68
Total Contributions	$0.00	$0.00	$0.00	$512.68

2609

STO & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2900

[redacted]

Individual Retirement Account Statement

Statement Period: 02/01/2007 - 02/28/2007

Retirement Account Transactions *(continued)*

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Distributions				
Excess Contribution	0.00	0.00	0.00	-500.00
Excess Contribution Income	0.00	0.00	0.00	-12.68
Total Distributions	**$0.00**	**$0.00**	**$0.00**	**-$512.68**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE.

IT IS NOT TOO LATE OR EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2006 AND 2007 TAX YEARS; THE MAXIMUM CONTRIBUTION LIMIT IS $4,000 PER YEAR. CONTRIBUTIONS FOR THE 2006 TAX YEAR MUST BE MADE BY THE APRIL 17, 2007 DEADLINE. INDIVIDUALS AGED 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $1,000 FOR THE 2006 AND 2007 TAX YEARS.

WHEN MAKING YOUR ANNUAL CONTRIBUTION, PLEASE INDICATE IN WRITING THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

[redacted]

2610

ST E &
YOUNGBERG
One Jerry Building
San Francisco, California 94133 (415) 435-2100

[redacted]

[redacted]

Individual Retirement Account Statement

* 00001457 03 MD 0.790 TR 00019 X220FF01 001000

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
[redacted]

Account Number: [redacted]
Statement Period: 03/01/2007 - 03/31/2007

Valuation at a Glance

	This Period
Beginning Account Value	$112,010.91
Dividends/Interest	492.16
Change in Account Value	-264.85
Ending Account Value	$112,238.22
Estimated Annual Income	$55.07

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Fixed Income	103,657.07	108,183.54	112,379.93	100%
Cash and Cash Equivalents[1]	5,964.65	3,827.37	-141.71	0%
Account Total	$109,621.72	$112,010.91	$112,238.22	100%

[1] Includes FDIC insured bank deposits

Your Account is 100% invested in Fixed Income

2611

DALBAR RATED FOR COMMUNICATION

B0001290CSF220D1

Clearing Through Pershing A BNY Securities Group Co Solutions from The Bank of New York [redacted]

One Pershing Plaza, Jersey City, New Jersey 07399
Pershing LLC, member NASD, NYSE, SIPC. Underwriter/Agent of Pershing Investments LLC

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	7.55	30.75	73.92
Long-Term Gain/Loss	15.82	36.18	4,036.04
Net Gain/Loss	**23.37**	**66.93**	**-3,962.12**

This summary excludes transactions where cost basis information is not available.

Required Minimum Distribution Summary

	Calculated Amount
Prior Year-end Fair Market Value ("FMV"):	$109,621.72
Calculation Factor:	26.5
Participant DOB: 12/1936 Beneficiary DOB: 00/0000	
Beneficiary Relationship: NON-PERSON	
Amount Required to be Withdrawn for 2007:	$4,136.66
Amount Withdrawn Year to Date:	$0.00
The Remaining Amount You Are Required to Withdraw for 2007:	$4,136.66

Disclaimers and Other Important Information Regarding Your Required Minimum Distribution Summary

According to our records, you are required under federal tax law to take an annual minimum distribution from your retirement account. These required minimum distributions (RMDs) usually begin in the year you reach the age of 70 1/2. Failure to take the RMD when required may result in a 50% excise tax imposed on the amount you did not take.

You are allowed to take your RMD in cash or securities. For a distribution to be made, sufficient cash or securities must be available in your retirement account when we receive your distribution request and for subsequent distributions. If you changed your beneficiary after January 1 of this year and your new or prior beneficiary is your spouse who is more than ten years younger than you, you may need to recalculate the RMD amount stated above.

If you have more than one traditional individual retirement account (IRA), including SEP and SIMPLE IRAs, the RMD for these accounts can be aggregated and removed from a single traditional IRA. This exception does not apply to multiple qualified retirement plans (QRPs) such as profit sharing, money purchase pension, 401(k), and defined benefit plans. RMDs for QRPs must be removed from each QRP account.

[redacted] outstanding rollovers or recharacterizations that were not in your account on December 31 of the prior year should be added to your year-end fair market value for the previous year to calculate your RMD for the current year. Also, when taking your RMD, please consider any scheduled distribution amounts you have established which may allow you to automatically meet all or part of your RMD. Please contact us if you are interested in establishing instructions.

[redacted] ies; your RMD was determined by dividing your fair market value by a calculation factor determined by the Internal Revenue Service (IRS). The factor is based on your age at the end of the year and the age and relationship of your beneficiary(ies). We Strongly suggest that you verify this calculation with your tax professional, as there may be other pertinent information that could impact your RMD amount. To withdraw your RMD, contact your investment professional for the appropriate withdrawal form.

2612

ST E &
YOUNGBERG
One Ferry Building
San Francisco California 94111 (415) 44x-2600

[redacted]

[redacted]

Individual Retirement Account Statement

Statement Period: 03/01/2007 - 03/31/2007

Customer Service Information

	Contact Information	Customer Service Information
[redacted]	Telephone Number: [redacted]	Web Site: www.buybonds.com
	Fax Number: [redacted]	

Prior Year-End Fair Market Value: $109,621.72 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-45.11
Total Accrued Interest Paid	**$0.00**	**-$45.11**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	472.95	1,389.62
FDIC Insured Bank Deposits	19.21	55.07
Total Dividends, Interest and Expenses	**$492.16**	**$1,444.69**
Distributions		
Other Distributions	1,538.76	5,389.06
Total Distributions	**$1,538.76**	**$5,389.06**

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 0.00% of Portfolio									
Cash Balance				0.00	-141.71				
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS	03/01/07	ANB802394	03/30/07	3,827.37	0.00	0.00	55.07	N/A	4.64%
Total FDIC Insured Bank Deposits				$3,827.37	$0.00	$0.00	$55.07		
Total Cash and Cash Equivalents				$3,827.37	-$141.71	$0.00	$55.07		

DALBAR RATED FOR COMMUNICATION

Account Number: [redacted]
ARA FBO JAMES MCDERMOTT SR

Clearing Through Pershing [redacted]

A BNY Securities Group Co
Solution from The Bank of New York
One Pershing Plaza, Jersey City, New Jersey 07399
Pershing LLC, member NYSE, FINRA, SIPC. A subsidiary of Pershing Investments LLC.

2613

Portfolio H Idings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 100.00% of Portfolio *(In CUSIP Sequence)*									
Certificates of Deposit									
JPMORGAN CHASE BK N A NEW YORK N Y CTF DEP 0.000% 03/30/22 B/E DTD 03/30/07 CALLABLE 03/30/08 @ 100.000 1ST CPN DTE 07/02/07 CPN-PMT QUARTERLY ON M,J,S,D 30 Moody Rating AA2 S & P Rating AA *Security Identifier:* 48121CRY4									
6,000.000	03/27/07	100.0000	6,000.00	99.9800	5,998.80	-1.20	0.00		
Original Cost Basis: $6,000.00									
Total Certificates of Deposit									
6,000.000			**$6,000.00**		**$5,998.80**	**-$1.20**	**$0.00**	**$0.00**	
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.86305356 4.464% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000	09/15/05	100.0000	43,152.68	92.7290	40,015.05	-3,137.63	160.55		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.50655922 2.519% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier:* 172973T90									
20,000.000	04/28/05	84.9240	8,603.80	85.6540	8,677.77	73.97	21.27		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 GL-2688-SE 10.000% 10/15/33 B/E Factor: 0.10929164 DTD 10/15/03. *Security Identifier:* 31394K4U5									
25,000.000	11/16/04	99.9990	2,732.29	97.7580	2,671.03	-61.26	22.77		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR Factor: 0.75485234 7.000% 11/25/35 B/E DTD 10/01/05 *Security Identifier:* 31394UER9									

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC *(continued)*									
10,000.000	04/18/06	96.9640	7,319.38	97.4770	7,358.07	38.69	44.03		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS-THRU CTF REMIC									
SER 2005-98 CL-98-SX 4.326% 12/25/34 B/E Factor: 0.69736301									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	13,563.73	93.9650	13,105.54	-458.19	50.29		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER 2950 CL-2950-SV 3.183% 03/15/35 B/E Factor: 0.44887380									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	6,733.12	95.3650	6,421.03	-312.09	17.86		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER 2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.37248406									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	3,687.59	93.9850	3,500.79	-186.80	35.17		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER 3063 CL-3063-SY 3.410% 05/15/33 B/E Factor: 0.46194325									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									
10,000.000	01/31/06	96.7500	4,469.30	97.7450	4,515.26	45.96	13.13		
Original Cost Basis: $9,182.05									

2615

605-012960-0001
DALBAR RATED FOR COMMUNICATION

Account Number [redacted]
IRA FBO JAMES MCDERMOTT SR

Clearing Through Pershing A BNY Securities Group Co. Solely Owned By The Bank of New York
One Pershing Plaza, Jersey City, New Jersey 07399
Pershing LLC, member NASD, NYSE, SIPC. Pershing is a division of Pershing Investments LLC

[redacted]

P rtf li Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" Factor: 0.89421148 8.000% 06/25/36 B/E DTD 10/25/06 *Security Identifier:* 31396LSC5									
15,000.000	10/13/06	98.7500	13,245.51	99.7960	13,385.81	140.30	89.42		
Original Cost Basis: $14,812.50									
FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E Factor: 0.99124789 DTD 01/01/07 CLB *Security Identifier:* 31397EUE5									
7,000.000	01/19/07	98.5000	6,834.65	97.0030	6,730.78	-103.87	46.26		
Original Cost Basis: $6,895.00									
Total Asset Backed Securities			$110,342.05		$106,381.13	-$3,960.92	$500.75	$0.00	
[4] Total Fixed Income **6,000.000**			$116,342.05		$112,379.93	-$3,962.12	$500.75	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$116,200.34	$112,238.22	-$3,962.12	$500.75	$55.07

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided with the corresponding tax lot.

[4] Total Quantity field does not include Asset Backed Securities.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

2616

Portfolio Holdings *(continued)*

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Please be advised that the secondary market for CDs is generally illiquid; the actual value of CDs may be different from their purchase price; and a significant loss of principal could result if your CDs are sold prior to maturity. In the event that the CDs listed above do not indicate a market valuation, an accurate market value could not be determined. In the event that a price is listed above for your CDs, Pershing has obtained a price from sources deemed to be reliable or has priced your CDs using a matrix formula. Prices are estimates and the actual value you may obtain for your CD may be different if you elect to sell your CD in the secondary market.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Securities Bought and Sold							
03/30/07	03/27/07	PURCHASED	JPMORGAN CHASE BK N A NEW YORK N Y CTF DEP 0.00000 03/30/22 B/E DTD 03/30/07 CLB VARIABLE RATE	6,000.000	100.0000		-6,000.00
Total Securities Bought and Sold							**-$6,000.00**

2617

DARKAR RATED FOR COMMUNICATION

Account Number: [redacted]
IRA FBO JAMES MCTIERNOTT SR

Clearing Through [redacted]

Pershing A BNY Securities Group Co. Solutions from The Bank of New York
One Pershing Plaza, Jersey City, New Jersey 07399
Pershing LLC, member NYSE, NYSE, NSC, Securities Arch of Pershing Investment LLC.

Transacti ns by Type f Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
03/15/07		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 02/28 PD 03/15/07				15.83
03/15/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 02/28 PD 03/15/07				18.72
03/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 02/28 PD 03/15/07				7.74
03/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 02/28 PD 03/15/07				13.89
03/15/07		BOND INTEREST RECEIVED	7000 FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RD 02/28 PD 03/15/07				46.47
03/19/07	03/16/07	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				10.59
03/26/07		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 02/28 PD 03/25/07				161.55
03/26/07		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.519% 04/25/35 B/E DTD 04/01/05 CLB RD 02/28 PD 03/25/07				21.27
03/26/07		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 02/28 PD 03/25/07				44.37
03/26/07		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 02/28 PD 03/25/07				51.08

2618

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
03/26/07		BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 02/28 PD 03/25/07				92.03
03/30/07		MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				8.62
Total Dividends and Interest							$492.16
Distributions							
03/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.18346 03/15/35 B/E DTD 03/15/05 RD 02/28 PD 03/15/07				323.31
03/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 02/28 PD 03/15/07				267.42
03/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RD 02/28 PD 03/15/07				31.16
03/26/07		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 02/28 PD 03/25/07				247.60
03/26/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 02/28 PD 03/25/07				57.47
03/26/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 02/28 PD 03/25/07				220.03
03/26/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 02/28 PD 03/25/07				391.77
Total Distributions							$1,538.76

FALSAR RATED FOR COMMUNICATION

Account Number [redacted]
IRA FBO JAMES MCDERMOTT SR

Clearing Through Pershing® A BNY Securities Group Co Settlement from The Bank of New York One Pershing Plaza, Jersey City, New Jersey 07399

2619

Transactions by Type f Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
FDIC Insured Bank Deposits							
03/16/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-724.54
03/19/07	03/16/07	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-10.59
03/27/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,267.17
03/30/07		MONEY MARKET WITHDRAWAL	RESERVE INS DEPOSITS FULL PRINCIPAL REDEEMED				5,849.67
Total FDIC Insured Bank Deposits							**$3,827.37**
Total Value of all Transactions							**-$141.71**

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	112,379.93	100%
Total	**$112,379.93**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage

2620

[redacted]

One Ferry Building
San Francisco, California 94111 (415) 445-2300

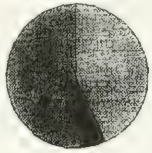
Individual Retirement Account Statement

Statement Period: 03/01/2007 - 03/31/2007

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	48,692.82	43%
AA	5,998.80	6%
Not Rated	57,688.31	51%
Total	**$112,379.93**	**100%**

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB800394	Activity Ending: 03/30/07			
03/01/07	Opening Balance		3,827.37	3,827.37
03/15/07	Deposit	INTEREST CREDITED	10.59	3,837.96
03/16/07	Deposit	DEPOSIT	724.54	4,562.50
03/16/07		APYE 4.64		4,562.50
03/16/07		SOVEREIGN BANK		4,562.50
03/16/07		A/O 03/16 $3,837.96		4,562.50
03/16/07		WASHINGTON MUTUAL		4,562.50
03/16/07		A/O 03/16 $724.54		4,562.50
03/27/07	Deposit	DEPOSIT	1,287.17	5,849.67
03/30/07	Withdrawal	WITHDRAWAL	-5,858.29	-8.62
03/30/07	Deposit	INTEREST POSTED	8.62	0.00
03/30/07	**Closing Balance**			**$0.00**
Total FDIC Insured Bank Deposits				**$0.00**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits are Insured by the Federal Deposit Insurance Corporation (FDIC).

2621

[redacted]

Retirement Account Transactions

	Tax Year - 2007 This Period	Tax Year - 2007 Year-to-Date	Tax Year - 2006 This Period	Tax Year - 2006 Year-to-Date
Contributions				
Participant	0.00	0.00	0.00	512.68
Total Contributions	**$0.00**	**$0.00**	**$0.00**	**$512.68**
Distributions				
Excess Contribution	0.00	0.00	0.00	-500.00
Excess Contribution Income	0.00	0.00	0.00	-12.68
Total Distributions	**$0.00**	**$0.00**	**$0.00**	**-$512.68**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/08/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 289.38	31396EJF4	10,000.000	289.38	299.10	9.72
01/11/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 147.50	31394UER9	10,000.000	147.50	152.11	4.61
01/11/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 285.06	31396LSC5	15,000.000	285.06	288.66	3.60
02/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 52.00	31394UER9	10,000.000	52.00	53.62	1.62
02/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 288.82	31396LSC5	15,000.000	288.82	292.47	3.65
03/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 60.35	31397EUE3	7,000.000	60.35	61.26	0.91
03/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 55.73	31394UER9	10,000.000	55.73	57.47	1.74
03/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 386.87	31396LSC5	15,000.000	386.87	391.77	4.90
Total Short Term						**$1,565.71**	**$1,596.46**	**$30.75**

2622

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
01/08/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 266.09	31395PXU1	15,000.000	266.09	266.08	-0.01
01/08/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 126.78	31395RSP4	10,000.000	126.78	128.06	1.28
01/11/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 176.60	31394UGN6	20,000.000	176.60	181.59	4.99
01/23/07	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 300.85	126694MR6	50,000.000	300.85	300.84	-0.01
02/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 82.11	31395PXU1	15,000.000	82.11	82.11	0.00
02/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 203.16	31396EJF4	10,000.000	203.16	209.97	6.81
02/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 258.24	31394UGN6	20,000.000	258.24	265.54	7.30
02/23/07	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 1,191.08	126694MR6	50,000.000	1,191.08	1,191.08	0.00
03/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 323.31	31395PXU1	15,000.000	323.31	323.31	0.00
03/07/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 107.82	31395RSP4	10,000.000	107.82	108.90	1.08
03/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 258.73	31396EJF4	10,000.000	258.73	267.42	8.69
03/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 213.98	31394UGN6	20,000.000	213.98	220.03	6.05

[redacted]

Schedule f Realized Gains and L sses Year-t -Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/23/07	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 247.60	126694MR5	50,000.000	247.60	247.60	0.00
Total Long Term						$3,756.35	$3,792.53	$36.18
Total Short Term and Long Term						$5,322.06	$5,388.99	$66.93

The information provided is meant as a general guide and should not be relied upon in the preparation of tax returns. No one associated with Pershing is authorized to give tax advice.

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the security description.

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE.

PERSHING HAS BEEN ADVISED BY YOUR FINANCIAL ORGANIZATION THAT IT DOES NOT RECEIVE COMPENSATION FOR DIRECTING ORDER FLOW. SEE THE TERMS AND CONDITIONS SECTION OF YOUR STATEMENT FOR A DESCRIPTION OF PERSHING'S PAYMENT FOR ORDER FLOW PRACTICES.

FOR ADDITIONAL INFORMATION REGARDING ORDER ROUTING PRACTICES AND THE VENUES TO WHICH YOUR INTRODUCING FINANCIAL ORGANIZATION'S ORDERS ARE ROUTED, YOU MAY VISIT-WWW.ORDERROUTINGDISCLOSURE.COM."

UPON WRITTEN REQUEST TO YOUR INTRODUCING FINANCIAL ORGANIZATION, YOU MAY OBTAIN THE IDENTITY OF THE VENUE TO WHICH YOUR ORDERS WERE ROUTED FOR THE SIX MONTHS PRIOR TO YOUR REQUEST AND THE TIME OF TRANSACTIONS THAT MAY HAVE RESULTED FROM SUCH ORDERS.

YOU ARE ADVISED TO REPORT PROMPTLY ANY INACCURACY OR DISCREPANCY IN YOUR ACCOUNT (INCLUDING UNAUTHORIZED TRADING) TO YOUR FINANCIAL ORGANIZATION AND PERSHING, THE CUSTODIAN OF YOUR ACCOUNT. PLEASE BE ADVISED THAT ANY ORAL COMMUNICATION SHOULD BE RE-CONFIRMED IN WRITING TO FURTHER PROTECT YOUR RIGHTS, INCLUDING YOUR RIGHTS UNDER THE SECURITIES INVESTOR PROTECTION ACT. YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT. PERSHING'S CONTACT INFORMATION IS AS FOLLOWS:
PERSHING LL[redacted]
LEGAL DEPARTMENT
ONE PERSHING PLAZA
JERSEY CITY, NEW JERSEY 07399
(201)-413-3330

INFORMATION REGARDING THE SECURITIES INVESTOR PROTECTION CORPORATION SM (SIPCSM), INCLUDING A SIPC BROCHURE, MAY BE OBTAINED BY CONTACTING SIPC VIA ITS WEB SITE AT WWW.SIPC.ORG OR BY TELEPHONE AT (202) 371-8300.

2624

One Terry Building
San Francisco, California 94111 (415) 445 3200

[redacted]

Individual Retirement Account Statement

[redacted]

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
[redacted]

Account Number: [redacted]
Statement Period: 04/01/2007 - 04/30/2007

Valuation at a Glance

	This Period
Beginning Account Value	$112,238.22
Dividends/Interest	466.45
Change in Account Value	-2,942.61
Ending Account Value	$109,762.06
Estimated Annual Income	$55.07

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	5,964.65	-141.71	2,143.45	2%
Fixed Income	103,657.07	112,379.93	107,618.61	98%
Account Total	$109,621.72	$112,238.22	$109,762.06	100%

[1] Includes FDIC insured bank deposits.

Asset Allocation percentages are rounded to the nearest whole percentage

2625

BALANCE RATED FOR COMMUNICATION

[redacted]

[redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Company, Inc
Member NASD, NYSE, SIPC

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	6.17	36.92	27.90
Long-Term Gain/Loss	18.07	54.25	-6,956.90
Net Gain/Loss	**24.24**	**91.17**	**-6,929.00**

This summary excludes transactions where cost basis information is not available.

Required Minimum Distribution Summary

	Calculated Amount
Prior Year-end Fair Market Value ("FMV"):	$109,621.72
Calculation Factor:	26.5
Participant DOB: 12/1936 Beneficiary DOB: 00/0000	
Beneficiary Relationship: NON PERSON	
Amount Required to be Withdrawn for 2007:	$4,136.66
Amount Withdrawn Year to Date:	$0.00
The Remaining Amount You Are Required to Withdraw for 2007:	$4,136.66

Disclaimers and Other Important Information Regarding Your Required Minimum Distribution Summary

According to our records, you are required under federal tax law to take an annual minimum distribution from your retirement account. These required minimum distributions (RMDs) usually begin in the year you reach the age of 70 1/2. Failure to take the RMD when required may result in a 50% excise tax imposed on the amount you did not take.

You are allowed to take your RMD in cash or securities. For a distribution to be made, sufficient cash or securities must be available in your retirement account when we receive your distribution request and for subsequent distributions. If you changed your beneficiary after January 1 of this year and your new or prior beneficiary is your spouse who is more than ten years younger than you, you may need to recalculate the RMD amount stated above.

If you have more than one traditional individual retirement account (IRA), including SEP and SIMPLE IRAs, the RMD for these accounts can be aggregated and removed from a single traditional IRA. This exception does not apply to multiple qualified retirement plans (QRPs) such as profit sharing, money purchase pension, 401(k), and defined benefit plans. RMDs for QRPs must be removed from each QRP account.

NOTE: Any outstanding rollovers or recharacterizations that were not in your account on December 31 of the prior year should be added to your year-end fair market value for the previous year to calculate your RMD for the current year. Also, when taking your RMD, please consider any scheduled distribution amounts you have established which may allow you to automatically meet all or part of your RMD. Please contact us if you are interested in establishing instructions.

[redacted]es, your RMD was determined by dividing your fair market value by a calculation factor determined by the Internal Revenue Service (IRS). The factor is based on your age at the end of the year and the age and relationship of your beneficiary(ies). We Strongly suggest that you verify this calculation with your tax professional, as there may be other pertinent information that could impact your RMD amount. To withdraw your RMD, contact your investment professional for the appropriate withdrawal form.

2626

STE E &
YOUNGBERG
One Ferry Building
San Francisco California 94111 (415) 645 2400

Individual Retirement Account Statement

Statement Period: 04/01/2007 - 04/30/2007

Customer Service Information

[redacted]

Contact Information
Telephone Number: [redacted]
Fax Number: [redacted]

Customer Service Information
Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $109,621.72 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-45.11
Total Accrued Interest Paid	$0.00	-$45.11

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	466.45	1,856.07
FDIC Insured Bank Deposits	0.00	55.07
Total Dividends, Interest and Expenses	$466.45	$1,911.14
Distributions		
Other Distributions	1,818.71	7,207.77
Total Distributions	$1,818.71	$7,207.77

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 2.00% of Portfolio									
Cash Balance				-141.71	0.00				
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
2,143.450	03/31/07	ANB802394	04/30/07	0.00	2,143.45	2.43	55.07	N/A	4.6446
Total FDIC Insured Bank Deposits				$0.00	$2,143.45	$2.43	$55.07		
Total Cash and Cash Equivalents				-$141.71	$2,143.45	$2.43	$55.07		

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Company, Inc
Member NASD NYSE SIPC

2627

P rtf li H ldings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 98.00% of Portfolio *(In CUSIP Sequence)*									
Certificates of Deposit									
JPMORGAN CHASE BK N A NEW YORK N Y CTF DEP 0.000% 03/30/22 B/E DTD 03/30/07 CALLABLE 03/30/08 @ 100.000 1ST CPN DTE 07/02/07 CPN PMT QUARTERLY ON M,J,S,D 30 Moody Rating AA2 S & P Rating AA *Security Identifier:* 48121CRY4									
6,000.000	03/27/07	100.0000	6,000.00	99.9840	5,999.04	-0.96	0.00		
Original Cost Basis: $6,000.00									
Total Certificates of Deposit									
6,000.000			**$6,000.00**		**$5,999.04**	**-$0.96**	**$0.00**	**$0.00**	
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.85450471 4.464% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000	09/15/05	100.0000	42,725.24	86.4300	36,927.42	-5,797.82	153.66		
Original Cost Basis: $50,000.00									
CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR Factor: 0.50655922 2.519% 04/25/35 B/E DTD 04/01/05 CLB Moody Rating AAA S & P Rating AAA *Security Identifier:* 172973T90									
20,000.000	04/28/05	84.9240	8,603.80	83.0340	8,412.33	-191.47	20.56		
Original Cost Basis: $19,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.10929164 DTD 10/15/03 *Security Identifier:* 31394K4U5									
25,000.000	11/16/04	99.9990	2,732.29	94.3740	2,578.57	-153.72	22.01		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-9S-WT INV FLTR Factor: 0.75063260 7.000% 11/25/35 B/E DTD 10/01/05 *Security Identifier:* 31394UER9									

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC *(continued)*									
10,000.000	04/18/06	96.9640	7,278.46	97.9630	7,353.42	74.96	42.33		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC									
SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E Factor: 0.69638265									
DTD 10/25/05									
Security Identifier: 31394UGN6									
20,000.000	11/25/05	97.2500	13,544.66	93.3840	13,006.20	-538.46	48.54		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 3.18346% 03/15/35 B/E Factor: 0.43722239									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	6,558.35	95.2330	6,245.70	-312.65	16.82		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.33952860									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	3,361.33	95.2850	3,235.20	-126.13	30.99		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 3.410% 05/15/33 B/E Factor: 0.41808006									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									
10,000.000	01/31/06	96.7500	4,044.92	98.8640	4,133.51	88.39	11.48		
Original Cost Basis: $9,182.05									

[redacted]

P rtf li H ldings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC									
TR-2006-111 CL-111-TJ "INV" Factor: 0.87058877									
8.000% 06/25/36 B/E DTD 10/25/06									
Security Identifier: 31396LSC5									
15,000.000	10/13/06	98.7500	12,895.60	99.5110	12,994.97	99.37	84.16		
Original Cost Basis: $14,812.50									
FHLMC GTD REMIC PASS THRU CTFS REMIC									
SER 3263 CL 3263 TM 8.000% 08/15/35 B/E Factor: 0.98665232									
DTD 01/01/07 CLB									
Security Identifier: 31397EUE3									
7,000.000	01/19/07	98.5000	6,802.96	97.4790	6,732.45	-70.51	44.51		
Original Cost Basis: $6,895.00									
Total Asset Backed Securities			$108,547.61		$101,619.57	-$6,928.04	$475.06	$0.00	
¹Total Fixed Income									
6,000.000			**$114,547.61**		**$107,618.61**	**-$6,929.00**	**$475.06**	**$0.00**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$116,691.06**	**$109,762.06**	**-$6,929.00**	**$475.06**	**$55.07**

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided with the corresponding tax lot.

¹ Total Quantity field does not include Asset Backed Securities.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

[redacted] DALBAR RATED Account Number: [redacted] [redacted] Clearing Through Pershing LLC, a subsidiary of The Bank of New York Con , Inc

2630

Portfolio Holdings *(continued)*

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Please be advised that the secondary market for CDs is generally illiquid; the actual value of CDs may be different from their purchase price; and a significant loss of principal could result if your CDs are sold prior to maturity. In the event that the CDs listed above do not indicate a market valuation, an accurate market value could not be determined. In the event that a price is listed above for your CDs, Pershing has obtained a price from sources deemed to be reliable or has priced your CDs using a matrix formula. Prices are estimates and the actual value you may obtain for your CD may be different if you elect to sell your CD in the secondary market.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
04/16/07		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.00000 10/15/33 B/E DTD 10/15/03 RD 03/30 PD 04/15/07				15.83
04/16/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.18396 03/15/35 B/E DTD 03/15/05 RD 03/30 PD 04/15/07				17.86
04/16/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.33096 12/15/34 B/E DTD 04/01/05 CLB RD 03/30 PD 04/15/07				7.74

2631

[redacted]

Transactions by Type f Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
04/16/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL 3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 03/30 PD 04/15/07				13.13
04/16/07		BOND INTEREST RECEIVED	7000 FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RD 03/30 PD 04/15/07				46.26
04/25/07		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 03/30 PD 04/25/07				160.62
04/25/07		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.519% 04/25/35 B/E DTD 04/01/05 CLB RD 03/30 PD 04/25/07				21.27
04/25/07		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 03/30 PD 04/25/07				44.03
04/25/07		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 03/30 PD 04/25/07				50.29
04/25/07		BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 03/30 PD 04/25/07				89.42
Total Dividends and Interest							**$466.45**
Distributions							
04/16/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 03/30 PD 04/15/07				174.77
04/16/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 03/30 PD 04/15/07				329.55
04/16/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 03/30 PD 04/15/07				438.63
04/16/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RD 03/30 PD 04/15/07				32.17

[redacted]

BALANCE BASED INVESTMENT COMMUNICATION

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Con Inc
Member NASD NYSE SIPC

[redacted]

2632

Statement Period: 04/01/2007 - 04/30/2007

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
04/25/07		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 03/30 PD 04/25/07				427.44
04/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 03/30 PD 04/25/07				42.20
04/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 03/30 PD 04/25/07				19.61
04/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 03/30 PD 04/25/07				354.34
Total Distributions							**$1,818.71**
FDIC Insured Bank Deposits							
04/17/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-934.23
04/26/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,209.22
Total FDIC Insured Bank Deposits							**-$2,143.45**
Total Value of all Transactions							**$141.71**

The price and quantity displayed may have been rounded.

2633

[redacted]

B nd Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	107,618.61	100%
Total	**$107,618.61**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	45,339.75	42%
AA	5,999.04	6%
Not Rated	56,279.82	52%
Total	**$107,618.61**	**100%**

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

2634

Stc e &
Youngberg
One Ferry Building
San Francisco, California 94111 (415) 445 2200

[redacted]

Individual Retirement Account Statement

Statement Period: 04/01/2007 - 04/30/2007

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB802394	Activity Ending 04/30/07			
03/31/07	Opening Balance		0.00	0.00
03/29/07		APYE 4.64		0.00
04/17/07	Deposit	DEPOSIT	934.23	934.23
04/26/07	Deposit	DEPOSIT	1,209.22	2,143.45
04/30/07	**Closing Balance**			**$2,143.45**
Total FDIC Insured Bank Deposits				**$2,143.45**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $100,000 for each category of legal ownership and $250,000 for each individual retirement account and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Retirement Account Transactions

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	0.00	0.00	512.68
Total Contributions	**$0.00**	**$0.00**	**$0.00**	**$512.68**
Distributions				
Excess Contribution	0.00	0.00	0.00	-500.00
Excess Contribution Income	0.00	0.00	0.00	-12.68
Total Distributions	**$0.00**	**$0.00**	**$0.00**	**-$512.68**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE

2635

STO . &
YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2000

[redacted]

Individual Retirement Account Statement

[redacted]

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
[redacted]

[redacted]

Account Number: [redacted]
Statement Period: 05/01/2007 - 05/31/2007

Valuation at a Glance

	This Period
Beginning Account Value	$109,762.06
Dividends/Interest	466.09
Change in Account Value	-2,643.26
Ending Account Value	$107,584.89
Estimated Annual Income	$61.59

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	5,964.65	2,143.45	4,878.62	5%
Fixed Income	103,657.07	107,618.61	102,706.27	95%
Account Total (Pie Chart)	**$109,621.72**	**$109,762.06**	**$107,584.89**	**100%**

[1] Includes FDIC insured bank deposits.

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability.

2636

DALBAR RATED FOR COMMUNICATION
A0702863C5F110DF
Clearing Through Pershing A BNY Securities Group Co. Subsidiary from The Bank of New York
One Pershing Plaza, Jersey City, New Jersey 07399

Summary of Gains and L sses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	6.06	42.98	-777.39
Long-Term Gain/Loss	-64.25	-10.00	-8,859.49
Net Gain/Loss	**-58.19**	**32.98**	**-9,636.88**

This summary excludes transactions where cost basis information is not available.

Required Minimum Distribution Summary

	Calculated Amount
Prior Year-end Fair Market Value ("FMV"):	$109,621.72
Calculation Factor:	26.5
Participant DOB: 12/1936 Beneficiary DOB: 00/0000	
Beneficiary Relationship: NON-PERSON	
Amount Required to be Withdrawn for 2007:	$4,136.66
Amount Withdrawn Year to Date:	$0.00
The Remaining Amount You Are Required to Withdraw for 2007:	$4,136.66

Disclaimers and Other Important Information Regarding Your Required Minimum Distribution Summary

According to our records, you are required under federal tax law to take an annual minimum distribution from your retirement account. These required minimum distributions (RMDs) usually begin in the year you reach the age of 70 1/2. Failure to take the RMD when required may result in a 50% excise tax imposed on the amount you did not take.

You are allowed to take your RMD in cash or securities. For a distribution to be made, sufficient cash or securities must be available in your retirement account when we receive your distribution request and for subsequent distributions. If you changed your beneficiary after January 1 of this year and your new or prior beneficiary is your spouse who is more than ten years younger than you, you may need to recalculate the RMD amount stated above.

If you have [redacted] traditional individual retirement account (IRA), including SEP and SIMPLE IRAs, the RMD for these accounts can be aggregated and removed from a single traditional IRA. This exception does not apply to multiple qualified retirement plans (QRPs) such as profit sharing, money purchase pension, 401(k), and defined benefit plans. RMDs for QRPs must be removed from each QRP account.

NOTE: Any outstanding rollovers or recharacterizations that were not in your account on December 31 of the prior year should be added to your year-end fair market value for the previous year to calculate your RMD for the current year. Also, when taking your RMD, please consider any scheduled distribution amounts you have established which may allow you to automatically meet all or part of your RMD. Please contact us if you are interested in establishing instructions.

Using the information on our files, your RMD was determined by dividing your fair market value by a calculation factor determined by the Internal Revenue Service (IRS). The factor is based on your age at the end of the year and the age and relationship of your beneficiary(ies). We Strongly suggest that you verify this calculation with your tax professional, as there may be other pertinent information that could impact your RMD amount. To withdraw your RMD, contact your investment professional for the appropriate withdrawal form.

2637

STO. & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

Individual Retirement Account Statement

Statement Period: 05/01/2007 - 05/31/2007

Customer Service Information

[redacted]

Contact Information
Telephone Number: [redacted]
Fax Number: [redacted]

Customer Service Information
Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $109,621.72 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	-8.94	-54.05
Total Accrued Interest Paid	**-$8.94**	**-$54.05**
Accrued Interest Received		
Asset Backed Securities	16.82	16.82
Total Accrued Interest Received	**$16.82**	**$16.82**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	459.57	2,315.64
FDIC Insured Bank Deposits	6.52	61.59
Total Dividends, Interest and Expenses	**$466.09**	**$2,377.23**
Distributions		
Other Distributions	2,263.28	9,471.05
Total Distributions	**$2,263.28**	**$9,471.05**

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 5.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
4,878.620	05/01/07	ANB802394	05/31/07	2,143.45	4,878.62	6.95	61.59	N/A	4.5896
Total FDIC Insured Bank Deposits				**$2,143.45**	**$4,878.62**	**$6.95**	**$61.59**		
Total Cash and Cash Equivalents				**$2,143.45**	**$4,878.62**	**$6.95**	**$61.59**		

[redacted]

DALBAR RATED FOR COMMUNICATION

Account Number [redacted]
IRA FBO JAMES MCDERMOTT SR

Clearing Through [redacted] Pershing A BNY Securities Group Co. Securities from The Bank of New York
One Pershing Plaza, Jersey City, New Jersey 07399
Pershing LLC, member NASD, NYSE, SIPC. Indirectly of Pershing Investments LLC.

2638

Portf lio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 95.00% of Portfolio *(In CUSIP Sequence)*									
Certificates of Deposit									
JPMORGAN CHASE BK N A NEW YORK N Y CTF DEP 0.000% 03/30/22 B/E DTD 03/30/07 CALLABLE 03/30/08 @ 100.000 1ST CPN DTE 07/02/07 CPN PMT QUARTERLY ON M,J,S,D 30 Moody Rating AA2 S & P Rating AA *Security Identifier:* 48121CRY4									
6,000.000	03/27/07	100.0000	6,000.00	99.9300	5,999.40	-0.60	0.00		
Original Cost Basis: $6,000.00									
Total Certificates of Deposit									
6,000.000			**$6,000.00**		**$5,999.40**	**-$0.60**	**$0.00**	**$0.00**	
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.84434092 4.464% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000	09/15/05	100.0000	42,217.05	85.9550	36,287.66	-5,929.39	157.07		
Original Cost Basis: $50,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.10929164 DTD 10/15/03 *Security Identifier:* 31394K4U5									
25,000.000	11/16/04	99.9990	2,732.29	89.1800	2,436.66	-295.63	22.77		
[redacted] 15,500.36									
[redacted] **REMIC PASS THRU CTF REMIC** SER-2005-95 CL-95-WT INV FLTR Factor: 0.74687385 7.000% 11/25/35 B/E DTD 10/01/05 [redacted]			[redacted]						
10,000.000	04/18/06	96.9640	7,242.01	95.6750	7,145.57	-96.44	43.57		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E Factor: 0.68198742 DTD 10/25/05 *Security Identifier:* 31394UGN6									

2639

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC *(continued)*									
20,000.000	11/25/05	97.2500	13,264.67	85.9980	11,729.91	-1,534.76	49.18		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 3.183% 03/15/35 B/E Factor: 0.42662486									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	6,399.39	89.7030	5,740.43	-658.96	16.97		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.330% 12/15/34 B/E Factor: 0.31593572									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	3,127.76	89.1930	2,817.93	-309.83	29.83		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 3.410% 05/15/33 B/E Factor: 0.37400219									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									
10,000.000	01/31/06	96.7500	3,618.47	95.8280	3,583.99	-34.48	10.63		
Original Cost Basis: $9,182.05									
FNMA GTD REMIC PASS THRU CTF REMIC									
TR-2006-111 CL-111-TJ "INV" Factor: 0.84086018									
8.000% 06/25/36 B/E DTD 10/25/06									
Security Identifier: 31396LSC5									
15,000.000	10/13/06	98.7500	12,455.25	96.8710	12,218.25	-237.00	84.09		
Original Cost Basis: $14,812.50									

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3172 CL-3172-CS INV FLTR Factor: 0.83489475 0.000% 06/15/36 B/E DTD 06/15/06 CLB *Security Identifier:* 31396RXF9									
12,000.000	05/22/07	85.0000	8,515.93	82.7200	8,267.50	-228.43	0.00		
Original Cost Basis: $8,515.93									
FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E Factor: 0.98192032 DTD 01/01/07 CLB *Security Identifier:* 31397EUE3									
7,000.000	01/19/07	98.5000	6,770.33	93.9700	6,458.97	-311.36	45.82		
Original Cost Basis: $6,895.00									
Total Asset Backed Securities			$106,343.15		$96,706.87	-$9,636.28	$459.93	$0.00	
[4] Total Fixed Income 6,000.000			$112,343.15		$102,706.27	-$9,636.88	$459.93	$0.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$117,221.77	$107,584.89	-$9,636.88	$459.93	$61.59

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided with the corresponding tax lot.

[4] Total Quantity field does not include Asset Backed Securities.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

2641

Portfolio Holdings *(continued)*

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Please be advised that the secondary market for CDs is generally illiquid; the actual value of CDs may be different from their purchase price; and a significant loss of principal could result if your CDs are sold prior to maturity. In the event that the CDs listed above do not indicate a market valuation, an accurate market value could not be determined. In the event that a price is listed above for your CDs, Pershing has obtained a price from sources deemed to be reliable or has priced your CDs using a matrix formula. Prices are estimates and the actual value you may obtain for your CD may be different if you elect to sell your CD in the secondary market.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Securities Bought and Sold							
05/25/07	05/22/07	SOLD	CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.519% 04/25/35 B/E DTD 04/01/05 CLB VARIABLE RATE FACTOR .50655922 REM BAL 10131.18	-20,000.000	85.0000	17.02	8,628.53
05/25/07	05/22/07	PURCHASED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3172 CL-3172-CS INV FLTR 0.00000% 06/15/36 B/E DTD 06/15/06 CLB VARIABLE RATE FACTOR .83489475 REM BAL 10018.74	12,000.000	85.0000	-8.94	-8,524.87

2642

[redacted]

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Securities Bought and Sold *(continued)*							
05/31/07	05/22/07	CORRECTED SELL	CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.519% 04/25/35 B/E DTD 04/01/05 CLB FACTOR .500814993000 REM BAL 10016.30 VARIABLE RATE CORRECTED CONFIRM	20,000.000	85.0000	16.82	8,530.67
05/31/07	05/22/07	CANCELLED SELL	CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.519% 04/25/35 B/E DTD 04/01/05 CLB VARIABLE RATE FACTOR 50655922 REM BAL 10131.18 CANCELLED TRADE	20,000.000	85.0000	17.02	8,628.53
Total Securities Bought and Sold							**$5.80**
Dividends and Interest							
05/15/07		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 04/30 PD 05/15/07				15.83
05/15/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 04/30 PD 05/15/07				17.40
05/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 04/30 PD 05/15/07				7.05
05/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 04/30 PD 05/15/07				11.88
05/15/07		BOND INTEREST RECEIVED	7000 FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RD 04/30 PD 05/15/07				46.04
05/17/07	05/16/07	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				6.52
05/25/07		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 04/30 PD 05/25/07				159.03
05/25/07		BOND INTEREST RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.519% 04/25/35 B/E DTD 04/01/05 CLB RD 04/30 PD 05/25/07				21.27

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
05/25/07		BOND INTEREST RECEIVED	30000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 04/30 PD 05/25/07				43.79
05/25/07		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 04/30 PD 05/25/07				50.22
05/25/07		BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 04/30 PD 05/25/07				87.06
Total Dividends and Interest							$466.09
Distributions							
05/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 04/30 PD 05/15/07				158.96
05/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 04/30 PD 05/15/07				235.93
05/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 04/30 PD 05/15/07				440.78
05/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RD 04/30 PD 05/15/07				33.12
05/25/07		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 04/30 PD 05/25/07				508.19
05/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 04/30 PD 05/25/07				37.59

[redacted]

Transacti ns by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
05/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 04/30 PD 05/25/07				287.90
05/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 04/30 PD 05/25/07				445.93
05/30/07		RETURN OF PRINCIPAL RECEIVED	20000 CITICORP MTG SECS INC REMIC PASSTHRU CTF SER 2005-3 CL-1A13_INV FLTR 2.519% 04/25/35 B/E DTD 04/01/05 CLB RD 04/30 PD 05/25/07				114.68
Total Distributions							**$2,263.28**
FDIC Insured Bank Deposits							
05/16/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-966.99
05/17/07	05/16/07	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-6.52
05/29/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,744.64
05/31/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-17.02
Total FDIC Insured Bank Deposits							**-$2,735.17**
Total Value of all Transactions							**$0.00**

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	102,706.27	100%
Total	**$102,706.27**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

2645

STO. & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

Individual Retirement Account Statement

Statement Period: 05/01/2007 - 05/31/2007

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	36,287.66	35%
AA	5,999.40	6%
Not Rated	60,419.21	59%
Total	**$102,706.27**	**100%**

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: AN8802394	Activity Ending: 05/31/07			
05/01/07	Opening Balance		2,143.45	2,143.45
05/15/07	Deposit	INTEREST CREDITED	6.52	2,149.97
05/16/07	Deposit	DEPOSIT	966.99	3,116.96
05/16/07		APYE 4.64		3,116.96
05/16/07		WASHINGTON MUTUAL		3,116.96
05/16/07		A/O 05/16 $3,116.96		3,116.96
05/29/07	Deposit	DEPOSIT	1,744.64	4,861.60
05/31/07	Deposit	DEPOSIT	17.02	4,878.62
05/31/07	**Closing Balance**			**$4,878.62**
Total FDIC Insured Bank Deposits				**$4,878.62**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $100,000 for each category of legal ownership and $250,000 for each Individual retirement account and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

[redacted]
DALBAR RATED FOR COMMUNICATION
Account Number [redacted]
IRA FBO JAMES MCDERMOTT SR
Clearing Through Pershing A BNY Investments Group Co. Solutions from The Bank of New York
One Pershing Plaza, Jersey City, New Jersey 07399
[redacted]

2646

[redacted]

Retirement Account Transactions

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	0.00	0.00	512.68
Total Contributions	**$0.00**	**$0.00**	**$0.00**	**$512.68**
Distributions				
Excess Contribution	0.00	0.00	0.00	-500.00
Excess Contribution Income	0.00	0.00	0.00	-12.68
Total Distributions	**$0.00**	**$0.00**	**$0.00**	**-$512.68**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE.

IT IS NOT TOO EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2007 TAX YEAR; THE MAXIMUM CONTRIBUTION LIMIT IS $4,000. INDIVIDUALS AGED 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $1,000 FOR THE 2007 TAX YEAR.

WHEN MAKING YOUR ANNUAL CONTRIBUTION, PLEASE INDICATE IN WRITING THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

2647

ST. [illegible]E &
YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

[redacted]

Individual Retirement Account Statement

[redacted]

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
[redacted]

Account Number: [redacted]
Statement Period: 06/01/2007 - 06/30/2007

Valuation at a Glance

	This Period
Beginning Account Value	$107,584.89
Cash Deposits	15,539.63
Dividends/Interest	473.96
Change in Account Value	-27,900.83
Ending Account Value	**$95,697.65**
Estimated Annual Income	**$558.94**

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	5,964.65	4,878.62	1,047.68	1%
Fixed Income	103,657.07	102,706.27	94,649.97	99%
Account Total	**$109,621.72**	**$107,584.89**	**$95,697.65**	**100%**

Asset Allocation percentages are rounded to the nearest whole percentage.

1 Includes FDIC insured bank deposits.
NOTE: Unpriced securities in your account may cause the total brokerage account assets to be understated.

DALBAR RATED FOR COMMUNICATION

[redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Company Inc.
Member NASD, NYSE, SIPC.

2648

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	17.08	60.06	-2,642.13
Long-Term Gain/Loss	23.31	13.31	-12,936.00
Net Gain/Loss	**40.39**	**73.37**	**-15,578.13**

This summary excludes transactions where cost basis information is not available.

Required Minimum Distribution Summary

	Calculated Amount
Prior Year-end Fair Market Value ("FMV"):	$109,621.72
Calculation Factor:	26.5
Participant DOB: 12/1936 Beneficiary DOB: 00/0000	
Beneficiary Relationship: NON-PERSON	
Amount Required to be Withdrawn for 2007:	$4,136.66
Amount Withdrawn Year to Date:	$0.00
The Remaining Amount You Are Required to Withdraw for 2007:	$4,136.66

Disclaimers and Other Important Information Regarding Your Required Minimum Distribution Summary

According to our records, you are required under federal tax law to take an annual minimum distribution from your retirement account. These required minimum distributions (RMDs) usually begin in the year you reach the age of 70 1/2. Failure to take the RMD when required may result in a 50% excise tax imposed on the amount you did not take.

You are allowed to take your RMD in cash or securities. For a distribution to be made, sufficient cash or securities must be available in your retirement account when we receive your distribution request and for subsequent distribu[illegible] your beneficiary after January 1 of this year and your new or prior beneficiary is your spouse who is more than ten years younger than you, you may need to recalculate the RMD amount s[illegible]

If you have more than one traditional individual retirement account (IRA), including SEP and SIMPLE IRAs, the RMD for these accounts can be aggregated and removed from a single traditional IRA. This exception does not apply to multiple qualified retirement plans (QRPs) such as profit sharing, money purchase pension, 401(k), and defined benefit plans. RMDs for QRPs must be removed from each QRP account.

NOTE: Any outstanding rollovers or recharacterizations that were not in your account on December 31 of the prior year should be added to your year-end fair market value for the previous year to calculate your RMD for the current year. Also, when taking your RMD, please consider any scheduled distribution amounts you have established which may allow you to automatically meet all or part of your RMD. Please contact us if you are interested in establishing such options.

Using the information on our files, your RMD was determined by dividing your fair market value by a calculation factor determined by the Internal Revenue Service (IRS). The factor is based on your age at the end of the year and the age and relationship of your beneficiary(ies). We Strongly suggest that you verify this calculation with your tax professional, as there may be other pertinent information that could impact your RMD amount. To withdraw your RMD, contact your investment professional for the appropriate withdrawal form.

ST E &
YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

Individual Retirement Account Statement

Statement Period: 06/01/2007 - 06/30/2007

Customer Service Information

[redacted]

Contact Information
Telephone Number: [redacted]
Fax Number: [redacted]

Customer Service Information
Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $109,621.72 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-54.05
Total Accrued Interest Paid	$0.00	-$54.05
Accrued Interest Received		
Asset Backed Securities	0.00	16.82
Total Accrued Interest Received	$0.00	$16.82

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	456.61	2,772.25
FDIC Insured Bank Deposits	17.35	78.94
Total Dividends, Interest and Expenses	$473.96	$2,851.19
Distributions		
Other Distributions	2,155.47	11,626.52
Total Distributions	$2,155.47	$11,626.52

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 1.00% of Portfolio									
Cash Balance				0.00	-15,000.00				
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
16,047.680	06/01/07	ANB802394	06/29/07	4,878.62	16,047.68	26.18	78.94	N/A	4.51%
Total FDIC Insured Bank Deposits				$4,878.62	$16,047.68	$26.18	$78.94		-
Total Cash and Cash Equivalents				$4,878.62	$1,047.68	$26.18	$78.94		

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 99.00% of Portfolio *(In CUSIP Sequence)*									
Certificates of Deposit									
JPMORGAN CHASE BK N A NEW YORK N Y CTF DEP 8.0000% 03/30/22 B/E DTD 03/30/07 CALLABLE 03/30/08 @ 100.000 1ST EPN DTE 06/30/07 CPN PAYC QUARTERLY CONVUS CD 30 Moody Rating AAA S & P Rating AA *Security Identifier:* 48121CR74									
6,000.000	03/27/07	100.0000	6,000.00	99.9960	5,999.76	-0.24	0.00	480.00	8.0046
Original Cost Basis: $6,000.00									
Total Certificates of Deposit									
6,000.000			**$6,000.00**		**$5,999.76**	**-$0.24**	**$0.00**	**$480.00**	
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-06 CL 1-A-7 *NAV* Factor: 0.83919925 4.464% 11/25/35 B/E DTD 06/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MB5									
50,000.000	09/15/05	100.0000	41,959.97	78.9260	33,117.32	-8,842.65	150.91		
Original Cost Basis: $50,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER 2688 CL 2688-SE 10.000% 10/15/33 B/E Factor: 0.10929164 DTD 10/15/03 *Security Identifier:* 31393KJL5									
25,000.000	11/18/04	99.9960	2,732.29	89.3570	2,441.49	-290.80	22.01		
Original Cost Basis: $2,690.36									
[redacted] **TRBU CTF REMIC** [redacted] INV FLTR Factor: 0.73230855 7.000% 11/25/33 B/E DTD 10/01/03 *Security Identifier:* 31394DE96			[redacted]						
[redacted]	09/18/06	96.9640	7,100.78	92.1760	6,750.13	-350.65	41.29		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-98 CL-98-SX 4.326% 12/25/34 B/E Factor: 0.66960295 DTD 10/26/05 *Security Identifier:* 31394UGN6									

2651

S&Y STE E & YOUNGBERG
One Bush Building
San Francisco, California 94111 (415) 445-3500

[redacted]

Individual Retirement Account Statement

Statement Period: 06/01/2007 - 06/30/2007

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset-Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC *(continued)*									
20,000.000	11/25/05	97.2500	13,023.79	82.5460	11,054.61	-1,969.18	46.68		
Original Cost Basis: $18,510.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER 2950 CL 2950-SY 3.1836% 03/15/35 B/E Factor: 0.40668150									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	08/27/05	100.0000	6,100.24	85.8340	5,235.07	-864.17	15.64		
Original Cost Basis: $14,803.19									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER 2968 CL 2968-SC 11.330% 12/15/34 B/E Factor: 0.29461354									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	2,916.67	82.6440	2,434.80	-481.87	26.89		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER 3063 CL 3063-SY 3.410% 05/15/33 B/E Factor: 0.34333521									
DTD 11/15/05 CLB									
Security Identifier: 31396DF4									
10,000.000	01/31/06	96.7500	3,321.77	92.7690	3,185.09	-136.68	9.43		
Original Cost Basis: $9,182.05									
FNMA-GTD REMIC PASS THRU CTF REMIC									
TR 2006-111 CL-111-TI "INV" Factor, 0.80147844									
8.000% 06/25/36 B/E DTD 10/25/06									
Security Identifier: 31398ESC5									
15,000.000	10/13/06	98.7500	11,871.91	92.7200	11,146.96	-724.95	77.48		
Original Cost Basis: $14,812.50									

[redacted]

RAISE RATED FOR CONSERVATORS

Account Number [redacted]
IRA FBO JAMES MCDERMOTT SR

[redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Company, Inc
Member NASD, NYSE, SIPC

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3172 CL-3172-CS INV FLTR Factor: 0.82978703 3.71296 06/15/36 B/E DTD 06/15/06 CLB									
Security Identifier 31396RXG9									
12,000.000	05/22/07	85.0000	8,463.83	74.9870	7,466.79	-997.04	25.76		
Original Cost Basis: $8,515.93									
FHLMC CTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E Factor: 0.97706414 DTD 01/01/07 CLB									
Security Identifier 31397EUE3									
7,000.000	01/19/07	98.5000	6,736.85	85.0500	5,816.95	-919.90	44.08		
Original Cost Basis: $6,895.00									
Total Asset Backed Securities			$104,229.10		$88,650.21	-$15,577.89	$460.17	$0.00	
Corporate Bonds									
BARCLAYS BK PLC 8.000% 06/27/22 B/E DTD 06/27/07 CALLABLE 06/27/08 @ 100.000 1ST CPN DTE 09/27/07 CPN PMT QUARTERLY ON M,J,S,D 27									
Security Identifier 06738CEG1									
7,000.000	06/18/07	100.0000	7,000.00	N/A	0.00	N/A	0.00		
Original Cost Basis: $7,000.00									
15,000.000	06/18/07	100.0000	15,000.00	N/A	0.00	N/A	0.00		
Original Cost Basis: $15,000.00									
22,000.000	**Total**		$22,000.00		$0.00	N/A	$0.00	$0.00	
Total Corporate Bonds									
22,000.000			$22,000.00		$0.00	$0.00	$0.00	$0.00	
Total Fixed Income									
28,000.000			$132,228.10		$94,649.97	-$15,578.13	$460.17	$480.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$133,275.78	$95,697.65	-$15,579.13	$460.17	$550.94

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided with the corresponding tax lot

2653

ansactions by Type of Activity

xes/ ttlement ate	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
ecurities Bought and Sold							
06/27/07	06/18/07	PURCHASED	BARCLAYS BK PLC 0.00625% 06/27/22 B/E DTD 06/27/07 CLB-996-RANGE NOTE	7,000.000	100.0000		-7,000.00
06/29/07	06/18/07	CORRECTED PURCHASE	BARCLAYS BK PLC 0.00625% 06/27/22 B/E DTD 06/27/07 CLB-996-RANGE NOTE CORRECTS0 CONFIRM	15,000.000	100.0000		-15,000.00
Total Securities Bought and Sold							-$22,000.00
Cash Withdrawals and Deposits							
06/21/07		CUSTODIAL TRANSFER OF FUNDS	TRUSTEE TRANSFER BARTEORD CY#1566				15,539.63
Total Cash Withdrawals and Deposits							$15,539.63
Dividends and Interest							
06/15/07		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" 3287-BB-SP 10.0000% 10/15/03-B/E DTD 10/15/03 RD 05/31 PD 06/15/07				35.83
06/15/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2950 CL 2950-SV 3.1050% 09/15/35 B/E DTD 03/15/05 RD 05/31 PD 06/15/07				16.97
06/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2968 CL 2968-SC 11.3304% 12/15/34 B/E DTD 04/01/05 CLB RD 05/31 PD 06/15/07				6.56
06/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3263 CL 3263-SY 3.410% 05/15/33-B/E DTD 11/15/05 CLB RD 05/31 PD 06/15/07				10.63
06/15/07		BOND INTEREST RECEIVED	12000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3172 CL 3172-CS INV FLTR 0.000% 06/15/36 B/E DTD 06/15/06 CLB RD 05/31 PD 06/15/07				26.80
06/15/07		BOND INTEREST RECEIVED	7000 FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.0000% 08/15/35 B/E DTD 01/01/07 CLB RD 05/31 PD 06/15/07				45.82
06/19/07	06/18/07	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				17.35
06/25/07		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.4649% 11/25/35 B/E DTD 09/01/05 RD 05/31 PD 06/25/07				157.14

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
06/25/07		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 05/31 PD 06/25/07				43.57
06/25/07		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-98 CL-98-SX 4.376% 12/25/34 B/E DTD 10/25/05 RD 06/31 PD 06/26/07				49.18
06/25/07		BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC TR 2006-111 CL-111-TJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 05/31 PD 06/25/07				84.09
Total Dividends and Interest							**$473.96**
Distributions							
06/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2950 CL-2950-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 05/31 PD 06/15/07				299.15
06/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2968 CL-2968-SC 17.339% 12/15/34 B/E DTD 04/01/05-CLB RD 05/31 PD 06/15/07				213.22
06/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05-CLB RD 05/31 PD 06/15/07				306.67
06/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3172 CL-3172-CS INV FLTR 0.000% 06/15/36 B/E DTD 06/15/06 CLB RD 05/31 PD 06/15/07				61.29
06/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07-CLB RD 06/31 PD 06/15/07				33.99
06/25/07		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 05/31 PD 06/25/07				257.08

2655

[redacted]

[redacted]

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
06/25/07		PRINCIPAL PAY DOWN RECEIVED	FANNIE CTO REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WF INV FLTR 7.00000 11/25/35 B/E DTD 10/01/05. RD 05/31 PD 06/25/07				145.65
06/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA CTO REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.32800 12/25/34 B/E DTD 10/25/05 RD 05/31 PD 06/25/07				247.69
06/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA CTO REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.00000 06/25/36 B/E DTD 10/25/06 RD 05/31 PD 06/25/07				590.73
Total Distributions							**$2,155.47**
FDIC Insured Bank Deposits							
06/18/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,036.95
06/18/07	06/18/07	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-17.35
06/22/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-15,539.63
06/27/07		MONEY MARKET WITHDRAWAL	RESERVE INS DEPOSITS				5,424.87
Total FDIC Insured Bank Deposits							**-$11,169.06**
Total Value of all Transactions							**-$15,000.00**

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	94,649.97	100%
Total	**$94,649.97**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

[redacted]

[redacted]

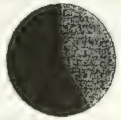
Clearing Through Pershing LLC, a subsidiary of The Bank of New York Co. Inc
Member NASD NYSE SIPC

2656

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	39,117.08	41%
Not Rated	55,532.89	59%
Total	$94,649.97	100%

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: AMB802394 . . . Activity Ending: 06/29/07				
06/01/07	Opening Balance		4,878.62	4,878.62
06/17/07	Deposit	INTEREST CREDITED	17.35	4,895.97
06/18/07	Deposit	DEPOSIT	1,036.95	5,932.92
06/18/07		APYE 4.58		5,932.92
06/18/07		COUNTRYWIDE-BANK		5,932.92
06/18/07		A/O 06/18 $1,036.95		5,932.92
06/18/07		WASHINGTON MUTUAL		5,932.92
06/18/07		A/O 06/18 $4,895.97		5,932.92
06/22/07	Deposit	DEPOSIT	15,539.63	21,472.55
06/27/07	Withdrawal	WITHDRAWAL	-5,424.87	16,047.68
06/29/07	**Closing Balance**			**$16,047.68**
Total FDIC Insured Bank Deposits				**$16,047.68**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $100,000 for each category of legal ownership and $250,000 for each individual retirement account and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

[redacted]

Retirement Account Transactions

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	0.00	0.00	-512.68
Total Contributions	$0.00	$0.00	$0.00	-$512.68
Distributions				
Excess Contribution	0.00	0.00	0.00	-500.00
Excess Contribution Income	0.00	0.00	0.00	-12.68
Total Distributions	$0.00	$0.00	$0.00	-$512.68

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/08/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 289.38	31396EJF4	10,000.000	289.38	299.10	9.72
01/11/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 147.50	31394UER9	10,000.000	147.50	152.11	4.61
01/11/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 285.06	31396LSC5	15,000.000	285.06	288.66	3.60
02/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 52.00	31394UER9	10,000.000	52.00	53.62	1.62
02/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 288.82	31396LSC5	15,000.000	288.82	292.47	3.65
03/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 60.35	31397EUE3	7,000.000	60.35	61.26	0.91
03/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 55.73	31394UER9	10,000.000	55.73	57.47	1.74
03/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 386.87	31396LSC5	15,000.000	386.87	391.77	4.90
04/09/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 31.69	31397EUE3	7,000.000	31.69	32.16	0.47

[redacted] BARRAR BATES Account Number [redacted] IRA FBO BARRS MITTERMAYER GP [redacted] Clearing Through Pershing LLC, a subsidiary of The Bank of New York Co ny Inc.

2658

Statement Period: 06/01/2007 - 06/30/2007

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/11/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 40.92	31394UER9	10,000.000	40.92	42.19	1.27
04/11/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 349.91	31396LSC5	15,000.000	349.91	354.34	4.43
05/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 32.63	31397EUE3	7,000.000	32.63	33.12	0.49
05/11/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 440.35	31396LSC5	15,000.000	440.35	445.92	5.57
06/07/07	05/22/07	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 52.10	31396R0F9	12,000.000	52.10	61.29	9.19
06/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 33.48	31397EUE3	7,000.000	33.48	33.99	0.51
06/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 583.34	31396LSC5	15,000.000	583.34	590.72	7.38
Total Short Term						$3,130.13	$3,190.19	$60.06
Long Term								
01/08/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 266.09	31395PXU1	15,000.000	266.09	266.08	-0.01
01/08/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 126.78	31395RSP4	10,000.000	126.78	128.06	1.28
01/11/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 176.60	31394UGN6	20,000.000	176.60	181.59	4.99
01/23/07	09/15/05	RPP	GNMBS INC MTG PASS THRU CTF Original Cost Basis: 300.85	126694MR6	50,000.000	300.85	300.84	-0.01

2659

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Date Sold	Date Acquired	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
02/02/07	09/29/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 82.11	31395PXU1	15,000.000	82.11	82.11	0.00
02/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 203.16	31396EJF4	10,000.000	203.16	209.97	6.81
02/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 258.24	31394UGN6	20,000.000	258.24	265.54	7.30
02/23/07	09/15/05	RPP	CWABS INC MTG PASS THRU CTF Original Cost Basis: 1,191.08	126694MR6	50,000.000	1,191.08	1,191.08	0.00
03/07/07	09/29/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 323.31	31395PXU1	15,000.000	323.31	323.31	0.00
03/07/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 107.82	31395RSP4	10,000.000	107.82	108.90	1.08
03/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 258.73	31396EJF4	10,000.000	258.73	267.42	8.69
03/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 213.98	31394UGN6	20,000.000	213.98	220.03	6.05
03/23/07	09/15/05	RPP	CWABS INC MTG PASS THRU CTF Original Cost Basis: 247.60	126694MR6	50,000.000	247.60	247.60	0.00
04/09/07	09/29/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 174.77	31395PXU1	15,000.000	174.77	174.77	0.00
04/09/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 326.26	31395RSP4	10,000.000	326.26	329.55	3.29
04/09/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 424.38	31396EJF4	10,000.000	424.38	438.63	14.25
04/11/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 19.07	31394UGN6	20,000.000	19.07	19.60	0.53
04/24/07	09/15/05	RPP	CWABS INC MTG PASS THRU CTF Original Cost Basis: 427.44	126694MR6	50,000.000	427.44	427.44	0.00

[redacted] Account Number [redacted] IRA FBO JAMES MCDERMOTT SR [redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Co., Inc.
Member NYSE/FINRA/SIPC

2660

Statement Period: 06/01/2007 - 06/30/2007

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
05/07/07	09/22/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 158.96	31395PX01	15,000.000	158.96	158.96	0.00
05/07/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 233.57	31395RSP4	10,000.000	233.57	235.92	2.35
05/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 426.45	31396EJF4	10,000.000	426.45	440.77	14.32
05/11/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 36.45	31394UER9	10,000.000	36.45	37.58	1.13
05/22/07	04/29/05	SELL	CITICORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 8,603.80	172973T90	20,000.000	8,603.80	8,513.85	-89.95
05/25/07	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 508.19	126694MR6	50,000.000	508.19	508.18	-0.01
05/25/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 279.99	31394UGN6	20,000.000	279.99	287.90	7.91
06/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 299.15	31395PX01	15,000.000	299.15	299.15	0.00
06/07/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 211.09	31395RSP4	10,000.000	211.09	213.22	2.13
06/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 296.70	31396EJF4	10,000.000	296.70	306.66	9.96
06/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 141.23	31394UER9	10,000.000	141.23	145.65	4.42
06/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 240.88	31394UGN6	20,000.000	240.88	247.68	6.80

2661

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Gains Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (Continued)								
04/25/07	09/15/05	RPA	GMMB-INC NOTE PASS THRU CTF Original Cost Basis, 257.08	126694M86	50,000.000	257.08	257.08	0.00
Total Long Term						$16,621.81	$16,835.12	$13.31
Total Short Term and Long Term						$19,951.96	$20,025.31	$73.37

The information provided is meant as a general guide and should not be relied upon in the preparation of tax returns. No one associated with Pershing is authorized to give tax advice.

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the security description.

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE.

YOU ARE ADVISED TO REPORT PROMPTLY ANY INACCURACY OR DISCREPANCY IN YOUR ACCOUNT (INCLUDING UNAUTHORIZED TRADING) TO YOUR FINANCIAL ORGANIZATION AND PERSHING, THE CUSTODIAN OF YOUR ACCOUNT. PLEASE BE ADVISED THAT ANY ORAL COMMUNICATION SHOULD BE RE-CONFIRMED IN WRITING TO FURTHER PROTECT YOUR RIGHTS, INCLUDING YOUR RIGHTS UNDER THE SECURITIES INVESTOR PROTECTION ACT. YOUR FINANCIAL ORGANIZATION'S CONTACT INFORMATION CAN BE FOUND ON THE FIRST PAGE OF THIS STATEMENT. PERSHING'S CONTACT INFORMATION IS AS FOLLOWS:
PERSHING LLC
LEGAL DEPARTMENT
ONE PERSHING PLAZA
JERSEY CITY, NEW JERSEY 07399
(201) 413-3300

IT IS NOT TOO EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2007 TAX YEAR. THE MAXIMUM CONTRIBUTION LIMIT IS $4,000. INDIVIDUALS AGED 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $1,000 FOR THE 2007 TAX YEAR.

WHEN MAKING [redacted] THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

[redacted]

[redacted] Account Number [redacted] [redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Co... Inc

2662

STO... &
YOUNGBERG
One Ferry Building
San Francisco, California [illegible]

[redacted]

Individual Retirement Account Statement

[redacted]

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
[redacted]

Account Number: [redacted]
Statement Period: 07/01/2007 - 07/31/2007

Valuation at a Glance

	This Period
Beginning Account Value	$95,697.65
Dividends/Interest	595.54
Change in Account Value	29,063.94
Ending Account Value	$125,356.93

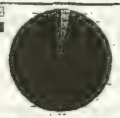
Your Investment Executive:
[redacted]

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	5,964.65	1,047.68	3,285.55	3%
Fixed Income	103,657.07	94,649.97	122,071.38	97%
Account Total (Pie Chart)	$109,621.72	$95,697.65	$125,356.93	100%

[1] Includes FDIC insured bank deposits



Asset Allocation percentages are rounded to the nearest whole percentage.
Pie Chart allocation excludes all asset classes which rounds to a zero by

DELIVERED FOR COMMUNICATION

[redacted]

Clearing through Pershing LLC, a subsidiary of The Bank of New York Company, Inc.
Member NASD, NYSE, SIPC

2663

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	3.90	63.90	-1,847.12
Long-Term Gain/Loss	18.68	31.99	-6,689.65
Net Gain/Loss	22.58	95.95	-8,536.78

This summary excludes transactions where cost basis information is not available.

Required Minimum Distribution Summary

	Calculated Amount
Prior Year-End Fair Market Value ("FMV"):	$109,631.72
Calculation Factor:	26.5
Participant DOB: 12/1936 Beneficiary DOB: 00/0000	
Beneficiary Relationship: NON-PERSON	
Amount Required to be Withdrawn for 2007:	$4,136.66
Amount Withdrawn Year to Date	$0.00
The Remaining Amount You Are Required to Withdraw for 2007:	$4,136.66

Detailed Information Regarding Your Required Minimum Distribution Summary

According to our records, you are required under federal tax law to take an annual minimum distribution from your retirement account. These required minimum distributions (RMDs) usually begin in the year you reach the age of 70 1/2. Failure to take the RMD when required may result in a 50% excise tax imposed on the amount you did not take.

You are allowed to take your RMD in cash or securities. For a distribution to be made, sufficient cash or securities must be available in your retirement account when we receive your distribution request and for subsequent distributions. If you changed your beneficiary after January 1 of this year and your new or prior beneficiary is your spouse who is more than ten years younger than you, you may need to recalculate the RMD amount stated above.

If you have more than one traditional individual retirement account (IRA), including SEP and SIMPLE IRAs, the RMD for these accounts can be aggregated and removed from a single traditional IRA. This exception does not apply to multiple qualified retirement plans (QRPs) such as profit sharing, money purchase pension, 401(k), and defined benefit plans. RMDs for QRPs must be removed from each QRP account.

NOTE: Any outstanding rollovers or recharacterizations that were not in your account on December 31 of the prior year should be added to your year-end fair market value for the previous year to calculate your [redacted]. Also, when taking your RMD, please consider any scheduled distribution amounts you have established which may allow you to automatically meet all or part of your RMD. Please [redacted] interested in establishing instructions.

Using the information on file, your RMD was determined by dividing your fair market value by a calculation factor determined by the Internal Revenue Service (IRS). The factor is based on your age at the end of the year and/or spouse relationship of your beneficiary(ies). We strongly suggest that you verify this calculation with your tax professional, as there may be other pertinent information that could impact your RMD amount. To initiate your RMD, contact your investment professional for the appropriate withdrawal form.

2664

STO, & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-3100

[redacted]

Individual Retirement Account Statement

Statement Period: 07/01/2007 - 07/31/2007

Customer Service Information

[redacted]

Contact Information
Telephone Number: [redacted]
Fax Number: [redacted]

Customer Service Information
Web Site: www.bayboonds.com

Prior Year-End Fair Market Value: $109,621.72 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-54.05
Total Accrued Interest Paid	**$0.00**	**-$54.05**
Accrued Interest Received		
Asset Backed Securities	0.00	16.82
Total Accrued Interest Received	**$0.00**	**$16.82**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	447.48	3,219.73
FDIC Insured Bank Deposits	28.19	107.13
Other Interest	119.67	119.67
Total Dividends, Interest, Income and Expenses	**$595.34**	**$3,446.53**
Distributions		
Other Distributions	1,642.53	13,269.05
Total Distributions	**$1,642.53**	**$13,269.05**

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 3.00% of Portfolio									
Cash Balance				-15,000.00	0.00				
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
3,285.550	06/30/07	ANB802394	07/31/07	16,047.68	3,285.55	4.73	107.13	N/A	4.48%
Total FDIC Insured Bank Deposits				**$16,047.68**	**$3,285.55**	**$4.73**	**$107.13**		
Total Cash and Cash Equivalents				**$1,047.68**	**$3,285.55**	**$4.73**	**$107.13**		

2665

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 87.00% of Portfolio *(in CUSIP Sequence)*									
Certificates of Deposit									
JPMORGAN CHASE BK N A NEW YORK N Y CTF DEP 6.000% 03/30/22 B/E DTD 03/30/07 CALLABLE 03/30/08 @ 100.000 1ST CPN DTE 06/30/07 CPN PMT QUARTERLY ON MJSD 30 *Moody Rating AAA S & P Rating AA* *Security Identifier:* 48121CRY4									
6,000.000	03/27/07	100.0000	6,000.00	99.9990	5,999.94	-0.06	41.33	480.00	8.00%
Original Cost Basis: $6,000.00									
Total Certificates of Deposit									
6,000.000			**$6,000.00**		**$5,999.94**	**-$0.06**	**$41.33**	**$480.00**	
Asset-Backed Securities									
CWHEQ INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" Factor: 0.85195536 4.464% 11/25/35 B/E DTD 09/01/05 *Moody Rating AAA S & P Rating AAA* *Security Identifier:* 126694MR6									
50,000.000	09/15/05	100.0000	41,597.78	91.0390	37,870.19	-3,727.59	154.76		
Original Cost Basis: $50,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.10929137 DTD 10/15/03 *Security Identifier:* 31394K4U5									
25,000.000	11/16/04	100.0000	2,732.28	97.3010	2,658.54	-73.74	22.77		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR Factor: 0.72443525 7.000% 11/25/35 B/E DTD 10/01/05 *Security Identifier:* 31394JER9									
10,000.000	04/18/06	96.9640	7,024.42	96.2570	6,973.18	-51.24	42.26		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E Factor: 0.65530869 DTD 10/25/05 *Security Identifier:* 31394UGV8									

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset-Backed Securities (continued)									
FNMA GTD REMIC PASS-THRU CTF REMIC *(continued)*									
20,000.000	11/25/05	97.2500	12,745.27	87.6290	11,484.81	-1,260.96	47.26		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER 2950 CL 2950-SV 3 1834% 03/15/35 B/E Factor: 0.38914209									
DTD 03/15/05									
Security Identifier: 31395RXU1									
15,000.000	05/27/05	100.0000	5,837.15	83.4140	4,868.99	-968.16	15.48		
Original Cost Basis: $14,805.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER 2968 CL 2968-SC 11.3304% 12/15/34 B/E Factor: 0.28198334									
DTD 04/01/05 CLB									
Security Identifier: 31396RSP4									
10,000.000	04/25/05	99.0000	2,791.63	82.6650	2,331.02	-460.61	26.62		
Original Cost Basis: $2,800.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER 3063 CL 3063-SY 3.4110% 05/15/33 B/E Factor: 0.32126001									
DTD 11/16/05 CLB									
Security Identifier: 31398JF4									
10,000.000	01/31/06	96.7500	3,108.19	92.1630	2,960.83	-147.36	9.13		
Original Cost Basis: $9,182.05									
FNMA GTD REMIC PASS-THRU CTF REMIC									
TR 2006-T11 CL 1 1 D "IO/V" Factor: 0.78517644									
8.0000% 06/25/36 B/E DTD 10/25/06									
Security Identifier: 31398JSC5									
15,000.000	10/13/06	98.7500	11,600.81	94.8690	11,144.88	-455.93	78.32		
Original Cost Basis: $14,812.50									

00035240SR1003

PAPER BASED for convenience

Account Number [redacted]
IRA FBO JAMES MCDERMOTT SR

[redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Company, Inc.
Member NASD NYSE SIPC

2667

[redacted]

Portfolio Holdings *(continued)*

Country	Acquisitions Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG.PARTN.CTFS GTD SER 3172 CL 3172-CS INV FLTR Factor: 0.82978703 3.212% 06/15/36 B/E DTD 06/15/06 CLB									
Security Identifier: 31396F009									
12,000.000	05/22/07	85.0000	8,463.83	78.0500	7,771.79	692.04	28.65		
Original Cost Basis: $8,515.93									
FHLMC GTD REMIC-PASS-THRU-GTFS-REMIC SER 3263 CL 3263 TM 0.00000% 06/15/35 B/E Factor: 0.97263267 DTD 01/01/07 CLB									
Security Identifier: 31397UEJ5									
7,000.000	01/19/07	98.5000	6,706.30	88.2520	6,007.21	-699.09	45.39		
Original Cost Basis: $6,895.00									
Total Asset Backed Securities			$102,608.16		$94,071.44	-$8,536.72	$468.64	$0.00	
Corporate Bonds									
BARCLAYS BK PLC 0.000% 06/27/22 B/E DTD 06/27/07 CALLABLE 06/27/08 @ 100.000 1ST CPN DTE 06/27/07 CPN PMT QUARTERLY ON M,J,S,D 27									
Security Identifier: 06738UEG1									
7,000.000	06/18/07	100.0000	7,000.00	100.0000	7,000.00	0.00	0.00		
Original Cost Basis: $7,000.00									
15,000.000	06/18/07	100.0000	15,000.00	100.0000	15,000.00	0.00	0.00		
Original Cost Basis: $15,000.00									
22,000.000	Total		$22,000.00		$22,000.00	$0.00	$0.00	$0.00	
Total Corporate Bonds									
22,000.000			$22,000.00		$22,000.00	$0.00	$0.00	$0.00	
Total Fixed Income									
28,000.000			$130,608.16		$122,071.38	-$8,536.78	$509.97	$480.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$133,893.71	$125,356.93	-$8,536.78	$509.97	$587.13

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided with the corresponding tax lot.

[redacted]

[redacted]

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
07/03/07		BOND INTEREST RECEIVED	6000 JPMORGAN CHASE BK N A NEW YORK N Y CTF DEP 8 000% 03/30/22 B/E DTD 03/30/07 CLB RD 06/17 PD 07/02/07				119.67
07/16/07		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10 000% 10/15/33 B/E DTD 10/15/03 RD 06/29 PD 07/15/07				15.83
07/16/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950 SV 5 18396 03/15/35 B/E DTD 03/15/05 RD 06/29 PD 07/15/07				16.18
07/16/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968 SC 11 3304% 12/15/34 B/E DTD 04/01/05 CLB RD 06/29 PD 07/15/07				6.12
07/16/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.41046 05/15/33 B/E DTD 11/15/05 CLB RD 06/29 PD 07/15/07				9.76
07/16/07		BOND INTEREST RECEIVED	12000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3172 CL-3172-CS INV FLTR 3 212% 06/15/36 B/E DTD 06/15/06 CLB RD 06/29 PD 07/15/07				26.65
07/16/07		BOND INTEREST RECEIVED	7000 FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8 000% 08/15/35 B/E DTD 01/01/07 CLB RD 06/29 PD 07/15/07				45.60
07/17/07	07/16/07	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				28.19
07/25/07		BOND INTEREST RECEIVED	50000 CHASES INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4 464% 11/25/35 B/E DTD 05/01/05 RD 06/29 PD 07/25/07				156.18
07/25/07		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95 WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 06/29 PD 07/25/07				42.72

A00675 1KCSF11079 PAGE 8 OF 12 Account Number [redacted] IRA CDD JAMES RICHARDSON TTEE CD [redacted] Clearing Through Pershing LLC, a Subsidiary of The Bank of New York Corp. Member NASD NYSE SIPC

STOLL & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2900

[redacted]

Individual Retirement Account Statement

Statement Period: 07/01/2007 - 07/31/2007

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
07/25/07		BOND INTEREST RECEIVED	20000 FNMA CTO REMIC PASS THRU CTF REMIC SER 2005-88 CL-98-SX 4.234% 12/25/34 B/E DTD 10/26/06 RD 06/29 PD 07/25/07				48.29
07/25/07		BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC SER 2006-111 CL-111-TI "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 06/29 PD 07/25/07				88.15
Total Dividends and Interest							$595.34
Distributions							
07/16/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER 2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 06/29 PD 07/15/07				0.01
07/16/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2950 CL-2950-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 06/29 PD 07/15/07				263.09
07/16/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2968 CL 2968-SC TI 3.5086 12/15/34 B/E DTD 04/01/05 CLB RD 06/29 PD 07/15/07				126.30
07/16/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 06/29 PD 07/15/07				220.75
07/16/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3265 CL 3265-TM 8.000% 02/15/35 B/E DTD 01/01/07 CLB RD 06/29 PD 07/15/07				31.02
07/25/07		RETURN OF PRINCIPAL RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.466% 11/25/35 B/E DTD 09/01/05 RD 06/29 PD 07/25/07				362.19
07/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WE INV FLTR 7.200% 11/25/35 B/E DTD 10/31/05 RD 06/29 PD 07/25/07				78.75

[redacted]

BAY AREA GUILD FOR COMMUNICATION

Account Number [redacted]
IRA FBO JAMES MCDERMOTT SR

[redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Company, Inc
Member NASD, NYSE SIPC

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
07/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-98 CL 98-SX 4.526% 12/25/34 B/E DTD 10/25/05 RD 06/29 PD 07/25/07				285.89
07/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC TR 2006-111 CL 111-1 "INV" 8.000% 06/25/26 B/E DTD 10/25/06 RD 06/29 PD 07/25/07				274.53
Total Distributions							**$1,642.53**
FDIC Insured Bank Deposits							
07/02/07		MONEY MARKET WITHDRAWAL	RESERVE INS DEPOSITS				15,000.00
07/05/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-119.67
07/17/07	07/16/07	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				28.19
07/17/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-761.31
07/26/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-1,328.70
Total FDIC Insured Bank Deposits							**$12,762.13**
Total Value of all Transactions							**$15,000.00**

The price and quantity displayed may have been rounded

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	122,071.38	100%
Total	**$122,071.38**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

2671

STO... & YOUNGBERG
One Bora Building
Ann Arbor, Colorado 34731 (419) 645 3700

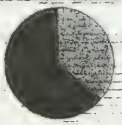
[redacted]

Individual Retirement Account Statement

Statement Period: 07/01/2007 - 07/31/2007

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	43,870.13	35%
Not Rated	78,201.25	65%
Total	$122,071.38	100%



Percentages of bond market values are rounded to the nearest whole percentage.

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: AN8802398...	Activity Ending: 07/31/07			
06/30/07	Opening Balance		16,047.68	16,047.68
07/02/07	Withdrawal	WITHDRAWAL	-15,000.00	1,047.68
07/05/07	Deposit	DEPOSIT	118.67	1,167.35
07/15/07	Deposit	INTEREST CREDITED	28.19	1,195.54
07/16/07		APPL 4.54		1,195.54
07/16/07		WASHINGTON MUTUAL		1,195.54
07/16/07		A/O 07/16 $1,195.54		1,195.54
07/17/07	Deposit	DEPOSIT	-764.31	1,956.85
07/26/07	Deposit	DEPOSIT	1,328.70	3,285.55
07/31/07	**Closing Balance**			**$3,285.55**
Total FDIC Insured Bank Deposits				**$3,285.55**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $100,000 for each category of legal ownership and $250,000 for each individual retirement account and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

[redacted]

DATAMATRIX FOR COMMUNICATION

Account Number: [redacted]
IRA FBO JAMES MCDERMOTT SR

[redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Company Inc
Member NASD NYSE SIPC

[redacted]

Retirement Account Transactions

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	0.00	0.00	512.68
Total Contributions	**$0.00**	**$0.00**	**$0.00**	**$512.68**
Distributions				
Excess Contribution	0.00	0.00	0.00	-500.00
Excess Contribution Income	0.00	0.00	0.00	-12.68
Total Distributions	**$0.00**	**$0.00**	**$0.00**	**-$512.68**

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Messages

IT IS NOT TOO EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2007 TAX YEAR. THE MAXIMUM CONTRIBUTION LIMIT IS $ 4,000. INDIVIDUALS AGED 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $1,000 FOR THE 2007 TAX YEAR.

WHEN MAKING YOUR ANNUAL CONTRIBUTION, PLEASE INDICATE IN WRITING THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC)

YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE

PERSHING'S PARENT COMPANY, THE BANK OF NEW YORK COMPANY, INC., HAS MERGED WITH MELLON FINANCIAL CORPORATION, CREATING A NEW ENTITY, THE BANK OF NEW YORK MELLON CORPORATION

THIS CHANGE WILL NOT AFFECT THE STATUS OF YOUR ACCOUNT AND WILL HAVE NO IMPACT ON PERSHING'S CAPITAL, MANAGEMENT, OPERATIONS, OR THE SAFEKEEPING OF YOUR ASSETS WITH PERSHING. PERSHING REMAINS AN AFFILIATE OF THE BANK OF NEW YORK, WHICH IS ALSO A SUBSIDIARY OF THE BANK OF NEW YORK MELLON CORPORATION.

2673

STG & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

Individual Retirement Account Statement

[redacted]

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
[redacted]

Account Number: [redacted]
Statement Period: 08/01/2007 - 08/31/2007

Valuation at a Glance

	This Period
Beginning Account Value	$125,356.93
Dividends/Interest	451.80
Change in Account Value	2,818.85
Ending Account Value	$128,627.58

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	5,964.65	5,285.55	6,242.98	5% [redacted]
Fixed Income	103,657.07	122,071.38	122,384.60	95% [redacted]
Account Total (Pie Chart)	$109,621.72	$125,356.93	$128,627.58	100%

[1] Includes FDIC insured bank deposits.

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a zero/dry.

[redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Company, Inc.
Member NASD NYSE SIPC

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	13.24	77.20	-1,165.36
Long-Term Gain/Loss	16.22	48.21	-4,582.10
Net Gain/Loss	29.46	125.41	-5,747.46

This summary excludes transactions where cost basis information is not available

Required Minimum Distribution Summary

	Calculated Amount
Prior Year-end Fair Market Value ("FMV"):	$109,921.72
Calculation Factor Participant DOB: 12/1936 Beneficiary DOB: 00/0000 Beneficiary Relationship: NON PERSON	26.5
Amount Required to be Withdrawn for 2007:	$4,136.66
Amount Withdrawn Year to Date:	50.00
The Remaining Amount You Are Required to Withdraw for 2007:	$4,136.66

Disclaimers and Other Important Information Regarding Your Required Minimum Distribution Summary

According to our records, you are required under federal tax law to take an annual minimum distribution from your retirement account. These required minimum distributions (RMDs) usually begin in the year you reach the age of 70 1/2. Failure to take the RMD when required may result in a 50% excise tax imposed on the amount you did not take

You are allowed to take your RMD in cash or securities. For a distribution to be made, sufficient cash or securities must be available in your retirement account when we receive your distribution request and for subsequent distributions. If you changed your beneficiary after January 1 of this year and your new or prior beneficiary is your spouse who is more than ten years younger than you, you may need to recalculate the RMD amount stated above.

If you have more than one traditional individual retirement account (IRA), including SEP and SIMPLE IRAs, the RMD for these accounts can be aggregated and removed from a single traditional IRA. This exception does not apply to individual qualified retirement plans (QRPs) such as profit sharing, money purchase pension, 401(k), and defined benefit plans. RMDs for QRPs must be removed from each QRP account.

NOTE: Any [redacted] account on December 31 of the prior year should be added to your year-end fair market value for the previous year to calculate your RMD for the current year. When taking your RMD, please consider any scheduled distribution amounts you have established which may allow you to automatically meet all or part of your RMD. Please contact us if you are interested in establishing instructions.

Using the information on our files, your RMD was determined by dividing your fair market value by a calculation factor determined by the Internal Revenue Service (IRS). The factor is based on your age at the end of the year and the age and relationship of your beneficiary(ies). We Strongly suggest that you verify this calculation with your tax professional, as there may be other pertinent information that could impact your RMD amount. To withdraw your RMD, contact your investment professional for the appropriate withdrawal form.

2675

STO... & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

[redacted]

Individual Retirement Account Statement

Statement Period: 08/01/2007 - 08/31/2007

Customer Service Information

[redacted]

Contact Information
Telephone Number: [redacted]
Fax Number: [redacted]

Customer Service Information
Web Site: www.baybonds.com

Prior Year-End Fair Market Value: $109,621.72 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-54.05
Total Accrued Interest Paid	$0.00	-$54.05
Accrued Interest Received		
Asset Backed Securities	0.00	16.82
Total Accrued Interest Received	$0.00	$16.82

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	441.01	3,660.74
FDIC Insured Bank Deposits	10.79	117.92
Other Interest	0.00	119.67
Total Dividends, Interest, Income and Expenses	$451.80	$3,898.33
Distributions		
Other Distributions	2,505.63	15,774.68
Total Distributions	$2,505.63	$15,774.68

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 5.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
6,242.980	08/01/07	ANB602394	08/31/07	3,285.55	6,242.98	10.86	117.92	N/A	4.48%
Total FDIC Insured Bank Deposits				$3,285.55	$6,242.98	$10.86	$117.92		
Total Cash and Cash Equivalents				$3,285.55	$6,242.98	$10.86	$117.92		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 95.00% of Portfolio *(In CUSIP Sequence)*									
Certificates of Deposit									
JPMORGAN CHASE BK N A NEW YORK N Y CTF DEP 8.000% 03/30/22 B/E DTD 03/30/07 CALLABLE 03/30/08 @ 100.000 1ST CPN DTE 06/30/07 CPN FMT QUARTERLY ON M,J,S,D 30 Moody Rating AAA S & P Rating AA *Security Identifier:* 48121CRY4									
6,000.000	03/27/07	100.0000	6,000.00	99.9860	5,999.16	-0.84	82.67	480.00	8.00%
Original Cost Basis: $6,000.00									
Total Certificates of Deposit									
6,000.000			**$6,000.00**		**$5,999.16**	**-$0.84**	**$82.67**	**$480.00**	
Asset Backed Securities									
CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-I-A-7 "INV" Factor: 0.80073711 4.464% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 126694MR6									
50,000.000	09/15/05	100.0000	40,036.87	94.1780	37,705.91	-2,330.96	148.96		
Original Cost Basis: $50,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD_"INV" SER 2688 CL-2688-SE 10.000% 10/15/33 B/E Factor: 0.10917464 DTD 10/15/03 *Security Identifier:* 31394K4U5									
25,000.000	11/16/04	99.9990	2,729.36	94.9500	2,591.53	-137.83	22.74		
Original Cost Basis: $15,500.36									
[redacted] **GTD REMIC PASS THRU CTF REMIC** [redacted] 95-WT INV FLTR Factor: 0.70776660 [redacted] 11/25/35 B/E DTD 10/01/05 *Security Identifier:* 31394JER9									
[redacted]	[redacted] 08/18/06	96.9640	6,862.81	95.1230	6,732.49	-130.32	41.29		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-68 CL-98 SX 4.326% 12/25/34 B/E Factor: 0.64994924 DTD 10/25/05 *Security Identifier:* 31394JGN6									

2677

STO . &
YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-1205

Individual Retirement Account Statement

Statement Period: 08/01/2007 - 08/31/2007

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC *(continued)*									
20,000.000	11/25/05	97.2500	12,641.53	90.9620	11,824.14	-817.39	46.87		
Original Cost Basis: $18,810.66									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER:2950 CL:2950-SY 3.183% 03/15/35 B/E Factor: 0.38164554									
DTD 03/15/05									
Security Identifier: 31389PXU1									
15,000.000	09/27/05	100.0000	5,724.70	87.1690	4,990.15	-734.55	15.18		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER:2968 CL:2968-SC 11.330% 12/15/34 B/E Factor: 0.27956233									
DTD 04/01/05 CLB									
Security Identifier: 31395RSP4									
10,000.000	04/25/05	99.0000	2,767.66	86.2880	2,412.29	-355.37	20.40		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER:3063 CL:3063-SY 3.410% 05/15/33 B/E Factor: 0.29657506									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									
10,000.000	01/31/06	96.7500	2,869.36	94.1980	2,793.68	-75.68	8.43		
Original Cost Basis: $9,182.05									
FNMA GTD REMIC PASS THRU CTF REMIC									
TR:2006-111 CL:111-TJ "INV" Factor: 0.77059902									
9.000% 06/25/36 B/E DTD 10/25/06									
Security Identifier: 31398ESC5									
15,000.000	10/13/06	98.7500	11,414.51	94.8640	10,965.32	-449.19	77.06		
Original Cost Basis: $14,812.50									

Clearing through Pershing LLC, a subsidiary of The Bank of New York Company, Inc.
Member NASD, NYSE, SIPC

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3172 CL 3172-CS INV FLTR Factor: 0.82595048 1.930% 06/15/36 B/E DTD 06/15/06 CLB									
Security Identifier 31396R6F9									
12,000.000	05/22/07	85.0000	8,404.50	83.7410	8,260.01	-124.29	15.91		
Original Cost Basis: $8,515.93									
FHLMC CTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E Factor: 0.96895761 DTD 01/01/07 CLB									
Security Identifier 31397EUE3									
7,000.000	01/16/07	98.5000	6,680.96	89.7860	6,089.92	-591.04	45.22		
Original Cost Basis: $6,895.00									
Total Asset Backed Securities			$100,132.06		$94,385.44	-$5,746.62	$448.06	$0.00	
Corporate Bonds									
BARCLAYS BK PLC 0.000% 06/27/22 B/E DTD 06/27/07 CALLABLE 06/27/08 @ 100.000 1ST CPN DTE 09/27/07 CPN PMT QUARTERLY ON M,J,S,D 27									
Security Identifier 06738GEK1									
7,000.000	06/18/07	100.0000	7,000.00	100.0000	7,000.00	0.00	0.00		
Original Cost Basis: $7,000.00									
15,000.000	06/18/07	100.0000	15,000.00	100.0000	15,000.00	0.00	0.00		
Original Cost Basis: $15,000.00									
22,000.000	**Total**		**$22,000.00**		**$22,000.00**	**$0.00**	**$0.00**	**$0.00**	
Total Corporate Bonds **22,000.000**			**$22,000.00**		**$22,000.00**	**$0.00**	**$0.00**	**$0.00**	
***Total Fixed Income** **28,000.000**			**$128,132.06**		**$122,384.60**	**-$5,747.46**	**$530.73**	**$480.00**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$134,375.04	$128,627.58	-$5,747.46	$530.73	$597.92

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided with the corresponding tax lot

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
08/15/07		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER 2688 CL 2688 SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 07/31 PD 08/15/07				15.85
08/15/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2950 CL 2950-SV 3.163% 03/15/35 B/E DTD 03/15/05 RD 07/31 PD 08/15/07				15.48
08/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2968 CL-2968-SC 11.5509% 12/15/34 B/E DTD 04/01/05 CLB RD 07/31 PD 08/15/07				5.88
08/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL-3063-SY 3.4109% 05/15/33 B/E DTD 11/15/05 CLB RD 07/31 PD 08/15/07				9.13
08/15/07		BOND INTEREST RECEIVED	12000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3172 CL-3172-CS INV FLTR 3.212% 06/15/36 B/E DTD 06/15/06 CLB RD 07/31 PD 08/15/07				26.65
08/15/07		BOND INTEREST RECEIVED	7000 FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RD 07/31 PD 08/15/07				45.39
08/17/07	08/16/07	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				10.79
08/27/07		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 07/31 PD 08/25/07				154.84
08/27/07		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 07/31 PD 08/25/07				42.26

Clearing through Pershing LLC, a subsidiary of The Bank of New York Corp.

2680

Statement Period: 08/01/2007 - 08/31/2007

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
08/27/07		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98 SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 07/31 PD 08/25/07				47.25
08/27/07		BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-EJ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 07/31 PD 08/25/07				78.32
Total Dividends and Interest							$451.80
Distributions							
08/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 07/31 PD 08/15/07				2.92
08/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.4834% 03/15/35 B/E DTD 03/15/05 RD 07/31 PD 08/15/07				112.45
08/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 07/31 PD 08/15/07				74.21
08/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 07/31 PD 08/15/07				240.85
08/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3172 CL-3172-CS INV FLTR 3.212% 06/15/36 B/E DTD 06/15/06 CLB RD 07/31 PD 08/15/07				70.04
08/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER-3263 CL-3263-TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RD 07/31 PD 08/15/07				25.33
08/27/07		RETURN OF PRINCIPAL RECEIVED	50000 CWABS INC MTG PASS THRU CTF SER-2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 07/31 PD 08/25/07				1,560.91

[redacted]

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
08/27/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER:2005-95 CL:95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 07/31 PD 08/25/07				166.67
08/27/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER:2005-98 CL:98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 07/31 PD 08/25/07				107.19
08/27/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC TR:2006-111 CL:111-TI "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 07/31 PD 08/25/07				188.66
Total Distributions							$2,585.63
FDIC Insured Bank Deposits							
08/15/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-600.54
08/17/07	08/16/07	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-10.79
08/29/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-7,346.10
Total FDIC Insured Bank Deposits							-$2,957.43
Total Value of all Transactions							$0.00

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	122,384.60	100%
Total	$122,384.60	100%

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

[redacted]

Percentages of bond market values are rounded to the nearest whole percentage.

[redacted] Account Number. [redacted] [redacted]

Clearing through Pershing LLC, a subsidiary of The Bank of New York Corp.

2682

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	43,705.07	36%
Not Rated	78,679.53	64%
Total	$122,384.60	100%

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section

Percentages of bond market values are rounded to the nearest whole percentage

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: AN-B602394	Activity Ending: 08/31/07			
08/01/07	Opening Balance		3,285.55	3,285.55
08/15/07	Deposit	INTEREST CREDITED	10.79	3,296.34
08/16/07	Deposit	DEPOSIT	600.54	3,896.88
08/16/07		APYE 4.48		3,896.88
08/16/07		COUNTRYWIDE BANK		3,896.88
08/16/07		A/O 08/16 $600.54		3,896.88
08/16/07		WASHINGTON MUTUAL		3,896.88
08/16/07		A/O 08/16 $3,296.34		3,896.88
08/28/07	Deposit	DEPOSIT	2,346.10	6,242.98
08/31/07	**Closing Balance**			**$6,242.98**
Total FDIC Insured Bank Deposits				**$6,242.98**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $100,000 for each category of legal ownership and $250,000 for each individual retirement account and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank

[redacted]

Retirement Account Transactions

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	0.00	0.00	512.68
Total Contributions	$0.00	$0.00	$0.00	$512.68
Distributions				
Excess Contribution	0.00	0.00	0.00	-500.00
Excess Contribution Income	0.00	0.00	0.00	-12.68
Total Distributions	$0.00	$0.00	$0.00	-$512.68

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).
YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE

PERSHING'S PARENT COMPANY, THE BANK OF NEW YORK COMPANY, INC., HAS MERGED WITH MELLON FINANCIAL CORPORATION, CREATING A NEW ENTITY, THE BANK OF NEW YORK MELLON CORPORATION.

THIS CHANGE WILL NOT AFFECT THE STATUS OF YOUR ACCOUNT AND WILL HAVE NO IMPACT ON PERSHING'S CAPITAL, MANAGEMENT, OPERATIONS, OR THE SAFEKEEPING OF YOUR ASSETS WITH PERSHING. PERSHING REMAINS AN AFFILIATE OF THE BANK OF NEW YORK, WHICH IS ALSO A SUBSIDIARY OF THE BANK OF NEW YORK MELLON CORPORATION.

[redacted]

[redacted]

[redacted]

[redacted] Account Number [redacted] [redacted] Clearing through Pershing LLC, a subsidiary of The Bank of New York Comp

2684

STONE & YOUNGBERG
One Jerry Building
San Francisco, California 94111 (415) 445-2300

[redacted]

[redacted]

Individual Retirement Account Statement

[redacted]

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
[redacted]

Account Number: [redacted]
Statement Period: 09/01/2007 - 09/30/2007

Valuation at a Glance

	This Period
Beginning Account Value	$128,627.58
Dividends/Interest	902.58
Change in Account Value	-195.56
Ending Account Value	$129,334.40

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	5,964.65	6,242.98	941.23	1%
Fixed Income	103,657.07	122,384.60	128,393.17	99%
Account Total	**$109,621.72**	**$128,627.58**	**$129,334.40**	**100%**

[1] Includes FDIC insured bank deposits

* Asset Allocation percentages are rounded to the nearest whole percent type

DATAGEN RATED PDF COMPATIBILITY

[redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member NYSE, SIPC, FINRA

ımary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
t-Term Gain/Loss	17.12	94.32	-1,613.60
g-Term Gain/Loss	14.91	63.12	-4,356.69
l Gain/Loss	**32.03**	**157.44**	**-5,970.29**

is summary excludes transactions where cost basis information is not available.

equired Minimum Distribution Summary

	Calculated Amount
Prior Year-end Fair Market Value ("FMV"):	$109,621.72
Calculation Factor:	26.5
Participant DOB: 12/1936 Beneficiary DOB: 00/0000	
Beneficiary Relationship: NON-PERSON	
Amount Required to be Withdrawn for 2007:	$4,136.66
Amount Withdrawn Year to Date:	$0.00
The Remaining Amount You Are Required to Withdraw for 2007:	$4,136.66

Disclaimers and Other Important Information Regarding Your Required Minimum Distribution Summary

According to our records, you are required under federal tax law to take an annual minimum distribution from your retirement account. These required minimum distributions (RMDs) usually begin in the year you reach the age of 70 1/2. Failure to take the RMD when required may result in a 50% excise tax imposed on the amount you did not take.

You are allowed to take your RMD in cash or securities. For a distribution to be made, sufficient cash or securities must be available in your retirement account when we receive your distribution request and for subsequent distributions. If you changed your beneficiary after January 1 of this year and your new or prior beneficiary is your spouse who is more than ten years younger than you, you may need to recalculate the RMD amount stated above.

If you have more than one traditional individual retirement account (IRA), including SEP and SIMPLE IRAs, the RMD for these accounts can be aggregated and removed from a single traditional IRA. This exception does not apply to multiple qualified retirement plans (QRPs) such as profit sharing, money purchase pension, 401(k), and defined benefit plans. RMDs for QRPs must be removed from each QRP account.

NOTE: Any contributions, rollovers or recharacterizations that were not in your account on December 31 of the prior year should be added to your year-end fair market value for the previous year to calculate your RMD for the current year. Also, when taking your RMD, please consider any scheduled distribution amounts you have established which may allow you to automatically meet all or part of your RMD. Please contact us if you are interested in establishing instructions.

Using the information on your Reg. your RMD was determined by dividing your fair market value by a calculation factor determined by the Internal Revenue Service (IRS). The factor is based on your age at the [redacted] and for the relationship of your beneficiary(ies). We Strongly suggest that you verify this calculation with your tax professional, as there may be other pertinent information that could impact your RMD amount. To withdraw your RMD, contact your investment professional for the appropriate withdrawal form.

STIFEL & YOUNGBERG

Individual Retirement Account Statement

Statement Period: 09/01/2007 - 09/30/2007

Customer Service Information

	Contact Information	Customer Service Information
[redacted]	Telephone Number: [redacted] Fax Number: [redacted]	Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $109,621.72 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	-4.79	-58.84
Total Accrued Interest Paid	**-$4.79**	**-$58.84**
Accrued Interest Received		
Asset Backed Securities	0.00	16.82
Total Accrued Interest Received	**$0.00**	**$16.82**

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	881.55	4,542.69
FDIC Insured Bank Deposits	20.43	138.35
Other Interest	0.00	119.67
Total Dividends, Interest, Income and Expenses	**$902.38**	**$4,800.71**
Distributions		
Other Distributions	1,194.82	16,969.50
Total Distributions	**$1,194.82**	**$16,969.50**

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 1.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
941.230	09/01/07	AN8802394	09/28/07	6,242.98	941.23	6.90	138.35	N/A	4.484%
Total FDIC Insured Bank Deposits				**$6,242.98**	**$941.23**	**$6.90**	**$138.35**		
Total Cash and Cash Equivalents				**$6,242.98**	**$941.23**	**$6.90**	**$138.35**		

[redacted]

Portfolio Holdings *(continued)*

Description	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 99.00% of Portfolio *(in CUSIP Sequence)*									
Certificates of Deposit									
JPMORGAN CHASE BK N A NEW YORK N Y CTF-DEP 6.000% 03/30/22 B/E DTD 03/30/07 CALLABLE 06/30/08 @ 100.000 1ST CPN DTE 06/30/07 CPN PMT QUARTERLY ON M,J,S,D 30 Moody Rating AAA S & P Rating AA *Security Identifier:* 48121CRY4									
6,000.000	03/27/07	100.0000	6,000.00	93.2500	5,595.00	-405.00	0.00	480.00	8.579%
Original Cost Basis: $6,000.00									
Total Certificates of Deposit **6,000.000**			**$6,000.00**		**$5,595.00**	**-$405.00**	**$0.00**	**$480.00**	
Asset Backed Securities									
CITIMS INC MTG PASS THRU CTF SER 2005-26 CL-1FA-7 "INV" Factor: 0.79675563 4.46400 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA *Security Identifier:* 12669AMR6									
50,000.000	09/15/05	100.0000	39,837.80	93.8190	37,375.41	-2,462.39	143.28		
Original Cost Basis: $50,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER 2688 CL-2688-SE 10.00000 10/15/33 B/E Factor: 0.10917464 DTD 10/16/03 *Security Identifier:* 31394K4U5									
25,000.000	11/16/04	99.9990	2,729.36	98.6060	2,691.32	-38.04	21.99		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR Factor: 0.69843068 7.00000 11/25/35 B/E DTD 10/01/05 *Security Identifier:* 31394UER9									
10,000.000	04/18/06	96.9640	6,772.28	94.6370	6,609.74	-162.54	39.38		
Original Cost Basis: $8,738.56									
FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-98 CL-98-SX 4.32646 12/25/34 B/E Factor: 0.64362768 DTD 10/25/05 *Security Identifier:* 31394UGN6									

[redacted]

PAUNE EUED FOR CUSTOMER USE ONLY

Account Number [redacted]
IRA FBO JAMES MCDERMOTT SR

[redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corp., Member NASD NYSE SIPC

2688

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset-Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC *(continued)*									
20,000.000	11/25/05	97.2500	12,518.58	92.0350	11,847.26	-671.32	44.87		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2950 CL-2950-SV 3.18396 03/-5/35 B/E Factor: 0.30924544									
DTD 03/15/05									
Security Identifier: 31395PXU1									
15,000.000	09/27/05	100.0000	5,538.70	88.5290	4,903.34	-635.36	14.20		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-2968 CL-2968-SC 11.33096 12/15/34 B/E Factor: 0.27328478									
DTD 04/01/05 CLB									
Security Identifier: 31395RSF4									
10,000.000	04/25/05	99.0000	2,705.54	86.7380	2,370.42	-335.09	24.94		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER-3063 CL-3063-SY 3.41096 05/15/33 B/E Factor: 0.27199059									
DTD 11/15/05 CLB									
Security Identifier: 31396EJF4									
10,000.000	01/31/06	96.7500	2,631.51	94.8400	2,579.56	-51.95	7.47		
Original Cost Basis: $9,182.05									
FNMA GTD REMIC PASS THRU CTF REMIC									
TR 2006-111 CL-111-TI "INV" Factor: 0.76050973									
8.00094 06/25/36 B/E DTD 10/25/06									
Security Identifier: 31396LSC5									
15,000.000	10/13/06	98.7500	11,265.06	95.6540	10,911.87	-353.19	73.52		
Original Cost Basis: $14,812.50									

2689

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
FHLMC MULTICLASS MTG.PARTN CTFS GTD SER:3172 CL:3172-CS INV FLTR Factor: 0.81574527 1.3699% 06/15/36 B/E DTD 06/15/06 CLB									
Security Identifier: 31396R0P9									
12,000.000	05/22/07	85.0000	8,320.61	85.7450	8,393.53	72.92	10.32		
Original Cost Basis: $8,515.93									
FHLMC-GTD REMIC PASS-THRU CTFS REMIC SER:3218 CL:3218-IS INV FLTR Factor: 0.93834528 2.2954% 09/15/36 B/E DTD 09/15/06 CLB									
Security Identifier: 31397BIA0									
8,000.000	09/20/07	98.5000	7,394.16	98.2560	7,375.84	-18.32	13.68		
Original Cost Basis: $7,394.16									
FHLMC-GTD-REMIC PASS-THRU CTFS REMIC SER:3263 CL:3263 TAI 8.000% 06/15/35 B/E Factor: 0.96445142 DTD 01/01/07 CLB									
Security Identifier: 31397EUE3									
7,000.000	01/19/07	98.5000	6,649.89	91.5380	6,179.88	-470.01	43.51		
Original Cost Basis: $6,895.00									
Total Asset Backed Securities			$106,363.46		$101,238.17	-$5,125.29	$437.36	$0.00	
Corporate Bonds									
BARCLAYS BK PLC 0.000% 06/27/22 B/E DTD:06/27/07 CALLABLE 06/27/08 @ 100.000 1ST CPN DTE 09/27/07 CPN PMT QUARTERLY ON M,J,S,D 27									
Security Identifier: 06738GEG1									
7,000.000	06/18/07	100.0000	7,000.00	98.0000	6,860.00	-140.00	0.00		
Original Cost Basis: $7,000.00									
15,000.000	06/18/07	100.0000	15,000.00	98.0000	14,700.00	-300.00	0.00		
Original Cost Basis: $15,000.00									
22,000.000	Total		$22,000.00		$21,560.00	-$440.00	$0.00	$0.00	
Total Corporate Bonds									
22,000.000			$22,000.00		$21,560.00	-$440.00	$0.00	$0.00	
Total Fixed Income									
28,008.000			$134,363.46		$128,393.17	-$5,970.29	$437.36	$480.00	

[redacted] Account Number [redacted]

[redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corp. Member NASD, NYSE, SIPC

Portfolio Holdings *(continued)*

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$135,304.69	$129,334.40	-$5,970.29	$437.36	$618.35

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided with the corresponding tax lot

[4] Total Quantity field does not include Asset Backed Securities

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Please be advised that the secondary market for CDs is generally illiquid; the actual value of CDs may be different from their purchase price, and a significant loss of principal could result if your CDs are sold prior to maturity. In the event that the CDs listed above do not indicate a market valuation, an accurate market value could not be determined. In the event that a price is listed above for your CDs, Pershing has obtained a price from sources deemed to be reliable or has priced your CDs using a matrix formula. Prices are estimates and the actual value you may obtain for your CD may be different if you elect to sell your CD to the secondary market.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Securities Bought and Sold							
09/25/07	09/20/07	PURCHASED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3218 CL 3218 JS INV FLTR 0.000% 09/15/36 B/E DTD 09/15/06 CLB VARIABLE RATE PSCTCR 33438528 REM BAL -7506.76	8,000.000	98.5000	4.79	-7,398.55
Total Securities Bought and Sold							-57,398.55
Dividends and Interest							
09/17/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER 2688 CL 2688-SE 10.000% 10/15/33-B/E DTD 10/15/03- RD 08/31 PD 09/15/07				9.50
09/17/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2650 CL 2650-SV 5.183% 03/15/33 B/E DTD 03/15/05 RD 08/31 PD 09/15/07				8.68
09/17/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2968 CL 2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 08/31 PD 09/15/07				5.81
09/17/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL 3063-SY 3.410% 05/15/33-B/E DTD 11/15/05 CLB RD 08/31 PD 09/15/07				5.79
09/17/07		BOND INTEREST RECEIVED	12000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3172 CL 3172-CS INV FLTR 1.9309% 06/15/36 B/E DTD 06/15/06 CLB RD 08/31 PD 09/15/07				15.91
09/17/07		BOND INTEREST RECEIVED	7000 FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RD 08/31 PD 09/15/07				45.22
09/18/07	09/17/07	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				20.43
09/25/07		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 08/31 PD 09/25/07				150.74
09/25/07		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 08/31 PD 09/25/07				41.11

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
09/25/07		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 08/31 PD 09/25/07				39.52
09/25/07		BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC TR-2006-111 CL-111-TJ "INV" 8.00000% 09/25/36 B/E DTD 10/25/06 RD 08/31 PD 09/25/07				76.67
09/27/07		BOND INTEREST RECEIVED	22000 BARCLAYS BK PLC 0.000% 06/27/22 B/E DTD 06/27/07 CLB RD 09/26 PD 09/27/07				495.00
Total Dividends and Interest							5902.38
Distributions							
09/17/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.163% 03/15/35 B/E DTD 03/15/05 RD 08/31 PD 09/15/07				186.00
09/17/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 08/31 PD 09/15/07				52.78
09/17/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063 SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 08/31 PD 09/15/07				245.84
09/17/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3172 CL 3172-CS INV FLTR 1.930% 06/15/36-B/E DTD 06/15/06 CLB RD 08/31 PD 09/15/07				98.46
09/17/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER-3263 CL 3263 TM 8.00000% 08/15/35 B/E DTD 01/01/07 CLB RD 08/31 PD 09/15/07				31.54
09/25/07		RETURN OF PRINCIPAL RECEIVED	50000 GMAMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 RD 08/31 PD 09/25/07				199.07

2693

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions *(continued)*							
09/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL 98 INT IO/ PR ELTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 08/31 PD 09/25/07				93.36
09/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-98 CL 98 SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 08/31 PD 09/25/07				126.43
09/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC TR 2006-111 CL 111 AD "SKY" 8.000% 08/25/36 B/E DTD 10/25/06 RD 08/31 PD 09/25/07				151.34
Total Distributions							**$1,194.92**
FDIC-Insured Bank Deposits							
09/14/07	09/17/07	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-20.43
09/14/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-715.53
09/25/07		MONEY MARKET WITHDRAWAL	RESERVE INS DEPOSITS				6,532.71
09/28/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-493.00
Total FDIC Insured Bank Deposits							**$5,301.75**
Total Value of all Transactions							**$0.00**

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	128,553.17	100%
Total	**$128,553.17**	**100%**

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

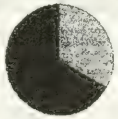
Percentages of bond market values are rounded to the nearest whole percentage.

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Co
Member NASD, NYSE, S.P.C.

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	42,970.41	33%
Not Rated	85,422.76	67%
Total	**$128,393.17**	**100%**

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section



Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB802394	Activity Ending: 09/28/07			
09/01/07	Opening Balance		6,242.98	6,242.98
09/16/07	Deposit	INTEREST CREDITED	20.43	6,263.41
09/17/07		APYE 4.34		6,263.41
09/17/07		WASHINGTON MUTUAL		6,263.41
09/17/07		A/O 09/17 $6,263.41		6,263.41
09/18/07	Deposit	DEPOSIT	715.53	6,978.94
09/20/07	Withdrawal	WITHDRAWAL	-6,532.71	446.23
09/28/07	Deposit	DEPOSIT	495.00	941.23
09/28/07	**Closing Balance**			**$941.23**
Total FDIC Insured Bank Deposits				**$941.23**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $100,000 for each category of legal ownership and $250,000 for each individual retirement account and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Retirement Account Transactions

	Tax Year - 2007 This Period	Tax Year - 2007 Year-to-Date	Tax Year - 2006 This Period	Tax Year - 2006 Year-to-Date
Contributions				
Participant	0.00	0.00	0.00	512.68
Total Contributions	$0.00	$0.00	$0.00	$512.68
Distributions				
Excess Contribution	0.00	0.00	0.00	-500.00
Excess Contribution Income	0.00	0.00	0.00	-12.68
Total Distributions	$0.00	$0.00	$0.00	-$512.68

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short-Term								
01/08/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTIN CTFS CTD Original Cost Basis: 289.38	31396DF4	10,000.000	289.38	299.10	9.72
01/11/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 147.50	31394UER9	10,000.000	147.50	152.11	4.61
01/11/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 285.06	31396LSC5	15,000.000	285.06	288.66	3.60
02/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 52.00	31394UER9	10,000.000	52.00	53.62	1.62
02/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 288.82	31396LSC5	15,000.000	288.82	292.47	3.65
03/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 60.35	31397EUE3	7,000.000	60.35	61.26	0.91
03/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 55.73	31394UER9	10,000.000	55.73	57.47	1.74
03/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 386.87	31396LSC5	15,000.000	386.87	391.77	4.90
04/09/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 31.69	31397EUE3	7,000.000	31.69	32.16	0.47

Clearing Through Pershing LLC a subsidiary of The Bank of New York Mellon Co ...on
Member NASD NYSE SIPC

2696

Statement Period: 09/01/2007 - 09/30/2007

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/11/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 40.92	31394UER9	10,000.000	40.92	42.19	1.27
04/11/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 349.91	31396LSC5	15,000.000	349.91	354.34	4.43
05/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 32.63	31397EUE3	7,000.000	32.63	33.12	0.49
05/11/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 440.35	31396LSC5	15,000.000	440.35	445.92	5.57
06/07/07	05/22/07	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 52.10	31396RXF9	12,000.000	52.10	61.29	9.19
06/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 33.48	31397EUE3	7,000.000	33.48	33.99	0.51
06/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 583.34	31396LSC5	15,000.000	583.34	590.72	7.38
07/09/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 30.55	31397EUE3	7,000.000	30.55	31.02	0.47
07/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 271.10	31396LSC5	15,000.000	271.10	274.53	3.43
08/07/07	05/22/07	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 59.53	31396RXF9	12,000.000	59.53	70.03	10.50
08/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 25.34	31397EUE3	7,000.000	25.34	25.72	0.38
08/13/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 186.30	31396LSC5	15,000.000	186.30	188.66	2.36

2697

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short-Term *(continued)*								
09/10/07	05/22/07	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 83.69	31396RXF9	12,000.000	83.69	98.46	14.77
09/10/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 31.07	31397EUE3	7,000.000	31.07	31.54	0.47
09/14/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 149.45	31396LSC5	15,000.000	149.45	151.33	1.88
Total Short Term						$3,967.16	$4,061.48	$94.32
Long Term								
01/08/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 266.09	31395PXU1	15,000.000	266.09	266.08	-0.01
01/08/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 126.78	31395RSP4	10,000.000	126.78	128.06	1.28
01/11/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 176.60	31394UGN6	20,000.000	176.60	181.59	4.99
01/23/07	09/15/05	RPP	GNMA SS INC MTG PASS THRU CTF Original Cost Basis: 300.85	126694MR6	50,000.000	300.85	300.84	-0.01
02/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 82.11	31395PXU1	15,000.000	82.11	82.11	0.00
02/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 203.16	31396EJF4	10,000.000	203.16	209.97	6.81
02/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 258.24	31394UGN6	20,000.000	258.24	265.54	7.30
02/23/07	09/15/05	RPP	GNMA SS INC MTG PASS THRU CTF Original Cost Basis: 1,191.08	126694MR6	50,000.000	1,191.08	1,191.08	0.00
03/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 323.31	31395PXU1	15,000.000	323.31	323.31	0.00
03/07/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 107.82	31395RSP4	10,000.000	107.82	108.90	1.08

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term (continued)								
03/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTIN CTFS GTD Original Cost Basis: 258.23	31396UF4	10,000.000	258.73	267.42	8.69
03/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 213.98	31394UGN6	20,000.000	213.98	220.03	6.05
03/23/07	09/15/05	RPP	GNMA MBS INC MTG PASS THRU CTF Original Cost Basis: 247.60	126694MR6	50,000.000	247.60	247.60	0.00
04/09/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTIN CTFS GTD Original Cost Basis: 174.77	31395PXU1	15,000.000	174.77	174.77	0.00
04/09/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTIN CTFS GTD Original Cost Basis: 326.26	31395RSP4	10,000.000	326.26	329.55	3.29
04/09/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTIN CTFS GTD Original Cost Basis: 424.38	31396UF4	10,000.000	424.38	438.63	14.25
04/11/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 19.07	31394UGN6	20,000.000	19.07	19.60	0.53
04/24/07	09/15/05	RPP	GNMA MBS INC MTG PASS THRU CTF Original Cost Basis: 427.44	126694MR6	50,000.000	427.44	427.44	0.00
05/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTIN CTFS GTD Original Cost Basis: 158.96	31395PXU1	15,000.000	158.96	158.96	0.00
05/07/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTIN CTFS GTD Original Cost Basis: 233.57	31395RSP4	10,000.000	233.57	235.92	2.35
05/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTIN CTFS GTD Original Cost Base: 426.45	31396UF4	10,000.000	426.45	440.77	14.32
05/11/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 36.45	31394UDR9	10,000.000	36.45	37.58	1.13

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gains/Loss
Long Term *(continued)*								
05/22/07	04/26/05	SELL	CITIGORP MTG SECS INC REMIC PASSTHRU Original Cost Basis: 8,603.80	172973T90	20,000.000	8,603.80	8,513.85	-89.95
05/25/07	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 508.19	126694MR6	50,000.000	508.19	508.18	-0.01
05/25/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 279.99	31394UGN6	20,000.000	279.99	287.90	7.91
06/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 299.15	31395PXU1	15,000.000	299.15	299.15	0.00
06/07/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 211.09	31395RSP4	10,000.000	211.09	213.22	2.13
06/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 296.70	31396EJF4	10,000.000	296.70	306.66	9.96
06/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 141.23	31394UER9	10,000.000	141.23	145.65	4.42
06/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 240.88	31394UGN6	20,000.000	240.88	247.68	6.80
06/25/07	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 257.08	126694MR6	50,000.000	257.08	257.08	0.00
07/09/07	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" Original Cost Basis: 0.01	31394K4U5	25,000.000	0.01	0.00	N/A
07/09/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 263.09	31395PXU1	15,000.000	263.09	263.09	0.00
07/09/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 125.04	31395RSP4	10,000.000	125.04	126.30	1.26
07/09/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 213.58	31396EJF4	10,000.000	213.58	220.75	7.17
07/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 76.36	31394UER9	10,000.000	76.36	78.75	2.39

[redacted] Account Number: [redacted] IRA FBO JAMES MCDERMOTT SR [redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Co ...on
Member NASD, NYSE, SIPC

2700

STONE & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2300

[redacted]

Individual Retirement Account Statement

Statement Period: 09/01/2007 - 09/30/2007

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
07/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 278.02	31394UGN6	20,000.000	278.02	285.88	7.86
07/25/07	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 362.19	126694MR6	50,000.000	362.19	362.19	0.00
08/07/07	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD INV Original Cost Basis: 2.92	31394K4U5	25,000.000	2.92	2.91	-0.01
08/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 112.45	31395P2U1	15,000.000	112.45	112.44	-0.01
08/07/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 23.97	31395RSP4	10,000.000	23.97	24.21	0.24
08/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 238.83	31396EJF4	10,000.000	238.83	246.84	8.01
08/13/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 161.61	31394UER9	10,000.000	161.61	166.66	5.05
08/13/07	11/25/05	RPP	FNMA GTD REMIC PASS-THRU CTF REMIC Original Cost Basis: 104.24	31394UGN6	20,000.000	104.24	107.18	2.94
08/24/07	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 1,560.91	126694MR6	50,000.000	1,560.91	1,560.91	0.00
09/10/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 186.00	31395P2U1	15,000.000	186.00	186.00	0.00
09/10/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 62.15	31395RSP4	10,000.000	62.15	62.77	0.62
09/10/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 237.85	31396EJF4	10,000.000	237.85	245.84	7.99

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation

Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long-Term (continued)								
09/14/07	04/19/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 90.53	31394UEK9	10,000.000	90.53	93.35	2.82
09/14/07	11/23/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 122.95	31394UGN6	20,000.000	122.95	126.43	3.48
09/24/07	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 199.07	126694M86	50,000.000	199.07	199.07	0.00
Total Long Term						$21,243.37	$21,306.49	$63.12
Total Short Term and Long Term						$25,216.73	$25,368.17	$151.44

The information provided is meant as a general guide and should not be relied upon in the preparation of tax returns. No one associated with Pershing is authorized to give tax advice.

Cost basis on fixed-income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the security description.

*Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

Messages

BEGINNING JANUARY 1, 2008, MAJOR BROKERAGE FIRMS AND FINANCIAL ORGANIZATIONS, INCLUDING YOUR FINANCIAL ORGANIZATION, WILL ELIMINATE STOCK CERTIFICATE ISSUANCE FOR STOCKS THAT ARE DIRECT REGISTRATION SYSTEM (DRS)-ELIGIBLE AND CONTINUE TO MAINTAIN THESE SECURITIES IN YOUR BROKERAGE ACCOUNT. SUCH SECURITIES MAY BE TRANSFERRED THROUGH DRS FOR CLIENTS WISHING TO MAINTAIN DIRECT OWNERSHIP ON THE BOOKS OF THE ISSUER. ONCE TRANSFERRED, AND IF AN ISSUER DOES PROVIDE PHYSICAL CERTIFICATES, CLIENTS MAY OBTAIN A STOCK CERTIFICATE BY CONTACTING THE ISSUER'S AGENT IDENTIFIED ON THE DRS STATEMENT. PHYSICAL STOCK CERTIFICATES ONCE WERE COMMONPLACE IN THE FINANCIAL SERVICES INDUSTRY. BUT MUCH AS SAVINGS PASS BOOKS HAVE DISAPPEARED FROM BANKS, NEW TECHNOLOGY PLATFORMS AND REGULATORY CHANGES HAVE NOW MOVED BROKERAGE FIRMS AWAY FROM PAPER TRANSACTIONS AND TOWARDS SECURITIES ISSUED IN "BOOK-ENTRY" FORM, WITHOUT CERTIFICATES AND WITH CLIENT OWNERSHIP RECORDED ELECTRONICALLY. YOUR INVESTMENT PROFESSIONAL CAN HELP YOU DECIDE THE BEST OPTION FOR HOLDING SECURITIES.

PURSUANT TO THE SECURITIES EXCHANGE ACT OF 1934, PERSHING LLC (PERSHING) PROVIDES INDIVIDUAL INVESTORS WITH CERTAIN FINANCIAL INFORMATION ON A SEMI-ANNUAL BASIS. PERSHING'S JUNE STATEMENT OF FINANCIAL CONDITION IS NOW AVAILABLE. AND ON JUNE 30, 2007, PERSHING'S NET CAPITAL OF $1.3 BILLION WAS 17.52% OF AGGREGATE DEBIT BALANCES AND EXCEEDED THE MINIMUM REQUIREMENTS BY $1.1 BILLION. PERSHING IS ALSO REQUIRED TO PROVIDE THE MOST RECENT FINANCIAL INFORMATION AS OF THIS STATEMENT MAILING. IN ACCORDANCE WITH THIS REQUIREMENT, ON JULY 31, 2007, PERSHING'S NET CAPITAL OF $1.2 BILLION WAS 17.02% OF AGGREGATE DEBIT BALANCES AND EXCEEDED THE MINIMUM REQUIREMENT BY $1.1 BILLION. A COPY OF THE JUNE 30, 2007 STATEMENT OF FINANCIAL CONDITION IS AVAILABLE AT WWW.PERSHING.COM/FOOTER/SOFC.HTML. YOU MAY ALSO REQUEST A FREE, PRINTED COPY BY CALLING (888) 880-8510.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE.

STC & YOUNGBERG
One Harry Building
San Francisco, California 94111 (415) 445-3300

[redacted]

Individual Retirement Account Statement

[redacted]

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/07/03
[redacted]

Account Number: [redacted]
Statement Period: 10/01/2007 - 10/31/2007

Valuation at a Glance

	This Period
Beginning Account Value	$129,334.40
Dividends/Interest	539.20
Change in Account Value	2,317.82
Ending Account Value	$132,191.42

FDIC Insured bank deposits, if not sweptbank, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	5,964.65	941.23	1,900.88	1%
Fixed Income	103,657.07	128,393.17	130,290.54	99%
Account Total	$109,621.72	$129,334.40	$132,191.42	100%

Asset Allocation percentages are rounded to the nearest whole percentage.

[1] Included FDIC insured bank deposits.

DALBAR RATED

[redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year to Date	This Period
Short-Term Gain/Loss	4.59	98.91	658.45
Long-Term Gain/Loss	3.21	66.33	2,961.83
Net Gain/Loss	**7.80**	165.24	-3,660.12

This summary excludes transactions where cost basis information is not available

Required Minimum Distribution Summary

	Calculated Amount
Prior Year-end Fair Market Value ("FMV"):	$109,621.72
Calculation Factor	37.3
Participant DOB: 12/1936 Beneficiary DOB: 06/1955	
Beneficiary Relationship: SPOUSE	
Amount Required to be Withdrawn for 2007:	$3,291.94
Amount Withdrawn Year to Date:	$0.00
The Remaining Amount You Are Required to Withdraw for 2007:	$3,291.94

Disclaimers and Other Important Information Regarding Your Required Minimum Distribution Summary

According to our records, you are required under federal tax law to take an annual minimum distribution from your retirement account. These required minimum distributions (RMDs) usually begin in the year you reach the age of 70 1/2. Failure to take the RMD when required may result in a 50% excise tax imposed on the amount you did not take.

You are allowed to take your RMD in cash or securities. For a distribution to be made, sufficient cash or securities must be available in your retirement account when we receive your distribution request and for subsequent distributions [redacted] from today after January 1 of this year and your new or prior beneficiary is your spouse who is more than ten years younger than you, you may need to recalculate the RMD amount starting 2008.

If you have more than one traditional individual retirement account (IRA), including SEP and SIMPLE IRAs, the RMD for these accounts can be aggregated and removed from a single traditional IRA. This exception does not [redacted] money purchase pension, 401(k), and defined benefit plans. RMDs for QRPs must be removed from each QRP account.

NOTE: Any outstanding rollovers or recharacterizations that were not in your account on December 31 of the prior year should be added to your year-end fair market value for the previous year to calculate your RMD for the current year. Also, when taking your RMD, please consider any scheduled distribution amounts you have established which may allow you to automatically meet all or part of your RMD. Please contact us if you are interested in establishing instructions.

Using the information on our files, your RMD was determined by dividing your fair market value by a calculation factor determined by the Internal Revenue Service (IRS). The factor is based on your age at the end of the year and the age and relationship of your beneficiary(ies). We strongly suggest that you verify this calculation with your tax professional, as there may be other pertinent information that could impact your RMD amount. To withdraw your RMD, contact your investment professional for the appropriate withdrawal form.

2704

STO[illegible] & YOUNGBERG
One Party Breaking
San Francisco, California 94111 (415) 445 3300

[redacted]

Individual Retirement Account Statement

Statement Period: 10/01/2007 - 10/31/2007

Customer Service Information

[redacted]

Contact Information
Telephone Number: [redacted]
Fax Number: [redacted]

Customer Service Information
Web Site: www.buybonds.com

[redacted]

[redacted] Year-End Fair Market Value: $109,62[illegible]72 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed Securities	0.00	-58.84
Total Accrued Interest Paid	$0.00	-$58.84
Accrued Interest Received		
Asset Backed Securities	0.00	16.82
Total Accrued Interest Received	$0.00	$16.82

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	410.61	4,953.50
FDIC Insured Bank Deposits	8.72	147.07
Other Interest	119.67	239.34
Total Dividends, Interest, Income and Expenses	$539.20	$5,339.91
Distributions		
Other Distributions	420.45	17,389.95
Total Distributions	$420.45	$17,389.95

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 1.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
1,900.88	09/29/07	ANB002394	10/31/07	941.23	1,900.88	2.86	147.07	N/A	4.0296
TOTAL FDIC Insured Bank Deposits				$941.23	$1,900.88	$2.86	$147.07		
Total Cash and Cash Equivalents				$941.23	$1,900.88	$2.86	$147.07		

Account Number: [redacted]

[redacted]

Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 99.00% of Portfolio *(In CUSIP Sequence)*									
Certificates of Deposit									
JPMORGAN CHASE BK N A NEW YORK N Y CTF-DEP-5.000%-03/30/07 B/E DTD 03/30/07 CALLABLE 03/30/08 @ 100.000 1ST CPN DTE 06/30/07 CPN-PMT QUARTERLY ON M,J,S,D 30 Moody Rating AAA S & P Rating AA *Security Identifier* 48 21CRY4									
6,000.000	03/27/07	100.0000	6,000.00	94.4600	5,667.60	-332.40	41.33	480.00	8.46%
Original Cost Basis: $6,000.00									
Total Certificates of Deposit 6,000.000			$6,000.00		$5,667.60	-$332.40	$41.33	$480.00	
***Asset Backed Securities**									
CWMBS-INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.464% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S & P Rating AAA Factor: 0.7967556 Remaining Balance 39,837.782 *Security Identifier* 126694M86									
50,000.000	09/15/05	100.0000	39,837.80	95.8010	38,165.79	-1,672.01	148.22		
Original Cost Basis: $50,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD [redacted] Fa[redacted]ing Balance 2,729.366 *Security Identifier* 31394K4U5									
25,000.000	11/16/04	99.9990	2,729.36	97.7100	2,666.86	62.50	22.74		
O[redacted] $15,500.36									
FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WL-INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 Factor: 0.6895873 Remaining Balance 6,895.873 *Security Identifier* 31394UER9									
10,000.000	04/18/06	96.9640	6,686.53	95.0610	6,555.29	-131.24	40.23		
Original Cost Basis: 58,738.56									

STC & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 843-2300

[redacted]

Individual Retirement Account Statement

Statement Period: 10/01/2007 - 10/31/2007

Portfolio Holdings (continued)

Quantity	Description	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Asset Backed Securities (continued)									
	FNMA GTD REMIC PASS-THRU CTF REMIC SER 2005-W3 CL-3A-S V 2.52204 12/25/34 B/E DTD 10/03/05 Factor: 0.64367768 Remaining Balance: 12,872.554 Security Identifier: 31394KBN6								
20,000.000	12/25/05	97.2500	12,518.58	94.5420	12,169.97	-348.61	46.41		
	Original Cost Basis: $19,450.00								
	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2550 CL 2550-W S 1.83046 05/15/33 B/E DTD 03/15/03 Factor: 0.18500343 Remaining Balance: 5,475.486 Security Identifier: 31393EWJ3								
15,000.000	09/27/05	100.0000	5,475.50	91.7600	5,024.31	-451.19	14.52		
	Original Cost Basis: $14,208.310								
	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2969 CL-2969-SC 11.55040 12/15/34 B/E DTD 04/01/06 CLB Factor: 0.27328478 Remaining Balance: 2,732.848 Security Identifier: 31395BSP4								
10,000.000	04/25/07	99.0000	2,705.51	91.3580	2,498.68	-208.83	25.80		
	Original Cost Basis: $9,900.000								
	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL-3063-SY 3.41046 05/15/33 B/E DTD 11/15/05 CLB Factor: 0.27930184 Remaining Balance: 2,705.019 Security Identifier: 31398JF4								
10,000.000	01/31/06	96.7500	2,615.17	97.5650	2,637.20	22.03	7.68		
	Original Cost Basis: $9,167.05								

Account Number: [redacted]

Clearing Through Pershing LLC a subsidiary of The Bank of New York Mellon Corporation

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
[4]**Asset Backed Securities** *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC TR 2006-111 CL-111-T/ "INV" 8.000% 06/25/36 B/E DTD 10/25/06 Factor 0.75630872 Remaining Balance 11,344.631 *Security Identifier* 31398L5C5									
15,000.000	10/13/06	98.7500	11,202.83	97.7850	11,093.35	-109.48	75.63		
Original Cost Basis: $14,812.50									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3172 CL 3172-CS INV FLTR 4.218% 06/15/36 B/E DTD 06/15/06 CLB Factor 0.81513273 Remaining Balance 9,781.593 *Security Identifier* 31396R0F9									
12,000.000	05/22/07	85.0000	8,314.36	87.9130	8,599.29	284.93	34.39		
Original Cost Basis: $8,515.93									
FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3218 CL-3218-JS INV FLTR 2.295% 09/15/36 B/E DTD 09/15/06 CLB Factor 0.91572537 Remaining Balance 7,325.806 *Security Identifier* 31397BUA0									
8,000.000	09/20/07	98.5000	7,215.92	100.2970	7,347.56	131.64	14.01		
Original Cost Basis: $7,394.16									
FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB Factor 0.96436578 Remaining Balance 6,750.560 *Security Identifier* 31397ELE3									
7,000.000	01/19/07	98.5000	6,649.30	93.4240	6,306.64	-342.66	45.00		
Original Cost Basis: $6,895.00									
Total Asset Backed Securities									
102,000.000			**$105,950.86**		**$103,062.94**	**-$2,887.92**	**$474.63**	**$0.00**	
Total Remaining Balance: 108,449.518									

2708

STC & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 981-2300

[redacted]

Individual Retirement Account Statement

Statement Period: 10/01/2007 - 10/31/2007

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Corporate Bonds									
BARCLAYS-BK PLC 0.000% 06/27/22-B/E DUE 06/27/07 CALLABLE 100.00 @ 100.000 1ST CPN DTE 09/27/07 CPN PMT QUARTERLY ON M,J,S,D 27.									
Security Identifier: 06738CEG1									
7,000.000	06/18/07	100.0000	7,000.00	98.0000	6,860.00	-140.00	0.00		
Original Cost Basis: $7,000.00									
15,000.000	06/18/07	100.0000	15,000.00	98.0000	14,700.00	-300.00	0.00		
Original Cost Basis: $15,000.00									
22,000.000	Total		22,000.00		21,560.00	-440.00	$0.00	$0.00	
Total Corporate Bonds									
22,000.000			$22,000.00		$21,560.00	-$440.00	$0.00	$0.00	
Total Fixed Income									
214,000.000			$133,950.46		$132,290.34	-$1,660.12	$515.96	$480.00	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$335,851.74	$332,191.42	-$3,660.32	$515.96	$627.07

Cost basis on fixed income securities is adjusted for amortization or accretion of principal paydowns. Original cost basis is provided in the transaction detail.

The Remaining Balance represents your current ownership amount in the listed Asset Backed Security. The Remaining Balance is calculated by multiplying the quantity by the Factor (paydown factor) which is published by FNMA, GNMA and some lenders, which could be changed by the agencies after the statement date. The Remaining Balance is multiplied by the Market Price to determine the Market Value.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation Information at the beginning of this statement.

[redacted] Account Number: [redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation

Portfolio Holdings *(continued)*

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Please be advised that the secondary market for CDs is generally illiquid, the actual value of CDs may be different from their purchase price, and a significant loss of principal could result if your CDs are sold prior to maturity. In the event that the CDs listed above do not indicate a market valuation, an accurate market value could not be determined. In the event that a price is listed above for your CDs, Pershing has obtained a price from sources deemed to be reliable or has priced your CDs using a matrix formula. Prices are estimates and the actual value you may obtain for your CD may be different if you elect to sell your CD in the secondary market.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
10/02/07		BOND INTEREST RECEIVED	6000 JPMORGAN CHASE BK N A NEW YORK N Y CTF DEP 8.000% 03/30/22 B/E DTD 03/30/07 CLB RD 09/17 PD 10/02/07				119.67
10/15/07		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER 2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 09/28 PD 10/15/07				6.44
10/15/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 5.183% 03/15/35 B/E DTD 03/15/05 RD 09/28 PD 10/15/07				5.35

2710

STC & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-3200

[redacted]

Individual Retirement Account Statement

Statement Period: 10/01/2007 - 10/31/2007

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
10/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS CTD SER 3068 CL 3068-SC 11.3304% 12/15/36 B/E DTD 04/01/06 CLB RD 09/28 PD 10/15/07				2.80
10/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS CTD SER 3085 CL 3085-SY 5.4104% 05/15/35 B/E DTD 10/15/05 CLB RD 09/28 PD 10/15/07				4.13
10/15/07		BOND INTEREST RECEIVED	12000 FHLMC MULTICLASS MTG PARTN CTFS CTD SER 3172 CL 3172-CS INV FLTR 1.3094% 06/15/36 B/E DTD 06/15/06 CLB RD 09/28 PD 10/15/07				10.68
10/15/07		BOND INTEREST RECEIVED	8000 FHLMC CTD REMIC PASS THRU CTFS REMIC SER 3216 CL 3216-IS INV FLTR 2.2958% 09/15/36 B/E DTD 09/15/06 CLB RD 09/28 PD 10/15/07				14.36
10/15/07		BOND INTEREST RECEIVED	7000 FHLMC CTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.0000% 08/15/35 B/E DTD 01/01/07 CLB RD 09/28 PD 10/15/07				37.69
10/17/07	10/16/07	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				8.92
10/25/07		BOND INTEREST RECEIVED	50000 CHASES INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 4.4649% 11/28/35 B/E DTD 05/01/05 RD 09/28 PD 10/25/07				158.73
10/25/07		BOND INTEREST RECEIVED	10000 FNMA CTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-90 WT INV FLTR 7.0000% 11/26/35 B/E DTD 10/01/05 RD 09/28 PD 10/25/07				40.74

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation

[redacted]

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
10/25/07		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-98 CL-98-SX 4.326% 12/25/34 B/E DTD 10/25/05 RD 09/28 PD 10/25/07				53.84
10/25/07		BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC TR 2006-111 CL-111-TI "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 09/28 PD 10/25/07				70.85
Total Dividends and Interest							**$559.20**
Distributions							
10/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-2950 CL-2950-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 09/28 PD 10/15/07				63.20
10/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTI-CLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 09/15/33 B/E DTD 11/15/05 CLB RD 09/28 PD 10/15/07				76.89
10/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3172 CL-3172-CS INV FLTR 1.309% 06/15/36 B/E DTD 06/15/06 CLB RD 09/28 PD 10/15/07				7.35
10/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER-3216 CL-3216-JS INV FLTR 2.295% 09/15/36 B/E DTD 09/15/06 CLB RD 09/28 PD 10/15/07				180.96
10/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RD 09/28 PD 10/15/07				0.60
10/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR 7.000% 11/25/35 B/E DTD 10/01/05 RD 09/28 PD 10/25/07				88.43
10/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC TR 2006-111 CL-111-TI "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 09/28 PD 10/25/07				63.02
Total Distributions							**$420.45**
FDIC Insured Bank Deposits							
10/03/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-119.67
10/16/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-350.45

2712

STC & YOUNGBERG
One Bray Building
San Francisco California 94111 (415) 445-3000

[redacted]

[redacted]

Individual Retirement Account Statement

Statement Period: 10/01/2007 - 10/31/2007

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Description	Description	Quantity	Price	Accrued Interest	Amount
FDIC Insured Bank Deposits *(continued)*							
10/17/07	10/16/07	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-872
10/30/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-46031
Total FDIC Insured Bank Deposits							-$46,903
Total Value of all Transactions							$0.00

The price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	130,290.54	100%
Total	**$130,290.54**	**100%**

Stocks that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

[redacted]

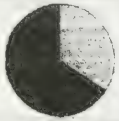
Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation

[redacted]

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	43,833.39	34%
Not Rated	86,457.15	66%
Total	$130,290.54	100%

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number AME802394	Activity Ending 10/31/07			
09/29/07	Opening Balance		94.23	941.33
10/03/07	Deposit	DEPOSIT	119.67	1,060.90
10/15/07	Deposit	INTEREST CREDITED	8.72	1,069.62
10/15/07	Deposit	DEPOSIT	350.45	1,420.07
10/16/07		AMT 420		1,420.07
10/16/07		WASHINGTON MUTUAL		1,420.07
10/16/07		A/O 10/16 $1,420.07		1,420.07
10/26/07	Deposit	DEPOSIT	480.81	1,900.88
10/31/07	**Closing Balance**			**$1,900.88**
Total FDIC Insured Bank Deposits				**$1,900.88**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $100,000 for each category of legal ownership and $250,000 for each individual retirement account and certain other self-directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Retirement Account Transactions

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	0.00	0.00	512.68
Total Contributions	$0.00	$0.00	$0.00	$512.68

2714

Retirement Account Transactions *(continued)*

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Distributions				
Excess Contribution	0.00	0.00	0.00	-500.00
Excess Contribution Income	0.00	0.00	0.00	-12.68
Total Distributions	$0.00	$0.00	$0.00	-$512.68

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Messages

BEGINNING JANUARY 1, 2008, MAJOR BROKERAGE FIRMS AND FINANCIAL ORGANIZATIONS, INCLUDING YOUR FINANCIAL ORGANIZATION, WILL ELIMINATE STOCK CERTIFICATE ISSUANCE FOR STOCKS THAT ARE DIRECT REGISTRATION SYSTEM (DRS) ELIGIBLE AND CONTINUE TO MAINTAIN THESE SECURITIES IN YOUR BROKERAGE ACCOUNT. SUCH SECURITIES MAY BE TRANSFERRED THROUGH DRS FOR CLIENTS WISHING TO MAINTAIN DIRECT OWNERSHIP ON THE BOOKS OF THE ISSUER. ONCE TRANSFERRED, AND IF AN ISSUER DOES PROVIDE PHYSICAL CERTIFICATES, CLIENTS MAY OBTAIN A STOCK CERTIFICATE BY CONTACTING THE ISSUER'S AGENT IDENTIFIED ON THE DRS STATEMENT.

PHYSICAL STOCK CERTIFICATES ONCE WERE COMMONPLACE IN THE FINANCIAL SERVICES INDUSTRY, BUT MUCH AS SAVINGS PASS BOOKS HAVE DISAPPEARED FROM BANKS, NEW TECHNOLOGY PLATFORMS AND REGULATORY CHANGES HAVE NOW MOVED BROKERAGE FIRMS AWAY FROM PAPER TRANSACTIONS AND TOWARDS SECURITIES ISSUED IN "BOOK-ENTRY" FORM, WITHOUT CERTIFICATES AND WITH CLIENT OWNERSHIP RECORDED ELECTRONICALLY.

YOUR INVESTMENT PROFESSIONAL CAN HELP YOU DECIDE THE BEST OPTION FOR HOLDING SECURITIES.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

[redacted]

YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING [redacted]ERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE.

IF YOU ARE AT LEAST THE AGE OF 70 1/2 THIS YEAR, YOUR STATEMENT HAS A REQUIRED MINIMUM DISTRIBUTION SUMMARY SECTION WHICH EXPLAINS THE AMOUNT YOU ARE REQUIRED TO WITHDRAW FOR THIS YEAR. PLEASE TAKE ACTION IMMEDIATELY TO ENSURE THAT YOUR DISTRIBUTION IS PROCESSED THIS YEAR. IF YOU HAVE QUESTIONS, PLEASE CONTACT YOUR INVESTMENT PROFESSIONAL OR FINANCIAL ORGANIZATION.

NOTE: PERSHING LLC DOES NOT PERFORM TAX REPORTING FOR ANNUITIES LINKED TO THIS ACCOUNT THAT ARE REGISTERED IN YOUR NAME, NOR INCLUDE THE VALUE OF SUCH ANNUITIES IN THE RMD CALCULATION DISPLAYED IN THE REQUIRED MINIMUM DISTRIBUTION SUMMARY OF YOUR BROKERAGE ACCOUNT STATEMENT. TAX REPORTING FOR SUCH ANNUITIES IS THE RESPONSIBILITY OF THE ISSUING INSURANCE COMPANY.

STONE & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 343 2300

[redacted]

Individual Retirement Account Statement

[redacted]

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
[redacted]

Account Number: [redacted]
Statement Period: 11/01/2007 - 11/30/2007

Valuation at a Glance

	This Period
Beginning Account Value	$132,191.42
Dividends/Interest	532.64
Change in Account Value	4,370.14
Ending Account Value	$137,094.20

Your Investment Executive:
[redacted]

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	5,964.65	1,900.88	2,775.88	2%
Fixed Income	103,657.07	130,290.54	134,318.32	98%
Account Total	**$109,621.72**	**$132,191.42**	**$137,094.20**	**100%**

[1] Includes FDIC insured bank deposits

Asset Allocation percentages are rounded to the nearest whole percentage

DATABAR RATED

[redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member NASD, NYSE, SIPC

Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year-to-Date	This Period
Short-Term Gain/Loss	2.36	101.27	1,045.15
Long-Term Gain/Loss	2.06	68.39	-339.77
Net Gain/Loss	**4.42**	**169.66**	**705.38**

This summary excludes transactions where cost basis information is not available.

Required Minimum Distribution Summary

	Calculated Amount
Prior Year-end Fair Market Value ("FMV"):	$109,621.72
Calculation Factor:	33.3
Participant DOB: 12/1936 Beneficiary DOB: 06/1955	
Beneficiary Relationship: SPOUSE	
Amount Required to be Withdrawn for 2007:	$3,291.94
Amount Withdrawn Year to Date:	$0.00
The Remaining Amount You Are Required to Withdraw for 2007:	$3,291.94

Notations and Other Important Information Regarding Your Required Minimum Distribution Summary

According to our records, you are required under federal tax law to take an annual minimum distribution from your retirement account. These required minimum distributions (RMDs) usually begin in the year you reach the age of 70 1/2. Failure to take the RMD when required may result in a 50% excise tax imposed on the amount you did not take.

You are allowed to take your RMD in cash or securities. For a distribution to be made, sufficient cash or securities must be available in your retirement account when we receive your distribution request and for subsequent distributions. If you changed your beneficiary after January 1 of the year and your new or prior beneficiary is your spouse who is more than ten years younger than you, you may need to recalculate the RMD amount stated above.

If you have more than one traditional individual retirement account (IRA), including SEP and SIMPLE IRAs, the RMD for these accounts can be aggregated and removed from a single traditional IRA. This exce[redacted] qualified retirement plans (QRPs) such as profit sharing, money purchase pension, 401(k), and defined benefit plans. RMDs for QRP's must be removed from each QRP account[redacted]

NOTE: Any outstanding rollovers or recharacterizations that were not in your account on December 31 of the prior year should be added to your year-end fair market value for the previous year to calculate your RMD for the current year. Also, when taking your RMD, please consider any scheduled distribution amounts you have established which may allow you to automatically meet all or part of your RMD. Please conti[redacted]shing instructions.

Using the information on all six lines, your RMD was determined by dividing your fair market value by a calculation factor determined by the Internal Revenue Service (IRS). The factor is based on your age at the end of the year and the age and relationship of your beneficiary(ies). We Strongly suggest that you verify this calculation with your tax professional, as there may be other pertinent information that could impact your RMD amount. To withdraw your RMD, contact your investment professional for the appropriate withdrawal form.

STONE & YOUNGBERG

One Pony Building
San Francisco, California 94111 (415) 445-2100

[redacted]

Individual Retirement Account Statement

Statement Period: 11/01/2007 - 11/30/2007

Customer Service Information

[redacted]	Contact Information	Customer Service Information
[redacted]	Telephone Number: [redacted]	Web Site: www.buybonds.com
	Fax Number: [redacted]	

Prior Year-End Fair Market Value: $109,621.72 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset-Backed Securities	0.00	-58.84
Total Accrued Interest Paid	$0.00	-$58.84
Accrued Interest Received		
Asset-Backed Securities	0.00	16.82
Total Accrued Interest Received	$0.00	$16.82

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	526.68	5,480.1
FDIC Insured Bank Deposits	5.96	153.0
Other Interest	0.00	239.3
Total Dividends, Interest, Income and Expenses	$532.64	$5,872.5
Distributions		
Other Distributions	342.56	17,732.3
Total Distributions	$342.56	$17,732.3

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 2.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
2,775.880	11/07/07	AN8802394	11/30/07	1,900.88	2,775.88	4.39	153.03	N/A	1.814
Total FDIC Insured Bank Deposits				$1,900.88	$2,775.88	$4.39	$153.03		
Total Cash and Cash Equivalents				$1,900.88	$2,775.88	$4.39	$153.03		

A9601980C5F11B0F

Account Number: [redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation

2718

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 98.00% of Portfolio *(in CUSIP Sequence)*									
Certificates of Deposit									
JPMORGAN CHASE BK N A NEW YORK N Y									
CTF REF 810006 03/30/27 B/E									
6.FD-03/30/07-CALLABLE 03/30/03									
6%, 100,000 1ST CPN DTE 06/30/07									
CPN PMT QUARTERLY ON MAR 30									
Moody Rating AAA S & P Rating AA									
Security Identifier: 48123CRY4									
6,000.000	03/27/07	100.0000	6,000.00	97.6900	5,861.40	-138.60	81.33	480.00	8.1896
Original Cost Basis: 56,000.00									
Total Certificates of Deposit									
6,000.000			**$6,000.00**		**$5,861.40**	**-$138.60**	**$81.33**	**$480.00**	
Asset Backed Securities									
CWABS INC MTG PASS THRU CTF									
SER 2005-36 CL 1A-7 "INV"									
4.4649% 11/25/35 B/E DTD 09/01/05									
Moody Rating AAA S & P Rating AAA									
Factor: 0.79675563 Remaining Balance: 39,837.782									
Security Identifier: 12668AMB6									
50,000.000	09/15/05	100.0000	39,837.80	97.5000	38,841.84	-995.96	145.28		
Original Cost Basis: $50,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD "INV"									
SER 2688-IO-2688-SE 10.000% 10/15/33 B/E									
DTD 10/15/03									
Factor: 0.10917464 Remaining Balance: 2,729.366									
Security Identifier: 31394KAU5									
25,000.000	11/16/04	99.9990	2,729.36	101.3750	2,766.93	37.54	21.99		
Original Cost Basis: $15,500.36									
FNMA CTF REMIC PASS THRU CTF REMIC									
SER 2005-83-CL 05-WW WW 6/7/8									
7.00% 11/25/35 B/E DTD 10/01/05									
Factor: 0.68958734 Remaining Balance: 6,895.873									
Security Identifier: 31394UER9									
10,000.000	04/18/06	96.9640	6,686.53	98.4140	6,786.51	99.98	38.89		
Original Cost Basis: $8,738.56									

[redacted] Account Number: [redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
*Asset Backed Securities *(continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC SER:2005-98 CL-98-SX 4.32696 12/25/34 B/E DTD 10/25/05 Factor: 0.64362768 Remaining Balance: 12,872.554 *Security Identifier:* 31394UCN6									
20,000.000	11/25/05	97.2500	12,518.58	99.6740	12,817.72	299.14	44.67		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER:2950 CL-2950-SV 3.18396 03/15/35 B/E DTD 03/15/05 Factor: 0.36378471 Remaining Balance: 5,456.771 *Security Identifier:* 31395PXU1									
15,000.000	09/27/05	100.0000	5,456.78	98.9680	5,400.46	-56.32	13.99		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER:2968 CL-2968-SC 11.33096 12/15/34 B/E DTD 04/01/05 CLB Factor: 0.27328478 Remaining Balance: 2,732.848 *Security Identifier:* 31395RSP4									
10,000.000	04/25/05	99.0000	2,705.51	98.7530	2,698.77	-6.74	24.94		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER:3063 CL-3063-SY 3.41096 05/15/33 B/E DTD 11/15/05 CLB Factor: 0.27030186 Remaining Balance: 2,703.019 *Security Identifier:* 31396BJF4									
10,000.000	01/31/06	96.7500	2,615.17	98.8590	2,672.18	57.01	7.43		
Original Cost Basis: $9,182.05									

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities (continued)									
GNMA II REMIC PASS THRU CTF REMIC									
FR 2006-111 CL-111-FT "INV"									
9.0000% 06/25/36 B/E OTD 10/25/06									
Factor: 0.745731858 Remaining Balance: 11,178.576									
Security Identifier: 31398JCS									
15,000.000	10/13/06	98.7500	11,038.85	100.7680	11,264.43	225.58	72.04		
Original Cost Basis: $14,812.50									
FHLMC MULTI FAMILY STRUCTURED PASS THRU CTFS GTD									
SER 3172 CL-3172-ES INV FLTR									
6.1500% 06/15/36 B/E OTD 07/15/06 CLB									
Factor: 0.815152775 Remaining Balance: 9,781.593									
Security Identifier: 31396QPS									
12,000.000	05/22/07	85.0000	8,314.36	96.2930	9,418.09	1,104.65	48.47		
Original Cost Basis: $8,515.63									
FHLMC GTD REMIC PASS THRU CTFS REMIC									
SER 3218 CL-3218-IS INV FLTR									
7.2015% 07/15/36 B/E OTD 08/15/06 CLB									
Factor: 0.838610258 Remaining Balance: 7,168.821									
Security Identifier: 31397BUA0									
8,000.000	09/20/07	98.5000	7,061.29	102.0730	7,317.43	256.14	72.19		
Original Cost Basis: $7,394.38									
FHLMC GTD REMIC PASS THRU CTFS REMIC									
SER 3353 CL-3353-TM 8.0000% 09/15/35 B/E									
OTD 07/01/07 CLB									
Factor: 0.844997 Remaining Balance: 6,749.958									
Security Identifier: 31397BUF3									
7,000.000	07/12/07	98.5000	6,648.71	98.3220	6,636.69	-12.02	43.50		
Original Cost Basis: $6,893.00									
Total Asset-Backed Securities									
108,000.000			$105,612.94		$106,621.92	$1,008.98	$531.55	$0.00	

Total Remaining Balance: 108,107.161

2721

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Corporate Bonds									
BARCLAYS BK-PLC 0.000% 06/27/22 B/E DTD 06/27/07.CALLABLE 06/27/08 @ 100.000 1ST CPN DTE 09/27/07 CPN PMT QUARTERLY ON M,J,S,D 27									
Security Identifier: 06738EC1									
7,000.000	06/18/07	100.0000	7,000.00	99.2500	6,947.50	-52.50	0.00		
Original Cost Basis: $7,000.00									
15,000.000	06/18/07	100.0000	15,000.00	99.2500	14,887.50	-112.50	0.00		
Original Cost Basis: $15,000.00									
22,000.000	**Total**		**$22,000.00**		**$21,835.00**	**-$165.00**	**$0.00**	**$0.00**	
Total Corporate Bonds									
22,000.000			**$22,000.00**		**$21,835.00**	**-$165.00**	**$0.00**	**$0.00**	
Total Fixed Income									
210,000.000			**$133,612.94**		**$134,318.32**	**$705.38**	**$612.92**	**$480.00**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$136,388.82**	**$137,094.20**	**$705.38**	**$612.92**	**$653.03**

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided with the corresponding tax lot.

§ The Remaining Balance represents your current ownership amount in the listed Asset Backed Security. The Remaining Balance is calculated by multiplying the quantity by the Factor (paydown factor) which is published by FNMA, GNMA and other agencies, which could be changed by the agencies after the statement date. The Remaining Balance is multiplied by the Market Price to determine the Market Value.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

[redacted]

Portfolio Holdings *(continued)*

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of the foregoing is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by-exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Please be advised that the secondary market for CDs is generally illiquid; the actual value of CDs may be different from their purchase price; and a significant loss of principal could result if your CDs are sold prior to maturity. In the event that the CDs listed above do not indicate a market valuation, an accurate market value could not be determined. In the event that a price is listed above for your CDs, Pershing has obtained a price from sources deemed to be reliable or has priced your CDs using a matrix formula. Prices are estimates and the actual value you may obtain for your CD may be different if you elect to sell your CD in the secondary market.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be based on the rate such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Trade/ Settlement Date	Trade/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
11/15/07		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS GTD_"IWW" SER-2688 CL-2688-SE 10.000% 10/15/33 B/E DTD 10/15/03 RD 10/31 PD 11/15/07				20.77
11/15/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2850 CL 2850-SV 3.183% 03/15/35 B/E DTD 03/15/05 RD 10/31 PD 11/15/07				19.41
11/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB RD 10/31 PD 11/15/07				7.29

2723

STONE & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-3000

Individual Retirement Account Statement

Statement Period: 11/01/2007 - 11/30/2007

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
11/15/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3063 CL-3063-SY 3.410% 05/15/33 B/E DTD 11/15/05 CLB RD 10/31 PD 11/15/07				9.57
11/15/07		BOND INTEREST RECEIVED	12000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER-3172 CL-3172-CS INV FLTR 4.218% 06/15/36 B/E DTD 06/15/06 CLB RD 10/31 PD 11/15/07				34.39
11/15/07		BOND INTEREST RECEIVED	8000 FHLMC GTD REMIC PASS THRU CTFS REMIC SER-3218 CL-3218-IS INV FLTR 2.095% 09/15/36 B/E DTD 09/15/06 CLB RD 10/31 PD 11/15/07				51.44
11/15/07		BOND INTEREST RECEIVED	7000 FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/33 B/E DTD 01/01/07 CLB RD 10/31 PD 11/15/07				45.00
11/19/07	11/16/07	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				5.06
11/26/07		BOND INTEREST RECEIVED	50000 CWABS, INC. ASS-BACK-THRU CTF SER 2005-26 CL-1 A-7 "INV" 4.464% 11/25/35 B/E DTD 10/31/05 RD 10/31 PD 11/25/07				158.94
11/26/07		BOND INTEREST RECEIVED	10000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-95 CL-95-WT INV 4.918% 11/25/35 B/E DTD 10/01/05 RD 10/31 PD 11/25/07				40.23
11/26/07		BOND INTEREST RECEIVED	20000 FNMA GTD REMIC PASS THRU CTF REMIC SER-2005-98 CL-98-SX 4.326% 12/25/35 B/E DTD 10/25/05 RD 10/31 PD 11/25/07				64.03
11/26/07		BOND INTEREST RECEIVED	18000 FNMA GTD REMIC PASS THRU CTF REMIC TR 2006-117 CL-111-D "INV" 8.000% 06/25/36 B/E DTD 10/25/06 RD 10/31 PD 11/25/07				75.63
Total Dividends and Interest							**$532.64**

[redacted]

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Distributions							
11/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTI CLASS M/C PARTN CTFS GTD SER 2250 CL 2250-S V 3.1833 X03/1/35 B/E DTD 03/15/06 RD 10/31 PD 11/15/07				18.72
11/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC CTD REMIC PASS THRU CTF SERIC SER 3218 CL 3218-IS INV FLTR 2.3854% 04/25/36 B/E DTD 09/15/06 CLB RD 10/31 PD 11/15/07				156.99
11/15/07		PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS-THRU CTFS REMIC SER 3255 CL 3255 TD 6.0000% 08/15/35 B/E DTD 01/01/07 CL 9 RD 10/31 PD 11/15/07				0.60
11/25/07		PRINCIPAL PAY DOWN RECEIVED	FNMA CTD REMIC PASS THRU CTF REMIC TRS2005 L11 CL 3 11-17 INV 0.000% 06/25/36 B/E DTD 10/29/06 RD 10/31 PD 11/25/07				166.05
Total Distributions							$342.36
FDIC Insured Bank Deposits							
11/7/07		MONEY MARKET DEPOST	RESERVE INS DEPOSITS				-364.18
11/19/07	11/16/07	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTERST REINVESTED				-5.96
11/29/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-504.86
Total FDIC Insured Bank Deposits							-$875.00
Total Value of all Transactions							$0.00

Trade price and quantity displayed may have been rounded

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 years	134,318.32	100%
Total	$134,318.32	100%

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

[redacted] Account Number [redacted] Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation

2725

STONE & YOUNGBERG
One Ivory Building
Sausalito, California 94965 (415) 445-3000

[redacted]

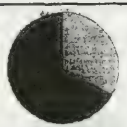
Individual Retirement Account Statement

Statement Period: 11/01/2007 - 11/30/2007

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	44,703.24	33%
Not Rated	89,615.08	67%
Total	**$134,318.32**	**100%**

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section.



Percentages of bond market values are rounded to the nearest whole percentage

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number ANB802594	Activity Ending: 11/30/07			
11/01/07	Opening Balance		1,900.88	1,900.88
11/15/07	Deposit	INTEREST CREDITED	5.26	1,906.88
11/16/07	Deposit	DEPOSIT	364.18	2,271.0
11/16/07		APYE 4.01		2,271.0
11/16/07		WASHINGTON MUTUAL		2,271.0
11/16/07		A/O 11/16 $2,271.02		2,271.0
11/27/07	Deposit	DEPOSIT	504.86	2,775.8
11/30/07	**Closing Balance**			**$2,775.8**
Total FDIC Insured Bank Deposits				**$2,775.8**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $100,000 for each category of legal ownership and $250,000 for each individual retirement account and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

[redacted]

Retirement Account Transactions

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	0.00	0.00	$512.68
Total Contributions	$0.00	$0.00	$0.00	$512.68
Distributions				
Excess Contribution	0.00	0.00	0.00	-500.00
Excess Contribution Income	0.00	0.00	0.00	-12.68
Total Distributions	$0.00	$0.00	$0.00	-$512.68

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type

Messages

IF YOU ARE AT LEAST THE AGE OF 70 1/2 THIS YEAR, YOUR STATEMENT HAS A REQUIRED MINIMUM DISTRIBUTION SUMMARY SECTION WHICH EXPLAINS THE AMOUNT YOU ARE REQUIRED TO WITHDRAW FOR THIS YEAR. PLEASE TAKE ACTION IMMEDIATELY TO ENSURE THAT YOUR DISTRIBUTION IS PROCESSED THIS YEAR. IF YOU HAVE QUESTIONS, PLEASE CONTACT YOUR INVESTMENT PROFESSIONAL OR FINANCIAL ORGANIZATION.

NOTE: PERSHING LLC DOES NOT PERFORM TAX REPORTING FOR ANNUITIES LINKED TO THIS ACCOUNT THAT ARE REGISTERED IN YOUR NAME, NOR INCLUDE THE VALUE OF SUCH ANNUITIES IN THE FMV CALCULATION DISPLAYED IN THE REQUIRED MINIMUM DISTRIBUTION SUMMARY OF YOUR BROKERAGE ACCOUNT STATEMENT. TAX REPORTING FOR SUCH ANNUITIES IS THE RESPONSIBILITY OF THE ISSUING INSURANCE COMPANY.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC)

YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK [redacted] PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE.

BEGINNING JANUARY 1, 2008, MAJOR BROKERAGE FIRMS AND FINANCIAL ORGANIZATIONS, INCLUDING YOUR FINANCIAL ORGANIZATION, WILL ELIMINATE STOCK CERTIFICATE ISSUANCE FOR STOCKS THAT [redacted] AND CONTINUE TO MAINTAIN THESE SECURITIES IN YOUR BROKERAGE ACCOUNT. SUCH SECURITIES MAY BE TRANSFERRED THR[redacted] OWNERSHIP ON THE BOOKS OF THE ISSUER. ONCE TRANSFERRED, AND IF AN ISSUER DOES PROVIDE PHYSICAL CERTIFICATES, CLIENTS MAY OBTAIN A STOCK CERTIFICATE BY CONTACTING THE ISSUER'S AGENT IDENTIFIED ON THIS STATEMENT.

PHYSICAL STOCK CERTIFICATES ONCE WERE COMMONPLACE IN THE FINANCIAL SERVICES INDUSTRY. BUT MUCH AS SAVINGS PASS BOOKS HAVE DISAPPEARED FROM BANKS, NEW TECHNOLOGY PLATFORMS AND REGULATORY CHANGES HAVE NOW MOVED BROKERAGE FIRMS AWAY FROM PAPER TRANSACTIONS AND TOWARDS SECURITIES ISSUED IN "BOOK-ENTRY" FORM; WITHOUT CERTIFICATES AND WITH CLIENT OWNERSHIP RECORDED ELECTRONICALLY.

YOUR INVESTMENT PROFESSIONAL CAN HELP YOU DECIDE THE BEST OPTION FOR HOLDING SECURITIES.

2727

STONE & YOUNGBERG

One Ferry Building
San Francisco, California 94111 (415) 445-2970

[redacted]

Individual Retirement Account Statement

[redacted]

IRA FBO JAMES MCDERMOTT SR
PERSHING LLC AS CUSTODIAN
DTD 10/27/03
[redacted]

Account Number: [redacted]
Statement Period: 12/01/2007 - 12/31/2007

Valuation at a Glance

	This Period
Beginning Account Value	$137,094.20
Dividends/Interest	1,112.57
Change in Account Value	-3,036.20
Ending Account Value	$135,170.57

FDIC insured bank deposits are not securities, are not held by Pershing LLC, and are not covered by the Securities Investor Protection Corporation. These bank deposits are covered by the Federal Deposit Insurance Corporation.

Asset Allocation

	Value Prior Year-End	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents[1]	5,964.65	2,775.88	4,230.12	3%
Fixed Income	103,657.07	134,318.32	130,940.45	97%
Account Total (Pie Chart)	$109,621.72	$137,094.20	$135,170.57	100%

[1] Includes FDIC insured bank deposits

Asset Allocation percentages are rounded to the nearest whole percentage

Pie Chart allocation excludes all debit classes which net to a liability

[redacted]

Clearing Through Pershing LLC, a Subsidiary of The Bank of New York Mellon Corporation
Member NASD, NYSE, SIPC

2728

[redacted]

Summary of Gains and Losses

	Realized		Unrealized
	Mth Period	Year-to-Date	Mth Period
Short-Term Gain/Loss	0.73	102.00	779.39
Long-Term Gain/Loss	4.67	73.08	-3,115.63
Net Gain/Loss	5.40	175.08	-2,336.24

This summary excludes transactions where cost basis information is not available.

Required Minimum Distribution Summary

	Calculated Amount
Prior Year-end Fair Market Value ("FMV"):	$109,621.72
Calculation Factor:	33.3
Participant DOB: 12/1936 Beneficiary DOB: 06/1955	
Beneficiary Relationship: SPOUSE	
Amount Required to Be Withdrawn for 2007:	$3,291.94
Amount Withdrawn Year to Date:	$0.00
The Remaining Amount You Are Required to Withdraw for 2007:	$3,291.94

Important Legal Notice Regarding Information Regarding Your Required Minimum Distribution Summary

According to our records, you are required under federal tax law to take an annual minimum distribution from your retirement account. These required minimum distributions (RMDs) usually begin in the year you reach the age of 70 1/2. Failure to take the RMD when required may result in a 50% excise tax imposed on the amount you did not take.

You are allowed to take your RMD in cash or securities. For a distribution to be made, sufficient cash or securities must be available in your retirement account when we receive your distribution request and for subsequent distributions. If you changed your beneficiary after January 1 of this year and your new or prior beneficiary is your spouse who is more than ten years younger than you, you may need to recalculate the RMD amount stated above.

If you have more than one traditional individual retirement account (IRA), including SEP and SIMPLE IRAs, the RMD for these accounts can be aggregated and removed from a single traditional IRA. This ex[redacted]ple qualified retirement plans (QRPs) such as profit sharing, money purchase pension, 401(k), and defined benefit plans. RMDs for QRPs must be removed from each QRP acco[redacted]

NOTE: Any [redacted] rollovers or recharacterizations that were not in your account [redacted] of the prior year should be added to your year-end fair market value for the previous year to calculate your RMD for the current year. Also, when taking your RMD, please consider any scheduled distribu[redacted] you have established which may allow you to automatically meet all or part of your RMD. Please co[redacted]blishing instructions.

Using the information in our file, your RMD was determined by dividing your fair market value by a calculation factor determined by the Internal Revenue Service (IRS). The factor is based on your age at the end of the year and the age and relationship of your beneficiary(ies). We Strongly suggest that you verify this calculation with your tax professional, as there may be other pertinent information that could impact your RMD amount. To withdraw your RMD, contact your investment professional for the appropriate withdrawal form.

[redacted] BNY MELLON Account Number [redacted] [redacted] Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Co[redacted]

2729

STO...E & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2100

[redacted]

Individual Retirement Account Statement

Statement Period: 12/01/2007 - 12/31/2007

Customer Service Information

[redacted]

Contact Information
Telephone Numbers: [redacted]
Fax Number: [redacted]

Customer Service Information
Web Site: www.buybonds.com

Prior Year-End Fair Market Value: $109,621.72 will be furnished to the Internal Revenue Service.

Accrued Interest Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Accrued Interest Paid		
Asset Backed-Securities	0.00	-558.84
Total Accrued Interest Paid	$0.00	-$558.84
Accrued Interest Received		
Asset Backed Securities	0.00	16.82
Total Accrued Interest Received	$0.00	$16.82

Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Interest Income		
Bond Interest	1,104.15	6,524.33
FDIC Insured Bank Deposits	8.42	161.45
Other Interest	0.00	239.34
Total Dividends, Interest, Income and Expenses	$1,112.57	$6,985.12
Distributions		
Other Distributions	34.67	-$10,073.98
Total Distributions	$541.67	$10,073.98

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 3.00% of Portfolio									
FDIC Insured Bank Deposits									
RESERVE INSURED DEPOSITS									
4,230.120	12/01/07	AN6802394	12/31/07	2,775.88	4,230.12	5.56	161.45	N/A	1.59%
Total FDIC Insured Bank Deposits				$2,775.88	$4,230.12	$5.56	$161.45		
Total Cash and Cash Equivalents				$2,775.88	$4,230.12	$5.56	$161.45		

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 97.00% of Portfolio *(In CUSIP Sequence)*									
Certificates of Deposit									
JPMORGAN CHASE BK N A NEW YORK N Y CTF DEP 8.000% 03/30/22 B/E DTD 03/30/07 CALLABLE 03/30/08 @ 100.000 1ST CPN DTE 06/30/07 CPN PMT QUARTERLY ON M,J,S,D 30 Moody Rating AAA S & P Rating AA *Security Identifier: 48121CRY4*									
6,000.000	03/27/07	100.0000	6,000.00	97.2100	5,832.60	-167.40	0.00	480.00	8.229%
Original Cost Basis: $6,000.00									
Total Certificates of Deposit **6,000.000**			**$6,000.00**		**$5,832.60**	**-$167.40**	**$0.00**	**$480.00**	
Asset Backed Securities									
CHWMBS INC MTG PASS THRU CTF SER 2005-26 CL-1-A-7 "INV" 5.223% 11/25/35 B/E DTD 09/01/05 Moody Rating AAA S.&.P Rating AAA Factor: 0.79675563 Remaining Balance: 39,837.782 *Security Identifier: 126694MR6*									
50,000.000	09/15/05	100.0000	39,837.80	95.7970	38,163.40	-1,674.40	173.39		
Original Cost Basis: $50,000.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" SER-2688 CL-2688-SE 9.739% 10/15/33 B/E DTD 10/15/03 Factor: 0.10917464 Remaining Balance: 2,729.366 *Security Identifier: 31394K4U5*									
25,000.000	11/16/04	99.9950	2,729.36	98.0550	2,676.28	-53.08	22.15		
Original Cost Basis: $15,500.36									
FNMA GTD REMIC PASS-THRU CTF REMIC SER-2005-95 CL-95-WT.INV ETTR. 7.000% 11/25/35 B/E DTD 10/01/05 Factor: 0.68609457 Remaining Balance: 6,860.946 *Security Identifier: 31394UER9*									
10,000.000	04/18/06	96.9640	6,652.66	97.5850	6,695.25	42.59	40.02		
Original Cost Basis: $8,738.56									

[redacted] DUBOIS & ATTO Account Number [redacted] Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Co

2731

STONE & YOUNGBERG
One Liberty Building
San Francisco, California 94111 (415) 441-1500

Individual Retirement Account Statement

Statement Period: 12/01/2007 - 12/31/2007

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities (continued)									
FNMA GTD REMIC PASS THRU CTF REMJC SER:2005-98 CL-98-SX 5.995% 12/25/34 B/E DTD 10/25/05 Factor: 0.64362768 Remaining Balance: 12,872.554 *Security Identifier:* 31394UCN6									
20,000.000	11/25/05	97.2500	12,518.58	91.6850	11,807.20	-716.38	64.31		
Original Cost Basis: $18,310.65									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER:2950 CL-2950-SV 4.552% 03/15/35 B/E DTD 03/15/05 Factor: 0.36378471 Remaining Balance: 5,456.771 *Security Identifier:* 31395PXU1									
15,000.000	09/27/05	100.0000	5,456.78	95.2780	5,199.10	-257.68	20.70		
Original Cost Basis: $14,803.18									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER:2968 CL-2968-SC 11.330% 12/15/34 B/E DTD 04/01/05 CLB Factor: 0.27328478 Remaining Balance: 2,732.848 *Security Identifier:* 31395RSP4									
10,000.000	04/25/05	99.0000	2,705.51	87.4340	2,389.44	-316.07	25.83		
Original Cost Basis: $9,900.00									
FHLMC MULTICLASS MTG PARTN CTFS GTD SER:3063 CL-3063-SY 4.482% 05/15/33 B/E DTD 11/19/05 CLB Factor: 0.26865512 Remaining Balance: 2,686.551 *Security Identifier:* 31396EJF4									
10,000.000	01/31/06	96.7500	2,599.24	94.7020	2,544.22	-55.02	10.04		
Original Cost Basis: $9,182.05									

BNY BANK BATB

Account Number: [redacted]

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation

2732

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
***Fixed Income** (continued)*									
***Asset Backed Securities** (continued)*									
FNMA GTD REMIC PASS THRU CTF REMIC									
TR 2006-111 CL-111-TI "INV"									
0.00000 06/25/36 B/E DTD 10/25/06									
Factor: 0.72873088 Remaining Balance: 10,930.963									
Security Identifier: 31396LSC5									
15,000.000	10/13/06	98.7500	10,794.33	97.9670	10,708.74	-85.59	72.87		
Original Cost Basis: $14,812.50									
FHLMC MULTICLASS MTG PARTN CTFS GTD									
SER 3172 CL-3172-CS INV FLTR									
4.43594 06/15/36 B/E DTD 06/15/06 CLB									
Factor: 0.81500843 Remaining Balance: 9,780.821									
Security Identifier: 31396XXF9									
12,000.000	05/22/07	85.0000	8,513.70	93.0350	9,099.59	785.89	36.68		
Original Cost Basis: $8,515.93									
FHLMC GTD REMIC PASS THRU CTFS REMIC									
SER 3218 CL-3218-IS INV FLTR									
9.01294 06/15/38 B/E DTD 06/15/06 CLB									
Factor: 0.89094121 Remaining Balance: 7,127.530									
Security Identifier: 31397BUA0									
8,000.000	09/20/07	98.5000	7,920.62	102.3650	7,296.10	775.48	53.56		
Original Cost Basis: $7,394.16									
FHLMC GTD REMIC PASS-THRU CTFS REMIC									
SER 3263 CL 3263 TM 0.00000 08/15/35 B/E									
DTD 01/01/07 CLB									
Factor: 0.98419336 Remaining Balance: 6,749.354									
Security Identifier: 31397EUE3									
7,000.000	01/19/07	98.5000	6,648.11	99.2470	6,698.53	50.42	45.00		
Original Cost Basis: $6,895.00									
Total Asset Backed Securities									
182,801.600			**$105,276.69**		**$103,272.85**	**-$2,003.84**	**$564.52**	**$0.00**	
Total Remaining Balance: 107,765.496									

2733

STONE & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 445-2900

[redacted]

Individual Retirement Account Statement

Statement Period: 12/01/2007 - 12/31/2007

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Corporate Bonds									
BARCLAYS BK PLC 0.00% 06/27/22 B/E DTD 06/27/07 CALLABLE 06/27/08 @ 100.000 1ST CPN DTE 09/27/07 CPN PMT QUARTERLY ON M,J,S,D 27									
Security Identifier: 06738EGG1									
7,000.000	06/18/07	100.0000	7,000.00	99.2500	6,947.50	-52.50	0.00		
Original Cost Basis: $7,000.00									
15,000.000	06/18/07	100.0000	15,000.00	99.2500	14,887.50	-112.50	0.00		
Original Cost Basis: $15,000.00									
22,000.000	**Total**		**$22,000.00**		**$21,835.00**	**-$165.00**	**$0.00**	**$0.00**	
Total Corporate Bonds									
22,000.000			**$22,000.00**		**$21,835.00**	**-$165.00**	**$0.00**	**$0.00**	
Total Fixed Income									
210,000.000			**$133,276.69**		**$130,940.45**	**$2,334.24**	**$564.52**	**$640.00**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$137,506.81**	**$135,170.57**	**$2,334.24**	**$564.52**	**$641.45**

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided with the corresponding tax lot

* The Remaining Balance represents your current ownership amount in the listed Asset Backed Security. The Remaining Balance is calculated by multiplying the quantity by the Factor (paydown factor) which is published by FNMA, GNMA and other agencies, which could be changed by the agencies after the statement date. The Remaining Balance is multiplied by the Market Price to determine the Market Value.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are obtained from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Portfolio Holdings *(continued)*

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Please be advised that the secondary market for CDs is generally illiquid; the actual value of CDs may be different from their purchase price; and a significant loss of principal could result if your CDs are sold prior to maturity. In the event that the CDs listed above do not indicate a market valuation, an accurate market value could not be determined. In the event that a price is listed above for your CDs, Pershing has obtained a price from sources deemed to be reliable or has priced your CDs using a matrix formula. Prices are estimates and the actual value you may obtain for your CD may be different if you elect to sell your CD in the secondary market.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date of the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others, in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions by Type of Activity

Process/ Trade/
Settlement Transaction

Date	Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest							
12/17/07		BOND INTEREST RECEIVED	25000 FHLMC MULTICLASS MTG PARTN CTFS CTD "INV" SER-2688 CL-2688-SE 10.000% 10/15/31 B/E DTD 10/15/96 RD 11/30 PD 12/15/07				22.74
12/17/07		BOND INTEREST RECEIVED	15000 FHLMC MULTICLASS MTG PARTN CTFS CTD SER-2950 CL-2950-SV 6.310% 03/15/35 B/E DTD 03/15/05 RD 11/30 PD 12/15/07				28.70
12/17/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS CTD SER-2968 CL-2968-SC 11.530% 12/15/34 B/E DTD 04/01/05 CDB RD 11/30 PD 12/15/07				10.72

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
Dividends and Interest *(continued)*							
12/17/07		BOND INTEREST RECEIVED	10000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL 3063-SY 4.48396 05/15/33 B/E DTD 11/15/05 CLB RD 11/30 PD 12/15/07				13.20
12/17/07		BOND INTEREST RECEIVED	12000 FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3172 CL 3172 CS INV FLTR 8.15L% 06/15/36 B/E DTD 06/15/06 CLB RD 11/30 PD 12/15/07				50.14
12/17/07		BOND INTEREST RECEIVED	8000 FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3218 CL 3218 JS INV FLTR 0.000% 09/15/35 B/E DTD 09/15/06 CLB RD 11/30 PD 12/15/07				74.63
12/17/07		BOND INTEREST RECEIVED	7000 FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3263 CL 3263 TM 8.000% 08/15/35 B/E DTD 01/01/07 CLB RD 11/30 PD 12/15/07				45.00
12/18/07	12/17/07	MONEY MARKET FUND INTEREST RECEIVED	RESERVE INS DEPOSITS INTEREST CREDITED				8.42
12/26/07		BOND INTEREST RECEIVED	50000 CWMBS INC MTG PASS THRU CTF SER 2005-26 CL 1-A-7 "INV" 5.210% 11/25/35 B/E DTD 09/01/05 RD 11/30 PD 12/25/07				181.69
12/26/07		BOND INTEREST RECEIVED	10000 FNMA CTO REMIC PASS THRU CTF REMIC SER 2005-95 CL 95 WT INV FLTR 9.000% 11/25/35 B/E DTD 10/01/05 RD 11/30 PD 12/25/07				40.25
12/26/07		BOND INTEREST RECEIVED	20000 FNMA CTO REMIC PASS THRU CTF REMIC SER 2005-98 CL 98 SX 5.967% 12/25/34 B/E DTD 10/25/05 RD 11/30 PD 12/25/07				67.53

2736

ıactions by Type of Activity *(continued)*

/ Trade/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
dends and Interest *(continued)*						
26/07	BOND INTEREST RECEIVED	15000 FNMA GTD REMIC PASS THRU CTF REMIC TR 2005-111 CL-111-H *INV* 8.00000 06/25/36 B/E DTD 10/25/06 RD 11/30 PD 12/25/07				74.32
2/27/07	BOND INTEREST RECEIVED	22000 BARCLAYS BK PLC 0.00000 06/27/21 B/E DTD 06/27/07 CLB RD 12/26 PD 12/27/07				495.00
Total Dividends and Interest						$1,112.57
Distributions						
12/17/07	PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SER 3063 CL-3063 SY 4.48396 05/15/35 B/E DTD 12/15/05 CLB RD 11/30 PD 12/15/07				16.47
12/17/07	PRINCIPAL PAY DOWN RECEIVED	FHLMC MULTICLASS MTG PARTN CTFS GTD SERES 1772 CL-3172 CS INV FLTR 6.45146 06/15/36 B/E DTD 06/15/06 CLB RD 11/30 PD 12/15/07				0.77
12/17/07	PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3248 CL 3248-B 4.84 FLTR 0.00000 09/15/36 B/E DTD 09/15/06 CLB RD 11/30 PD 12/15/07				41.29
12/17/07	PRINCIPAL PAY DOWN RECEIVED	FHLMC GTD REMIC PASS THRU CTFS REMIC SER 3283 CL 3283 TM 8.00000 08/15/35 B/E DTD 01/01/07 CLB RD 11/30 PD 12/15/07				0.60
12/26/07	PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC SER 2005-95 CL-95-WT INV FLTR 7.00000 11/25/35 B/E DTD 10/01/05 RD 11/30 PD 12/25/07				34.93
12/26/07	PRINCIPAL PAY DOWN RECEIVED	FNMA GTD REMIC PASS THRU CTF REMIC TR 2006-111 CL-111-D *INV* 8.00000 09/25/36 B/E DTD 10/25/06 RD 11/30 PD 12/25/07				247.61
Total Distributions						$341.67
FDIC Insured Bank Deposits						
12/18/07 12/17/07	MONEY MARKET INTEREST REINVESTED	RESERVE INS DEPOSITS INTEREST REINVESTED				-8.42
12/18/07	MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-304.31

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Co ion

Transactions by Type of Activity *(continued)*

Process/ Settlement Date	Trade/ Transaction Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amoun
FDIC Insured Bank Deposits *(continued)*							
12/27/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-545.5
12/28/07		MONEY MARKET DEPOSIT	RESERVE INS DEPOSITS				-295.0
Total FDIC Insured Bank Deposits							-1,454.2
Total Value of all Transactions							$0.0

This price and quantity displayed may have been rounded.

Bond Maturity Schedule

Bond Maturity	Market Value	Percentage of Bond Market Value
Over 10 Years	130,940.45	100%
Total	$130,940.45	100%

Bonds that are in default are not included. Please refer to your Portfolio Holdings section.

Percentages of bond market values are rounded to the nearest whole percentage.

Account Number
Clearing through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member NASD NYSE, SIPC

2738

[redacted]

Bond Quality

Bond Quality	Market Value	Percentage of Bond Market Value
AAA	43,596.00	34%
Not Rated	86,944.45	66%
Total	$130,940.45	100%

Bond quality ratings reflect Moody's or Standard and Poor's ratings. Bonds may be rated by other services. Bonds that are in default are not included. Please refer to your Portfolio Holdings section

Percentages of bond market values are rounded to the nearest whole percentage.

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits				
RESERVE INSURED DEPOSITS				
Account Number: ANB802594	Activity Ending: 12/31/07			
12/01/07	Opening Balance		2,775.88	2,775.88
12/16/07	Deposit	INTEREST CREDITED	8.42	2,784.30
12/17/07		APYE 3.81		2,784.30
12/17/07		WASHINGTON MUTUAL		2,784.30
12/17/07		A/O 12/17 $2,784.30		2,784.30
12/18/07	Deposit	DEPOSIT	304.31	3,088.61
12/27/07	Deposit	DEPOSIT	646.51	3,735.12
12/28/07	Deposit	DEPOSIT	495.00	4,230.12
12/31/07	**Closing Balance**			**$4,230.12**
Total FDIC Insured Bank Deposits				**$4,230.12**

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $100,000 for each category of legal ownership and $250,000 for each individual retirement account and certain other self-directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Retirement Account Transactions

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Contributions				
Participant	0.00	0.00	0.00	512.68
Total Contributions	**$0.00**	**$0.00**	**$0.00**	**$512.68**

[redacted] Account Number [redacted]

Clearing Through Pershing LLC a subsidiary of The Bank of New York Mellon Co... Inve

2739

Retirement Account Transactions *(continued)*

	Tax Year - 2007		Tax Year - 2006	
	This Period	Year-to-Date	This Period	Year-to-Date
Distributions				
Excess Contribution	0.00	0.00	0.00	-500.00
Excess Contribution Income	0.00	0.00	0.00	-12.68
Total Distributions	$0.00	$0.00	$0.00	-$512.68

NOTE: The amounts shown in the Year-to-Date columns are aggregate amounts of all transactions conducted for a particular transaction type.

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
01/08/07	01/31/06	RPP	FHLMC MULTICLASS - MTG PARTN CTFS GTD Original Cost Basis: 289.38	31396EJF4	10,000.000	289.38	299.10	9.72
01/11/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 147.50	31394UER9	10,000.000	147.50	152.11	4.61
01/11/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 285.06	31396L5C5	15,000.000	285.06	288.66	3.60
02/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 52.00	31394UEP9	10,000.000	52.00	53.62	1.62
02/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 288.82	31396L5C5	15,000.000	288.82	292.47	3.65
03/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 60.35	31397BUE3	7,000.000	60.35	61.26	0.91
03/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 55.73	31394UER9	10,000.000	55.73	57.47	1.74
03/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 386.87	31396L5C5	15,000.000	386.87	391.77	4.90

2740

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/09/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 31.69	31397EUE3	7,000.000	31.69	32.16	0.47
04/11/07	04/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 40.92	31394UER8	10,000.000	40.92	42.19	1.27
04/11/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 349.91	31396LSC5	15,000.000	349.91	354.34	4.43
05/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 32.63	31397EUE3	7,000.000	32.63	33.12	0.49
05/11/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 440.35	31396LSC5	15,000.000	440.35	445.92	5.57
06/07/07	05/22/07	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 52.10	31396RXF9	12,000.000	52.10	61.29	9.19
06/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 33.48	31397EUE3	7,000.000	33.48	33.99	0.51
06/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 583.34	31396LSC5	15,000.000	583.34	590.72	7.38
07/09/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 30.55	31397EUE3	7,000.000	30.55	31.02	0.47
07/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 271.10	31396LSC5	15,000.000	271.10	274.53	3.43
08/07/07	05/22/07	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 59.53	31396RXF9	12,000.000	59.53	70.03	10.50
08/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 25.34	31397EUE3	7,000.000	25.34	25.72	0.38
08/13/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 186.30	31396LSC5	15,000.000	186.30	188.66	2.36
09/10/07	05/22/07	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 83.69	31396RXF9	12,000.000	83.69	98.46	14.77

STONE & YOUNGBERG
One Ferry Building
San Francisco, California 94111 (415) 142 2500

[redacted]

Individual Retirement Account Statement

Statement Period: 12/01/2007 - 12/31/2007

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
09/10/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 31.07	31397EUE3	7,000.000	31.07	31.54	0.47
09/14/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 149.45	31396LSC5	15,000.000	149.45	151.33	1.88
10/05/07	05/22/07	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 6.25	31396RXF9	12,000.000	6.25	7.35	1.10
10/05/07	09/20/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 178.24	31397BIA0	8,000.000	178.24	180.95	2.71
10/05/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 0.59	31397EUE3	7,000.000	0.59	0.59	0.00
10/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 62.23	31396LSC5	15,000.000	62.23	63.01	0.78
11/07/07	09/20/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 154.63	31397BIA0	8,000.000	154.63	156.98	2.35
11/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 0.59	31397EUE3	7,000.000	0.59	0.60	0.01
12/07/07	05/22/07	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 0.66	31396RXF9	12,000.000	0.66	0.77	0.11
12/07/07	09/20/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 40.67	31397BIA0	8,000.000	40.67	41.29	0.62
12/07/07	01/19/07	RPP	FHLMC GTD REMIC PASS THRU CTFS REMIC Original Cost Basis: 0.60	31397EUE3	7,000.000	0.60	0.60	0.00
Total Short Term						$4,411.62	$4,513.62	$102.00

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member NYSE, SIPC

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
01/08/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 266.09	31395PXU1	15,000.000	266.09	266.08	-0.01
01/08/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 126.78	31395RSP4	10,000.000	126.78	128.06	1.28
01/11/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 176.60	31394UGN6	20,000.000	176.60	181.59	4.99
01/23/07	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 300.85	126694VR6	50,000.000	300.85	300.84	-0.01
02/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 82.11	31395PXU1	15,000.000	82.11	82.11	0.00
02/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 203.16	31396EJF4	10,000.000	203.16	209.97	6.81
02/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 258.24	31394UGN6	20,000.000	258.24	265.54	7.30
02/23/07	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 1,191.08	126694MR6	50,000.000	1,191.08	1,191.08	0.00
03/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 323.31	31395PXU1	15,000.000	323.31	323.31	0.00
03/07/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 107.82	31395RSP4	10,000.000	107.82	108.90	1.08
03/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 258.73	31396EJF4	10,000.000	258.73	267.42	8.69
03/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 213.98	31394UGN6	20,000.000	213.98	220.03	6.05
03/23/07	09/15/05	RPP	CWMBS INC MTG PASS THRU CTF Original Cost Basis: 247.60	126694MR6	50,000.000	247.60	247.60	0.00
04/09/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 174.77	31395PXU1	15,000.000	174.77	174.77	0.00

2743

STONE & YOUNGBERG
One Ferry Building
San Francisco California 94111 (415) 445-2180

[redacted]

Individual Retirement Account Statement

Statement Period: 12/01/2007 - 12/31/2007

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
04/09/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 326.26	31395RSP4	10,000.000	326.26	329.55	3.29
04/09/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 424.38	31396EJF4	10,000.000	424.38	438.63	14.25
04/11/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 19.07	31394UGN6	20,000.000	19.07	19.60	0.53
04/24/07	03/15/05	RPP	CMBS INC MTG PASS THRU CTF Original Cost Basis: 427.44	126694MR6	50,000.000	427.44	427.44	0.00
05/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 158.96	31395PXU1	15,000.000	158.96	158.96	0.00
05/07/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 233.57	31395RSP4	10,000.000	233.57	235.92	2.35
05/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 426.45	31396EJF4	10,000.000	426.45	440.77	14.32
05/11/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 36.45	31394UE69	10,000.000	36.45	37.58	1.13
05/22/07	04/28/05	SELL	CITIGROUP MTG SECS INC REMIC PASS THRU Original Cost Basis: 8,603.80	172973T90	20,000.000	8,603.80	8,513.85	89.95
05/25/07	09/15/05	RPP	CMBS INC MTG PASS THRU CTF Original Cost Basis: 508.19	126694MR6	50,000.000	508.19	508.18	-0.01
05/25/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 279.99	31394UGN6	20,000.000	279.99	287.90	7.91
06/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 299.15	31395PXU1	15,000.000	299.15	299.15	0.00

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long-Term *(continued)*								
06/07/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 211.09	31395RSP4	10,000.000	211.09	213.22	2.13
06/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 296.70	31396HFP4	10,000.000	296.70	306.66	9.96
06/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 141.23	31394UER9	10,000.000	141.23	145.65	4.42
06/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 240.88	31394UGA6	20,000.000	240.88	247.68	6.80
06/25/07	09/15/05	RPP	CMMBS INC MTG-PASS THRU CTF Original Cost Basis: 257.08	1266944MR6	50,000.000	257.08	257.08	0.00
07/09/07	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" Original Cost Basis: 0.01	31394K4U5	25,000.000	0.01	0.00	N/A
07/09/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 263.09	31395PXU1	15,000.000	263.09	263.09	0.00
07/09/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 125.04	31395RSP4	10,000.000	125.04	126.30	1.26
07/09/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 213.58	31396EJP4	10,000.000	213.58	220.75	7.17
07/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 76.36	31394UER9	10,000.000	76.36	78.75	2.39
07/12/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 278.02	31394UGN6	20,000.000	278.02	285.88	7.86
07/25/07	09/15/05	RPP	CMMBS INC MTG-PASS THRU CTF Original Cost Basis: 362.19	1266944MR6	50,000.000	362.19	362.19	0.00
08/07/07	11/16/04	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD "INV" Original Cost Basis: 2.92	31394K4U5	25,000.000	2.92	2.91	-0.01
08/07/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 112.45	31395PXU1	15,000.000	112.45	112.44	-0.01

[redacted] DALBAR RATED Account Number [redacted] [redacted] Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Co. ion

2745

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/07/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 23.97	31395RCF4	10,000.000	23.97	24.21	0.24
08/07/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 238.83	31396EJF4	10,000.000	238.83	246.84	8.01
08/13/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 161.61	31394UER9	10,000.000	161.61	166.66	5.05
08/13/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 104.24	31394UGN6	20,000.000	104.24	107.18	2.94
08/24/07	09/15/05	RPP	GNMA SINC MTG PASS-THRU CTF Original Cost Basis: 1,560.91	126694MR6	50,000.000	1,560.91	1,560.91	0.00
09/10/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 186.00	31395FXU1	15,000.000	186.00	186.00	0.00
09/10/07	04/25/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 62.15	31395RSP4	10,000.000	62.15	62.77	0.62
09/10/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 237.85	31396EJF4	10,000.000	237.85	245.84	7.99
09/14/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 90.53	31394UER9	10,000.000	90.53	93.35	2.82
09/14/07	11/25/05	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 122.95	31394UGN6	20,000.000	122.95	126.43	3.48
09/24/07	09/15/05	RPP	GNMA SINC MTG PASS-THRU CTF Original Cost Basis: 199.07	126694MR6	50,000.000	199.07	199.07	0.00
10/05/07	09/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 63.20	31395FXU1	15,000.000	63.20	63.19	-0.01

[redacted]

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/09/07	01/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 16.34	31396EJF4	10,000.000	16.34	16.88	0.54
10/22/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 85.75	31394UER9	10,000.000	85.75	88.43	2.68
11/01/07	08/27/05	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 18.72	31395PXU1	15,000.000	18.72	18.71	-0.01
11/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 163.98	31396LSC5	15,000.000	163.98	166.05	2.07
12/07/07	04/31/06	RPP	FHLMC MULTICLASS MTG PARTN CTFS GTD Original Cost Basis: 15.93	31396EJF4	10,000.000	15.93	16.46	0.53
12/12/07	04/18/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 33.87	31394UER9	10,000.000	33.87	34.92	1.05
12/12/07	10/13/06	RPP	FNMA GTD REMIC PASS THRU CTF REMIC Original Cost Basis: 244.52	31396LSC5	15,000.000	244.52	247.61	3.09
Total Long Term						$21,885.98	$21,958.94	$73.06
Total Short Term and Long Term						$26,297.50	$26,472.56	$175.06

The information provided is meant as a general guide and should not be relied upon in the preparation of tax returns. No one associated with Pershing is authorized to give tax advice.

Cost basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the security description.

Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

Messages

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC).

"YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY FUND AND FDIC-INSURED BANK PRODUCT RATES." PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEB SITE.

PORTFOLIO SUMMARY

THERESE M HANSEN
[redacted]

[redacted]

www.vanguard.com

[redacted]

[redacted]

TOTAL OF ALL ACCOUNTS	Value on 12/31/2006	Value on 12/31/2007
	$ 88,945.93	$ 97,973.18

RETIREMENT PLANS	Account number	Ticker	Value on 12/31/2006	Value on 12/31/2007
Short-term investments			$ 6,012.24	$ 17,280.32
Federal Money Mkt Fund (IRA)	[redacted]		$ 6,012.24	$ 17,280.32
Bonds			$ 12,804.42	$ 4,970.30
VBS bond holdings (IRA)	[redacted]		$ 12,804.42	$ 4,970.30
Stocks			$ 63,910.19	$ 68,662.96
FTSE Soc'l Index Inv (IRA)	[redacted]		$ 18,004.46	$ 22,343.28
500 Index Fund Inv (IRA)	[redacted]		3,603.63	3,775.28
VBS stock holdings (IRA)	[redacted]		42,302.10	42,544.42
Other			$ 6,219.06	$ 7,059.58
VBS held mutual funds (IRA)	[redacted]		$ 6,219.06	$ 7,059.58
Total retirement plans			$ 88,945.93	$ 97,973.18

IRA income year-to-date	$ 1,814.03
2007 contributions to IRA	$ 5,000.00
2007 distributions from IRA	0.00

Portfolio allocation	
Short-term investments	17.6%
Bonds	5.1
Stocks	70.1
Other	7.2
	100.0%

Vanguard Brokerage Services (VBS), a division of Vanguard Marketing Corporation (VMC), member SIPC, is the introducing firm for VBS accounts. Assets listed in this statement are held by separate entities. VBS holdings are held in custody by VBS's carrying firm, Pershing LLC, a BNY Securities Group member and a subsidiary of The Bank of New York Mellon Corporation ("Pershing"). Vanguard Funds are not protected by SIPC and are held by Vanguard, which provides Vanguard fund data. Summary data is provided solely as a service and is for informational purposes only. Pershing is not liable for Vanguard fund data or valuations. Vanguard funds are offered by prospectus only and are distributed by VMC. Portfolio allocation consists of Vanguard funds and VBS holdings. For a complete list of your VBS holdings, refer to the "Holdings" section of the statement pages titled "Vanguard Brokerage Services."

[redacted]

THE VANGUARD GROUP

TRANSACTION DETAIL

THERESE M HANSEN [redacted] 800-662-[redacted] Client Service [redacted]

TRANSACTION ACTIVITY

Vanguard is required to report the value of your IRA as of 12/31/2007 to the IRS

Vanguard 500 Index Fund Investor Shares (IRA) Fund / Account no [redacted]

Trade date	Transaction description	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/2006	**$ 3,603.53**	**$ 130.59**		**27.595**
3/23	Income dividend .56	12.68	132.20	.096	27.691
	Acct maint fee	-2.50			
6/22	Income dividend .57	15.78	138.32	.114	27.805
6/26	Account service fee	0.00	137.43	-.146	27.659
	Acct serv fee	-20.00			
9/21	Income dividend .62	17.15	140.49	.122	27.781
12/21	Income dividend .75	20.84	136.59	.153	27.934
	Balance on 12/31/2007	[redacted]	**$ 135.15**		**27.934**

Year-to-date income dividends	Short-term gains / Long-term gains	Contributions & Distributions	Year and type	Distribution payable date
$ 68.95	$ 0.00	$ 0.00	[redacted]tions	12/24/2007
	0.00	0.00	2007 distributions	

Vanguard FTSE Social Index Fund Investor Shares [redacted] Fund / Account no [redacted]

Trade date	Transaction description	[redacted]ount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/2006	**$ 18,004.46**	**$ 9.21**		**1,954.882**
1/03	2007 employee contribution	416.74	9.22	45.200	2,000.082
1/04	2007 employee contribution	[redacted]6.66	9.27	44.947	2,045.029
1/08	Asset trnsfr distribution	-416.66	9.23	-45.142	1,999.887
1/04	2007 employee contribution rev	-416.66	9.27	-44.947	1,954.940
1/08	Distribution reversed	416.66	9.23	45.142	2,000.082
2/08	2007 employee contribution	378.78	9.52	39.788	2,039.870
3/08	2007 employee contribution	378.78	9.19	41.217	2,081.087
3/27	2007 employee contribution	75.76	9.31	8.137	2,089.224
2/08	2007 employee contribution rev	-378.78	9.52	-39.788	2,049.436
3/08	2007 employee contribution rev	-378.78	9.19	-41.217	2,008.219
3/27	2007 employee contribution rev	-75.76	9.31	-8.137	2,000.082
2/08	2007 employee contribution	416.66	9.52	43.767	2,043.849
3/08	2007 employee contribution	416.66	9.19	45.338	2,089.187
4/05	2007 employee contribution	416.66	9.40	44.326	2,133.513
5/08	2007 employee contribution	416.66	9.81	42.473	2,175.986
6/08	2007 employee contribution	416.66	9.76	42.691	2,218.677
7/06	2007 employee contribution	416.66	9.83	42.387	2,261.064
8/08	2007 employee contribution	416.66	9.46	44.044	2,305.108
9/07	2007 employee contribution	416.66	9.14	45.586	2,350.694
10/08	2007 employee contribution	416.66	9.82	42.430	2,393.124
11/08	2007 employee contribution	416.66	9.12	45.686	2,438.810
12/07	2007 employee contribution	416.66	9.38	44.420	2,483.230
12/13	Income dividend .14	347.65	9.01	38.585	2,521.815
	Balance on 12/31/2007	**$ 22,343.28**	**$ 8.86**		**2,521.815**

[redacted]

THE Vanguard GROUP

TRANSACTION DETAIL

THERESE M HANSEN

[redacted]

TRANSACTION ACTIVITY (CONTINUED)

Vanguard is required to report the value of your IRA as of 12/31/2007 to the IRS

Vanguard FTSE Social Index Fund Investor Shares (IRA)

Fund / Account no. [redacted]

[redacted]	Short-term gains / Long-term gains	Contributions & Distributions	Year and type	Distribution payable date
$ 347.65	$ 0.00	$ 5,000.00	2007 contributions	12/14/2007
	0.00	0.00	2007 distributions	

Vanguard Federal Money Market Fund (IRA)

Fund / Account no. 0033 / 09984672575

Trade date	Transaction description	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/2006	**$ 6,012.24**	**$ 1.00**		6,012.240
1/12	Sweep from brokerage	6.53	1.00	6.530	6,018.770
1/16	Sweep from brokerage	170.00	1.00	170.000	6,188.770
1/31	Income dividend	26.14	1.00	26.140	6,214.910
2/28	Income dividend	24.08	1.00	24.080	6,238.990
3/15	Sweep from brokerage	3.93	1.00	3.930	6,242.920
3/30	Income dividend	26.75	1.00	26.750	6,269.670
4/10	Sweep from brokerage	14.42	1.00	14.420	6,284.090
4/13	Sweep from brokerage	6.53	1.00	6.530	6,290.620
4/30	Income dividend	26.00	1.00	26.000	6,316.620
5/31	Income dividend	27.19	1.00	27.190	6,343.810
6/05	Sweep from brokerage	4.17	1.00	4.170	6,347.980
6/15	Sweep from brokerage	84.38	1.00	84.380	6,432.360
6/18	Sweep to brokerage	-30.00	1.00	-30.000	6,402.360
6/29	Income dividend	26.60	1.00	26.600	6,428.960
7/13	Sweep from brokerage	6.53	1.00	6.530	6,435.490
7/16	Sweep from brokerage	8,170.00	1.00	8,170.000	14,605.490
7/31	Income dividend	44.82	1.00	44.820	14,650.310
8/31	Sweep from brokerage	14.65	1.00	14.650	14,664.960
8/31	Income dividend	62.68	1.00	62.660	14,727.620
9/06	Sweep from brokerage	4.01	1.00	4.010	14,731.630
9/28	Sweep from brokerage	1,443.00	1.00	1,443.000	16,174.630
9/28	Income dividend	60.55	1.00	60.550	16,235.180
10/31	Income dividend	67.39	1.00	67.390	16,302.570
11/28	Sweep from brokerage	746.75	1.00	746.750	17,049.320
11/28	Sweep from brokerage	4.20	1.00	4.200	17,053.520
11/30	Income dividend	63.24	1.00	63.240	17,116.760
12/17	Sweep from brokerage	84.38	1.00	84.380	17,201.140
12/24	Sweep from brokerage	13.10	1.00	13.100	17,214.240
12/31	Income dividend	66.08	1.00	66.080	17,280.320
	Balance on 12/31/2007	**$ 17,280.32**	**$ 1.00**		17,280.320

Year-to-date income dividends	Contributions & Distributions	Year and type	Annualized yield	Compound annual yield	Distribution payable date
$ 521.50	$ 0.00	2007 contributions	4.54%	4.63%	1/02/2008
	0.00	2007 distributions			

[redacted]

TRANSACTION DETAIL

THERESE M HANSEN

[redacted] ACTIVITY (CONTINUED) Vanguard is required to report the value of your IRA as of 12/31/2007 to the IRS

Vanguard Federal Money Market Fund (IRA) Fund / Account no. [redacted]

Your VBS transactions are swept to and from this Portfolio. VBS assets are held in custody by Pershing. Vanguard Funds are offered by prospectus only and distributed by Vanguard Marketing Corporation, a wholly owned subsidiary of The Vanguard Group, Inc.

[redacted]

Vanguard Brokerage Services(R)
A Division of Vanguard Marketing Corporation

IRA FBO THERESE M HANSEN
VFTC AS CUSTODIAN
[redacted]

[redacted] - Brokerage Services
Account number: [redacted]

[redacted]

HOLDINGS SUMMARY	Value on 11/30/2007	% Holdings	Value on 12/31/2007	% Holdings
Stocks	$ 43,265.03	77.9	$ 39,656.62	72.7
Bonds	4,967.20	8.9	4,970.30	9.1
FundAccess(R)/other funds	7,320.75	13.2	7,059.58	12.9
Total account value	$ 55,552.98	100.0	$ 51,686.50	94.7
Securities not yet paid	.00		2,887.80	5.3
Total assets	$ 55,552.98	100.0	$ 54,574.30	100.0

IMPORTANT INFORMATION ABOUT BROKERAGE FORM 1099
Your brokerage Form 1099 will be mailed starting February 15th. All Form 1099-Rs and Form 1099-Qs will be mailed by January 31. In an effort to reduce the number of revised tax forms resulting from income reclassifications by security issuers, an extension was obtained which permits the mailing of Forms 1099 related to brokerage accounts through the end of February.

VALUE SUMMARY	Value on 11/30/2007	Value on 12/31/2007
Long market value	$ 55,552.98	$ 51,686.50

INCOME SUMMARY	This period	Year-to-date
Dividends	$ 13.01	$ 258.66
Interest	84.38	508.76
Other Distributions	13.10	108.51
Total income	$ 110.49	$ 875.93

HOLDINGS (This section reflects settled trades only)

Stocks	Quantity / Account type	Price per share	Current value	Estimated annual income	Estimated yield	Dividend status
TRANSOCEAN INC NEW SHS ISIN#KYG900731004 SecurityID: RIG	13.00000 Cash	$ 143.150000	$ 1,860.95	$ .00	0.0%	Cash

Vanguard Brokerage Services (VBS), member SIPC, is the introducing firm for VBS accounts. Your assets are held by VBS's clearing firm, Pershing LLC, a BNY Securities Group member and a subsidiary of The Bank of New York Mellon Corporation ("Pershing"). Your VBS transactions settle through your Vanguard money market account, held separately by Vanguard or in accordance with the settlement procedures defined by your employer sponsored retirement plan. Maintaining cash reserved put options requires that a segregated Vanguard money market fund be carried by our clearing firm. Prices listed reflect quotati ns on the statement date. Current prices and estimated annual income and yield are listed to help you track your account and are not suitable for tax purposes.

[redacted]

Vanguard Brokerage Services(R)
A Division of Vanguard Marketing Corporation

[redacted]

IRA FBO THERESE M HANSEN [redacted]
VFTC AS CUSTODIAN
[redacted]

[redacted] - Brokerage Services
Account number: [redacted]

Stocks (cont.)	Quantity / Account type	Price per share	Current value	Estimated annual income	Estimated yield	Dividend status
FLEXTRONICS INTL LTD ORD SHS ISIN#SG9999000020 SecurityID: FLEX	95.00000 Cash	12.060000	1,145.70	.00	0.0	Cash
AMGEN INC SecurityID: AMGN	20.00000 Cash	46.440000	928.80	.00	0.0	Reinvest
APPLIED MATERIALS INC SecurityID: AMAT	51.12150 Cash	17.760000	907.92	12.26	1.4	Reinvest
BEST BUY COMPANY INC SecurityID: BBY	21.29510 Cash	52.650000	1,121.19	11.07	1.0	Reinvest
CAMERON INTL CORP COM SecurityID: CAM	60.00000 Cash	48.130000	2,887.80	.00	0.0	Cash
CANON INC ADR REPSTG 5 SHS SecurityID: CAJ	37.00000 Cash	45.830000	1,695.71	29.89	1.8	Cash
CISCO SYSTEMS INC SecurityID: CSCO	125.00000 Cash	27.069900	3,383.74	.00	0.0	Reinvest
COMMERCE BANCORP INC N J SecurityID: CBH	30.74690 Cash	38.140000	1,172.69	15.98	1.4	Reinvest
COSTCO WHSL CORP NEW COM SecurityID: COST	24.46050 Cash	69.760000	1,706.36	14.18	0.8	Reinvest
D R HORTON INC SecurityID: DHI	51.63020 Cash	13.170000	679.97	30.97	4.6	Reinvest
DELL INC COM SecurityID: DELL	45.00000 Cash	24.510000	1,102.95	.00	0.0	Reinvest
ELECTRONIC ARTS SecurityID: ERTS	30.00000 Cash	58.410000	1,752.30	.00	0.0	Reinvest
GENENTECH INC COM NEW SecurityID: DNA	22.00000 Cash	67.070000	1,475.54	.00	0.0	Reinvest

[redacted]

THE Vanguard GROUP.

Vanguard Brokerage Services(R)
A Division of Vanguard Marketing Corporation

IRA FBO THERESE M HANSEN
VFTC AS CUSTODIAN
[redacted]

[redacted] - Brokerage Services
Account number: [redacted]

[redacted]

Stocks (cont)	Quantity / Account type	Price per share	Current value	Estimated annual income	Estimated yield	Dividend status
GILEAD SCIENCES INC SecurityID: GILD	56.00000 Cash	46.010000	2,576.56	.00	0.0	Reinvest
INTEL CORP COM SecurityID: INTC	57.23540 Cash	26.660000	1,525.90	25.75	1.7	Reinvest
LEHMAN BROTHERS HOLDINGS INC COMMON SecurityID: LEH	36.57190 Cash	65.440000	2,393.27	21.94	0.9	Reinvest
LOWES COS INC SecurityID: LOW	44.63030 Cash	22.620000	1,009.54	14.28	1.4	Reinvest
MEDTRONIC INC SecurityID: MDT	25.39540 Cash	50.270000	1,276.63	12.69	1.0	Reinvest
STARBUCKS CORP COM SecurityID: SBUX	80.00000 Cash	20.470000	1,637.60	.00	0.0	Reinvest
SYMANTEC CORP SecurityID: SYMC	84.00000 Cash	16.140000	1,355.76	.00	0.0	Reinvest
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR ISIN#US881624209B SecurityID: TEVA	50.00000 Cash	46.480000	2,324.00	16.30	0.7	Cash
WELLPOINT INC SecurityID: WLP	28.00000 Cash	87.730000	2,456.44	.00	0.0	Reinvest
YAHOO INC COM SecurityID: YHOO	55.00000 Cash	23.260000	1,279.30	.00	0.0	Reinvest
Total stocks			$ 39,656.62	$ 205.31	0.5%	

[redacted]

[redacted]

[redacted]

Vanguard Brokerage Services(R)
A Division of Vanguard Marketing Corporation

[redacted]

IRA FBO THERESE M HANSEN
VFTC AS CUSTODIAN
[redacted]

[redacted] - Brokerage [redacted]
Account number: [redacted]

Bonds	Quantity / Account type	Price per share	Current value	Estimated annual income	Estimated yield
FEDERAL NATL MTG NOTES 3.375% 12/15/08 B/EDTD 11/21/03 1ST CPN DTE 12/15/03CPN PMT SEMI ANNUAL Moody Rating AAA S &P Rating AAA CUSIP: 31359MTR4 ACCRUED INT 7.50	5,000.00000 Cash	$ 99.406000	$ 4,970.30	$ 168.75	3.4%
$ 7.50 is the total estimated accrued interest (INT) as detailed above.					
Total bonds			$ 4,970.30	$ 168.75	

FundAccess(R)/other funds	Quantity / Account type	Price per share	Current value	Estimated annual income	Estimated yield	Dividend status
HARRIS & HARRIS GROUP INC COM SecurityID: TINY	22.00000 Cash	$ 8.790000	$ 193.38	$ .00	0.0%	Reinvest
ISHARES TR MSCI EMERGING MKTS INDEX FD SecurityID: EEM	24.33730 Cash	150.300000	3,657.90	47.37	1.3	Reinvest
ISHARES TR MSCI EAFE INDEX FD SecurityID: EFA	24.50140 Cash	78.500000	1,923.36	49.00	2.5	Reinvest
POWERSHARES EXCHANGE TRADED FD TR LUX NANOTECH PORTFOLIO SecurityID: PXN	82.00000 Cash	15.670000	1,284.94	.00	0.0	Reinvest
Total FundAccess/other funds			$ 7,059.58	$ 96.37	1.4%	

BROKERAGE ACTIVITY (This section reflects settled trades only)

All transactions sweep to and from your **Vanguard Federal Money Market Fund**, account number [redacted] Money market assets are not held by Pershing.

Settle date	Trade date	Transaction	Quantity/ Account type	Description	Price / Commission	Amount
12/03	11/30	REINVEST CASH INCOME	.25140 Cash	INTEL CORP COM PURCHASED @ $25.500 RD 11/07 PD 12/01/07		$ -6.41

[redacted]

THE Vanguard GROUP

Vanguard Brokerage Services(R)
A Division of Vanguard Marketing Corporation

IRA FBO THERESE M HANSEN
VFTC AS CUSTODIAN
[redacted]

[redacted] - Brokerage Services
Account number: [redacted]

[redacted]

BROKERAGE ACTIVITY CONTINUED

All transactions sweep to and from your Vanguard Federal Money Market Fund, account number [redacted]. Money market assets are not held by Pershing.

Settle date	Trade date	Transaction	Quantity/ Account type	Description	Price/ Commission	Amount
12/03	12/03	CASH DIVIDEND RECEIVED		56.9840 SHRS INTEL CORP COM RD 11/07 PD 12/01/07		6.41
12/06	12/05	REINVEST CASH INCOME	.16200 Cash	APPLIED MATERIALS INC PURCHASED @ $18.890 RD 11/15 PD 12/06/07		-3.06
12/06	12/06	CASH DIVIDEND RECEIVED		50.9595 SHRS APPLIED MATERIALS INC RD 11/15 PD 12/06/07		3.06
12/14	12/13	REINVEST CASH INCOME	.04980 Cash	COSTCO WHSL CORP NEW COM PURCHASED @ $71.110 RD 11/30 PD 12/14/07		-3.54
12/14	12/14	CASH DIVIDEND RECEIVED		24.4107 SHRS COSTCO WHSL CORP NEW COM RD 11/30 PD 12/14/07		3.54
12/17	12/17	INTEREST RECEIVED		5000 FEDERAL NATL MTG NOTES 3.375% 12/15/08 B/E DTD 11/21/03 RD 12/14 PD 12/15/07		84.38
12/17	12/17	SWEEP TO MONEY MARKET		VANGUARD FED MNY MKT		-84.38
12/21	12/21	CASH IN LIEU ADJUSTMENT		TRANSOCEAN INC NEW SHS ISIN#KYG900731004 CIL PAID AT MARKET NOT AGENT RATE		13.10
12/24	12/24	SWEEP TO MONEY MARKET		VANGUARD FED MNY MKT		-13.10

[redacted]

[redacted] 7 X

THE Vanguard GROUP

Vanguard Brokerage Services(R)
A Division of Vanguard Marketing Corporation

[redacted]

IRA FBO THERESE M HANSEN
VFTC AS CUSTODIAN
[redacted]

[redacted] - Brokerage Services
Account number: [redacted]

INCOME/SECURITIES NOT YET PAID

Security dividends and stock splits

X-Div date	Dist date	Security / Pending transaction	Quantity held / Rate	Quantity of payment	Price per share	Value of unpaid item
12/31	1/04	CAMERON INTL CORP COM SPLIT	60.00000 1.000000	60.00000	$ 48.13000	$ 2,887.80

Total value of unpaid items $ 2,887.80

The information above in Income/Securities not yet paid has been obtained from sources we believe to be reliable. All items are subject to receipt. We make no representation as to accuracy or completeness.

FAIR MARKET VALUE REPORTING BEGINS IN JANUARY
Vanguard is required to report the prior year-end value of your IRA to the IRS by May 31. Beginning in 2008, the Fair Market Value (FMV) of your Vanguard(R) Brokerage IRA will be located above the Vanguard Brokerage Services(R) HOLDINGS section of your January brokerage statement and subsequent statements through the remainder of the year. Please note that due to the pricing schedule of certain assets, such as limited partnerships or investments labeled as "Direct Investments," the prior year-end FMV may change on subsequent statements

A NOTE ABOUT FOREIGN CURRENCY TRANSACTIONS
Pershing LLC, the clearing agent for Vanguard Brokerage Services, may automatically convert foreign currency to U.S. dollars, or U.S. dollars into foreign currency, in response to dividend payments and similar corporate actions, unless you instruct us otherwise.
Pershing's currency conversion rate will not exceed, by more than 1%, the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, unless a particular rate is required by applicable law. This conversion rate could differ from rates in effect when you executed a transaction, incurred a charge, or received a credit.
Pershing might execute a foreign currency transaction as principal for your account. Transactions converted by agents for Pershing, such as banks, will be billed at the rates such agents use.

FOR OUR AVERAGE COST CLIENTS

Average Cost Basis: The amount paid to purchase the shares redeemed, calculated by using the IRS-approved Average Cost Single Category method, with transaction and redemption fees incorporated where applicable.

Gain r Allowable Loss: The gain or loss realized by the redemption, caculated by subtracting the average cost from the redemption proceeds. Gains and losses are short-term if held a year or less, long-term if held more than a year.

FOR OUR BROKERAGE CLIENTS

Your Vanguard Brokerage Services ("VBS") account is carried by Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation ("Pershing"), member NYSE, FINRA, and SIPC, pursuant to a written agreement with Vanguard Marketing Corporation ("VMC"). VBS is a division of VMC. Your cash and/or securities (except Vanguard mutual funds, which are held directly by The Vanguard Group, Inc.) are held in custody at Pershing.

[redacted]

HAND DELIVERED

2758

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

2008 MAY 15 PM 5:15

MC

James Patrick McGovern	2022256101	(Office Use Only)
(Full Name)	(Daytime Telephone)	

Filer Status	☑ Member of the U.S. House of Representatives	State: MA District: 03	Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	Amendment	Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓	No	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ✓	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes	No ✓
III.	Did you, your spouse, or a dependant child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ✓	No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes	No ✓	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes	No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ✓	No		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

SCHEDULE I - EARNED INCOME

Name James Patrick McGovern

List th source, type, and am unt f arn d Incom from any s urc (other than th filer's current mploym nt by the U.S. Government) totaling $200 or m r during th preceding cal ndar year. For a spouse, list th s urce and amount of any honoraria; list nly th source for other sp use earned income exceeding $1,000.

Source	Type	Amount
Cancer Prevention Foundation	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jam s Patrick McGovern

	BLOCK A **Ass t and/or Incom Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). *For all IRAs and other* retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on *each asset* in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account *and its value* at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the *optional column on the far left.*	BLOCK B **Year-End Value of Asset** at *close of reporting* year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Typ of Incom** Check all columns that apply. Check "None" if asset *did not generate any* income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow *you to choose specific* investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in rep rting year.
JT	1225 Massachusetts Ave., SE, Washington, DC **basement rental unit**	$1,000,001 - $5,000,000	RENT	$5,001 - $15,000	
SP	Congressional Federal Credit Union Money Market	$1 - $1,000	INTEREST	$201 - $1,000	
DC	Eaton Vance Global Growth Fund for DC2	$1,001 - $15,000	None	NONE	
DC	Eaton Vance Tax Managed Growth Fund for DC2	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Eaton Vance Tax Managed Growth Fund for DC1	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	ING Fidelity VIP Contrafund retirement account	$1,001 - $15,000	DIVIDENDS	$1 - $200	

2760

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James Patrick McGov rn

SP	ING Thornburg Value Portfolio retirment account	$1,001 - $15,000	None	NONE
SP	ING VP Growth Portfolio retirement account	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
DC	USAA Large Caps Stocks Mutual Fund for DC1	$1,001 - $15,000	DIVIDENDS	$1 - $200
DC	USAA Large Caps Stocks Mutual Fund for DC2	$1,001 - $15,000	DIVIDENDS	$1 - $200

SCHEDULE V - LIABILITIES

Name James Patrick McGovern

Report liabilities of ov r $10,000 wed t any on creditor at any time during the reporting period by you, your spouse, r d pendent child. Mark th highest amount owed during the year. Exclud : Any mortgage on your p rsonal r sid n (unless all or part of it is rented out); loans s cur d by automobil s, househ ld furniture, or appliances; and liabiliti s ow d t a spous , or th child, parent, or sibling of you or your spouse. Report "rev lving charge accounts" (i.e., credit cards) nly if the balanc at th clos of the preceding calendar year exc ded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Country Mortgage, Calabasas, CA	1225 Massachusetts Ave., SE, Washington, D.C.	$250,001 - $500,000

SCHEDULE VIII - POSITIONS

Name James Patrick McGovern

R p rt all position , compensated or uncomp nsated, held during the curr nt calendar year as an officer, director, trustee of an organization, partner, propriet r, repres ntative, empl yee, or consultant of any corp rat n, firm, partn rship, r any busin ss ent rprise, any nonprofit organization, any lab r organization, or any educati nal r other institutl n oth r than th United Stat s. Exclude: P sitions held in any religious, social, frat rnal, or political entitles; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Congressional Hunger Center
Board Member	John Joseph Moakley Foundation

HAND DELIVERE

2764

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

2007 MAY 14 PM 4:21 MC

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 day late.

Patrick Timothy McHenry
(Full Name)

(202) 225-2576
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: NC District: 10 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriat schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick Timothy McHenry

BLOCK A **Asset and/or Incom Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) *that are self directed (i.e., plans* in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of *its activities, and its geographic location* in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Typ of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Am unt of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be *listed as income.* Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or *exch nge* (E) exceeding $1,000 in reporting year.
McHenry-Putman Partnership - real estate (holdings Union Rd. & 8th Ave., below)	see below	see below	see below	
5524 Union Rd., Gastonia NC (10% ownership)	$15,001 - $50,000	RENT	$5,001 - $15,000	
109 W. 8th Ave., Gastonia NC (10% ownership)	$15,001 - $50,000	RENT	$5,001 - $15,000	
McHenry-McHenry partnership - real estate (holdings Robinson Rd. & Garrison Blvd., below)	see below	see below	see below	
215 Robinson Rd., Gastonia NC (50% ownership)	$100,001 - $250,000	RENT	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick Timothy McH nry

	621 W. Garrrison Blvd., Gastonia NC (50% ownership)	$50,001 - $100,000	RENT	$5,001 - $15,000	
	Alliance Bank and Trust checking account	$1,001 - $15,000	INTEREST	$1 - $200	
	ING Direct Savings Account	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
	SCANA common stock	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	
	Alliance Bank and Trust stock	$1,001 - $15,000	None	NONE	
	Locust Highway 200 LLC (real estate partnership (.294% ownership)	$15,001 - $50,000	None	NONE	
	806 Requa Rd., Cherryville NC (rental property)	$100,001 - $250,000	RENT	$5,001 - $15,000	
	4211 Pete Brown Rd., Charlotte NC (10% ownership	None	CAPITAL GAINS	$100,001 - $1,000,000	S

SCHEDULE IV - TRANSACTIONS

Name Patrick Timothy McHenry

R port any purchase, sale or xchange by you, your spouse, or depend nt child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transacti n or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between y u, your spouse, or your depend nt child, or th purchase or sal of your personal residence, unl as it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	4211 Pete Brown Rd., Charlotte NC (10% ownership)	SALE	07-31-07	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name Patrick Timothy McHenry

Rep rt liabilities of over $10,000 owed to any ne creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residen (unl ss all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities ow d to a spouse, r th child, parent, r sibling of you r your spous . Report "revolving charge accounts" (i. ., credit cards) only if th balanc at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Mr. & Mrs. George LeCroy, Hendersonville, NC (previous owners of property)	Mortgage on 215 Robinson Rd., Gastonia NC	$100,001 - $250,000
	First Gaston Bank, Gastonia, NC	Mortgage on 621 W. Garrison Blvd., Gastonia NC	$10,001 - $15,000
	Citizens South Bank, Gastonia, NC	Mortgage - 806 Requa Rd., Gastonia NC	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Patrick Timothy McHenry

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. *Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.* Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Heritage Foundation, Washington DC	Feb. 1-3	DC-Baltimore-DC	Y	Y	N	None
American-Israel Education Foundation, Washington DC	Aug. 4-12	DC-Jerusalem-Tel Aviv-Tiberius-DC-Charlotte	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Patrick Timothy McHenry

R port all positions, compensated or uncomp nsated, held during the current calendar year as an offic r, director, trustee of an rganization, partner, proprietor, r pres ntative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or ther institution other than the United States. Exclude: Positions h ld in any religious, social, fraternal, or political entities; positi ns solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	McHenry-McHenry Partnership
Partner	McHenry-Putman Partnership
Sole Proprietor	McHenry Real Estate (no assets)

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Cal ndar Y ar Reporting Period

Form A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:02
U.S. HOUSE OF REPRESENTATIVES

MC

JOHN M. McHugh
(Full Name)

315-465-5261
(Daytime Telephone)

Filer Status	☒ Member of the U.S House of Representatives	State. District	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	N ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	N ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	N ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

2771

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STATE OF New York	Legislative Pension	

For payments to charity in lieu of honoraria, use Schedule II.

2772

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	None			

This page may be c pied if more space is required.

Name John M. McHugh

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Ass t and/or Inc me Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Ass t

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Typ of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount f Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows (S) (partial) See below for example.

	Examples:	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP		SP	Mega Corp. Stock				X									X			X						X								S (partial)
DC			Simon & Schuster		Indefinite																Royalties								X				
JT			1st Bank of Paducah, KY Accounts					X									X									X							
			Key Bank NA Stock		X										X								X										
			Key Bank NA CD		X													X						X									
			Community Savings Bank		X													X						X									
			Congressional Federal Credit Union		X													X															
			HSBC Savings		X													X				X											
			State Employees Federal Credit Union	X														X				X											

For additional ass ts and unearned incom , use next pag .

2774

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John M. McHugh

Page 6 of 10

SP, DC, JT	BLOCK A Asset and/or Incom Source	BLOCK B Year-End Value of Ass t: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type f Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	FEDERAL CLAIM GROUP OFFICE ACCOUNT			X													X					X										

This page may be copied if more space is required.

2775

Name John M McHugh

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10–12–07		X								
	None														

This page may be c pied if more space is required.

2776

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Example.	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	None											

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. *Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.*

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
	None		

Use additional sheets if more space is required.

2777

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Numb r of days not at sponsor's exp nse
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	None						

This page may be copied if m re space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
MEMBER BOARD OF VISITORS	UNITED STATES Military Academy AT West Point

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
F r 2007 Calendar Y ar R porting P riod

Form A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 1:04
MC
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Todd M. McHugh
(Full Name)

202-225-4611
(Daytime Telephone)

Filer Status	☐ Member of the U.S. House of Representatives — State: ____ District: ____	☐ Officer or Employee	Employing Office ____
Report Type	☐ Annual (May 15)	☒ Amendment	☐ Termination — Termination Date: ____

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" resp ns .**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

2780

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STATE OF New York	Legislative Pension	29,916.72

For payments to charity in lieu of honoraria, use Schedule II.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 15 PM 5:04

MC

Mike McIntyre
(Full Name)

202-225-2731
(Daytime Telephone)

(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: NC District: 07	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

2782

Name Mike McIntyre | Page 1 of 1

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington DC	Speech	Feb. 2, 2007	$2,000
XYZ Magazine	Article	Aug. 13, 2007	$500
Greater Wilmington Chamber Foundation	Speech	May 21, 2007	$200

This page may be copied if more space is required.

Name Mike McIntyre | Page 1 of 1

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For *all IRAs* and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on *each asset* in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets *including all IRAs*, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, *including all IRAs*, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P, S, E

SP, DC, JT	Asset	A None	B $1,000 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	Block E
SP	Mega Corp. Stock				X												X													S (partial)
DC	Simon & Schuster		Indefinite															Royalties									X			
JT	1st Bank of Paducah, KY Accounts														X															
	IRA, BB&T; Lumberton NC												X								N/									
SP	IRA, BB&T; Lumberton, NC			X									X								N/									
JT	Checking Acct., BB&T; Lumberton NC			X																										

For additional assets and unearned income, use next page.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:29
MC
(Office Use Only)

Cathy McMorris Rodgers
(Full Name)

509-233-8974
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: WA District: 05 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ N ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2785

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Cathy McMorris Rodgers

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	1426 G St SE Washinton DC	$500,001 - $1,000,000	RENT	$5,001 - $15,000	P
SP	3057 Hunrichs Way San Diego CA	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
SP	FIDELITY DESTINY I	$100,001 - $250,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	S(part)
	IRA AG EDWARDS GOVT OBLIGATIONS FUND	$1,001 - $15,000	INTEREST	NONE	P
	IRA AG EDWARDS ISHARES S&P MIDCAP 400	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

2786

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Cathy McMorris Rodgers

	IRA AG EDWARDS ISHARES S&P MIDCAP 400 VALUE INDEX FUND OF ISHARES TRUST	$1,001 - $15,000	DIVIDENDS	NONE	P
	IRA AG EDWARDS ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
	IRA AG EDWARDS ISHARES TR DOW JONES US TELECOM SECTOR INDEX FD	$1,001 - $15,000	DIVIDENDS	NONE	PS(part)
	IRA AG EDWARDS ISHARES TRUST MSCI EAFE INDEX FUND	$1,001 - $15,000	DIVIDENDS	NONE	P
	IRA AG EDWARDS SECTOR SPDR TR SBI CONSUMER DISCRETIONARY	$1,001 - $15,000	DIVIDENDS	NONE	PS(part)
	IRA AG EDWARDS SECTOR SPDR TR SBI ENERGY	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	PS(part)
	IRA AG EDWARDS SECTOR SPDR TR SBI FINANCIAL	$1,001 - $15,000	DIVIDENDS	NONE	PS(part)
	IRA AG EDWARDS SECTOR SPDR TR SBI INDUSTRIAL	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS(part)
	IRA AG EDWARDS SECTOR SPDR TR SBI TECHNOLOGY	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS(part)
	IRA AG EDWARDS SECTOR SPDR TR SHS BEN INT CONSUMER STAPLES	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS(part)
	IRA AG EDWARDS SECTOR SPDR TR SHS BEN INT HEALTH CARE	$1,001 - $15,000	DIVIDENDS	NONE	PS(part)
	IRA ISHARES TRUST S&P 500 INDEX FD	$15,001 - $50,000	DIVIDENDS	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Cathy McMorris Rodgers

	PERS III State of WA	None	None	NONE	S
	ROTH IRA AG Edwards Barclays I Path Index AIGCOMM	$1,001 - $15,000	None	$201 - $1,000	
	ROTH IRA AG EDWARDS ISHARES LEHMAN AGGREGRATE BOND	$1 - $1,000	DIVIDENDS	$1 - $200	
	ROTH IRA AG EDWARDS ISHARES MSCI EAFE INDEX	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	ROTH IRA AG EDWARDS ISHARES S&P 500 INDEX	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	ROTH IRA AG EDWARDS ISHARES S&P MID CAP 400	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	ROTH IRA AG EDWARDS ISHARES SMALL CAP 600	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	ROTH IRA AG Edwards ISHARES US TIPS	$1 - $1,000	DIVIDENDS	$1 - $200	
	ROTH IRA AG Edwards Street Tracks DJWilsh REIT	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	ROTH IRA AMERICAN FUNDS	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	
SP	TEMPLETON GROWTH FUND CLASS A	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	
	WA State Deferred Compensation Active U.S. Value Stock Fund	None	None	NONE	S
	WA State Deferred Compensation Bond Fund	None	DIVIDENDS	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Cathy McMorris Rodgers

	WA State Deferred Compensation US Corp Stock Fund	None	None	NONE	S

SCHEDULE IV - TRANSACTIONS

Name Cathy McMorris Rodgers

R p rt any purchas , sale r xchang by you, your spouse, or depend nt child during th reporting year of any real property, stocks, bonds, c mm dities futures, r ther securiti s wh n the am unt of the transaction or series f transactions xc ed d $1,000. Include transactions that resulted in a l ss. Do not report a transaction between you, your spouse, or your dependent child, or the purchas or sal f your personal resid nce, unl ss it is rented ut. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Tran action
SP	FIDELITY DESTINY I	S(part)	1-07	$100,001 - $250,000
	WA State Deferred Compensation Active U.S. Value Stock Fund	S	4-07	$15,001 - $50,000
	WA State Deferred Compensation Bond Fund	S	4-07	$1,001 - $15,000
	WA State Deferred Compensation US Corp Stock Fund	S	4-07	$15,001 - $50,000
JT	1426 G St SE Washinton DC	P	2-07	$500,001 - $1,000,000
	IRA AG EDWARDS GOVT OBLIGATIONS FUND	P	4-07	$15,001 - $50,000
	IRA AG EDWARDS ISHARES TR DOW JONES U S UTILS SECTOR INDEX FD	PS(part)	4-07	$1,001 - $15,000
	PERS III State of WA	S	4-07	$50,001 - $100,000
	IRA AG EDWARDS ISHARES S&P MIDCAP 400 VALUE INDEX FUND OF ISHARES TRUST	P	4-07	$1,001 - $15,000
	IRA AG EDWARDS ISHARES TR DOW JONES US TELECOM SECTOR INDEX FD	PS(part)	4-07	$1,001 - $15,000
	IRA ISHARES TRUST S&P 500 INDEX FD	P	4-07	$15,001 - $50,000
	IRA AG EDWARDS SECTOR SPDR TR SHS BEN INT HEALTH CARE	PS(part)	4-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Cathy McMorris Rodg rs

Rep rt any purchas , sale or exchange by you, y ur spouse, or dependent child during the reporting y ar f any real pr perty, stocks, bonds, commodities futures, or ther s curities when th amount of th transaction or s ries of transactions exceeded $1,000. Include transactions that resulted in a l ss. D not rep rt a transaction between you, your spouse, or your d pend nt child, or th purchas or sal of your personal r sidence, unl ss it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IRA AG EDWARDS SECTOR SPDR TR SHS BEN INT CONSUMER STAPLES	PS(part)	4-07	$1,001 - $15,000
	IRA AG EDWARDS SECTOR SPDR TR SBI TECHNOLOGY	PS(part)	4-07	$1,001 - $15,000
	IRA AG EDWARDS SECTOR SPDR TR SBI INDUSTRIAL	PS(part)	4-07	$1,001 - $15,000
	IRA AG EDWARDS SECTOR SPDR TR SBI FINANCIAL	PS(part)	4-07	$1,001 - $15,000
	IRA AG EDWARDS SECTOR SPDR TR SBI ENERGY	PS(part)	4-07	$1,001 - $15,000
	IRA AG EDWARDS SECTOR SPDR TR SBI CONSUMER DISCRETIONARY	PS(part)	4-07	$1,001 - $15,000
	IRA AG EDWARDS ISHARES TRUST MSCI EAFE INDEX FUND	P	4-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Cathy McMorris Rodgers

R p rt liabiliti s of over $10,000 owed to any on creditor at any tim during the reporting period by you, your spous , or depend nt child. Mark the high st amount owed during the y ar. Exclude: Any mortgage on y ur personal residence (unless all or part of it is rented out); loans secured by autom biles, hous h ld furniture, or applianc s; and liabilities owed to a spouse, or th child, parent, or sibling of you or your spouse. R p rt "revolving charg accounts" (i.e., credit cards) only if the balance at the clos f the preceding calendar y ar xce d d $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	WELLS FARGO MORTGAGE	Mortgage on 3057 Hunrichs Way San Diego, CA	$100,001 - $250,000
	ACS	Student Loan	$10,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

RECEIVED
2008 MAY 13 PM 4:58
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

GERALD MARK MCNERNEY
(Full Name)

925 872 1507
(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives	State: CA District: 11	☐ Officer or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who fil s more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	N ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

Name GERALD MARK MCNERNEY | Page 1 of 1

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
CEO	HAWT POWER, INC - I RESIGNED FROM THIS POSITION ON JAN 3, 2007 BEFORE SWEARING IN ON JAN 4, 2007

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

MAY 14 PM 4:58

(Office Use Only)

Gerald Mark McNerney
(Full Name)

202-225-1947
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: CA District: 11	☐ Officer or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☑ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from *one source*)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the *end of the period*? **If yes, complete and attach Schedule III.**	Yes ☐	No ☐	VIII. Did you hold *any* reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	N ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—*Details regarding* "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☐
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☐

2795

Name Gerald Mark McNerney | Page ___ of ___

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 8–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Israel Education Foundation (AIEF)	Aug. 11-19	OAKland-Tel Aviv-OAKLAND	Y	Y	Y	none

This page may be copied if more space is required.

2796

JERRY McNERNEY
11TH DISTRICT, CALIFORNIA

COMMITTEES
TRANSPORTATION and INFRASTRUCTURE
SCIENCE and TECHNOLOGY
VETERANS' AFFAIRS
SELECT COMMITTEE ON ENERGY INDEPENDENCE and GLOBAL WARMING

Congress of the United States
House of Representatives
Washington, DC 20515–0511

WASHINGTON D.C. OFFICE
312 CANNON HOUSE OFFICE BUILDING
WASHINGTON, D.C. 20515
(202) 225-1947

STOCKTON DISTRICT OFFICE
2222 GRAND CANAL BOULEVARD, #7
STOCKTON, CA 95207
(209) 476-8552

PLEASANTON DISTRICT OFFICE
5776 STONERIDGE MALL ROAD, #175
PLEASANTON, CA 94588
(925) 737-0727

HAND DELIVERED

2008 JUN -4 AM 11:27
J.C. HOUSE OF REPRESENTATIVES
LEGISLATIVE RESOURCE CENTER

June 4, 2008

MC

Lorraine Miller
Clerk of the House
B-106 Cannon House Office Building
Washington DC, 20515-6612

Dear Ms. Miller,

I am writing to inform you that I inadvertently checked the "YES" box indicating that there was a Schedule III in my Financial Disclosure. There is no schedule III. Please note this error.

Sincerely,

Jerry McNerney

Jerry McNerney
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Y ar Reporting P riod

Form A
For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 14 AM 10: 11

Michael Robert McNulty
(Full Name)

202 225 5076
(Daytime Telephone)

MC
(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives	State: NY / District: 21	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒ N ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

2798

Name McNulty, Michael	Page 1 of 3

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Green Island Insurance	Renewal Commission	$ 724.00
	NYS Retirement System	State Retirement Income	$14,863.00
	NYS Office of Court Administration	Spouse Salary	N/A

For payments t charity in lieu of hon raria, us Schedul II.

2799

Name McNulty, Michael	Page 2 of 8

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e. speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington DC	Speech	Feb 2, 2007	$2,000
	XYZ Magazine	Article	Aug. 13, 2007	$500
	The Connelle Company	Speech	Feb 12, 2007	$2000

This page may be c pied if more space is required.

2800

Name McNulty, Michael | Page 3-18

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/ r Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Valu of Ass t

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Inc me

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount f Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

SP/DC/JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction
SP	Mega Corp. Stock					X									X			X					X									S (partial)
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X									X							
	Bank of America			X											X								X									
	Wright Patman Federal Credit Union			X													X					X										

For additional ass ts and un arned income, use next pag .

2801

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Nam McNULTY, MICHAEL

Pag 4 of 8

SP, DC, JT	BLOCK A Ass t and/or Incom Sourc	BLOCK B Y ar-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Inc m											BLOCK E Tran action
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E

This pag may be copied if m re spac is required.

2802

Name McNULTY, MICHAEL | Pag 5 of 8

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example.*	Mega Corporation Common Stock (partial sale)		X		10-12-07		X								

This page may be c pi d if more space is r quir d.

2803

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclud** : Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days n t at sponsor's exp nse
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	THE CONNELL COMPANY	FEB. 12	ALBANY - NEWARK - DC	N	Y	N	Ø

This page may be c pied if m re space is required.

2805

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Y ar R porting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RECORDS OFFICE
2008 MAY 19 AM 11:34
CLERK, U.S. HOUSE OF REPRESENTATIVES

Kendrick B. Meek (Full Name)

202-225-4506 (Daytime Telephone)

(Office Use Only) MC

Filer Status	[X] Member of the U.S. House of Representatives	State: FL District: 17	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

2807

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	District of Columbia Govt.	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Nam Kendrick B. Meek | Page 4 of 7

BLOCK A — Asset and/or Inc me S urc

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols) For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

P
S
E

			Block B: Value of Asset												Block C: Type of Income							Block D: Amount of Income											Block E: Transaction
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP		SP	Mega Corp Stock				X									X			X						X									S (partial)
DC	Examples:		Simon & Schuster		Indefinite																Royalties									X				
JT			1st Bank of Paducah, KY Accounts					X									X									X								
SP.			Equitable Mutual Fund Charlotte, NC		X											X							X											
SP.			Pan American 401-K New Orleans, LA					X								X									X									
Jt.			Washington Mutual Bank Account		X											X							X											

For additional assets and unearned income, use next page.

2809

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if th balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Deleware	Mortgage on 123 Main St., Dover, Del.				X						
Sp.	U.S. Dept. of Education	Student loan			X							

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr Joseph H Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Numb r of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Congressional Black Caucus Foundation	Apr. 27	DC - Philadelphia	Y	Y	N	None

This page may be c pi d if more space is required.

Name Kendrick B. Meek | Page 7 of 7

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Chairman	Congressional Black Caucus Foundation - 501(c)(3)

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 3:45
MC

Gregory W. Meeks
(Full Name)

202-225-3461
(Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representative	State: NY District: 6	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ N ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☐ No ☑	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2813

SCHEDULE I - EARNED INCOME

Name Gregory W. Meeks

List th source, type, and amount of earned income from any s urce (oth r than th fil r's current mployment by the U.S. G vernment) totaling $200 or m re during the prec ding cal ndar y ar. F r a sp us , list the source and am unt of any h noraria; list only the source for oth r sp us am d inc m xceeding $1,000.

Source	Type	Amount
Nassau County Executive Office	Spouse Salary	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Gregory W. Meeks

Identify the source and list travel itinerary, dat s, and nature f expenses provid d f r travel and travel-related xpenses totaling m re than $305 received by y u, your sp use, r a dependent child during the reporting period. Indicate whether a family member acc mpani d th traveler at the sponsor's expense, and the amount of im , if any, that was not at the sp nsor's expense. Disclosur is required r gardl ss of whether the xpenses were reimbursed or paid directly by th sponsor. Exclude: Travel-related xpenses provided by federal, state, and local governm nts, or by a foreign governm nt required t be s paratelv rep rted under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel pr vided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Hampton Synagogue	July 14 - 15	WESTHAMPTON BEACH, NY	Y	Y	Y	1

SCHEDULE VIII - POSITIONS

Name Gregory W. Meeks

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, truste of an organization, partner, proprietor, repres ntative, mploy e, r c nsultant f any corporation, firm, partnership, r any busin ss enterpris , any n nprofit organization, any labor organizatl n, or any ducati nal or oth r instituti n other than the United States. Exclud : Positions held in any religious, social, fraternal, or political entiti s; positions s l ly f an honorary nature; and positions listed on Schedul l.

Position	Name of Organization
Board Member	Merrick Academy Charter School
Board Member	100 Black Men, NY Chapter

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 1:27

MC OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

2817

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A Pg 1 of 10

For use by Members, officers, and employees

CHARLES JOSEPH MELANCON
(Full Name)

985-369-7785
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives — State: LA District: 3 — ☐ Officer Or Employee — Employing Office:

Termination Date:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ N ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name CHARLES JOSEPH MELANCON

Pg 2 of 10

BLOCK A **Asset and/or Income Source**	BLOCK B **Year-End Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	Indicate if asset had purchases (P), sales (S), r exchanges (E) exceeding $1,000 in reporting year.
SEE PAGES 3, 4, 5, 6 ATTACHED				

2818

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: CHARLES JOSEPH MELANCON

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P S E
JT Capital One Bank - Savings				X											X							X								
JT Capital One Bank - DDA			X												X						X									
JT Capital One Bank - Savings				X											X						X									
JT ML Bank Deposit Account			X												X							X								
JT US Treasury Note			X												X							X								
JT Federal National Mtg Assn Note			X												X							X								
JT US Treasury Bond				X											X								X							
JT Tenn Valley Authority Note			X												X							X								
JT NM Household Fin Corp BE			X												X							X								
JT Lafayette LA Bond			X												X							X								
JT Lafayette LA Bond			X												X							X								
JT American Cap Inc Builder Fund			X										X			X						X								
JT ML Bank Deposit Account			X												X						X									
JT Altria Group, Inc.			X										X									X								
JT Amer Intl Group, Inc.			X										X								X									
JT AT&T, Inc formerly Bellsouth Corp			X										X								X									
JT BP PLC				X									X									X								
JT Bristol-Myers Squibb Co			X										X								X									
JT Cisco Systems, Inc.			X																	X										
JT Exxon Mobil Corp				X									X									X								
JT Genentech Inc.			X																	X										
JT General Electric			X										X								X									
JT Home Depot, Inc.			X										X								X									
JT Intl Business Machines			X										X								X									
JT Kraft Foods, Inc. spin off from Altria			X										X								X									
JT Microsoft Corp			X										X								X									
JT Oracle Corp			X																	X										
JT Pfizer Inc			X										X								X									
JT Shaw Group			X																	X										

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name CHARLES JOSEPH MELANCON

	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P S E
JT	Sysco Corporation			X										X								X										
JT	Texas Instruments			X										X								X										
JT	Wal-Mart Stores, Inc.			X										X								X										
JT	Blackrock Fund, Inc.			X										X								X										
	Franklin Flex Cap Growth Fund						X							X			X							X								
	Legg Mason Inv Grade Bond Fund			X												X							X									
	Legg Mason Partners High Income Fd formerly Legg Mason High Yield Bd Fd			X												X							X									
	Franklin Income Custodian Funds						X							X			X									X						
	Franklin Mutual Shares Fund					X								X			X								X							
	Templeton Growth Fund						X							X			X									X						
JT	Franklin Income Funds				X									X			X								X							P,S
JT	Legg Mason Core Bond Fund				X											X								X								
JT	Franklin Floating Rate Funds					X								X			X								X							
JT	Franklin Mutual Shares Fund				X									X			X							X								P,S
JT	Templeton Growth Fund				X									X			X							X								P,S
JT	Interpublic Group Companies		X																		X											
JT	Oracle Corp			X																	X											
JT	Pfizer Inc			X										X								X										
JT	Wal-Mart Stores, Inc.			X										X								X										
JT	Rental - Mini Storage - Napoleonville, LA				X										X											X						
JT	Rental - House - Napoleonville, LA				X										X											X						
JT	Rental - Condo - Baton Rouge, LA	X													X		X											X				S
	ML Bank			X												X							X									
	AT&T Senior Notes			X												X							X									P
	Comcast Corp Unsecured Notes			X												X							X									P
	Blackrock Strategic			X										X			X						X									
	Thornburg Core Growth			X																	X											P
	Great Plains Energy Income	X												X			X					X										S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: CHARLES JOSEPH MELANCON

	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P S E
	Lehman Bros Hldgs Inc	X												X			X					X										S
	Oneok Inc	X												X			X					X										S
	XL Capital Ltd	X												X			X					X										S
	American Cap Income Builder				X									X			X						X									
	American Inc Fund of Am			X										X			X						X									
	Rydex Juno Fund	X																			X											S
	Thornburg Income Builder			X										X			X						X									
	American High Income			X										X			X						X									
	Fidelity Adv Strategic			X										X			X						X									
	Franklin Cust Fd Inc			X										X			X						X									
	Hartford Floating Rate Fnd			X										X			X						X									P
	Loomis Sayless Strategic			X										X			X						X									P
	Nuveen Qual Pref Inc.			X										X			X						X									P
	John Hancock Strategic	X												X			X						X									S
	Pioneer Strategic Income			X										X			X						X									
	MLJWH Strat Alloc Fd	X												X			X						X									S
SP	ML Bank			X												X						X										
SP	American Cap Income Builder			X										X			X						X									P
SP	Hartford Cap Appreciation Fund			X										X			X					X										P
SP	Thornburg Core Growth Fund			X										X			X				X											P
SP	Thornburg Invst Income Fund			X										X			X						X									P
SP	Fidelity Adv Strategic Inc Fund			X										X			X					X										P
SP	FPA New Income Inc.			X										X			X						X									P
SP	Franklin Cust Fd Inc.			X										X			X						X									P
SP	Loomis Sayless Bond Fd			X										X			X						X									P
SP	Metropolitan West Tot Ret Fund			X										X			X						X									P
SP	Blackrock Stratgic	X												X			X						X									S
SP	FPL Group Inc	X												X			X						X									S
SP	Great Plains Energy Income	X												X			X					X										S

Name CHARLES JOSEPH MELANCON

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P S E
SP	Ishares Trust Dow Jones	X												X			X					X										S
SP	Lehman Bros Hldgs Inc	X												X			X					X										S
SP	Marshall & Ilsey Corp	X												X			X					X										S
SP	ML&CO Proceeds Select	X												X			X					X										S
SP	ML&CO Proceeds Value	X																			X											S
SP	Wachovia Corp	X												X			X						X									S
SP	XL Capital	X												X			X						X									S
	Columbia Marisco Focused Equity			X										X			X					X										P
	Hartford Cap Appreciation			X										X			X					X										P
	MFS Value Fund			X										X			X					X										P
	Victory Diversified Stock Fund			X										X			X					X										P
	Metropolitan West Total Ret			X										X			X					X										P
	Thornburg Income Builder	X												X			X					X										S
	Pioneer Strategic Income	X												X			X						X									S
SP	Columbia Acorn Tr			X										X			X						X									
SP	Columbia Large Cap Growth Fund			X										X			X						X									
	Franklin Flex Cap Growth			X										X			X					X										
	George Putnam Fund of Boston				X																X											
	Putnam Equity Income Fund				X									X			X					X										
	Putnam Fund for Growth					X								X			X									X						
	Putnam Income Fund				X									X			X						X									
	Putnam Investors Fund				X									X			X					X										
	Knights of Columbus - Annuity			X												X						X										
	LA Public Employees Deferred Comp				X											X									X							

2822

SCHEDULE IV - TRANSACTIONS

Name CHARLES JOSEPH MELANCON

Pg 7 of 10

Report any purchase, sale or exchange by you, your spouse, r dependent child during the reporting year of any real property, stocks, b nds, commodities futures, r other securities when the amount of the transaction r series of transactions xceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transacti n
	SEE PAGES 8, 9 ATTACHED			

SCHEDULE IV - TRANSACTIONS

Name CHARLES JOSEPH MELANCON

	BLOCK A Asset	BLOCK B TYPE OF TRANSACTION			BLOCK C Date	BLOCK D Amount of Transaction								
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
JT	Franklin Income Funds	X			06-25-07		X							
JT	Franklin Income Funds (Partial)		X		Var	X								
JT	Franklin Mutual Shares Fund	X			06-25-07		X							
JT	Franklin Mutual Shares Fund (Partial)		X		Var	X								
JT	Templeton Growth Fund	X			06-25-07		X							
JT	Templeton Growth Fund (Partial)		X		Var	X								
JT	Rental - Condo - Baton Rouge, LA		X		06-15-07			X						
	AT&T Senior Notes	X			07-13-07	X								
	Comcast Corp Unsecured Notes	X			07-13-07	X								
	Thornburg Core Growth	X			07-13-07	X								
	Great Plains Energy Income		X		07-13-07	X								
	Lehman Bros Hldgs Inc		X		07-13-07	X								
	Oneok Inc		X		07-13-07	X								
	XL Capital Ltd		X		07-13-07	X								
	Rydex Juno Fund		X		12-19-07	X								
	Hartford Floating Rate Fnd	X			07-13-07	X								
	Loomis Sayless Strategic	X			07-13-07	X								
	Nuveen Qual Pref Inc.	X			07-13-07	X								
	John Hancock Strategic		X		07-13-07	X								
	ML JW/H Strat Alloc Fd		X		05-07-07	X								
SP	American Cap Income Builder	X			10-26-07	X								
SP	Hartford Cap Appreciation Fund	X			10-26-07	X								
SP	Thornburg Core Growth Fund	X			10-26-07	X								
SP	Thornburg Invst Income Fund	X			10-26-07	X								
SP	Fidelity Adv Strategic Inc Fund	X			10-26-07	X								
SP	FPA New Income Inc.	X			10-26-07	X								
SP	Franklin Cust Fd Inc.	X			10-26-07	X								
SP	Loomis Sayless Bond Fd	X			10-26-07	X								
SP	Metropolitan West Tot Ret Fund	X			10-26-07	X								
SP	Blackrock Stratgic		X		10-16-07	X								
SP	FPL Group Inc		X		10-16-07	X								

SCHEDULE IV - TRANSACTIONS

Name CHARLES JOSEPH MELANCON

	BLOCK A Asset	BLOCK B TYPE OF TRANSACTION			BLOCK C Date	BLOCK D Amount of Transaction									
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
SP	Great Plains Energy Income		X		07-13-07	X									
SP	Ishares Trust Dow Jones		X		10-16-07	X									
SP	Lehman Bros Hldgs Inc		X		07-13-07	X									
SP	Marshall & Ilsey Corp		X		07-13-07	X									
SP	ML&CO Proceeds Select		X		10-22-07	X									
SP	ML&CO Proceeds Value		X		10-22-07	X									
SP	Wachovia Corp		X		10-16-07	X									
SP	XL Capital		X		07-13-07	X									
	Columbia Marsco Focused Equity	X			10-10-07	X									
	Hartford Cap Appreciation	X			10-10-07	X									
	MFS Value Fund	X			10-10-07	X									
	Victory Diversified Stock Fund	X			10-10-07	X									
	Metropolitan West Total Ret	X			10-10-07	X									
	Thornburg Income Builder		X		10-09-07	X									
	Pioneer Strategic Income		X		10-09-07	X									

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name CHARLES JOSEPH MELANCON

Identify the s urce and list travel itinerary, dates, and nature of xpenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expens
AMERICAN SUGAR ALLIANCE	AUG. 5-8	WASHINGTON DC - SAN FRANCISCO - NEW ORLEANS	Y	Y	Y	1 DAY

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 2:10

MC

(Office Use Only)

HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

John L. Mica
(Full Name)

202-225-4035
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: FL — District: 07	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone wh files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ N ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2827

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John L. Mica

BLOCK A **Asset and/ r Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type f Incom** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Inc m** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had pur hases (P), sales (S), r exchanges (E) exceeding $1,000 in reporting year.
1305 S Atlantic Ave, Unit 130, Cocoa Beach, FL	$250,001 - $500,000	None, personal residence	NONE	
15 3rd Street NE Wash. DC, Units 2, 4, 5 & two garage units	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
2195 Via Tuscany, Winter Park FL	$1,000,001 - $5,000,000	None, personal residence	NONE	
305 E Capitol St SE, Wash. DC, 50% interest rental unit	$250,001 - $500,000	RENT	$5,001 - $15,000	
Commerce National Bank & Trust, Winter Park, FL	$1,001 - $15,000	INTEREST	$201 - $1,000	
First Commercial Bank, Winter Park, FL	$500,001 - $1,000,000	INTEREST	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John L. Mica

	Lot 21 & 22, Chetola Estates, Blowing Rock, NC	$500,001 - $1,000,000	None, personal residence	NONE	
JT	Metropolitan Life Annuity	$100,001 - $250,000	None	NONE	
	Park Lake Woods Condo Rental, units 8B, 9B, Maitland, Fl	$100,001 - $250,000	RENT	$5,001 - $15,000	
	Wachovia Bank, Winter Park, FL, Time Deposit (IRA)	$50,001 - $100,000	INTEREST reinvested	$2,501 - $5,000	
	Wrignt Patman Congressional Fed Credit Union	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE V - LIABILITIES

Name John L. Mica

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or d pendent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all r part of it is rented out); loans secured by automobil s, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. R port "revolving charge accounts" (i.e., credit cards) only if the balan e at the close f the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Washington Mutual Savings	Mortgage on Units 8B&9B, Park Lake Woods Condominium, Maitland FL	$15,001 - $50,000

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

MICHAEL H. MICHAUD
(Full Name)

202-225-6306
(Daytime Telephone)

2008 MAY -8 AM 9:18
(Office Use Only)

MC

Filer Status: ✓ Member of the U.S. House of Representatives — State: ME — District: 02 — Officer Or Employee — Employing Office

Report Type: ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes	No ✓	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ✓	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ✓	No
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes	No ✓
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes	No ✓	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes	No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes	No ✓		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

2831

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL H. MICHAUD

BLOCK A **Asset and/or Income Sourc** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the Instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Typ f Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Incom** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Tran action** Indicat If asset had purchases (P), sales (S), or exchang s (E) exceeding $1,000 in reporting year.
109 Main Street, East Millinocket, ME	$1,001 - $15,000	/None	NONE	
111 Main Street, Easst Millinocket, ME	$15,001 - $50,000	None	NONE	
6.5 Acres of Land, Midway, ME	$1,001 - $15,000	None	NONE	
Ameriprise One Financial Account - Money Market	$15,001 - $50,000	INTEREST	$201 - $1,000	
Ameriprise Variable Universal Life	$15,001 - $50,000	None	NONE	
East Mill Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	

2832

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MICHAEL H. MICHAUD

IRA - Ameriprise- Midcap Value A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
IRA - Ameriprise- Baron Partners	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
IRA - Ameriprise - Fid Adv Divers Intl - T	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
IRA - Ameriprise - Fid Adv Dyn Cap Appr - T	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
IRA - Ameriprise - Fid Adv High Inc Adv - T	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
IRA - Ameriprise - Fid Adv Small Cap - T	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000
IRA - Ameriprise - Wells S/Cap Disciplnd Inv	$15,001 - $50,000	DIVIDENDS/INTEREST	$201 - $1,000
IRA - Ameriprise - RVS - Diversified Equity Inc. A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000
IRA - Ameriprise - RVS - Oppenheimer Intl Bond - A	$1,001 - $15,000	INTEREST	$1 - $200
M&M Partnership, Augusta, ME	$50,001 - $100,000	RENT	$5,001 - $15,000
Maine State Employees FCU	$1 - $1,000	INTEREST	$1 - $200
Maine State Retirement	$15,001 - $50,000	Retirement	N/A

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name MICHAEL H. MICHAUD

Identify the source and list travel itin rary, dates, and nature f expen es provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the travel r at the sponsor's expens , and the amount of time, if any, that was not at the sponsor's expens . Disclosure is required regardless of wh ther the xp ns s were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governm nts, or by a foreign government required to be s parat ly reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provid d to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's exp ns
United Steelworkers of America	Aug 27 - 28	Portland, ME - Philadelphia - Atlantic City, NJ	Y	Y	N	None
Economic Policy Institute	Sept 21 - 23	Washington, DC - San Jose, Costa Rica - Washington, DC	Y	Y	N	None
American Shipbuilding Association	Nov 26 - 28	Bangor, ME - Fort Myers, FL - Bangor, ME	Y	Y	N	None

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — For use by Members, officers, and employees

...IVE RESOURCE CENTER
2007 MAY 20 PM 12: 42 MC

Ralph Bradley Miller (Full Name)

202-225-3032 (Daytime Telephone)

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: NC District: 13	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 day late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☑ No ☐
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appr priat schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

2835

SCHEDULE I - EARNED INCOME

Name Ralph Bradley Miller

List the s urce, typ , and am unt of arned income from any s urce (oth r than th filer's curr nt empl yment by the U.S. Governm nt) totaling $200 r more during th prec ding calendar year. For a spouse, list the source and am unt of any honoraria; list only the source for other spouse arned income exceeding $1,000.

Source	Type	Amount
North Carolina Legal Education Assistance Foundation	Spouse Salary	N/A
Various Non-Profit Organizations 1. North Carolina Community Foundation 2. National Trust for Historic Preservation 3. High Point Friends School (High Point, NC) 4. Abilene Preservation School (Abilene, TX) (continued next line)	Spouse Consulting Fees for organizational development of non-profit organizations	N/A
Various Non-Profit Organizations 5. NC Center for Women in Public Service (Raleigh, NC) 6. Preservation Texas (Austin, Texas)	Spouse Consulting Fees for organizational development on non-profit organizations	N/A
Traveler's Insurance	Attorney Fees from structured settlement	$1,650

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph Bradley Miller

BLOCK A **Asset and/or Income Sourc** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Y ar-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transacti n** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	American Funds	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	AIG Sun America Polaris Platinum II Variable Annuity:	See Below			P
SP	1. American Funds Global Growth	$1,001 - $15,000	None	NONE	
SP	2. American Funds Growth-Income	$1,001 - $15,000	None	NONE	
SP	3. Davis Venture Value	$1,001 - $15,000	None	NONE	
SP	4. Foreign Value	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph Bradley Miller

SP	5. Van Kampen LIT Comstock	$15,001 - $50,000	None	NONE	
SP	6. MFS Total Return	$15,001 - $50,000	None	NONE	
SP	7. High-Yield Bonds	$1,001 - $15,000	None	NONE	
SP	8. Corporate Bond	$15,001 - $50,000	None	NONE	
	Legislative Retirement System (North Carolina)	$1,001 - $15,000		NONE	
SP	Smith Barney Citigroup IRA Rollover:				
SP	1. Money Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	2. Seaspan Corp.	$1,001 - $15,000	None	NONE	
SP	3. Bank of America Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	4. Cisco Systems Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	5. Walt Disney Co.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	6. General Electic	$1,001 - $15,000	None	NONE	
SP	7. GlaxoSmithKline PLC	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	8. Intel Corp.	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph Bradley Miller

SP	9. Lincoln National Corp.	$1,001 - $15,000	None	NONE	
SP	10. Medtronic Inc.	$1,001 - $15,000	None	NONE	
SP	11. Merrill Lynch & Co.	$1,001 - $15,000	None	NONE	
SP	12. Microsoft Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	13. Northrop Grumman Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	14. Pepsico Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	15. Royal Dutch Shell PLC	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	16. Williams Cos. Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	17. Ishares TR Dow Jones Select Dividend Index FD	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	18. Ishares TR MSCI EAFE Index FD	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	19. Standard & Poors Midcap 400	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	Smith Barney Citigroup Simplified Employee Pension Plan:				
	1. Dreyfus Liquid Assets Inc. Class 2	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	2. Seaspan Corp-USD	$1,001 - $15,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph Bradley Miller

	3. Bank of America Corp	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	4. Cisco Systems Inc.	$1,001 - $15,000	None	NONE	
	5. Walt Disney Co.	$1,001 - $15,000	None	NONE	
	6. General Electic Co.	$1,001 - $15,000	None	NONE	
	7. GlaxoSmithKline	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	8. Intel Corp.	$1,001 - $15,000	None	NONE	
	9. Lincoln National Corp.	$1,001 - $15,000	None	NONE	
	10. Medtronic	$1,001 - $15,000	None	NONE	
	11. Merrill Lynch & Co. Inc.	$1,001 - $15,000	None	NONE	
	12. Microsoft Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	13. Northrop Grumman Corp.	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	14. Pepsico Inc.	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	15. Royal Dutch Shell PLC	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	16. Williams Cos. Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ralph Bradley Miller

	17. Ishares TR Dow Jones Select Dividend Index Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	18. Ishares TR MSCI EAFE Index Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	19. Standard & Poors Midcap 400	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
SP	U.S. Government Savings Bonds	$15,001 - $50,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Ralph Bradley Miller

Rep rt any pur hase, sale r exchange by you, your spouse, or dep ndent child during the reporting y ar f any real property, stocks, bonds, c mmoditi s futures, or other s curities when the am unt of the transacti n r series of transacti ns exceeded $1,000. Include transacti ns that resulted in a loss. Do not report a transacti n between you, your spouse, r your depend nt child, or the purchase or sale of your personal residence, uni s it is rent d out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transacti n
SP	AIG Sun America Polaris Platinum II Variable Annuity (amount of purchase allocated to eight holdings)	P	4-03-07	$15,001 - $50,000
SP	AIG Sun America Polaris Platinum II Variable Annuity (amount of purchase allocated to eight holdings)	P	5-15-07	$15,001 - $50,000
SP	AIG Sun America Polaris Platinum II Variable Annuity (amount of purchase allocated to each of eights holdings)	P	5-21-07	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Ralph Bradley Miller

Report liabilities of over $10,000 ow d to any one credit r at any tim during the reporting period by you, your spous , or depend nt child. Mark the high st amount ow d during the y ar. Exclude: Any m rtgage n your p rsonal residence (unl ss all or part f it is r nted out); loans secured by autom bil s, h usehold furnitur , or applianc s; and liabilities owed to a spouse, or the child, parent, or sibling of you or your sp use. Report "revolving charge account " (i.e., credit cards) nly if the balance at the close of the preceding cal ndar year exc d d $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Parker, Poe, Adams & Bernstein, L.L.P.	Legal Expense	$100,001 - $250,000

SCHEDULE VI - GIFTS

Name Ralph Bradley Miller

Report the sourc , a brief description, and the valu of all gifts totaling more than $305 r celv d by you, your spouse, or a d pendent child from any ource during the year. Exclude: Gifts from r lativ s, gifts of personal hospitality of an individual, local meals, and gifts to a spous or dependent child that ar totally independent of his or her relati nship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosur thr shold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance f gifts except as specifically pr vided in the rule.

Source	Description	Value
Barney Frank for Congress	Donation to Legal Expense Fund	$5,000
NC & SC UAW	Donation to Legal Expense Fund	$5,000
AFLAC	Donation to Legal Expense Fund	$1,000
IBEW	Donation to Legal Expense Fund	$2,500
Machinists	Donation to Legal Expense Fund	$5,000
AFGE PAC	Donation to Legal Expense Fund	$1,000
Human Rights Campaign	Donation to Legal Expense Fund	$1,000
Ironworkers Political Education	Donation to Legal Expense Fund	$2,500
United Food & Commercial Workers	Donation to Legal Expense Fund	$5,000
Roth Pac	Donation to Legal Expense Fund	$1,000
Synergy Pac	Donation to Legal Expense Fund	$1,500

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Cal ndar Y ar Reporting P riod

Form A
For use by Members, officers, and employees

HAND DELIVERED
RESOURCE CENTER
2008 MAY 14 PM 2:18
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Candice Sue Miller (Full Name) 202-225-2106 (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State MI Distrct: 10	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

2845

Name	Candice S Miller	Page	3 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Michigan	Spouse Salary	N/A
	County of Macomb	Spouse Salary	N/A
	State of Michigan	Spouse Pension	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Candice S Miller | Page 4 of

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use *ticker symbols*). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e., plans in which you have the power, even if not exercised,* to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs,*** indicate the type of income by checking the appropriate box below **Dividends and interest, even if reinvested, should be listed as income.** *Check "None" if asset did not generate any income during calendar year.*

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below ***Dividends and interest,*** **even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows (S) (partial). See below for example.

				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: for Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
SP	Examples	SP	Mega Corp. Stock					X									X			X						X									S (partial)
DC			Simon & Schuster				Indefinite															Royalties									X				
JT			1st Bank of Paducah, KY Accounts						X									X										X							
SP	401K Stock JMI																																		
	SSGA Yield Enhanced STIF						X									X							X												
	SSGA Stable Value								X							X							X												
	SSGA Bond Market Index						X									X							X												
	SSGA S&P 500 Index						X									X							X												
	Dodge & Cox Stock							X								X							X												
	American Funds Euro Pacific Gr						X									X							X												
SP	IRA USBA																																		
	SAM Conserv Growth Port A					X																													
	SAM Conserv. Growth Port C					X																													
	Alm Charter A						X																												

For additional assets and unearned income, use next page.

2847

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Nam Candice S Miller

Pag 5

SP, DC, JT	BLOCK A As t and/or Incom Sourc	BLOCK B Y ar-End Valu of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Incom: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Incom: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	IRA																															
SP	American Invest Co. of Am.			X										X							X											
	IRA (Cash) USAA				X									X							X											
	TSP						X							X							X											
	401-K Macomb County			X										X							X											
	401(k) State of MI																															
	SSgA Stable Value				X									X							X											
	SSgA S&P 500 Index				X									X							X											
	SSgA S&P MidCap Index				X									X							X											
	Legg Mason Value Trust		X											X							X											
	Columbia Acorn Z		X											X							X											
	STI Classic Small Cap Value T		X											X							X											
	American Funds Co. Inc. Fund				X									X							X											
JT	Accounts - Huntington Bank					X											X					X										
SP	DGM Trust					X											X					X										
	CSM Trust					X											X					X										
	IRA Raymond James																															
	Am Charter Class A Fund			X										X							X											

This pag may be c pied if m re space is required.

Nam Candice S Miller | Page 10 of

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
	IRA 1st of Michigan (xfer to USAA)														
	Principal Invs Sam Conserv		X		8/21/07	X									
	Invest Co of America CIB		X		8/21/07	X									
SP	IRA 1st of Michigan (xfer to USAA)														
	Investment Co of America		X		8/21/07	X									
	Princ Inv Sam Conserv Growth		X		8/21/07	X									
	Princ Inv Sam Conserv Growth - DA		X		8/21/07	X									
JT	1st of Michigan														
	Eaton Vance TM Growth		X		8/21/07			X							
	Eaton Vance Mich Mutual Muni		X		8/21/07		X								

This page may be copied if more space is required.

2849

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2007 MAY 14 PM 4:02

NC

Gary G. Miller
(Full Name)

202 225-3201
(Daytime Telephone)

(Office Use Only)

Filer Status: ☑ Member of the U.S. House of Representatives — State: CA — District: 42 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who fil s more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ N ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ N ☑	

Each question in this part must be answered and the appr priate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2850

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gary G. Miller

BLOCK A **Ass t and/or Inc m Sourc** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Typ of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), r exchanges (E) exceeding $1,000 in reporting year.
IRA'S - MAINSTAY EQUITY INDEX FUND	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
HARTFORD INT'L OPPORTUNITY	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	
HARTFORD MIDCAP FUND CLASS B	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	
IRA- CISCO SYSTEMS INC	None	None	NONE	S
SPRINT NEXTEL CORP	None	None	NONE	S
EMBARQ CORP	None	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gary G. Miller

	CAPITAL WORLD GROWTH AND INCOME FUND CLASS A	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	CITIBANK BANK ACCT DEPOSIT PROGRAM	$1,001 - $15,000	INTEREST	$1 - $200	
	EDWARD JONES RETIREMENT MM - MOVED TO SMITH BARNEY	None	INTEREST	$1 - $200	Other
	BANK OF AMERICA CORP	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	EMBARQ CORP	$1 - $1,000	DIVIDENDS	$1 - $200	
	GENERAL ELECTRIC CO	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	HOME DEPOT INC	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	INTEL CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MICROSOFT CORP	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	MOTOROLA INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	PROCTOR & GAMBLE CO	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	SPRINT NEXTEL CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IRA'S - MAINSTAY EQUITY INDEX FUND	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	HARTFORD INT'L OPPORTUNITY	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gary G. Miller

SP	HARTFORD MIDCAP FUND CLASS	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	
SP	EDWARD JONES RETIREMENT MM	None	None	NONE	Other- Moved to Citbank
SP	HARTFORD DIVIDEND & GROWTH	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
SP	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$1 - $1,000	INTEREST	$1 - $200	Other - Moved from Edwards Jones MM
	BANCO POPULAR	None	None	NONE	
	POMONA BANK & TRUST 1ST FEDERAL	$5,000,001 - $25,000,000	INTEREST	$100,001 - $1,000,000	
	SMITH BARNEY CITIGROUP MM-1381	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	EDWARD JONES MM	None	DIVIDENDS	$1 - $200	Other- MOVED TO CITIBANK MM
	SUN AMERICA LIFE INSURANCE	$15,001 - $50,000	None	NONE	
	INVESTMENT COMPANY OF AMERICA	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
	FOOTHILL/EASTERN TRANS CORRIDOR AGY CA MUNIBOND	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	CALIF. STATEWIDE CMNTYS DEV AUTH WATER & WASTEWATER REV MUNIBOND	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gary G. Miller

Page 3 of 8

	APN 239 193 11 VACANT LAND. INDUSTRIAL 5AC. RIALTO, CA	$1,000,001 - $5,000,000	None	NONE
	LOT 15 TRACT 23483 1+ ACRE DIAMOND BAR, CA	$500,001 - $1,000,000	None	NONE
	LOT 16 TRACT 23483 1+ACRE DIAMOND BAR, CA	$500,001 - $1,000,000	None	NONE
	HAVADJIA HOLDING, INC. RIVERSIDE,CA NOTE SECURED BY DEED OF TRUST	$250,001 - $500,000	INTEREST	$15,001 - $50,000
	G. MILLER DEVELOPMENT CO., COMMON STOCK	$500,001 - $1,000,000	None	NONE
SP	CAROLINE PACIFIC LLC REAL ESTATE INVESTMENT WALNUT, CA	$1,001 - $15,000	None	NONE
	CARRARI RANCH LLC REAL ESTATE INVESTMENT WALNUT, CA	$1,001 - $15,000	None	NONE
	APN 201 021 22, APN 201 032 01, APN 200 051 42 & APN 200 051 70. THESE 4 PARCELS MAKE UP 382 ACRES VACANT LAND IN RANCHO CUCAMONGA, CA	$5,000,001 - $25,000,000	None	NONE
JT	CITIBANK BANK DEPOSIT PROGRAM	$1 - $1,000	INTEREST	$1 - $200
	CA MUNI CASH TRUST SERVICE	$1,001 - $15,000	DIVIDENDS	$1 - $200
	CHINO CALIF CMNTY FACS DIST SPL TAX MUNI BOND	$15,001 - $50,000	INTEREST	$1,001 - $2,500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gary G. Miller

SACRAMENTO CALIF IMPT BD ACT 1915	$15,001 - $50,000	INTEREST	$201 - $1,000	
CHINA MOBILE LTD	$50,001 - $100,000	None	NONE	P
COUNTRYWIDE FINANCIAL CORP	$250,001 - $500,000	None	NONE	P
PFF BANCORP INC	$1,000,001 - $5,000,000	DIVIDENDS	$15,001 - $50,000	P
BLACKROCK LATIN AMER FD INC CL A	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$15,001 - $50,000	P
CAPITOL INCOME BUILDER FUND CLASS A	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
DREYFUS PREMIER FUND CLASS A	$50,001 - $100,000	CAPITAL GAINS	$5,001 - $15,000	PS(part)

SCHEDULE IV - TRANSACTIONS

Name Gary G. Miller

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IRA- CAPITOL WORLD GROWTH AND INCOME FUND CLASS A	P	1-31-07	$15,001 - $50,000
	SEP- EDWARD JONES RETIREMENT MM - MOVED TO... SMITH BARNEY BANK DEPOSIT PROGRAM	Other	11-21-07	$1,001 - $15,000
	PFF BANCORP INC	P	10-29-07 11-01-07	$1,000,001 - $5,000,000
	CHINA MOBILE LTD	P	12-17-07	$50,001 - $100,000
	COUNTRYWIDE FINANCIAL CORP	P	12-14-07	$250,001 - $500,000
	BLACKROCK LATIN AMER FD INC CL A	P	10-16-07	$100,001 - $250,000
	CAPITOL INCOME BUILDER FUND CLASS A	P	10-16-07	$50,001 - $100,000
	DREYFUS PREMIER FUND CLASS A	PS(part)	10-16-07 12-17-07	$50,001 - $100,000
	IRA- CISCO SYSTEMS INC	S	1-31-07	$1,001 - $15,000
	IRA- SPRINT NEXTEL CORP	S	1-31-07	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name Gary G. Miller

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT	G. MILLER DEVELOPMENT CO.

HAND DELIVERED

2008 MAY 15 PM 5:12 MC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

George Miller
(Full Name)

202-225-2095
(Daytime Telephone)

(Office Use Only)

Flier Status: ☑ Member of the U.S. House of Representative — State: CA — Distnct: 07 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No
If yes, complete and attach Schedule V

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

2858

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name George Miller

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset *in the account that exceeds the reporting threshold*. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow *you to choose specific* investments, you may write "NA" for income. For all other assets, indicate the *category of income by* checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in *reporting year.*
	127 D St., SE, Washington D.C.	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
	Calpine Corp.	$1 - $1,000		NONE	
SP	Citicorp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Congressional FeD. Credit Union, Savings Acct., D.C.	$1,001 - $15,000	INTEREST	$1 - $200	
SP	EMC Corp.	$1,001 - $15,000		NONE	
	GE Interest Plus Savings Acct.	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name George Miller

	IRA Acct. - W.R. Hambrecht Company	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500
SP	IRA Acct. - W.R. Hambrecht Company	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500
	Pacificnet.com	$1 - $1,000		NONE
SP	Sangamo Bioscience	$1 - $1,000		NONE
	T. Rowe Price Media & Telefund	$1,001 - $15,000	CAPITAL GAINS	$1 - $200
	T. Rowe Price New Era	$1,001 - $15,000	CAPITAL GAINS	$1 - $200
	Vodafone	$1,001 - $15,000	DIVIDENDS	$1 - $200
	Wells Fargo S.I.F.E (formerly Stock Fund S.I.F.E. Trust), Walnut Creek (IRA)	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500

SCHEDULE V - LIABILITIES

Name George Miller

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Congressional Fed. Credit Union - Home Equity	Home Equity on 127 D St., SE, Washington D.C.	$1,001 - $15,000
JT	Citicorp	Mortgage on 127 D St., SE, Wasington D.C. - Refinance	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name George Miller

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute Congressional Program (Conf. Re: NCLB)	Feb. 20-25	Washington, D.C. - San Juan, Puerto Rico - Washington, D.C	Y	Y	Y	None
AFL-CIO Lawyers Coordinating Committee	April 27	Washington, D.C. - Chicago - San Francisco	N	Y	N	None
Aspen Institute Congressional Program (Conf. Re: Political Islam)	May 28 - June 3	Washington, D.C. - Ljubljana, Slovenia - Washington, D.C.	Y	Y	Y	None
Aspen Institute Congressional Program (Conf. Re: Policy in Latin America)	Nov. 27-Dec. 2	San Francisco - Liberia, Costa Rica - Washington, D.C.	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 AM 11:50

MC

(Office Use Only)

U.S. HOUSE OF REPRESENTATIVES

Jefferson Bingham Miller
(Full Name)

202-225-4136
(Daytime Telephone)

Filer Status: ☑ Member of the U.S House of Representative — State: FL — District: 01 | ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2863

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jefferson Bingham Miller

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Alger Small Cap	$1,001 - $15,000		NONE	
	Alger Capital Appreciation	$1,001 - $15,000		NONE	
	Vanguard Health Care Fund	$15,001 - $50,000		NONE	
SP	FRS-Select U.S. Stock Market Index Fund	$50,001 - $100,000		NONE	
SP	Vanguard US Growth Fund (IRA)	$1,001 - $15,000		NONE	
SP	Vanguard Health Care Fund (IRA)	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jefferson Bingham Miller

JT	CH Energy - New York, NY	$1,001 - $15,000		$1 - $200	
JT	Hwy 182, Chumuckla, FL, 2.5 acres (unimproved)	$23,800- assessed value		NONE	
JT	Hwy 191, Milton, FL, 1/2 Interest, 2.5 acres (unimproved)	$9,350- assessed value		NONE	
JT	Hwy 89, Milton, FL, 405 acres, 1/2 Interest (unimproved)	$550,000- purchase price		NONE	
JT	7953 Malone Rd., Milton, FL - Deborah Greenwalt	None		$15,001 - $50,000	S
JT	Malone Rd., Milton, FL, Lot 1/2 Int., 1 acre, Lot 16	$10,000		NONE	
JT	7989 Malone Rd., Milton, FL, 6 acres- Mark Wolverton	$45,000- sales price	INTEREST/Other: contract for deed	$2,501 - $5,000	
JT	Malone Rd., Milton, FL, 1/2 Interest- Alice Kline	$15,001 - $50,000	INTEREST/Other contract for deed	$1,001 - $2,500	
JT	Molino Bridge Rd., Milton, FL, 80 acres (unimproved)	$230,000		NONE	
JT	7954 Parker Rd., Milton, FL, 2.14 acres, Lot 27- Lisa Griffin	$35,000- sales price	INTEREST/Other contract for deed	$201 - $1,000	
JT	8012 Parker Rd., Milton, FL Catherine Kurtz	$21,600- sales price	INTEREST/Other contract for deed	$1,001 - $2,500	
JT	7890 Parker Rd , Milton, FL- William Semon	$35,000- sales price	Mortgage	$2,501 - $5,000	
JT	7906 Parker Rd , Milton, FL, 2.96 acres- Keith Jones	$22,000- sales price	INTEREST/Other contract for deed	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jefferson Bingham Miller

JT	Parker Rd., Milton, FL, 2.4 acres; Lot 35- Randall Calderon 1504 Monica St., Bakersfield, CA 93306	$10,000	Mortgage	$2,501 - $5,000	
JT	6628 Roeville Rd., Milton, FL, 1/2 Interest, 9.4 acres- Luther Peaden	None	Mortgage	$15,001 - $50,000	Paid Off Mortgage 10/19/07
	1/2 151 E. Hathaway Ave., Bronson, FL	$15,001 - $50,000		NONE	

SCHEDULE IV - TRANSACTIONS

Name Jefferson Bingham Miller

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	7953 Malone Rd., Milton, FL- Deborah Greenwalt	S	05-18-07	$15,001 - $50,000
JT	6628 Roeville Rd., Milton, FL, 1/2 Interest, 9.4 acres- Luther Peaden	Paid Off	10-19-07	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Jefferson Bingham Miller

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Bank of Pensacola (Florida)	Real Estate Mortgage 1/2 int. 405 acres Hwy 89, Milton, FL	$250,001 - $500,000
JT	Bank of Pensacola (Florida)	Mortgage 80 acres Molino Bridge Road	$250,001 - $500,000
	Bank of Pensacola (Florida)	Signature	$20,000

SCHEDULE VIII - POSITIONS

Name Jefferson Bingham Miller

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Florida FFA Foundation, Inc.
Board of Trustees	National Museum of Naval Aviation, Inc.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 PM 5:09
(Office Use Only)

HC

2870

Representative Alan B. Mollohan
(Full Name)

(202) 225-4172
Daytime Telephone)

Filer Status: [x] Member of the U.S. House of Representatives — State: WV District: 1st — [ ] Officer Or Employee — Employing Office

Report Type: [x] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes [x] No [ ]
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes [ ] No [x]
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes [x] No [ ]
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes [ ] No [x]
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes [x] No [ ]
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes [ ] No [x]
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes [ ] No [x]
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes [x] No [ ]
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes [ ] No [x]
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [x]

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [x]

SCHEDULE I - EARNED INCOME

Name Representative Alan B. Mollohan

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Remington, Inc., Washington, DC Property ownership & management	Spouse salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Representative Alan B. Mollohan

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	60 G St. SW, Washington, DC (rental property)	$250,001 - $500,000	RENT	$50,001 - $100,000	
JT	725 Mt. Vernon Ave., Fairmont, WV (rental property)	$50,001 - $100,000	None	NONE	
	Remington, Inc., 601 24th St. NW, Washington, DC, 1/4 interest (property ownership & management)	$1,000,001 - $5,000,000	Sub. S corp. Income	$15,001 - $50,000	
SP	Remington, Inc., 601 24th St. NW, Washington, DC, 1/4 interest (property ownership & management)	$1,000,001 - $5,000,000	Sub. S corp Income	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Representative Alan B. Mollohan

	MNM Holdings LLC, Morgantown, WV (WV real estate -- see footnote on last page), 1/3 interest	$250,001 - $500,000	LLC income	$5,001 - $15,000
	D & M Investments, Inc., Morgantown, WV (owns Ramada Inn, Morgantown, WV -- inherited) 1/3 interest	$100,001 - $250,000	None	NONE
SP	D & M Investments, Inc., 1/3 interest	$100,001 - $250,000	None	NONE
SP	Paine Webber Putnam Global Growth IRA	$1,001 - $15,000	DIVIDENDS/ INTEREST	$201 - $1,000
SP	Oil & gas/ 242 acres/ Gilmer Co., WV, 5/32 interest	$15,001 - $50,000	Royalty	$2,501 - $5,000
SP	Oil & gas/ 35 acres/ Gilmer Co., WV, 3.33% interest	$1,001 - $15,000	Royalty	$1 - $200
	M&M Partners LLC, Bridgeport, WV (owns Cooper Farm, Tucker County, WV) 1/2 interest	$250,001 - $500,000	None	NONE
JT	Courtland Road #2, house with adjacent lot, Canaan Valley, WV	$500,001 - $1,000,000	RENT	$15,001 - $50,000
JT	Black Bear Lot, Canaan Valley, WV	$50,001 - $100,000	None	NONE
JT	842 Middle Island Lot, Bald Head Island, NC	$1,000,001 - $5,000,000	None	NONE
JT	15 Horsemint Trail, Bald Head Island, NC, 1/2 interest	$250,001 - $500,000	None	NONE

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Representative Alan B. Mollohan

JT	Two contract deposits for the purchase of condominium units #3402 & #3406 in The Preserve Condominium, Southport, NC (see footnote on last page - value is that of deposits only)	$50,001 - $100,000	None	NONE
JT	Note receivable from Remington, inc., Washington, DC	$1,000,001 - $5,000,000	None	NONE
SP	Citizens Bancshares, Inc. Stock (Citizens Bank of Weston, WV)	$15,001 - $50,000	DIVIDENDS	$1 - $200
SP	Coal, oil & gas/ 6.5 acres & 27 acres/ Gilmer Co., WV, 1/5 interest in each	$1 - $1,000	Royalty	$1 - $200
SP	Huntington National Bank, Fairmont, WV Certificate of Deposit	None	INTEREST	$1,001 - $2,500

SCHEDULE V - LIABILITIES

Name Representative Alan B. Mollohan

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	NewBridge Bank, Greensboro, NC (the prior mortgage holder, FNB Southeast Bank, merged into NewBridge Bank)	Mortgage on 15 Horsemint Trail, Bald Head Island, NC (1/2 interest)	$100,001 - $250,000
JT	NewBridge Bank, Greensboro, NC	Mortgage on 842 Middle Island Lot Bald Head Island, NC	$500 001 - $1,000,000
JT	Cooperative Bank, Wilmington, NC	Mortgage on 842 Middle Island Lot, Bald Head Island, NC (this mortgage was refinanced with FNB Southeast/NewBridge Bank on 5/8/07)	$500,001 - $1,000,000
JT	United Bank of Virginia	Mortgage on apartment units of Remington, Inc., Washington, DC (1/2 interest)	$1,000,001 - $5,000,000
JT	United Bank of Virginia	Mortgage on 60 G Street, Washington, DC	$250,001 - $500,000
JT	Freedom Bank, Buckhannon, WV	Mortgage on Cortland Road #2 & adjoining lot	$100,001 - $250,000
	Ms. Carol Wilfong, Beverly, WV	Owner-financed purchase of Cooper Farm, Tucker County, WV (1/2 interest)	$100,001 - $250,000
JT	Huntington National Bank, Fairmont, WV	Installment loan (this loan has been repaid in full)	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Representative Alan B. Mollohan

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Secretary	Robert H. Mollohan Family Charitable Foundation, Inc., Fairmont, WV
Managing Member	MNM Holdings, LLC, Morgantown, WV
President	D & M Investments, Inc., Morgantown, WV

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	MNM Holdings owns land in Morgantown where the Ramada Inn is located and an inherited farm in Jefferson County, WV that is used as a vacation home. In each instance in which my wife and/or I have a partial interest in a property, the value, income, and any related liability shown are for our interest only, and not for the entire property	MNM Holdings LLC
2	Schedule III	Closing on these units did not occur in 2007; construction was not complete. It is not clear that disclosure of these contracts is required, but I am disclosing them nevertheless.	The Preserve Condominium contracts

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2008 MAY 15 P 12:31

(Office Use Only)

Dennis Moore
(Full Name)

202-225-2865
(Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives State: KS District: 03 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Dennis Moore

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
University of Kansas	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dennis Moore

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments) provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year
Securities America IRA: Reserve Primary Fund Class R	$100,001 - $250,000	None	NONE	P
Securities America IRA: Vanguard Money Market Prime Portfolio	$15,001 - $50,000	None	NONE	
Securities America IRA: America AMCAP Fund Class F	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
Securities America IRA: American Capital World Growth & INC F	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
Securities America IRA: American Europacific Growth Class F	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P

2880

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dennis Moore

	Securities America IRA: American Income Fund of America Class F	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	Securities America IRA: American New Perspective Class F	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	Securities America NFS/FMTC Roth IRA: American New Economy Class F	$1,001 - $15,000	Dividents/Capital Gains	$201 - $1,000	
	American Funds: Class A mutual Fund	$15,001 - $50,000	Dividends/Capital Gains	$1,001 - $2,500	P
	American Century. Moriarty Erker and Moore SEP IRA Prime Money Market	$50,001 - $100,000	Interest/Dividends	$1,001 - $2,500	
	ING Jo Co "Start" Retirement Plan: Stable Value Option	$1,001 - $15,000	INTEREST	$1 - $200	
	ING Jo Co "Start" retirement Plan: Large Cap Value	$15,001 - $50,000	None	NONE	
	ING Jo Co "Start" Retirement Plan: Large Cap Growth	$15,001 - $50,000	None	NONE	
	ING Jo Co "Start" Retirement Plan: Wagner Select	$15,001 - $50,000	None	NONE	
	Sunco, INC Stock	$1,001 - $15,000	None	NONE	
	Invesco Aim	$1,001 - $15,000	None	NONE	
	United States Army Association (Suscriber's Savings Account)	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Commonwealth Allmerica Advantage IRA: Fidelity VIP Growth	None	DIVIDENDS	$1,001 - $2,500	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Dennis Moore

SP	Commonwealth Allmerica Advantage IRA: GS VIT Capital Growth	None	DIVIDENDS	$2,501 - $5,000	S
SP	Commonwealth Allmerica Advantage IRA: GS VIT Equity Index	None	DIVIDENDS	$1,001 - $2,500	S
SP	Commonwealth Allmerica Advantage IRA: GS VIT Growth Opportunities	None	DIVIDENDS	$2,501 - $5,000	S
SP	Midwest Perinatal Associates PA 401k US Bank Stable Asset Fund	$50,001 - $100,000	INTEREST	$1 - $200	
	Vanguard SEP-IRA: Energy Fund Admiral Shares	None	DIVIDENDS	$1,001 - $2,500	S

SCHEDULE IV - TRANSACTIONS

Name Dennis Moore

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	AIM IRA: Financial Services Fund Investor Class	S	12/19/07	$15,001 - $50,000
	AIM IRA: Small Cap Growth Fund Investor Class	S	12/19/07	$1,001 - $15,000
	Commonwealth Allmerica Advantage IRA: Mid Cap Value	S	12/07/2007	$15,001 - $50,000
	Commonwealth Allmerica Advantage IRA: VIP Equity Fund	S	12/07/07	$1,001 - $15,000
	Commonwealth Allmerica Advantage IRA: VIT Equity Index	S	12/07/07	$15,001 - $50,000
	Commonwealth Allmerica Advantage IRA: VIT Gap Growth	S	12/07/07	$1,001 - $15,000
	Commonwealth Allmmerica Advantage IRA: VIT International Equity	S	12/07/07	$15,001 - $50,000
	Fidelity Premiere Select Roth IRA: Amer New Economy Class F	S	12/17/07	$50,001 - $100,000
	Vanguard SEP-IRA: Prime Money Market Fund	S	12/20/07	$15,001 - $50,000
	Vanguard SEP-IRA: Energy Fund Admiral Shares	S(part)	12/20/07	$50,001 - $100,000
	Fidelity Case Reserve IRA	S	12/19/07	$50,001 - $100,000
	Vanguard SEP-IRA: Energy Fund Admiral Shares	S(part)	12/21/07	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name Dennis Moore

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Securities America IRA: Reserve Primary Fund	P	12/19/07	$100,001 - $250,000
	Securities America IRA: American AMCAP Fund Class F	P	12/19/07	$15,001 - $50,000
	Securities America IRA: American Capital World Growth	P	12/19/07	$15,001 - $50,000
	Securities America IRA: American Europacific Growth Class F	P	12/16/07	$50,001 - $100,000
	Securities America IRA: American Income Fund of America Class F	P	12/19/07	$15,001 - $50,000
	Securities America IRA: American New Perspective Class F	P	12/19/07	$15,001 - $50,000
	American Funds: Class A Mutual Fund	P	Throughout the year	$1,001 - $15,000
SP	Commonwealth Allmerica Advantage IRA: GS VIT Capital Growth	S	12/07/07	$1,001 - $15,000
SP	Commonwealth Allmerica Advantage IRA: Fidelity VIP Growth	S	12/07/07	$1,001 - $15,000

FOOTNOTES

Name Dennis Moore

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	The "Invesco Aim" asset was mistakenly omitted on last year's financial disclosure for reporting year 2006.	Invesco Aim

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERE

2008 MAY 14 AM 10:09

(Office Use Only)

Gwen Moore (Full Name)

202-225-4572 (Daytime Telephone)

Filer Status ☑ Member of the U.S. House of Representatives State: WI District: 4th ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

2886

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Gwen. Moore

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honoranum. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Ozaukee County Branch of the NAACP, Thiensville, WI	Speech	November 29, 2007	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gwen. Moore

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the Instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year
Wisconsin Retirement Plan	Indefinite	None	NA	
Wisconsin Deferred Compensation Plan assets held within plan listed below:				
Fidelity Contrafund	$1,001-$15,000	shares reinvested	NONE	
Vanguard Institutional Index Plus	$1,001 - $15,000	shares reinvested	NONE	
Vanguard Admiral Treasury Money Market	$1001-$15,000	dividends	NONE	

2888

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Gwen Moore

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Council on Educational Opportunities, Washington, DC	Sept 7-8	DC-Chicago-Milwaukee	Y	Y	Y	none
Community Clinic Association of Los Angeles County San Diego, California	July 20th – July 22nd	DC-San Diego-Madison	Y	Y	Y	none

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 11:29
MC
(Office Use Only)

JAMES P. MORAN, JR.
(Full Name)

202-225-4376
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: Virginia District: 8th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

2890

Name	JAMES P. MORAN, JR.	Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$5,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Bennett Group, Inc. (formerly RAB Management, Inc.)	Spouse salary	$206,400

For payments to charity in lieu of honoraria, use Schedule II.

Name JAMES P. MORAN, JR. Page ___ of ___

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

Block A	Optional	Block B: None (A)	$1 – $1,000 (B)	$1,001 – $15,000 (C)	$15,001 – $50,000 (D)	$50,001 – $100,000 (E)	$100,001 – $250,000 (F)	$250,001 – $500,000 (G)	$500,001 – $1,000,000 (H)	$1,000,001 – $5,000,000 (I)	$5,000,001 – $25,000,000 (J)	$25,000,001 – $50,000,000 (K)	Over $50,000,000 (L)	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None (I)	$1 – $200 (II)	$201 – $1,000 (III)	$1,001 – $2,500 (IV)	$2,501 – $5,000 (V)	$5,001 – $15,000 (VI)	$15,001 – $50,000 (VII)	$50,001 – $100,000 (VIII)	$100,001 – $1,000,000 (IX)	$1,000,001 – $5,000,000 (X)	Over $5,000,000 (XI)	Block E: If only a portion of an asset is sold please indicate as follows. (S) (partial) See below for example P S E
SP	SP					X									X			X						X								S (partial)
DC Examples				Indefinite																Royalties									X			
JT							X									X									X							

See attached statement all income and assets listed are exclusively those of Mr. Moran's spouse

For additional assets and unearned income, use next page.

2892

JAMES MORAN & LUANN BENNETT
SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ASSET AND/OR INCOME SOURCE	VALUE OF ASSET	TYPE OF INCOME	AMOUNT OF INCOME	TRANSACTION
Goldman Sachs - a[redacted]				
Goldman Sachs High Yield Municipal Fund Class A	A	Interest	III	S
UBS - a[redacted]				
RMA Money Market Portfolio	C	Dividends	II	
Abbott Labs common stock	C	Dividends	II	P
Aetna Group Inc. common stock	C	Dividends	II	P
Apache Corp. common stock	C	Dividends	II	S
AT&T Inc. common stock	C	Dividends	II	P
Bank of New York Mellon Corp. common stock	C	Dividends	II	P**
Bank of America Corp. common stock	C	Dividends	II	P**/S
Burlington Northern Santa Fe Corp. common stock	C	Dividends	II	P
Chevron Corp. common stock	C	Dividends	II	P/S**
Chubb Corp. common stock	C	Dividends	II	S
ConocoPhillips common stock	C	Dividends	II	S
Deere and Co. common stock	C	Dividends	II	P/S**
Edison International common stock	C	Dividends	II	P
Exxon Mobil Corp. common stock	C	Dividends	II	P/S**
Freeport-McMoran Copper & Gold Inc. common stock	C	Dividends	II	P
General Dynamics Corp. common stock	C	Dividends	II	P
Goldman Sachs Group Inc. common stock	C	Dividends	II	P/S**
Hess Corp. common stock	C	Dividends	II	P
Hewlett Packard Co. common stock	C	Dividends	II	S
International Business Machines common stock	C	Dividends	II	P
Johnson & Johnson common stock	C	Dividends	II	P
Lockheed Martin Corp. common stock	C	Dividends	II	P
McDonalds Corp. common stock	C	Dividends	II	P
Nestle S A. common stock	C		I	P
Nokia Corp. common stock	C		I	P/S
NRG Energy Inc. common stock	C		I	P/S**
Occidental Petroleum Corp. common stock	C	Dividends	II	P/S**
Pfizer Inc. common stock	C	Dividends	II	S
Prudential Financial Inc. common stock	C	Dividends	II	P
Public Service Enterprise Group Inc. common stock	C	Dividends	II	P/S**
Safeway Inc. common stock	C	Dividends	II	P
Time Warner Inc. common stock	C	Dividends	II	P/S**
Transocean Inc. common stock	C		I	P
Travelers Inc. common stock	C	Dividends	II	P

United Technologies Corp. common stock	C	Dividends	II	P
Verizon Communications common stock	C	Dividends	II	P
Wachovia Corp. common stock	C	Dividends	II	P
Walt Disney Co. common stock	C		I	S
XTO Energy Inc. common stock	C	Dividends	II	P
Ishares Trust Russell 1000 Value Index Fund	D	Dividends	II	P
[redacted]				
RMA Money Market Portfolio	C	Dividends	II	
Allianz common stock	C	Dividends	II	P/S
Arcelormittal common stock	C	Dividends	II	P/S
Au Optronics Corp. common stock	C	Dividends	II	P/S**
BAE Systems PLC common stock	C	Dividends	II	P/S
BASF AG common stock	C		I	P/S**
BHP Billiton PLC common stock	C	Dividends	II	P/S
BNP Paribas SA common stock	C	Dividends	II	P**/S
British America Tobacco PLC common stock	C	Dividends	II	P/S**
China Petroleum & Chemical Corp. common stock	C	Dividends	II	P/S**
Deutsche Bank AG common stock	C	Dividends	II	P/S
E On AG common stock	C	Dividends	II	P/S
ENI S.P.A. common stock	C	Dividends	II	P/S**
France Telecom SA common stock	C		I	P/S
Glaxo Smithkline PLC common stock	C	Dividends	II	P/S
HBOS PLC common stock	C	Dividends	II	P/S
ING Groep NV common stock	C	Dividends	II	P/S**
Lukoil Oil Co. common stock	C		I	P
Mitsubishi Corp. common stock	C	Dividends	II	P**/S
Mitsubishi UFJ Financial Group common stock	C	Dividends	II	P/S
Nissan Motor Ltd common stock	C	Dividends	II	P/S**
Petroleo Brasileiro SA common stock	C	Dividends	II	P/S
Posco common stock	C	Dividends	II	P/S**
Royal Bank Scotland Group PLC common stock	C		I	P
Royal Dutch Shell PLC Class A common stock	C	Dividends	II	P/S**
RWE AG common stock	C		I	P
Total S.A. common stock	C	Dividends	II	P/S
United Microelectronics Corp. common stock	A	Dividends	III	P/S
Vodafone Group PLC common stock	C	Dividends	II	P/S**
Ishares MSCI Eafe Index Fund	D	Dividends	III	P/S
UBS - [redacted]				
RMA Money Market Portfolio	C	Dividends	III	
ING Emerging Countries Fund Class A	B	Dividends, Capital Gain Distributions	III	P/S
Lagardere Groupe common stock	C		I	
Lazard Emerging Market Portfolio	A	Dividends, Capital Gain Distributions	III	P/S

Kayne Anderson MLP Inv. Co. mutual fund	C	Dividends	II	P/S
DWS Dreman Small Cap Value Fund Class A	C	Dividends, Capital Gain Distributions	IV	P/S
Blackrock International Bond Fund A	D	Dividends	III	P/S
FT Templeton Global Bond Fund A	D	Dividends	IV	P/S
Goldman Sachs High Yield Municipal Fund Class A	A	Interest, Dividends	III	S
UBS - a[redacted]				
ABB Ltd common stock	C		I	P
Abbott Labs common stock	C	Dividends	II	P
Accenture Ltd Class A common stock	C	Dividends	II	P/S
Aetna Inc. common stock	C	Dividends	II	P
Agco Corp. common stock	C		I	P
Amgen Inc common stock	C		I	P
Apple Inc. common stock	C		I	P**
C.R. Bard Inc. common stock	C	Dividends	II	P
Boeing Co common stock	C	Dividends	II	P/S
Cameron International Corp common stock	C		I	P
Cerner Corp. common stock	C		I	S
Cisco Systems Inc. common stock	C		I	P
Cognos Inc. common stock	C		I	P
Corning Inc common stock	C	Dividends	II	P
Danaher Corp. common stock	C	Dividends	II	P
DST Systems Inc. common stock	C		I	P
Ebay Inc. common stock	C		I	S
Ecolab Inc. common stock	C	Dividends	II	P
Electronic Arts common stock	C		I	P/S**
Emerson Electric Co. common stock	C	Dividends	I	P
Express Scripts Inc common stock	C		I	P
Franklin Resources Inc common stock	C	Dividends	II	P/S
General Electric Co. common stock	C	Dividends	II	S
Google Inc. Class A common stock	C		I	P/S
Halliburton Co. common stock	C	Dividends	II	P
Hewlett Packard Co common stock	C	Dividends	II	P
Illinois Tool Works Inc. common stock	C	Dividends	II	P
Intel Corp common stock	C	Dividends	II	P
International Business Machines common stock	C	Dividends	II	P
International Game Technology common stock	C	Dividends	II	P
Jacobs Engineering Group Inc. common stock	C		I	P/S**
Johnson & Johnson common stock	C	Dividends	II	P
Marriott International Inc. Class A common stock	C	Dividends	II	P/S
McDermott International Inc. common stock	C		I	P
McDonalds Corp. common stock	C	Dividends	II	P
Microsoft Corp. common stock	C	Dividends	II	P/S**

Monsanto Co. common stock	C	Dividends	II	P
Morgan Stanley common stock	C	Dividends	II	P/S
National-OilwellVarco Inc. common stock	C		I	P
Nike Inc. Class B common stock	C	Dividends	II	P
Nokia Corporation common stock	C		I	P
Pepsico Inc. common stock	C	Dividends	II	P
Praxair Inc. common stock	C	Dividends	II	P
T. Rowe Price Group Inc. common stock	C	Dividends	II	P
Procter & Gamble Co. common stock	C	Dividends	II	P
Qualcomm Inc. common stock	C	Dividends	II	S
Rockwell Automation Inc. common stock	C	Dividends	II	P
Henry Schein Inc. common stock	C		I	P
Schlumberger Ltd common stock	C	Dividends	II	S
Smith International Inc. common stock	C	Dividends	II	P
State Street Corp. common stock	C	Dividends	II	P
Stryker Corp. common stock	C		I	P
Texas Instruments common stock	C	Dividends	II	P/S
Tiffany & Co. common stock	C	Dividends	II	P/S
Other Cash Accounts				
BB&T checking accounts	D	Interest	II	
Scottrade				
Apple Inc. common stock	D		I	P
Canadian National Ry Co common stock	C	Dividends	II	P/S
Exxon Mobil Corp. common stock	C	Dividends	II	P/S
Ford Motor Co. common stock	C		I	P
Margin cash account	A	Interest	III	
Vanguard Emerging Markets fund	C	Dividends	III	P/S
Investments in partnerships, S corporations, & LLC's				
Bennett & Owens partnership - sports management & real estate investment partnership, Washington, D.C.	E	Partnership Income	VI	
The Blackstone Group, L.P. - financial services & asset management, New York, NY	E	Partnership Income	IV	P
NJ-H, Inc. (Corporation) - partner in real estate investment partnership, Washington, D.C.	G		I	
Old Westbury Global Private Equity Fund, New York, NY	G	Interest, Dividends, Capital Gains	IX	
Bennett Group, Inc. (S Corporation) - developer, Washington, D.C.	I		I	
Property held for production of income				
3252 Winchester Road, Delaplane, VA (50% interest in a farm)	I		I	
RAB JR. MARITAL TRUST FBO LUANN BENNETT				
Goldman Sachs - [redacted]				
Portsmouth, VA municipal bonds	A	Interest	IV	***
Pre/Fairfax County, VA Water Authority bonds	A	Interest	IV	***
Virginia Beach, VA municipal bonds	A	Interest	V	***

Goldman Sachs - [redacted]				
Goldman Sachs High Yield Municipal Fund Class A	A	Interest	III	S
Goldman Sachs - [redacted]				
American Capital Strategies common stock	A	Dividends	III	***
UBS - [redacted]				
RMA Money Market Portfolio	C	Dividends	III	
Vanguard Index Funds Vanguard Value	F	Dividends	V	P/S
Vanguard Index Funds Vanguard Growth	F	Dividends	III	P/S
Vanguard International Equity Index Fund Vanguard Pac	D	Dividends	III	P/S
Vanguard International Equity Index Fund Vanguard Euro	D	Dividends	IV	P/S
American Funds Growth Fund of America Class F	E	Dividends, Capital Gain Distributions	V	P
Oppenheimer Main Street Small Cap Fund A	D	Dividends, Capital Gain Distributions	IV	P
TCW Galileo Diversified Value Fund	E	Dividends, Capital Gain Distributions	IV	P
Thornburg International Value Fund Class A	E	Dividends, Capital Gain Distributions	VI	P
Ishares Lehman Aggregate Bond Fund	F	Dividends	V	P/S
Loomis Sayles Investment Grade Bond Fund Class A	F	Dividends	V	P
Loomis Sayles Strategic Income Fund Class A	A	Dividends	IV	P/S
Goldman Sachs High Yield Municipal Fund Class A	A	Dividends, Interest	III	S
Fairfax Co. VA Water Authority Bonds	A	Interest	III	S
Richmond VA FSA Bonds	A	Interest	V	S
Virginia Beach VA Bonds	A	Interest	IV	S
Investment in partnerships				
Bennett Family LLC	I*	LLC income	IX	
Cash Accounts				
BB&T checking account	C	Interest	II	
Property held for production of income				
3252 Winchester Road, Delaplane, VA (50% interest in a farm)	I		I	
BENNETT FAMILY LLC (all values reflect client's 1% interest)				
UBS - [redacted]				
Vanguard Index Funds Vanguard Value fund	C	Dividends	II	P
Vanguard Index Funds Vanguard Growth fund	C	Dividends	II	P
Columbia Marsico Growth Fund Class A	C		I	P**
Ishares Lehman Agg Bond Fund	C	Dividends	II	P
Loomis Sayles Investment Grade Bond Fund Class A	C	Dividends	II	P
UBS - [redacted]				
RMA Money Market Portfolio	C	Dividends	II	
UBS Real Estate Opportunity Fund III LLC	C	Interest	II	P
UBS - [redacted]				
RMA Money Market Portfolio	C	Dividends	II	
Robeco-Sage Multi-Strategy Fund LLC	C		I	P
UBS Credit Recovery Fund LLC	C	Interest	II	P

UBS Event Fund LLC	C	Interest	II	P
UBS M2 Fund LLC	C	Interest	II	P
UBS Renaissance Institutional Equities LLC	C	Interest	II	P
Interests in Partnerships & LLC's				
Advisory Research Small/Mid Cap Value Equity Fund II, LP	A	Partnership Income	III	S
GlobeFlex International Partners QP, LP	A	Partnership Income	III	S
Bessemer Trust				
Old Westbury Private Equity Fund 2001 LLC - Series A, New York, NY	C	LLC Income	III	

* Because these assets are privately held, the cost basis is used as the best estimate of market value

**The purchases or sales of these assets are not recorded on Schedule IV because the total transaction amounts were less than $1,000

***Transferred from Goldman Sachs account to UBS [redacted] where it was later sold.

L:\MAIN\CLIENTS\A-E\BENNETT\Financial Disclosure\2007\[Attached Disclosure Schedules 2007.xls]Schedule III

2898

Name JAMES P. MORAN, JR. | Page ___ of ___

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT, SP	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO.DAY.YR) or Quarterly, Monthly, or Biweekly if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock (partial sale)		x		06.15.07		x								
	See attached statement of transactions, all of which are exclusively made by Mr. Moran's spouse														

This page may be copied if more space is required.

JAMES MORAN & LUANN BENNETT
SCHEDULE IV - TRANSACTIONS

ASSET	TYPE OF TRANSACTION	DATE	AMOUNT OF TRANSACTION
Goldman Sachs - [redacted]			
Amazon.com Inc. common stock	P	3/9/2007	B
Amgen Inc common stock	S	4/2/2007	B
Autodesk Inc. common stock	P	2/28/2007 & 3/9/07	B
Genentech Inc common stock	S	3/21/2007	B
Google, Inc. Class A common stock	S	1/10/2007	B
Qualcomm Inc. common stock	P	1/22/2007	B
Salesforce.com Inc. common stock	P	2/7/07 & 4/2/07	B
Schlumberger Ltd common stock	P	1/10/07, 2/27/07, & 4/2/07	B
SLM Corp. common stock	S	2/7/07, 2/26/07, & 4/2/07	B
Zimmer Holdings Inc. common stock	S	2/2/07, 3/9/07, & 4/2/07	B
Goldman Sachs - [redacted]			
ABN Amro Holding NV common stock	S	5 sales in February & March 2007	B
Adidas AG common stock	P	4 purchases in January & February 2007	B
Aeon Co. Ltd common stock	P	4 purchases from January through March 2007	B
Anglo American PLC common stock	S	2/16/07 & 2/26/07	B
Bank of Ireland common stock	S	1/4/07, 1/5/07, & 1/11/07	B
BHP Billiton PLC common stock	P	1/3/07 & 1/22/07	B
BNP Paribas SA common stock	P	1/24/07, 3/1/07, & 3/13/07	B
BP PLC common stock	P	4 purchases in January & February 2007	B
Cadbury Schweppes PLC common stock	S	4 sales in February & March 2007	B
Centrica PLC common stock	S	1/24/07, 2/16/07, & 3/1/07	B
Coca Cola Amatil Ltd common stock	S	7 sales in February & March 2007	B
Fujifilm Holdings Corp. common stock	S	4 sales in January & February 2007	B
HSBC Holdings PLC common stock	P	2/2/07, 2/5/07, & 2/15/07	B
Infineon Technologies AG common stock	S	4 sales in January & February 2007	B
KAO Corp. common stock	S	4 sales in February & March 2007	B
Mitsubishi UFJ Financial Group common stock	P	2/2/07, 3/7/07, & 3/8/07	B
Nokia Corp. common stock	P	1/3/07 & 1/24/07	B
Novartis AG common stock	P	2/2/07 & 3/1/07	B
Rexam PLC common stock	P	4 purchases in February & March 2007	B
Sabmiller PLC stock	S	6 sales in January & February 2007	B
Sanofi-Aventis S.A. common stock	P	2/7/07, 3/21/07, & 3/22/07	B
Siemens AG common stock	S	2/2/07, 2/8/07, & 2/26/07	B
Sumitomo Mitsui Financial Group Inc. common stock	P	1/22/07, 2/14/07, & 3/20/07	B
Swiss Reinsurance common stock	S	2/26/07, 3/19/07, & 3/26/07	B
TDK Corporation common stock	S	1/25/07, 2/8/07, & 3/20/07	B
Teijin Ltd common stock	P	3/2/07, 3/20/07, & 3/26/07	B
Valeo common stock	S	4 sales from January through March 2007	B
Goldman Sachs - [redacted]			
Goldman Sachs High Yield Municipal Fund Class A	S	1/31/2007	B
Goldman Sachs - [redacted]			
AON Corporation common stock	S	1/23/07, 1/24/07, & 2/22/07	B

Barr Pharmaceuticals Inc. common stock	P	17 purchases from January through March 2007	B
Barrick Gold Corp. common stock	P	11 purchases in March 2007	B
Baxter International Inc common stock	S	6 sales in February & March 2007	B
CSX Corporation common stock	S	9 sales from January through March 2007	B
General Electric Co. common stock	P	8 purchases from January through March 2007	B
Honda Motor Ltd (American) common stock	S	7 sales in January & February 2007	B
Liberty Media Corp common stock Series A	S	6 sales in February & March 2007	B
Lyondell Chemical Company common stock	S	13 sales from January through March 2007	B
Marsh & McLennan Co. common stock	P	10 purchases from January through March 2007	B
Royal Dutch Shell PLC Class A common stock	P	7 purchases from January through March 2007	B
Wal Mart Stores Inc. common stock	S	1/10/07 & 1/11/07	B
Washington Post Co Class B common stock	P	1/9/07, 1/30/07, & 3/7/07	B
Waste Management Inc. common stock	P	6 purchases in February & March 2007	B
Watson Pharmaceuticals Inc. common stock	S	12 sales in January 2007	B
UBS - [redacted]			
Abbott Labs common stock	P	6/25/2007	B
Aetna Inc. common stock	S	6/25/07 & 11/15/07	B
Altria Group Inc. common stock	P	6/25/2007	B
American International Group common stock	P/S	Purchases-6/25/07 & 11/15/07; Sale-11/23/07	B/B
Ameriprise Financial Inc. common stock	P/S	Purchases-6/25/07 & 11/15/07; Sale-11/23/07	B/B
AON Corporation common stock	S	6/25/2007	B
Apache Corp. common stock	S	6/25/2007	B
AT&T Inc. common stock	P	6/25/2007	B
Bank of New York Co. Inc. common stock	P	6/25/2007	B
Bank of America Corp. common stock	S	6/25/2007	B
Barr Pharmaceuticals Inc. common stock	S	6/25/2007	B
Baxter International Inc common stock	S	6/25/2007	B
Burlington Northern Santa Fe Corp. common stock	P	6/25/2007	B
Chevron Corp. common stock	P	6/25/2007	B
Chubb Corp. common stock	S	6/25/2007	B
CitiGroup Inc. common stock	P/S	Purchases-6/25/07 & 7/24/07; Sale-11/8/07	B/B
ConocoPhillips common stock	S	6/25/07 & 11/15/07	B
Credit Suisse Group common stock	P	8/17/2007	B
Deere and Co. common stock	P	6/25/2007	B
Dominion Resources Inc. common stock	P/S	Purchase-6/25/07; Sale-9/24/07	B/B
Edison International common stock	P	6/25/2007	B
Exxon Mobil Corp. common stock	P	6/25/2007	B
Freeport-McMoran Copper & Gold Inc. common stock	P	6/25/07 & 7/30/07	B
General Dynamics Corp. common stock	P	6/25/2007	B
General Electric Co. common stock	S	6/25/2007	B
Goldman Sachs Group Inc. common stock	P	6/25/2007	B
Hartford Financial Services Group Inc. common stock	P/S	Purchases-6/25/07 & 11/15/07; Sale-11/23/07	B/B
Hess Corp. common stock	P	6/25/2007	B
Hewlett Packard Co. common stock	S	6/25/2007	B
Honda Motor Co. common stock	S	6/25/2007	B
International Business Machines common stock	P	6/25/2007	B
Interpublic Group Inc. common stock	S	6/25/2007	B
Johnson & Johnson common stock	P	6/25/2007	B

JPMorgan Chase & Co. common stock	P/S	Purchases-6/25/07 & 11/15/07; Sale-11/23/07	B/B
Kroger Company common stock	P	6/25/07 & 11/6/07	B
Liberty Media Capital Corp. Series A common stock	S	6/25/2007	B
Lincoln National Corp. common stock	P/S	Purchase-6/25/07; Sale-11/23/07	B/B
Lockheed Martin Corp. common stock	P	6/25/07, 7/10/07, & 7/24/07	B
Macy's Inc. common stock	P/S	Purchase-6/25/07; Sale-8/24/07	B/B
McDonalds Corp. common stock	P	6/25/2007	B
Merrill Lynch & Co. common stock	P	6/25/2007	B
Mirant Corp. common stock	P/S	Purchases-6/25/07 & 8/13/07; Sale-11/23/07	B/B
Molson Coors Brewing Co. Class B common stock	P	8/17/2007	B
Morgan Stanley common stock	S	6/25/07 & 8/17/07	B
Motorola Inc. common stock	S	6/25/2007	B
Nestle S.A. common stock	P	6/25/2007	B
Nokia Corp. common stock	P/S	Purchase-6/25/07; Sales-8/8/07 & 11/15/07	B/B
NRG Energy Inc. common stock	P	9/24/2007	B
Occidental Petroleum Corp. common stock	P	6/25/2007	B
Pfizer Inc. common stock	S	6/25/07 & 7/24/07	B
Prudential Financial Inc. common stock	P	6/25/2007	B
Public Service Enterprise Group Inc. common stock	P	6/25/07 & 11/13/07	B
Rio Tinto PLC common stock	P	6/25/07 & 7/30/07	B
Royal Dutch Shell PLC Class A common stock	S	6/25/2007	B
Safeway Inc. common stock	P	6/25/2007	B
Southwest Airlines Co. common stock	S	6/25/2007	B
Sprint Nextel Corp. Series 1 common stock	S	6/25/2007	B
Time Warner Inc. common stock	S	6/25/2007	B
Transocean Inc. common stock	P	8/17/07 & 12/19/07	B
Travelers Inc. common stock	P	6/25/2007	B
United Technologies Corp. common stock	P	6/25/2007	B
Valero Energy Corp. common stock	P/S	Purchase-6/25/07; Sale-11/23/07	B/B
Verizon Communications common stock	P	6/25/2007	B
Wachovia Corp. common stock	P	6/25/2007	B
Wal Mart Stores Inc. common stock	P/S	Purchase-6/25/07; Sale-8/17/07	B/B
Walt Disney Co. common stock	S	6/25/2007	B
Wells Fargo & Co. common stock	P/S	Purchases-6/25/07 & 11/15/07; Sale-11/23/07	B/B
Wyeth common stock	P/S	Purchase-6/25/07; Sale-11/23/07	B/B
XTO Energy Inc. common stock	P	6/25/2007	B
Ishares Trust Russell 1000 Value Index Fund	P	11/23/2007	C
UBS - [redacted]			
ABN Amro Holding NV common stock	S	5/31/2007	B
Adidas AG common stock	S	5/31/2007	B
Aegon NV common stock	S	5/31/2007	B
Aeon Co. Ltd common stock	S	5/31/2007	B
Aiful Corp. common stock	S	5/31/2007	B
Allianz common stock	P/S	Purchases-5/31/07 & 12/31/07; Sales-6/6/07 & 11/26/07	B/B
Amcor Ltd common stock	S	5/31/2007	B
Arcelormittal common stock	P/S	Purchase-5/31/07; Sales-9/13/07, 10/26/07, & 12/31/07	B/B
Asahi Kaisei Corp. common stock	S	5/31/2007	B
Astrazeneca PLC common stock	P/S	3 purchases & 2 sales from May through December 2007	B/B

Au Optronics Corp. common stock	P	5/31/2007	B
BAE Systems PLC common stock	P/S	2 purchases & 3 sales from May through December 2007	B/B
Banco Santander Centl Hispano SA common stock	S	5/31/2007	B
Barclays PLC common stock	P/S	3 purchases & 3 sales from May through December 2007	B/B
BASF AG common stock	P	5/31/2007	B
BHP Billiton PLC common stock	P/S	Purchases-6/7/07 & 6/28/07; Sales-5/31/07 & 12/31/07	B/B
BNP Paribas SA common stock	S	11/26/07 & 12/31/07	B
BP PLC common stock	S	5/31/07 & 9/11/07	B
British America Tobacco PLC common stock	P	6/22/07 & 8/2/07	B
China Netcom Group Corp. common stock	P	5/31/2007	B
China Petroleum & Chemical Corp. common stock	P	5/31/2007	B
Ciba Specialty Chem Holdings Inc. common stock	S	5/31/2007	B
Coca Cola Femsa SAB common stock	S	5/31/2007	B
Credit Suisse Group common stock	P/S	Purchases-5/31/07 & 12/31/07; Sales-6/28/07 & 11/26/07	B/B
DBS Group Holdings Ltd common stock	S	5/31/2007	B
Deutsche Bank AG common stock	P/S	Purchases-8/1/07, 9/20/07, & 11/26/07; Sale-5/31/07	B/B
Deutsche Telekom AG common stock	S	5/31/2007	B
E On AG common stock	P/S	2 purchases & 4 sales from May through December 2007	B/B
ENI S.P.A. common stock	P	5/31/2007	B
Fortis NL common stock	P/S	3 purchases & 2 sales from May through December 2007	B/B
France Telecom SA common stock	P/S	Purchase-6/7/07, Sale-5/31/07	B/B
Fujifilm Holdings Corp. common stock	S	5/31/2007	B
Funai Elec. Co. common stock	S	5/31/2007	B
Glaxo Smithkline PLC common stock	P/S	5 purchases from June through October 2007; Sale-5/31/07	B/B
Hannover Rueckversicherungs AG common stock	S	5/31/2007	B
HBOS PLC common stock	P/S	6 purchases & 2 sales from June through December 2007	B/B
Hino Motors Ltd common stock	S	5/31/2007	B
Honda Motor Co. common stock	P/S	Purchases-5/31/07 & 12/31/07; Sales-6/28/07 & 11/26/07	B/B
HSBC Holdings PLC common stock	S	5/31/2007	B
Hutchison Whampoa Ltd common stock	S	5/31/2007	B
ING Groep NV common stock	P	5/31/07, 11/26/07, & 12/31/07	B
J. Sainsbury PLC common stock	P/S	Purchase-5/31/07; Sale-6/22/07	B/B
KAO Corp. common stock	S	5/31/2007	B
Koninklijke Ahold NV common stock	P	5 purchases from August through December 2007	B
Koninklijke Philips El NV	S	5/31/2007	B
Kookmin Bank common stock	P	12/31/2007	B
Lukoil Oil Co. common stock	P	10/26/2007	B
Mediaset SPA common stock	S	5/31/2007	B
Mitsubishi Corp. common stock	S	5/31/07 & 6/22/07	B
Mitsubishi UFJ Financial Group common stock	P/S	7 purchases & 2 sales from May through December 2007	B/B
National Australia Bank Ltd common stock	S	5/31/2007	B
Nippon Teleg & Tel Corp. common stock	P/S	3 purchases & 2 sales from May through December 2007	B/B
Nissan Motor Ltd common stock	P	5 purchases from September through December 2007	B
NTT Docomo Inc. common stock	S	5/31/2007	B
Orix Corp. common stock	P/S	Purchases-5/31/07, 6/22/07, & 8/1/07; Sale-11/26/07	B/B
Petroleo Brasileiro SA common stock	P/S	Purchase-5/31/07; Sales-6/28/07, 10/25/07, & 11/26/07	B/B
Posco common stock	P	5/31/2007	B
Repsol S.A. common stock	P	5/31/07 & 12/31/07	B

Rexam PLC common stock	S	5/31/2007	B
Rio Tinto PLC common stock	P/S	Purchase-5/31/07; Sale-6/7/07	B/B
Royal Bank Scotland Group PLC common stock	P	4 purchases from October through December 2007	B
Royal Dutch Shell PLC Class A common stock	P	4 purchases from September through December 2007	B
RWE AG common stock	P	6/7/07 & 6/28/07	B
Sanofi-Aventis S.A. common stock	P/S	Purchases-5/31/07 & 12/31/07; Sales-9/13/07 & 11/26/07	B/B
Sharp Corp. common stock	P/S	4 purchases from May through December 2007; Sale-11/26/07	B/B
Siliconware Precision Inds. Co. Ltd common stock	P	5/31/07 & 12/31/07	B
Societe Generale common stock	P/S	3 purchases & 2 sales from May through December 2007	B/B
Sony Corp. common stock	P/S	Purchases-6/22/07 & 12/31/07; Sales-6/28/07 & 11/26/07	B/B
Stora Enso OYJ Series R common stock	P	10/2/07, 10/26/07, & 11/26/07	B
Sumitomo Mitsui Financial Group Inc. common stock	P/S	3 purchases & 3 sales from May through December 2007	B/B
Total S.A. common stock	P/S	Purchase-5/31/07; Sales-6/13/07, 10/26/07, & 11/26/07	B/B
Toyota Motor Corp. common stock	P/S	Purchases-5/31/07 & 12/31/07; Sales-8/1/07 & 11/26/07	B/B
United Microelectronics Corp. common stock	P/S	Purchases-5/31/07 & 12/31/07; Sales-6/28/07 & 11/26/07	B/B
Vodafone Group PLC common stock	P	5/31/07, 6/28/07, & 6/29/07	B
Ishares MSCI Eafe Index Fund	P/S	Purchases-5/31/07 & 11/26/07; Sales-10/2/07 & 12/31/07	C/C
UBS - [redacted]			
AES Corporation common stock	S	6/26/2007	B
Barrick Gold Corp. common stock	S	6/26/2007	B
Berkshire Hathaway Inc. Class B common stock	S	6/27/2007	B
Blackrock International Bond Fund A	P/S	Purchase-7/2/07; Sales-10/11/07, 10/24/07, & 12/20/07	D/B
Boston Scientific Corp. common stock	S	6/26/2007	B
C.H. Robinson Worldwide Inc. common stock	S	6/26/2007	B
Cigna Corporation common stock	S	6/26/2007	B
Commerce Broadcasting Corp. common stock	S	6/26/2007	B
Countrywide Financial Corp. common stock	S	6/26/2007	B
Dell Inc. common stock	S	6/26/2007	B
DWS Dreman Small Cap Value Fund Class A	P/S	Purchase-7/2/07; Sales-10/11/07, 12/18/07, & 12/20/07	C/B
El Paso Corp. common stock	S	6/26/2007	B
Expeditors International Wash Inc. common stock	S	6/26/2007	B
Flextronics International Ltd. common stock	S	6/26/2007	B
FT Templeton Global Bond Fund A	P/S	Purchase-7/2/07; Sales-10/11/07 & 12/20/07	C/B
Genworth Financial Inc. Class A common stock	S	6/26/2007	B
Genzyme Corp. Gen Div common stock	S	6/26/2007	B
Goldman Sachs High Yield Municipal Fund Class A	S	7/2/07 & 7/24/07	C
ING Emerging Countries Fund Class A	P/S	Purchase-7/10/07; Sales-10/11/07 & 12/18/07	C/C
Kayne Anderson MLP Investment Co. mutual fund	P/S	Purchase-7/2/07; Sale-11/20/07	C/B
Kinetic Concepts Inc. common stock	S	6/26/2007	B
Lazard Emerging Market Portfolio	P/S	Purchase-7/2/07; Sales-10/11/07 & 10/24/07	C/B
Lyondell Chemical Company common stock	S	6/26/2007	B
Marsh & McLennan Co. common stock	S	6/26/2007	B
Mosaic Company common stock	S	6/26/2007	B
Nedbank Group Ltd common stock	S	6/26/2007	B
Nestle S.A. common stock	S	6/27/2007	B
Nokia Corporation common stock	S	6/27/2007	B
Nomura Holdings Inc. common stock	S	6/27/2007	B
Novartis AG common stock	S	6/27/2007	B

Petroleo Brasileiro SA common stock	S	6/27/2007	B
Peugeot S.A. common stock	S	6/27/2007	B
Pulte Homes Inc. common stock	S	6/27/2007	B
Reed Elsevier PLC common stock	S	6/27/2007	B
Rentokil PLC common stock	S	6/27/2007	B
Repsol S.A. common stock	S	6/27/2007	B
Ricoh Ltd common stock	S	6/27/2007	B
Royal Dutch Shell PLC Class A common stock	S	6/27/2007	B
Sabmiller PLC stock	S	6/27/2007	B
Salesforce.com Inc. common stock	S	6/27/2007	B
Sanofi-Aventis S.A. common stock	S	6/27/2007	B
Sekisui House Ltd common stock	S	6/27/2007	B
Shinsei Bank Ltd common stock	S	6/27/2007	B
Siemens AG common stock	S	6/27/2007	B
SLM Corp. common stock	S	6/27/2007	B
Svenska Cellulosa AB common stock	S	6/27/2007	B
Symantec Corp. common stock	S	6/27/2007	B
Tabcorp Holdings Ltd common stock	S	7/3/2007	B
Telijin Ltd common stock	S	6/27/2007	B
Tele Norte Leste Participacoes S.A. common stock	S	9/27/2007	B
Telefonos de Mexico S.A. common stock	S	6/27/2007	B
Telstra Corp. Ltd common stock	S	6/27/2007	B
Teva Pharmaceuticals Ind. Ltd. common stock	S	6/27/2007	B
Texas Instruments common stock	S	6/27/2007	B
Thomson common stock	S	6/27/2007	B
Total S.A. common stock	S	6/27/2007	B
Toto Ltd common stock	S	6/27/2007	B
Trinity Mirror PLC common stock	S	6/27/2007	B
Unilever PLC common stock	S	6/27/2007	B
Unilever NV common stock	S	6/27/2007	B
United Overseas Bank Ltd common stock	S	6/27/2007	B
Unum Group common stock	S	6/27/2007	B
UPM Kymmene Corp common stock	S	6/27/2007	B
U.S. Bancorp common stock	S	6/27/2007	B
Varian Medical Systems Inc. common stock	S	6/27/2007	B
Vodafone Group PLC common stock	S	6/27/2007	B
Washington Post Co. Class B common stock	S	6/27/2007	B
Waste Management Inc. common stock	S	6/27/2007	B
Wellpoint Inc. common stock	S	6/27/2007	B
UBS - [redacted]			
ABB Ltd common stock	P	6/25/2007	B
Abbott Labs common stock	P	6/25/2007	B
Accenture Ltd Class A common stock	P/S	Purchases-6/25/07 & 12/26/07; Sale-11/21/07	B/B
Aetna Inc. common stock	P	6/25/2007	B
Agco Corp. common stock	P	11/29/2007	B
Altera Corp. common stock	P	6/25/2007	B
Amazon.com Inc. common stock	S	6/25/2007	B
American International Group common stock	S	6/25/2007	B

America Movil SAB Series L common stock	P	6/25/2007	B
Amgen Inc common stock	P	6/25/2007	B
Autodesk Inc. common stock	S	6/25/2007	B
Avon Products Inc. common stock	P/S	Purchase-6/25/07; Sale-10/4/07	B/B
C.R. Bard Inc. common stock	P	6/25/2007	B
Boeing Co. common stock	P/S	Purchases-6/25/07 & 12/26/07; Sale-11/21/07	B/B
Cameron International Corp. common stock	P	6/25/2007	B
Cerner Corp. common stock	S	6/25/2007	B
Cisco Systems Inc. common stock	P	6/25/2007	B
Cognizant Tech Solutions common stock	P	6/25/07 & 12/26/07	B
Cognos Inc. common stock	P	6/25/2007	B
Comcast Corp. Class A common stock	P	6/25/2007	B
Corning Inc. common stock	P	6/25/2007	B
Cummins Inc. common stock	P/S	Purchases-10/11/07, 11/1/07, & 12/26/07; Sale-11/21/07	B/B
Danaher Corp. common stock	P	6/25/2007	B
DST Systems Inc. common stock	P	6/25/2007	B
Ebay Inc. common stock	S	6/25/2007	B
Ecolab Inc. common stock	P	6/25/2007	B
Electronic Arts common stock	P	6/25/2007	B
Emerson Electric Co. common stock	P	6/25/2007	B
Express Scripts Inc. common stock	P	12/13/2007	B
Franklin Resources Inc. common stock	P/S	Purcheses-6/25/07 & 12/26/07; Sale-11/21/07	B/B
Genentech Inc common stock	S	6/25/2007	B
General Electric Co. common stock	S	6/25/2007	B
Google Inc. Class A common stock	P/S	Purchases-7/2/07 & 7/23/07; Sale-6/25/07	B/B
Halliburton Co common stock	P	6/25/2007	B
Hewlett Packard Co. common stock	P	6/25/2007	B
Illinois Tool Works Inc. common stock	P	6/25/2007	B
Intel Corp. common stock	P	6/25/2007	B
International Business Machines common stock	P	6/25/2007	B
International Game Technology common stock	P	6/25/2007	B
Ishares Trust Russell 1000 Growth index Fund	P/S	Purchase-11/23/07; Sale 12/26/07	B/B
Jacobs Engineering Group Inc. common stock	P	6/25/2007	B
Johnson & Johnson common stock	P	6/25/2007	B
Marriott International Inc. Class A common stock	P/S	Purchases-6/25/07 & 12/26/07; Sale-11/21/07	B/B
McDermott International Inc. common stock	P	6/25/2007	B
McDonalds Corp. common stock	P	6/25/2007	B
Merrill Lynch & Co. common stock	P	6/25/2007	B
Microsoft Corp. common stock	P	6/25/2007	B
Monsanto Co. common stock	P	6/25/2007	B
Morgan Stanley common stock	P/S	Purchases-6/25/07, 8/9/07, & 12/26/07; Sale-11/21/07	B/B
National-Oilwell/Varco Inc. common stock	P	6/25/2007	B
Network Appliance Inc. common stock	S	6/25/2007	B
Nike Inc. Class B common stock	P	6/25/2007	B
Nokia Corporation common stock	P	12/13/2007	B
Office Depot, Inc. common stock	P/S	Purchase-6/25/07; Sale-7/30/07	B/B
Pepsico Inc. common stock	P	6/25/2007	B
Praxair Inc. common stock	P	6/25/2007	B

T. Rowe Price Group Inc. common stock	P	6/25/2007	B
Procter & Gamble Co. common stock	P	6/25/2007	B
Progressive Corp. common stock	S	6/25/2007	B
Qualcomm Inc. common stock	S	6/25/2007	B
Rockwell Automation Inc. common stock	P	6/25/2007	B
Henry Schein Inc. common stock	P	6/25/2007	B
Schlumberger Ltd common stock	S	6/25/2007	B
Smith International Inc. common stock	P	6/25/2007	B
State Street Corp. common stock	P	6/25/2007	B
Stryker Corp. common stock	P	6/25/2007	B
Terex Corp. common stock	P	7/30/07 & 12/26/07	B
Texas Instruments common stock	P/S	Purchases-6/25/07 & 12/26/07; Sale-11/21/07	B/B
Tiffany & Co. common stock	P/S	Purchases-6/25/07 & 12/26/07; Sale-11/21/07	B/B
Wal Mart Stores Inc. common stock	P/S	Purchase-6/25/07; Sale-8/29/07	B/B
Walgreen Co. common stock	S	11/29/2007	B
UBS - [redacted]			
Sumitomo Mitsui Financial Group Inc. common stock	S	6/1/2007	B
UBS AG common stock	S	7/24/2007	B
United Microelectronics Corp. common stock	S	7/24/2007	B
Scottrade			
Apple Inc. common stock	P	7/26/2007	B
BCE Inc. common stock	P/S	Purchase-4/17/07; Sale-5/9/07	B/B
Canadian National Ry Co common stock	P/S	Purchase-4/13/07; Sale-6/18/2007	B/B
ConocoPhillips common stock	P/S	Purchase-4/13/07; Sale-5/9/2007	B/B
Exxon Mobil Corp. common stock	P/S	Purchase-4/13/07; Sale-6/18/2007	C/B
Ford Motor Co. common stock	P	7/26/2007	B
Vanguard Emerging Markets fund	P/S	Purchase-4/13/07; Sale-6/18/2007	C/B
Investments in partnerships, S corporations, & LLC's			
The Blackstone Group, L.P.	P	6/22/2007	D
RAB JR. MARITAL TRUST FBO LUANN BENNETT			
Goldman Sachs - [redacted]			
Goldman Sachs Emerging Markets Equity Class A	S	1/31/2007	B
Goldman Sachs High Yield Municipal Fund Class A	S	2/26/2007	B
Goldman Sachs Structured Small Cap Equity Fund Class A	S	1/18/2007, 1/31/07, & 4/18/07	E
Goldman Sachs - [redacted]			
AES Corporation common stock	P	5 purchases from January through April 2007	B
Aetna Inc. common stock	P/S	2 purchases & 3 sales from January through April 2007	B/B
AON Corp. common stock	S	4 sales from January through April 2007	B
Bank of America Corp. common stock	P	4 purchases from January through April 2007	B
Barr Pharmaceuticals Inc. common stock	P	20 purchases from January through April 2007	B
Barrick Gold Corp. common stock	P	15 purchases in March & April 2007	B
Baxter International common stock	S	7 sales from February through April 2007	B
Cigna Corp. common stock	S	4 sales in February & April 2007	B
ConocoPhillips common stock	S	1/18/07, 4/4/07, & 4/18/07	B
CSX Corp. common stock	S	9 sales from January through March 2007	B
General Electric Co. common stock	P	7 purchases in January & February 2007	B
Genworth Financial Inc. common stock Class A	S	10 sales from February through April 2007	B

2907

Honda Motors Ltd. common stock	S	9 sales from January through April 2007	B
Interpublic Group common stock	S	4 sales in January & April 2007	B
Kinetic Concepts Inc. common stock	S	7 sales from February through April 2007	B
Liberty Media Holding Corp. common stock Series A	S	4 sales in February & March 2007	B
Lyondell Chemical Co. common stock	S	23 sales from January through April 2007	B
Marsh & McLennan Inc. common stock	P	9 purchases from January through March 2007	B
Morgan Stanley common stock	S	1/5/07, 3/16/07, & 4/18/07	B
Motorola Inc. common stock	S	2/26/07, 3/5/07, & 4/18/07	B
Pfizer Inc. common stock	S	2/26/07 & 4/18/07	B
Royal Dutch Shell PLC common stock Series A	P/S	7 purchases & 2 sales from January through April 2007	B/B
Southwest Airlines Co. common stock	S	4/18/2007	B
Sprint Nextel Corp. common stock	S	3/13/07, 3/14/07, & 4/18/07	B
Symantec Corp. common stock	S	4/4/07, 4/5/07, & 4/18/07	B
The Mosaic Company common stock	S	7 sales from January through April 2007	B
Time Warner Inc. common stock	P/S	4 sales & 2 purchases from January through April 2007	B/B
Unilever NV common stock	S	7 sales from February through April 2007	B
Unum Group common stock	S	1/18/07, 2/26/07, & 4/18/07	B
Wal Mart Stores Inc. common stock	S	1/10/07 & 1/11/07	B
Walt Disney Co. common stock	S	2/26/07 & 4/18/07	B
Washington Post Co. Class B common stock	P	1/9/07, 1/31/07, & 3/6/07	B
Waste Management Inc. common stock	P	6 purchases from January through April 2007	B
Watson Pharmaceuticals Inc. common stock	S	12 sales in January 2007	B
Goldman Sachs - [redacted]			
Adidas AG common stock	S	3/5/2007	B
Alliance Boots PLC common stock	P/S	4 purchases & 2 sales from January through April 2007	B/B
Amdocs Limited ordinary shares	P	4/12/07, 4/13/07, & 4/16/07	B
American Movil SAB Series L common stock	S	1/9/07 & 4/2/07	B
Barclays PLC common stock	S	3/15/07, 3/16/07, & 3/19/07	B
BASF AG common stock	P	3/27/2007	B
China Petroleum & Chemical Corp. common stock	S	3/5/07 & 3/20/07	B
Copa Holdings SA Class A common stock	P	5 purchases in April 2007	B
E. On AG common stock	P	1/11/2007	B
Embraer-Brazilian Aviation common stock	P	2/20/07, 2/21/07, & 2/22/07	B
Ishares FTSE Xinhau China 25 Index Fd. stock	S	2/1/2007	B
Kingfisher PLC common stock	P/S	Purchase-2/1/07; Sales-3/21/07 & 3/22/07	B/B
Lloyds TSB Group PLC common stock	P	1/22/07 & 3/15/07	B
Logitech International SA common stock	P	1/18/07 & 1/18/07	B
Lukoil Oil Co. common stock	S	1/26/07 & 1/29/07	B
Nii Holdings Inc. Class B common stock	S	2/20/07 & 4/2/07	B
Nintendo Ltd common stock	P	1/25/2007	B
Nokia Corp. common stock	P	1/4/07, 1/5/07, & 1/22/07	B
Novartis AG common stock	S	3/14/2007	B
Novo Nordisk common stock	P	2/26/2007	B
Potash Corp. common stock	P	1/31/2007	B
Puma AG common stock	P/S	3 purchases & 2 sales from January through March 2007	B/B
Roche Holding AG Class B common stock	P	2/26/2007	B
Rogers Communications, Inc. Class B common stock	S	1/9/2007	B
Sabmiller PLC common stock	S	1/23/2007	B

SAP AG common stock	S	1/24/2007	B
Sanofi-Aventis common stock	P	3/8/2007	B
Sharp Corp. common stock	S	1/9/2007	B
Southern Copper Corp. common stock	S	2/27/2007	B
Swiss Reinsurance common stock	S	2/1/2007	B
Telefonica SA common stock	P	2/1/2007	B
Virgin Media Inc. common stock	P	3/22/07, 3/23/07, & 3/26/07	B
Vodafone Group PLC common stock	S	1/22/07 & 1/23/07	B
Wal-Mart de Mexico SAB Series V common stock	S	1/4/2007	B
Goldman Sachs - [redacted]			
Abbott Labs common stock	P	1/11/07 & 1/23/07	B
American Capital Strategies common stock	P	1/9/2007	B
America Movil SA common stock Series L	P	2/6/07, 3/1/07, & 4/4/07	B
Apple Inc. common stock	P	4/17/07 & 4/26/07	B
Applied Materials, Inc. common stock	S	1/23/07 & 5/2/07	B
Capital One Financial Corp. common stock	S	3/1/07 & 5/2/07	B
Caremark RX Inc. common stock	S	3/15/2007	B
Chicago Mercantile Exchange Holdings Inc. common stock	S	2/7/2007	B
Coach Inc. common stock	P	1/26/2007	B
General Electric Co. common stock	P	3/20/2007	B
Gilead Sciences Inc. common stock	P	2/7/07 & 4/13/07	B
Google Inc. common stock Class A	S	1/26/2007	B
Hewlett Packard Co. common stock	S	3/21/2007	B
Honda Motors Ltd. common stock	S	1/18/07, 1/19/07, & 2/5/07	B
Honeywell International Inc. common stock	P	5/2/2007	B
McKesson Corp. common stock	P	3/22/07, 3/23/07, & 4/2/07	B
Medtronic Inc. common stock	P	5/2/2007	B
MEMC Electronic Material common stock	S	3/20/2007	B
Morgan Stanley common stock	P	2/26/07, 3/1/07, & 5/2/07	B
NCR Corp. common stock	P	1/25/2007	B
Nokia Corp. common stock	P/S	Purchase-1/26/07, Sale-2/23/07	B/B
Sanofi-Aventis common stock	S	1/11/07 & 3/20/07	B
St. Jude Medical Inc. common stock	S	4/2/2007	B
Staples, Inc. common stock	P	1/4/2007	B
Starbucks Corp. common stock	P	3/20/07 & 4/11/07	B
Starwood Hotels & Resorts common stock	S	3/19/07 & 4/13/07	B
Sysco Corp. common stock	S	2/14/07 & 2/15/07	B
Teva Pharmaceutical Ind. Ltd. stock	S	1/25/2007	B
TXU Corp. common stock	S	4/17/07 & 4/23/07	B
UBS AG common stock	P	1/18/07, 1/19/07, & 1/25/07	B
Valero Energy Corp. common stock	S	4/5/2007	B
Walt Disney Co. common stock	S	1/3/07, 1/9/07, & 1/11/07	B
Weatherford International Inc. common stock	P	4 purchases in March & April 2007	B
Wynn Resorts Ltd. common stock	P	4/13/2007	B
Yahoo Inc. common stock	P	3/2/07 & 3/8/07	B
UBS - [redacted]			
Abbott Labs common stock	S	6/27/2007	B
Adobe Systems Inc. common stock	S	6/27/2007	B

AES Corporation common stock	S	6/27/2007	B
Aetna Inc. common stock	S	6/27/2007	B
Air Liquide common stock	S	6/27/2007	B
Alcon Inc. common stock	S	6/27/2007	B
Alliance Boots PLC common stock	S	6/27/2007	B
Allied Irish Banks PLC ordinary shares	S	6/27/2007	B
Amdocs Limited ordinary shares	S	6/27/2007	B
American Capital Strategies common stock	S	6/27/2007	B
American Express Co. common stock	S	6/27/2007	B
America Movil SA common stock Series L	S	6/27/2007	B
American Funds Growth Fund of America Class F	P	7/16/2007	D
AON Corp. common stock	S	6/27/2007	B
Apache Corp. common stock	S	6/27/2007	B
Apple Inc. common stock	S	6/27/2007	B
Bank of America Corp. common stock	S	10/17/2007	B
Bank Yokohama Ltd common stock	S	6/27/2007	B
Barr Pharmaceuticals Inc. common stock	S	6/27/2007	B
Barrick Gold Corp. common stock	S	6/27/2007	B
BASF AG common stock	S	6/27/2007	B
Baxter International Inc. common stock	S	6/27/2007	B
Bed Bath & Beyond Inc. common stock	S	6/27/2007	B
Berkshire Hathaway Inc. Class B common stock	S	6/27/2007	B
Best Buy Co. common stock	S	6/27/2007	B
Boston Scientific Corp. common stock	S	6/27/2007	B
Broadcom Corp. common stock Class A	S	6/27/2007	B
Cadbury Schweppes PLC common stock	S	11/20/2007	B
Canadian Natural Resources Ltd common stock	S	6/27/2007	B
Carnival Corp common stock	S	6/27/2007	B
Chicago Mercantile Exchange Holdings Inc. common stock	S	7/10/2007	B
China Mobile Ltd. common stock	S	6/27/2007	B
China Petroleum & Chemical Corp. stock	S	6/27/2007	B
Chubb Corp. common stock	S	6/27/2007	B
Cigna Corp. common stock	S	6/27/2007	B
Coach Inc. common stock	S	6/27/2007	B
Comcast Corp. common stock Class A	S	6/27/2007	B
ConocoPhillips common stock	S	6/27/2007	B
Copa Holdings SA Class A common stock	S	6/27/2007	B
Corning Inc. common stock	S	6/27/2007	B
Costco Wholesale Corp common stock	S	6/27/2007	B
CVS Caremark Corp. common stock	S	6/27/2007	B
Danaher Corp. common stock	S	6/27/2007	B
E On AG common stock	S	11/20/2007	B
El Paso Corp. common stock	S	6/27/2007	B
Embraer Aircraft Corp. common stock	S	6/27/2007	B
ENI S P.A. common stock	S	6/27/2007	B
Fairfax Co. VA Water Authority municipal bonds	S	7/10/2007	D
Flextronics International Ltd common stock	S	6/27/2007	B
France Telecom SA common stock	S	6/27/2007	B

General Electric Co. common stock	S	6/27/2007	B
Genworth Financial Inc. common stock Class A	S	6/27/2007	B
Gilead Sciences Inc. common stock	S	6/27/2007	B
Goldman Sachs Emerging Market Fund Class A	S	5/1/07, 7/3/07, & 7/24/07	D
Goldman Sachs High Yield Municipal Fund Class A	S	7/3/07 & 7/24/07	D
Google Inc. common stock Class A	S	6/27/2007	B
Hershey Co. common stock	S	6/27/2007	B
Hewlett Packard Co. common stock	S	6/27/2007	B
Honda Motors Co. common stock	S	6/27/2007	B
Honeywell International Inc. common stock	S	6/27/2007	B
Humana Inc. common stock	S	6/27/2007	B
Interpublic Group common stock	S	6/27/2007	B
Ishares Lehman Agg. Bond Fund	P/S	Purchase-7/2/07, Sale-10/24/07	E/C
Kinetic Concepts Inc. common stock	S	6/27/2007	B
Kingfisher PLC common stock	S	6/27/2007	B
Komatsu Ltd. common stock	S	6/27/2007	B
Liberty Media Capital Corp. Series A common stock	S	6/27/2007	B
Lloyds TSB Group PLC common stock	S	6/27/2007	B
Logitech International SA common stock	S	6/27/2007	B
Loomis Sayles Investment Grade Bond Fund Class A	P	10/24/2007	E
Loomis Sayles Strategic Income Fund Class A	P/S	Purchase-7/16/07; Sale-10/24/07	E/E
Marsh & McLennan Inc. common stock	S	6/27/2007	B
McKesson Corp. common stock	S	6/27/2007	B
Mediaset SPA common stock	S	6/27/2007	B
Medtronic Inc. common stock	S	6/27/2007	B
MEMC Electronic Materials Inc. common stock	S	6/27/2007	B
Metlife Inc. common stock	S	6/27/2007	B
Microchip Technology common stock	S	6/27/2007	B
Monsanto Co. common stock	S	6/27/2007	B
Morgan Stanley common stock	S	6/27/2007	B
Mosaic Co. common stock	S	6/27/2007	B
Motorola Inc. common stock	S	6/27/2007	B
NCR Corp. common stock	S	6/27/2007	B
Nil Holdings Inc. common stock Class B	S	6/27/2007	B
Nike Inc. Class B common stock	S	6/27/2007	B
Nintendo Ltd common stock	S	6/27/2007	B
Nokia Corp. common stock	S	6/27/2007	B
Novartis AG common stock	S	6/27/2007	B
Novo Nordisk common stock	S	6/27/2007	B
Oppenheimer Main Street Small Cap Fund A	P	7/10/2007	C
J.C. Penney Co. common stock	S	6/27/2007	B
Pfizer Inc. common stock	S	6/27/2007	B
Portsmouth, VA municipal bonds	S	7/10/2007	D
Potash Corp. common stock	S	6/27/2007	B
Procter & Gamble Co. common stock	S	6/27/2007	B
Puma AG common stock	S	6/27/2007	B
Qualcomm Inc. common stock	S	6/27/2007	B
Richmond, VA municipal bonds	S	7/10/2007	D

Rio Tinto PLC stock	S	6/27/2007	B
Roche Holding Ltd common stock	S	6/27/2007	B
Rogers Communications Inc. common stock Class B	S	6/27/2007	B
Royal Dutch Shell PLC common stock Series A	S	6/27/2007	B
Sabmiller PLC stock	S	6/27/2007	B
Sanofi-Aventis common stock	S	6/27/2007	B
Satyam Computer Services common stock	S	6/27/2007	B
Schlumberger Ltd. common stock	S	7/3/2007	B
Secom Ltd. common stock	S	7/3/2007	B
Shinhan Financial Holding Co. common stock	S	7/3/2007	B
Shiseido Co. Ltd. common stock	S	7/3/2007	B
Southern Copper Corp. common stock	S	7/3/2007	B
Southwest Airlines Co. common stock	S	7/3/2007	B
Sprint Nextel Corp. Series 1 common stock	S	7/3/2007	B
Staples, Inc. common stock	S	7/3/2007	B
Starbucks Corp. common stock	S	7/3/2007	B
Symantec Corp. common stock	S	7/3/2007	B
TCW Galileo Diversified Value Fund	P	7/16/2007	D
Telefonica SA common stock	S	11/20/2007	B
Tenet Healthcare Corp. common stock	S	7/3/2007	B
Teva Pharmaceutical Ind. Ltd stock	S	7/3/2007	B
Texas Instruments common stock	S	7/3/2007	B
Thornburg International Value Fund Class A	P	7/10/2007	D
Time Warner Inc. common stock	S	7/3/2007	B
Toyota Motor Corp. stock	S	7/3/2007	B
Unilever NV common stock	S	7/3/2007	B
Unum Group common stock	S	7/3/2007	B
US Bancorp common stock	S	7/3/2007	B
Vanguard Index Funds Vanguard Growth Fund	P/S	Purchase-7/2/07; Sales-9/27/07, 10/24/07, & 12/20/07	E/C
Vanguard Index Funds Vanguard Value Fund	P/S	Purchase-7/2/07; Sale-12/20/07	E/C
Vanguard International Equity Index Fund Pac	P/S	Purchase-7/2/07; Sale-9/27/07	C/B
Vanguard International Equity Index Fund Euro	P/S	Purchase-7/2/07; Sale-9/27/07	D/B
Virgin Media Inc. common stock	S	11/20/2007	B
Virginia Beach, VA municipal bonds	S	7/10/2007	E
Vodafone Group PLC common stock	S	7/3/2007	B
Wal Mart de Mexico SA Class V common stock	S	7/3/2007	B
Walt Disney Co. common stock	S	6/27/2007	B
Washington Post Co. Class B common stock	S	7/3/2007	B
Waste Management Inc. common stock	S	7/3/2007	B
Weatherford Internation Inc. common stock	S	7/3/2007	B
Wynn Resorts Ltd. common stock	S	7/3/2007	B
Xerox Corp. common stock	S	7/3/2007	B
Yahoo Inc. common stock	S	7/3/2007	B
BENNETT FAMILY LLC (all values reflect client's 1% interest)			
UBS - [redacted]			
Vanguard Index Funds Vanguard Value fund	P	7/10/07, 7/24/07, & 10/24/07	B
Vanguard Index Funds Vanguard Growth fund	P	7/10/07, 7/24/07, & 10/24/07	B

Ishares Lehman Agg Bond Fund	P	7/10/07 & 7/24/07	B
John Hancock Classic Value Fund Class A	P	7/10/07, 7/27/07, & 10/24/07	B
Loomis Sayles Investment Grade Bond Fund Class A	P	10/24/2007	B
Loomis Sayles Strategic Income Fund Class A	P/S	Purchases-7/10/07 & 7/27/07; Sale-10/24/2007	B/B
UBS - [redacted]			
Harding Loevner Funds, Inc. Emerging Market Portfolio	S	7/18/2007	B
Legacy Reserves L.P.	S	9/26/2007	B
UBS Real Estate Opportunity Fund III LLC	P	5/24/07, 8/26/07, & 10/22/07	B
UBS - [redacted]			
Robeco-Sage Multi-Strategy Fund LLC	P	4/30/2007	B
UBS Credit Recovery Fund LLC	P	6/30/07 & 8/31/07	B
UBS Event Fund LLC	P	9/30/2007	B
UBS M2 Fund LLC	P	4/30/07 & 9/30/07	B
UBS Renaissance Institutional Equities LLC	P	8/31/2007	B
Interests in partnerships & LLC's			
Advisory Research Small/Mid Cap Value Equity Fund II, LP	S	6/30/2007	B
FBR Wolf Brook Limited Partnership	S	6/30/2007	B
GlobeFlex International Partners QP, LP	S	6/30/2007	B
EJF Health Care Fund, LP	S	10/31/2007	B
L:\MAIN\CLIENTS\A-E\BENNETT\Financial Disclosure\2007\[Attached Disclosure Schedules 2007.xls]Schedule IV			

Name JAMES P. MORAN, JR. | Page ___ of ___

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
See attached statement of liabilities exclusively in the name of Mr. Moran's spouse												

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

JAMES MORAN & LUANN BENNETT

SCHEDULE V - LIABILITIES

CREDITOR	TYPE OF LIABILITY	AMOUNT OF LIABILITY
The Kevin S. Bennett Trust	Note Payable	F
The Bryan G. Bennett Trust	Note Payable	F
The Richard A. Bennett III Trust	Note Payable	F
Branch Banking & Trust Co. of Virginia	50% of Mortgage on 3252 Winchester Road, Delaplane, VA	G
RAB JR. MARITAL TRUST FBO LUANN BENNETT		
Branch Banking & Trust Co. of Virginia	50% of Mortgage on 3252 Winchester Road, Delaplane, VA	G

L:\MAIN\CLIENTS\A-E\BENNETT\Financial Disclosure\2007\[Attached Disclosure Schedules 2007.xls]Schedule III

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2008 MAY 15 PM 5:11

MC OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Jerry Moran
(Full Name)

202-225-2715
(Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: KS — District: 01 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2916

SCHEDULE I - EARNED INCOME

Name Jerry Moran

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
United School District 489 (Hays, KS)	spouse salary	n/a

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jerry Moran

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Boeing Company, stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Capital Income Builder A, Mutual Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$6,001 - 7,000	
Capital Income Builder B, Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
Capital World Growth & Income A, Mutual Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$6,001 - 7,000	
Chevron Texaco, Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
Exxon Mobil, Stock	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Jerry Moran

	Fidelity Growth Opportunity T, Mutual Fund	$15,001 - $50,000	None	NONE	
	Fidelity Growth Opportunity T, Mutual Fund	$1,001 - $15,000	None	NONE	
	Halliburton Company, stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Hanston Insurance Agency, Stock (at cost)	$100,001 - $250,000	DIVIDENDS	$3,001-3,500	
	Income Fund of America B, Mutual Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	Income Fund of America B, Mutual Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Investment Company of America A, Mutual Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$3,001 - 3,500	
	Investment Company of America B, Mutual Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	MFS Massachusetts Inv A, Mutual Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Money Market	$1,001 - $15,000	INTEREST	$1 - $200	
	Pfizer Inc., Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Schlumberger LTD, Stock	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Transocean, Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name	

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction

SCHEDULE V - LIABILITIES

Name

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability

SCHEDULE VI - GIFTS

Name	

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name	

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense

SCHEDULE VIII - POSITIONS

Name Jerry Moran

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Ft. Hays State University (Hays, KS)
Governor	Board of Governors, University of Kansas School of Law (Lawrence, KS)
Executive Member	The Eisenhower Foundation (Abilene, KS)
Commissioner	Dwight D. Eisenhower Memorial Commission (Washington, DC)
Advisory Board Member	Society of St. Andrew
Board Member	Boy Scouts Coronado Area Council
Board Member	FHSU Endowment Association

FOOTNOTES

Name	

Number	Section / Schedule	Footnote	This note refers to the following item

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2007 MAY 15 PM 4:07

MC

(Office Use Only)

Christopher S. Murphy
(Full Name)

202-225-4476
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: CT District: 5	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☐ No ☑
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

2927

'SCHEDULE I - EARNED INCOME

Name Christopher S. Murphy

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
HRA New Britain	Spouse - Instruction Fee	$2,650
Waterbury Hospital	Spouse - Instruction Fee	$1,200
CT Legal Services	Spouse Salary	N/A

SCHEDULE V - LIABILITIES

Name Christopher S. Murphy

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Sallie Mae	Student Loan	$15,001 - $50,000
SP	Sallie Mae	Student Loan	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Christopher S. Murphy

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Directors	CT Aids Resource Coalition
Member, Advisory Board	Susan B. Anthony Project

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER
2008 MAY 13 PM 5:30
CLERK
(Office Use Only) HC

PATRICK J. MURPHY
(Full Name)

215)850-7037
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: PA District: 8	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

2931

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	COZEN O'CONNOR, PHILADELPHIA, PA	SALARY - LAST PAY	2,500.00
	COZEN O'CONNOR, PHILADELPHIA, PA	SPOUSE SALARY	24,758.70

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

P
S
E

SP/DC/JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	SP Mega Corp. Stock					X									X			X						X								S (partial)
DC	Examples: Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY Accounts						X									X										X						
	(JT) PNC BANK			X													X					X										
	(SP) VANGUARD MUTUAL FUNDS			X											X		X					X										
	(SP) VANGUARD IRA				X										X								X									
	TSP GOVT IRA			X											X							X										

For additional assets and unearned income, use next page.

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	SALLIE MAE, WILKES BARRE, PA	STUDENT LOANS	X									
	NELNET, DENVER, CO	STUDENT LOANS		X								
SP	CHASE, MADISON, MS	STUDENT LOANS		X								
JT	CHASE, MADISON, MS	CREDIT CARDS		X								

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Israel Education Foundation	Aug. 11-19	Newark-Tel Aviv-Newark	Y	Y	Y	None

This page may be copied if more space is required.

HAND DELIVERE

LEGISLATIVE RESOURCE CENTER
2008 MAY 22 PM 1:38
U.S. HOUSE OF REPRESENTATIVES
MC

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A
For use by Members, officers, and employees

Office Use Only

Timothy F. Murphy
(Full Name)

202-225-2301
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: PA, District: 18	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2936

SCHEDULE I - EARNED INCOME

Name Timothy F. Murphy

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Bombardier	Spouse Salary	N/A
Intercare Psychiatric Services	Spouse Salary	N/A
Commonwealth of PA State Employees Ret. Syst	Pension	$8,700

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy F. Murphy

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Marlow and Co	Indefinite	Royalities	$2,501 - $5,000	
JT	PIMCo Total Return Insti	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Master Select International	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
JT	Harbor Capital Appreciation	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Oakmark I	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Insured Cash Account	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy F. Murphy

JT	PIMCo Low Duration Instl	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
JT	Vanguard Explorer	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	SSgA Emerging Markets	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S(part)
JT	American Beacon Small Cap Value	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	PIMCo Total Return Instl	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Artisan International	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Master Select International	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
	Growth Fund of America F	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	Harbor Capital Appreciation	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	DWS Dreman High Return	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Oakmark I	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
	Premier Moneymarket Shares	$1,001 - $15,000	INTEREST	$1 - $200	
	PIMCo Low Duration Instl	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	Artisan Mid Cap	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy F. Murphy

American Beacon Small Cap Value	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
SSgA Emerging Markets	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S(part)
PIMCo Total Return	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
T. Rowe Price International Bond	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Artisan International	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
Oakmark International I	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
Growth Fund of America F	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
Harbor Capital Appreciation	$15,001 - $50,000	DIVIDENDS	$1 - $200	
TCW Select Equity I	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
Davis NY Venture A	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
DWS Dreman High Return	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
Premier Moneymarket Shares	$1,001 - $15,000	INTEREST	$1 - $200	
PIMCo Low Duration Instl	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Aston/Veredus Aggressive Growth	$15,001 - $50,000	CAPITAL GAINS	$5,001 - $15,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy F. Murphy

	Sentinel Small Company A	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	P
	Ariel	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	Third Avenue Value	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Bayou City Exploration Inc	$1 - $1,000	None	NONE	Other
SP	PIMCo Total Return Instl	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Master Select International	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	P
SP	Harbor Capital Appreciation	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Oakmark I	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
SP	Premier Moneymarker Shares	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Vanguard Explorer	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	American Beacon Small Cap Value	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Optimum Fixed Income C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Optimum International C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Optimum Large Cap Growth C	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy F. Murphy

SP	Optimum Large Cap Value C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
SP	Insured Cash Account	None	INTEREST	$1 - $200	
SP	Optimum Small Cap Growth C	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	Optimum Small Cap Value C	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	Optimum Fixed Income C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Optimum International C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Optimum Large Cap Growth C	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
SP	Optimum Large Cap Value C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	Optimum Small Cap Growth C	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	Optimum Small Cap Value C	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
DC	Pimco Total Return Instl	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S(part)
DC	Master Select International	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
DC	Enterprise Growth A	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	Other
DC	Goldman Sachs Cap Growth A	$1,001 - $15,000	None	NONE	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Timothy F. Murphy

DC	Oarkmark I	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
DC	Insured Cash Account	$1,001 - $15,000	INTEREST	$201 - $1,000	
DC	Vanguard Explorer	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S(part)
DC	American Beacon Small Cap Value	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
DC	Habor Capital Appreciation	None	None	NONE	S

SCHEDULE IV - TRANSACTIONS

Name Timothy F. Murphy

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Ssga Emerging Markets	S(part)	08-15-07	$1,001 - $15,000
	Master Select International	P	12-11-07	$1,001 - $15,000
	Growth Fund of America F	P	12-20-07	$1,001 - $15,000
	Oakmark I	P	12-17-07	$1,001 - $15,000
	Artisan Mid Cap	P	12-20-07	$1,001 - $15,000
	SSgA Emerging Markets	S(part)	08-15-07	$1,001 - $15,000
	Artisan International	P	12-20-07	$1,001 - $15,000
	Oakmark International I	P	12-17-07	$1,001 - $15,000
	Growth Fund of America F	P	12-20-07	$1,001 - $15,000
	TCW Select Equity I	P	12-26-07	$1,001 - $15,000
	DWS Dreman High Return	P	12-18-07	$1,001 - $15,000
	Aston/Veredus Aggressive Growth	P	12-17-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Timothy F. Murphy

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Sentinel Small Company A	P	12-16-07	$1,001 - $15,000
	Ariel	P	11-19-07	$1,001 - $15,000
	Blue Ridge Energy	Other	01-01-07	$1,001 - $15,000
	Bayou City Exploration Inc	Other	01-01-07	$1,001 - $15,000
SP	Master Select International	P	12-11-07	$1,001 - $15,000
SP	Oakmark I	P	12-17-07	$1,001 - $15,000
SP	Optimum Large Cap Value C	P	04-17-07	$1,001 - $15,000
DC	Pimco Total Return Instl	S(part)	11-27-07	$1,001 - $15,000
DC	Enterprise Growth A	Other	12-18-07	$1,001 - $15,000
DC	Goldman Sachs Cap Growth A	Other	12-18-07	$1,001 - $15,000
DC	Vanguard Explorer	S(part)	11-27-07	$1,001 - $15,000
DC	Habor Capital Appreciation	S	11-27-07	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Timothy F. Murphy

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	SSgA Emerging Markets	S(part)	08-15-07	$1,001 - $15,000
SP	Optimum Large Cap Growth C	P	04-17-07	$1,001 - $15,000
	Master Select International	P	12-11-07	$1,001 - $15,000
	DWS Dreman High Return	P	12-18-07	$1,001 - $15,000
	Aston/Veredus Aggressive Growth	P	12-17-07	$1,001 - $15,000
SP	Master Select International	P	12-11-07	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name Timothy F. Murphy

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Western Pennsylvania Historical Society (uncompensated)
Board Member	Pittsburgh Children's Museum (uncompensated)
Board Member	Pittsburgh Public Theater (uncompensated)
Adjunct Professor	University of Pittsburgh (uncompensated)

FOOTNOTES

Name Timothy F. Murphy

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule IV	Security name change from Blue Ridge Energy to Bayou City Exploration	Blue Ridge Energy
2	Schedule IV	Security name change from Blue Ridge Energy to Bayou City Exploration	Bayou City Exploration
3	Schedule IV	Security name change from Enterprise Growth A to Goldman Sachs Cap Growth A	Enterprise Growth A
4	Schedule IV	Security name change from Enterprise Growth A to Goldman Sachs Cap Growth A	Goldman Sachs Cap Growth A

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A

For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2008 MAY 14 PM 5:22
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

JOHN P MURTHA JR
(Full Name)

(Daytime Telephone)

MC
(Office Use Only)

Filer Status ☑ Member of the U.S. House of Representatives — State: PA District: 71 — Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2949

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JOHN P MURTHA JR

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
TP	AXA(Dividend Reinvestemnts - Not Sold in 2006	1001-15000	DIVIDENDS	1-200	DIVIDEND REINVESTMENT
JT	CHARLES SCHWAB	1001-15000	DIVIDENDS	1-200	
SP	CONGRESSIONAL FCU	1001-15000	IRA	$0	
TP	CONGRESSIONAL FCU	1001-15000	IRA	$0	
SP	CONGRESSIONAL FCU	15001-50000	INTEREST	201-1000	
TP	CONGRESSIONAL FCU	1-1000	INTEREST	1-200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JOHN P MURTHA JR

TP	FIRST COMMONWEALTH BANK	1001-15000	IRA	$0	
JT	FIRST COMMONWEALTH BANK	1001-15000	INTEREST	1-200	
SP	FIRST COMMONWEALTH BANK	15001-100000	IRA	$0	
TP	PENNSYVLANIA STATE UNIVERSITY PRESS	1-1000	ROYALTIES	1-200	
TP	WESBANCO, INC.	15001-50000	DIVIDENDS	1001-2500	DIVIDEND REINVESTMENT

SCHEDULE IV - TRANSACTIONS

Name JOHN P MURTHA JR

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
TP	WESBANCO, INC. QUARTERLY DIVIDEND REINVESTMENT	REINVEST	VARIOUS	0-200
TP	AXA(Dividend Reinvestemnts - Not Sold in 2006	REINVEST	VARIOUS	0-200

UNITED STATES HOUSE OF REPRESENTATIVES
2008 FINANCIAL DISCLOSURE STATEMENT
For 2007 Calendar Year Reporting Period

Form A
For use by Members, officers, and employees

HAND DELIVERED

... RESOURCE CENTER
2008 MAY 13 PM 5:06
... HOUSE OF REPRESENTATIVES
MC

MARILYN N. MUSGRAVE (Full Name)

202-225-4676 (Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives — State CO District 4TH	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

2953

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STEVE MUSGRAVE AGENCY	SPOUSE SELF EMPLOYMENT	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name MARILYN N. MUSGRAVE | Page 3 of 7

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs***, indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs***, indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example

Optional	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P	S	E
SP	Mega Corp Stock					X									X			X						X									S (partial)	
DC	Examples: Simon & Schuster			Indefinite																Royalties									X					
JT	1st Bank of Peducah, KY Accounts						X									X										X								
	KEAL ESTATE - 24867 3RD ST, GALETON, CO 80622					X										X								X										
	FARM BUREAU LIFE, DESMOINES, IA																																	
	IRA - NSD (NOT SELF DIRECTED)				X												X				NA													
JT	CHECKING ACCOUNT - FORT MORGAN STATE BANK			X													X					X												
JT	CHECKING ACCOUNT - FARMERS STATE BANK OF FT. MORGAN			X													X					X												
SP	FBL - DESMOINES, IA IRA - NSD						X										X				NA													
JT	FARM - 15484 ROAD 18 1/2 FORT MORGAN, CO 80701						X													CROP SALES							X							

For additional assets and unearned income, use next page.

2955

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: MARILYN N. MUSGRAVE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	PRECIOUS METALS/COINS					X												X									X					S

This page may be copied if more space is required.

2956

SCHEDULE IV— TRANSACTIONS

Name MARILYN N. MUSGRAVE | Page 5 of 7

Report any purchase, sale, or exchange by you, your spouse or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X		10-12-07		X								
SP	PRECIOUS METALS/COINS		X		11/15/07		X								

This page may be copied if more space is required.

2957

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	FORT MORGAN STATE BANK	MORTGAGE - GALETON PROPERTY		X								
JT	BANK of COLORADO	MORTGAGE - FARM				X						
SP	BANK of AMERICA	BUSINESS OPERATING LOAN		X								
	DISCOVER CARD	REVOLVING CHARGE ACCOUNT	X									
SP	CAPITAL ONE	BUSINESS OPERATING LOAN	X									

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N	Food? (Y/N	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	HERITAGE FOUNDATION	JAN 30-FEB 1	DC - BALTIMORE - DC	Y	Y	Y	NONE

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2008 MAY 15 AM 10:44
MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Suellen Wilkins Myrick
(Full Name)

(202) 225-1976
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: NC District: 09	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

2960

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Suellen Wilkins Myrick

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Tyco International, Ltd.	Appearance	May 22, 2007	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Suellen Wilkins Myrick

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Bank of America, MM CD (account closed)	None	INTEREST	$1,001 - $2,500	
JT	Edward Jones, MM CD	$50,001 - $100,000	INTEREST/DIVIDENDS	$1,001 - $2,500	
JT	HSBC, CD (account closed)	None	INTEREST	$201 - $1,000	
JT	Northwest Mutual Life Insurance Company (not self-directed, tranferred from Myrick Enterprises)	$100,001 - $250,000	DIVIDENDS/INTEREST	$1 - $200	E
JT	Activa Mutual Funds (transferred from Myrick Enterprises)	$1,001 - $15,000	DIVIDENDS	$1 - $200	E

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Suellon Wilkins Myrick

JT	Janney, Montgomery Scott (account closed)	None	INTEREST	$1 - $200	
JT	Wachovia Bank, MM CD	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
JT	Indymac Bank, CD	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Suellen Wilkins Myrick

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Northwest Mutual Life Insurance Company (not self-directed, transferred from Myrick Enterprises)	E	01-01-07	$100,001 - $250,000
JT	Activa Mutual Funds (transferred from Myrick Enterprises)	E	01-01-07	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Suellen Wilkins Myrick

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
David Horowitz Freedom Center	Nov. 16-18	DC-Palm Beach-Charlotte	Y	Y	Y	None
John William Pope Civitas Institute	Oct. 26-27	DC-Raleigh	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Suellen Wilkins Myrick

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Heidelberg College, Tiffin, Ohio

Continued in House Document 110-129, Volume 3 of 4

BOSTON PUBLIC LIBRARY
3 9999 06352 953 9